SENATE BILL 6439

State of Washington 66th Legislature 2020 Regular Session

By Senators Randall, Van De Wege, Keiser, Stanford, Kuderer, Hunt, Dhingra, Liias, Wilson, C., Frockt, and Das

Read first time 01/16/20. Referred to Committee on Higher Education & Workforce Development.

AN ACT Relating to addressing sexual misconduct at postsecondary educational institutions; adding new sections to chapter 28B.112 RCW; adding a new section to chapter 42.56 RCW; and creating a new section.

5 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:

6 NEW SECTION. Sec. 1. The legislature recognizes that 7 Washington's postsecondary educational institutions are some of the best schools in the nation, offering high quality education and life 8 experiences for thousands of students. Washington institutions strive 9 10 to create learning environments where all students can reach their full potential. The legislature also recognizes that in instances in 11 12 which an employee of an institution engages in sexual misconduct 13 against a student, institutions do not consistently disclose that 14 information. The legislature declares that disclosure of such 15 information is a matter of public safety for all Washington students 16 well as for students on campuses across the nation. The as 17 legislature finds that sexual misconduct, which mav include 18 harassment or assault, has serious public health and safety effects 19 on students in Washington. These effects may deprive students of 20 their opportunities to obtain an education which would otherwise 21 improve their lives and health, and that of their own children. Other

1 effects include an employee in a position of power and authority over students causing irreversible harm to the physical and mental health 2 3 of students from sexual misconduct. The legislature finds that students of any postsecondary institution in Washington should be 4 protected from their institution hiring an employee who has been 5 6 found to have committed sexual misconduct at another postsecondary 7 institution. The legislature, therefore, also finds that postsecondary institutions in Washington need to know 8 if a prospective employee has been found to have committed sexual 9 misconduct while employed at another institution. The legislature 10 11 finds that nondisclosure agreements which prevent an institution from 12 disclosing that an employee has committed sexual misconduct create a high potential for students in jeopardy of being victimized. 13 Therefore, the legislature finds such nondisclosure agreements 14 between an employee and institution, pursuant to which the 15 16 institution agrees not to disclose findings of misconduct supported 17 by a preponderance of evidence or not to complete an investigation, are against public policy and should not be entered into by any 18 19 Washington postsecondary institution and should not be enforced by Washington courts. Therefore, the legislature intends to provide 20 21 clarity and direction to postsecondary educational institutions for disclosing substantiated findings of sexual misconduct committed by 22 23 its employees against students.

24 <u>NEW SECTION.</u> Sec. 2. A new section is added to chapter 28B.112 25 RCW to read as follows:

The definitions in this section apply throughout this section and sections 3 through 6 of this act unless the context clearly requires otherwise.

(1) "Applicant" means a person applying for employment 29 as 30 faculty, instructor, staff, advisor, counselor, coach, athletic 31 department staff, and any position in which the applicant will likely have direct ongoing contact with students. "Applicant" does not 32 include enrolled students who are applying for temporary student 33 employment with the postsecondary educational institutions, unless 34 35 the student is applying for a position in which the student will have a supervisory role or authority over other students. 36

37 (2) "Employee" means a person who is receiving or has received 38 wages as an employee from the postsecondary educational institutions 39 and includes current and former workers, whether the person is

1 classified as an employee, independent contractor, or consultant. "Employee" does not include a person who was employed by the 2 institution in temporary student employment while the person was an 3 enrolled student unless the student is or was in a position in which 4 the student has or had a supervisory role or authority over other 5 6 students. A person who would be considered an "employee" under this subsection, remains an "employee" even if the person enrolls in 7 classes under an institution's employee tuition waiver program or 8 similar program that allows faculty, staff, or other employees to 9 take classes. 10

(3) "Employer" includes postsecondary educational institutions or school employers in this or any other state, and any other employer in this state or any other state.

(4) "Postsecondary educational institution" means an institution
of higher education as defined in RCW 28B.10.016, a degree-granting
institution as defined in RCW 28B.85.010, a private vocational school
as defined in RCW 28C.10.020, or school as defined in RCW 18.16.020,
that participates in the state student financial aid program.

19 (5) "Sexual misconduct" includes, but is not limited to, unwelcome sexual contact, unwelcome sexual advances, requests for 20 sexual favors, other unwelcome verbal, nonverbal, electronic, or 21 physical conduct of a sexual nature, sexual harassment, and any 22 misconduct of a sexual nature that is in violation of the 23 postsecondary educational institution's policies or has been 24 25 determined to constitute sex discrimination pursuant to state or 26 federal law.

(6) "Student" means a person enrolled at a postsecondary educational institution and for whom educational records are maintained.

30 <u>NEW SECTION.</u> Sec. 3. A new section is added to chapter 28B.112 31 RCW to read as follows:

32 (1) (a) Postsecondary educational institutions shall administer 33 campus climate assessments to gauge the prevalence of sexual 34 misconduct on their campuses.

35 (b) The state board for community and technical colleges shall 36 administer campus climate assessments of community and technical 37 colleges to gauge the prevalence of sexual misconduct on community 38 and technical college campuses.

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1 (c) The student achievement council shall develop a standardized statewide campus climate assessment to be administered by the 2 postsecondary educational institutions and the state board for 3 community and technical colleges. The assessment must be designed to 4 capture information on the prevalence and effects of sexual 5 misconduct on students who have traditionally been marginalized or 6 7 experience disproportionate impacts of systemic oppression based on, for example, race, ethnicity, nationality, sexual orientation, gender 8 identity, gender expression, and disability. 9

10 (d) The assessment must include, but is not limited to, the 11 following:

12 (i) The prevalence of sexual misconduct on and off campus;

13 (ii) Options for reporting sexual misconduct presented to 14 survivors and witnesses and how those options were presented;

15 (iii) Whether survivors or witnesses reported to the 16 institutions, campus police, or any other local law enforcement 17 agency, and reasons why they did or did not report, including any 18 barriers or discouragement they experienced in reporting or not 19 reporting;

(iv) Whether survivors or witnesses experienced retaliation for reporting, filing complaints, or working with investigators; whether there were perceptions that such actions might result in retaliation affecting students' or staff members' education or careers; and whether there were perceived pressures not to report to law enforcement or not to file complaints with outside agencies;

26 (v) An evaluation of student and employee attitudes and awareness 27 of campus sexual misconduct issues and consent.

(2) The postsecondary educational institutions and the state board for community and technical colleges shall work with the student achievement council to develop definitions of terms, survey questions, scope of the assessment, or any other assessment features the student achievement council finds necessary for the purposes of consistency.

(3) (a) The postsecondary educational institutions and state board 34 for community and technical colleges shall submit assessment results 35 36 to the student achievement council every five years, beginning July The student achievement council shall submit reports 37 1, 2023. summarizing the findings of the assessments to the governor and the 38 39 appropriate committees of the legislature by December 31st of each 40 year assessment results are due.

1 (b) Each postsecondary educational institution shall make the 2 portion of the student achievement council's report relating to the 3 institution widely available to its campus community, including by 4 posting on the institution's web site and by email informing 5 students, faculty, and staff of the report's availability.

6 <u>NEW SECTION.</u> Sec. 4. A new section is added to chapter 28B.112 7 RCW to read as follows:

(1) Except as provided in subsection (2) of this section, any 8 provision of a settlement agreement between an institution of higher 9 10 education and an employee is against public policy and void and 11 unenforceable if the provision prohibits the employee, the institution, a survivor, or any other person from disclosing that the 12 employee has been the subject of allegations, investigations, or 13 findings of sexual misconduct committed by the employee. 14

15 (2) A settlement agreement may contain provisions requiring 16 nondisclosure of personal identifying information of persons filing 17 complaints or making allegations and of any witnesses asked to 18 participate in an investigation of the allegations.

(3) Personal identifying information in a settlement agreement that reveals the identity of persons filing complaints or making allegations and of any witnesses asked to participate in an investigation of the allegations is exempt from public disclosure pursuant to section 7 of this act.

24 <u>NEW SECTION.</u> Sec. 5. A new section is added to chapter 28B.112 25 RCW to read as follows:

26 (1) Unless the victim of the alleged sexual misconduct requests 27 otherwise, when a postsecondary educational institution investigates a complaint or allegation of sexual misconduct committed by an 28 29 employee against a student of the institution, the institution shall 30 complete the investigation whether or not the employee voluntarily or 31 involuntarily leaves employment with the institution. When the institution completes its investigation, the institution shall make 32 33 written findings of whether the complaint or allegation is 34 substantiated.

35 (2)(a) A postsecondary educational institution shall include in 36 the employee's personnel file or employment records any substantiated 37 findings of sexual misconduct committed by the employee while the 38 employee was employed with the postsecondary educational institution.

1 (b) When disclosing records included in an employee's personnel 2 file or employment records under this section, the institution shall 3 keep personal identifying information of the complainant and any 4 witnesses confidential, unless disclosure of identifying information 5 is agreed to by the complainant or witnesses or required under law.

6 (c) Personal identifying information in an employee's file or 7 employment records that reveals the identity of the complainant and 8 any witnesses is exempt from public disclosure pursuant to section 7 9 of this act.

10 (3) For purposes of this section, postsecondary educational 11 institutions shall use a preponderance of the evidence standard when 12 determining whether findings are substantiated.

13 (4) For purposes of this section and section 6 of this act, 14 "substantiated" means the employee has been found responsible for 15 sexual misconduct.

16 <u>NEW SECTION.</u> Sec. 6. A new section is added to chapter 28B.112
17 RCW to read as follows:

18 (1) Before hiring an applicant, a postsecondary educational 19 institution shall request the applicant to sign a statement:

(a) Declaring whether the applicant is the subject of any substantiated findings of sexual misconduct in any current or former employment or is currently being investigated for, or has left a position during an investigation into, a violation of any sexual misconduct policy at the applicant's current and past employers, and, if so, an explanation of the situation;

(b) Authorizing the applicant's current and past employers to disclose to the hiring institution any sexual misconduct committed by the applicant and making available to the hiring institution copies of all documents in the previous employer's personnel, investigative, or other files relating to sexual misconduct, including sexual harassment, by the applicant; and

32 (c) Releasing the applicant's current and past employers, and 33 employees acting on behalf of that employer, from any liability for 34 providing information described in (b) of this subsection.

35 (2) Before hiring an applicant, a postsecondary educational 36 institution shall:

37 (a) Request in writing, electronic or otherwise, that the
 38 applicant's current and past employers provide the information, if
 39 any, described in subsection (1) (b) of this section. The request must

1 include a copy of the declaration and statement signed by the 2 applicant under subsection (1) of this section; and

3 (b) Ask the applicant if the applicant is the subject of any 4 substantiated findings of sexual misconduct, or is currently being 5 investigated for, or has left a position during an investigation 6 into, a violation of any sexual misconduct policy at the applicant's 7 current and past employers, and, if so, an explanation of the 8 situation.

9 (3)(a) Pursuant to (c) of this subsection, after receiving a 10 request under subsection (2)(a) of this section, a postsecondary 11 educational institution shall provide the information requested and 12 make available to the requesting institution copies of documents in 13 the applicant's personnel record relating to substantiated findings 14 of sexual misconduct.

15 (b) Pursuant to (c) of this subsection, if a postsecondary 16 educational institution has information about substantiated findings 17 of a current or former employee's sexual misconduct in the employee's personnel file or employment records, the institution shall disclose 18 that information to any employer conducting reference or background 19 checks on the current or former employee for the purposes of 20 21 potential employment, even if the employer conducting the reference or background check does not specifically ask for such information. 22

(c) If, by the effective date of this section, a postsecondary educational institution does not have existing procedures for disclosing information requested under this subsection, the institution must establish procedures to begin implementing the disclosure requirements of this subsection no later than January 1, 28 2021.

(4) The postsecondary educational institution or an employee acting on behalf of the institution, who discloses information under this section is presumed to be acting in good faith and is immune from civil and criminal liability for the disclosure.

33 (5)(a) When disclosing information under this section, the 34 postsecondary educational institution shall keep personal identifying 35 information of the complainant and any witnesses confidential, unless 36 the complainant or witnesses agree to disclosure of their identifying 37 information.

(b) Personal identifying information that reveals the identity of the complainant and any witnesses is exempt from public disclosure pursuant to section 7 of this act. (6) A postsecondary educational institution may not hire an
 applicant who does not sign the statement described in subsection (1)
 of this section.

4 (7) Information received under this section may be used by a 5 postsecondary educational institution only for the purpose of 6 evaluating an applicant's qualifications for employment in the 7 position for which the person has applied.

8 (8) This section does not restrict expungement from a personnel 9 file or employment records of information about alleged sexual 10 misconduct that has not been substantiated.

11 <u>NEW SECTION.</u> Sec. 7. A new section is added to chapter 42.56
12 RCW to read as follows:

For the purposes of sections 2 through 6 of this act regarding 13 postsecondary educational institutions, personal identifying 14 15 information in an employee personnel file, student file, 16 investigation file, settlement agreement, or other files held by a 17 postsecondary educational institution that reveals the identity of witnesses to or victims of sexual misconduct committed at the 18 postsecondary educational institution by an employee of the 19 20 institution are exempt from public disclosure and copying. If the 21 victim or witness indicates a desire for disclosure of the victim's 22 or witness' personal identifying information, such desire shall 23 govern.

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