-	HIGHER EDUCATION DATA PRIVACY AND GOVERNANCE
)	REVISIONS
	2022 GENERAL SESSION
	STATE OF UTAH
	Chief Sponsor: Jacob L. Anderegg
	House Sponsor: Jefferson Moss
	LONG TITLE
	General Description:
	This bill enacts and amends provisions related to higher education data privacy and
	governance.
	Highlighted Provisions:
	This bill:

14

15 16

17 18

19

20

21

- transfers the Utah Data Resource Center (center) from the Department of Workforce Services to the Utah System of Higher Education;
- expands the duties of the center by requiring the center to collect and promote access to data from institutions of higher education and collaborate with the Board of Higher Education and the State Board of Education to coordinate access to certain student identifier information;
 - requires the commissioner of higher education to:
 - appoint a director of the center to serve as chair of the Utah Data Research
- 22 Advisory Board; and
- 23 appoint the member who represents the center to the School Readiness Board;
- requires the center to include information regarding the center's activities and 24 25 accomplishments in the center's annual report to the Legislature;



3rd Sub. (Ivory) S.B. 226

03-02-22 10:53 AM

26	 provides for higher education student data protection at the state and institution of
27	higher education (institution) levels;
28	 requires the state privacy officer to establish a privacy advisory group;
29	• enacts requirements for data protection and maintenance for the Utah Board of
30	Higher Education, institutions, and third-party contractors;
31	 creates requirements for a third-party contractor's use of student data;
32	 creates penalties for an institution that contracts with a third-party contractor that
33	permits unauthorized collecting, sharing, or use of student data;
34	defines terms; and
35	makes technical and conforming changes.
36	Money Appropriated in this Bill:
37	This bill appropriates in fiscal year 2023:
38	 to Utah Board of Higher Education Administration, as an ongoing appropriation:
39	• from the Education Fund, \$770,000; and
40	 to Utah Board of Higher Education Administration, as a one-time appropriation:
41	• from the Education Fund, \$275,000.
42	Other Special Clauses:
43	This bill provides a special effective date.
44	Utah Code Sections Affected:
45	AMENDS:
46	35A-15-201, as last amended by Laws of Utah 2019, Chapters 246, 246 and
47	renumbered and amended by Laws of Utah 2019, Chapters 342, 342 and last
48	amended by Coordination Clause, Laws of Utah 2019, Chapter 342
49	53B-1-109, as last amended by Laws of Utah 2020, Chapter 365
50	53E-1-201, as last amended by Laws of Utah 2021, Chapters 64, 251, and 351
51	53E-4-308, as last amended by Laws of Utah 2020, Chapter 365
52	53E-10-706, as last amended by Laws of Utah 2019, Chapter 186
53	53E-10-707, as last amended by Laws of Utah 2019, Chapter 186
54	ENACTS:
55	53B-28-501, Utah Code Annotated 1953
56	53B-28-502 , Utah Code Annotated 1953

```
57
            53B-28-503, Utah Code Annotated 1953
58
            53B-28-504, Utah Code Annotated 1953
59
            53B-28-505, Utah Code Annotated 1953
60
            53B-28-506, Utah Code Annotated 1953
61
     RENUMBERS AND AMENDS:
62
            53B-33-101, (Renumbered from 35A-14-102, as last amended by Laws of Utah 2020,
63
     Chapter 365)
64
            53B-33-201, (Renumbered from 35A-14-201, as enacted by Laws of Utah 2017,
65
     Chapter 375)
            53B-33-202, (Renumbered from 35A-14-203, as last amended by Laws of Utah 2020,
66
67
     Chapter 365)
            53B-33-203, (Renumbered from 35A-14-204, as enacted by Laws of Utah 2017,
68
69
     Chapter 375)
70
            53B-33-301, (Renumbered from 35A-14-301, as enacted by Laws of Utah 2017,
71
     Chapter 375)
72
            53B-33-302, (Renumbered from 35A-14-302, as last amended by Laws of Utah 2020,
73
     Chapter 365)
74
            53B-33-303, (Renumbered from 35A-14-303, as enacted by Laws of Utah 2017,
75
     Chapter 375)
76
            53B-33-304, (Renumbered from 35A-14-304, as enacted by Laws of Utah 2017,
77
     Chapter 375)
78
     REPEALS:
79
            35A-14-101, as enacted by Laws of Utah 2017, Chapter 375
80
            35A-14-202, as enacted by Laws of Utah 2017, Chapter 375
81
     Be it enacted by the Legislature of the state of Utah:
82
83
            Section 1. Section 35A-15-201 is amended to read:
84
            35A-15-201. Establishment of the School Readiness Board -- Membership --
85
     Funding prioritization.
86
            (1) There is created the School Readiness Board within the department composed of:
87
            (a) the executive director or the executive director's designee;
```

88 (b) one member appointed by the State Board of Education; 89 (c) one member appointed by the chair of the State Charter School Board; 90 (d) two members who have research experience in the area of early childhood 91 development, with: 92 (i) one member who is not a legislator and is appointed by the speaker of the House of 93 Representatives; and 94 (ii) one member who represents the Utah Data Research Center created in Section 95 53B-33-201, appointed by the [executive director] commissioner of higher education; 96 (e) one member, who is not a legislator and is appointed by the president of the Senate, 97 who: 98 (i) has expertise in results-based contracts; or 99 (ii) represents a financial institution that has experience managing a portfolio that 100 meets the requirements of the Community Reinvestment Act, 12 U.S.C. Sec. 2901 et seg.; 101 (f) one member, appointed by the executive director, who has expertise in early 102 childhood education; 103 (g) one member, appointed by the state superintendent, who has expertise in early 104 childhood education; 105 (h) one member, appointed by the governor, who represents a nonprofit corporation 106 that focuses on early childhood education; and 107 (i) one member, appointed by the executive director, who owns and operates a licensed 108 child care center located in the state. 109 (2) (a) A member described in Subsection (1)(b), (c), (d), (e), (f), (g), or (h) shall serve 110 for a term of two years. 111 (b) If a vacancy occurs for a member described in Subsection (1)(b), (c), (d), (e), (f), 112 (g), or (h), the individual appointing the member shall appoint a replacement to serve the 113 remainder of the member's term. 114 (3) (a) A member may not receive compensation or benefits for the member's service. 115 (b) A member may serve more than one term. 116 (4) The department shall provide staff support to the board. 117 (5) (a) The board members shall elect a chair of the board from the board's 118 membership.

119	(b) The board shall meet upon the call of the chair or a majority of the board members.
120	(6) In allocating funding received under this chapter, the board shall:
121	(a) give first priority to repayment of an investor who is a party to a results-based
122	contract under the Laws of Utah, 2014, Chapter 304, Section 10; and
123	(b) determine prioritization of funding for the remaining programs described in this
124	chapter.
125	Section 2. Section 53B-1-109 is amended to read:
126	53B-1-109. Coordination of higher education and public education information
127	technology systems Use of unique student identifier.
128	(1) As used in this section[, "unique]:
129	(a) "Center" means the Utah Data Research Center created in Section 53B-33-201.
130	(b) "Institution of higher education" means an institution of higher education described
131	<u>in Section 53B-1-102.</u>
132	(c) student identifier" means the same as that term is defined in Section 53E-4-308.
133	(2) The board and State Board of Education, in collaboration with the center, shall:
134	(a) coordinate public education and higher education information technology systems
135	to allow individual student academic achievement to be tracked through both education
136	systems in accordance with this section and Section 53E-4-308[:]; and
137	(b) coordinate access to the unique student identifier of a public education student who
138	later attends an institution of higher education.
139	(3) Information technology systems used at an institution [within the state system] of
140	higher education shall use the unique student identifier of all students who have previously
141	been assigned a unique student identifier.
142	Section 3. Section 53B-28-501 is enacted to read:
143	Part 5. Higher Education Student Data Protection
144	<u>53B-28-501.</u> Definitions.
145	As used in this part:
146	(1) "Advisory group" means the institution of higher education privacy advisory group
147	established by the state privacy officer under Section 53B-28-502.
148	(2) "Aggregate data" means data that:
149	(a) are totaled and reported at the group, cohort, class, course, institution, region, or

150	state level, with at least 10 individuals in the level; and
151	(b) do not reveal personally identifiable student data.
152	(3) "Data breach" means an unauthorized release of or unauthorized access to
153	personally identifiable student data that an education entity maintains.
154	(4) "Data governance plan" means an education entity's comprehensive plan for
155	managing education data that:
156	(a) incorporates reasonable data industry best practices to maintain and protect student
157	data and other education-related data;
158	(b) describes the role, responsibility, and authority of the board or an institution privacy
159	officer;
160	(c) provides for necessary technical assistance, training, support, and auditing;
161	(d) describes the process for sharing student data between the education entity and
162	another person;
163	(e) describes the education entity's data expungement process, including how to
164	respond to requests for expungement;
165	(f) describes the data breach response process; and
166	(g) is published annually and available on the institution's website or the Utah System
167	of Higher Education's website.
168	(5) "Education entity" means the Utah Board of Higher Education or an institution.
169	(6) "Higher education privacy officer" means a privacy officer that the board designates
170	under Section 53B-28-503.
171	(7) "Institution" means an institution of higher education described in Section
172	<u>53B-1-102.</u>
173	(8) "Minor" means a person younger than 18 years old.
174	(9) (a) "Personally identifiable student data" means student data that identifies or is
175	used by the holder to identify a student.
176	(b) "Personally identifiable student data" includes:
177	(i) a student's first and last name;
178	(ii) the first and last name of a student's family member;
179	(iii) a student's or a student's family's home or physical address;
180	(iv) a student's email address or other online contact information;

03-02-22 10:53 AM

3rd Sub. (Ivory) S.B. 226

181	(v) a student's telephone number;
182	(vi) a student's social security number;
183	(vii) a student's biometric identifier;
184	(viii) a student's health or disability data;
185	(ix) a student's education entity student identification number;
186	(x) a student's social media user name and password or alias;
187	(xi) if associated with personally identifiable student data, the student's persistent
188	identifier, including:
189	(A) a customer number held in a cookie; or
190	(B) a processor serial number;
191	(xii) a combination of a student's last name or photograph with other information that
192	together permits a person to contact the student online;
193	(xiii) information about a student or a student's family that a person collects online and
194	combines with other personally identifiable student data to identify the student; and
195	(xiv) information that, alone or in combination, is linked or linkable to a specific
196	student that would allow a reasonable person in the school community, who does not have
197	personal knowledge of the relevant circumstances, to identify the student with reasonable
198	certainty.
199	(10) "State privacy officer" means the state privacy officer described in Section
200	<u>67-3-13.</u>
201	(11) "Student" means an individual enrolled in an institution.
202	(12) (a) "Student data" means information about a student at the individual student
203	<u>level.</u>
204	(b) "Student data" does not include aggregate or de-identified data.
205	(13) "Third-party contractor" means a person who:
206	(a) is not an institution or an employee of an institution; and
207	(b) pursuant to a contract with an education entity, collects or receives student data in
208	order to provide a product or service, as described in the contract, if the product or service is
209	not related to school photography, yearbooks, graduation announcements, or a similar product
210	or service.
211	Section 4. Section 53B-28-502 is enacted to read:

212	55B-28-502. State student data protection governance.
213	(1) The state privacy officer shall establish a higher education privacy advisory group
214	to advise institutions and institution boards of trustees on student data protection.
215	(2) The advisory group shall consist of:
216	(a) the state privacy officer;
217	(b) the higher education privacy officer; and
218	(c) the following members, appointed by the commissioner of higher education:
219	(i) at least one Utah system of higher education employee; and
220	(ii) at least one representative of the Utah Board of Higher Education.
221	(3) The advisory group shall:
222	(a) discuss and make recommendations to the board and institutions regarding:
223	(i) existing and proposed:
224	(A) board rules; or
225	(B) board policies of the Utah Board of Higher Education or institutions; and
226	(ii) training on protecting student data privacy; and
227	(b) perform other tasks related to student data protection as designated by the Utah
228	Board of Higher Education.
229	(4) The higher education privacy officer shall:
230	(a) provide training and support to institution boards and employees; and
231	(b) produce:
232	(i) resource materials;
233	(ii) model data governance plans;
234	(iii) model forms for institution student data protection governance; and
235	(iv) a model data collection notice.
236	(5) The board shall:
237	(a) (i) create and maintain a data governance plan; and
238	(ii) annually publish the data governance plan on the Utah System of Higher Education
239	website; and
240	(b) establish standards for:
241	(i) institution policies to protect student data;
242	(ii) institution data governance plans; and

243	(iii) a third-party contractor's use of student data.
244	Section 5. Section 53B-28-503 is enacted to read:
245	53B-28-503. Institution student data protection governance.
246	(1) (a) An institution shall adopt policies to protect student data in accordance with this
247	part and board rule, including the standards the board establishes under Subsection
248	<u>53B-28-502(5).</u>
249	(b) The policies described in Subsection (1)(a) shall take into account the specific
250	needs and priorities of the institution.
251	(2) The board shall designate a higher education privacy officer.
252	(3) The higher education privacy officer shall:
253	(a) verify compliance with student privacy laws, rules, and policies throughout the
254	Utah System of Higher Education;
255	(b) support institutions in developing data governance plans and student data privacy
256	training; and
257	(c) act as the primary point of contact for the state privacy officer.
258	(4) An institution shall:
259	(a) designate an individual to act as the primary contact for the higher education
260	privacy officer;
261	(b) create and maintain an institution:
262	(i) data governance plan that complies with the standards the board establishes under
263	Subsection 53B-28-502(5); and
264	(ii) record of student data privacy training; and
265	(c) annually publish the institution's data governance plan on the institution's website.
266	Section 6. Section 53B-28-504 is enacted to read:
267	53B-28-504. Notification of significant data breach.
268	(1) If a significant data breach occurs at an institution, the institution shall notify each
269	student whose personally-identifiable student data was disclosed.
270	(2) In accordance with Title 63G, Chapter 3, Utah Administrative Rulemaking Act, the
271	board shall make rules to define a significant data breach described in Subsection (1).
272	Section 7. Section 53B-28-505 is enacted to read:
273	53R-28-505 Third-narty contractors

274	(1) A third-party contractor shall use personally identifiable student data received
275	under a contract with an education entity strictly for the purpose of providing the contracted
276	product or service within the negotiated contract terms.
277	(2) When contracting with a third-party contractor, an education entity, or a
278	government agency contracting on behalf of an education entity, shall:
279	(a) ensure that the contract terms comply with the standards the board establishes under
280	Subsection 53B-28-502(5); and
281	(b) require the following provisions in the contract:
282	(i) requirements and restrictions related to the collection, use, storage, or sharing of
283	student data by the third-party contractor that are necessary for the education entity to ensure
284	compliance with the provisions of this part and board rule;
285	(ii) a description of a person, or type of person, including an affiliate of the third-party
286	contractor, with whom the third-party contractor may share student data;
287	(iii) provisions that, at the request of the education entity, govern the deletion of the
288	student data received by the third-party contractor;
289	(iv) except as provided in Subsection (4) and if required by the education entity,
290	provisions that prohibit the secondary use of personally identifiable student data by the
291	third-party contractor; and
292	(v) an agreement by the third-party contractor that, at the request of the education entity
293	that is a party to the contract, the education entity or the education entity's designee may audit
294	the third-party contractor to verify compliance with the contract.
295	(3) As authorized by law or court order, a third-party contractor shall share student data
296	as requested by law enforcement.
297	(4) A third-party contractor $\hat{H} \rightarrow [\frac{1}{2} \text{ as authorized by contract,}] \leftarrow \hat{H} \text{ may:}$
298	(a) use student data for adaptive learning or customized student learning purposes;
299	(b) market an educational application or product to a student if the third-party
300	contractor does not use student data, shared by or collected on behalf of an education entity, to
301	market the educational application or product;
302	(c) use a recommendation engine to recommend to a student:
303	(i) content that relates to learning or employment, within the third-party contractor's
304	application, if the recommendation is not motivated by payment or other consideration from

305	another party; or
306	(ii) services that relate to learning or employment, within the third-party contractor's
307	application, if the recommendation is not motivated by payment or other consideration from
308	another party;
309	(d) respond to a student request for information or feedback, if the content of the
310	response is not motivated by payment or other consideration from another party;
311	(e) use student data to allow or improve operability and functionality of the third-party
312	contractor's application; or
313	(f) identify for a student nonprofit institutions of higher education or scholarship
314	providers that are seeking students who meet specific criteria:
315	(i) regardless of whether the identified nonprofit institutions of higher education or
316	scholarship providers provide payment or other consideration to the third-party contractor; and
317	(ii) only if the third-party contractor obtains authorization in writing from:
318	(A) the student's parent, if the student is a minor; or
319	(B) the student.
320	(5) At the completion of a contract with an education entity, if the contract has not
321	been renewed, a third-party contractor shall return or delete upon the education entity's request
322	all personally identifiable student data under the control of the education entity unless a student
323	or a minor student's parent consents to the maintenance of the personally identifiable student
324	<u>data.</u>
325	(6) (a) A third-party contractor may not:
326	(i) except as provided in Subsection (6)(b), sell student data;
327	(ii) collect, use, or share student data, if the collection, use, or sharing of the student
328	data is inconsistent with the third-party contractor's contract with the education entity; or
329	(iii) use student data for targeted advertising.
330	(b) A person may obtain student data through the purchase of, merger with, or
331	otherwise acquiring a third-party contractor if the third-party contractor remains in compliance
332	with this section.
333	(7) The provisions of this section do not:
334	(a) apply to the use of a general audience application, including the access of a general
335	audience application with login credentials created by a third-party contractor's application;

336	(b) apply if the student data is shared in accordance with the education entity's
337	directory information policy, as described in 34 C.F.R. Sec. 99.37;
338	(c) apply to the providing of Internet service; or
339	(d) impose a duty on a provider of an interactive computer service, as defined in 47
340	U.S.C. Sec. 230, to review or enforce compliance with this section.
341	(8) A provision of this section that relates to a student's student data does not apply to a
342	third-party contractor if the education entity or third-party contractor obtains authorization from
343	the following individual, in writing, to waive that provision:
344	(a) the student's parent, if the student is a minor; or
345	(b) the student.
346	Section 8. Section 53B-28-506 is enacted to read:
347	53B-28-506. Penalties.
348	(1) (a) An institution that contracts with a third-party contractor that knowingly or
349	recklessly permits unauthorized collecting, sharing, or use of student data under this part:
350	(i) except as provided in Subsection (1)(b), may not enter into a future contract with the
351	third-party contractor; and
352	(ii) may be required by the board to pay a civil penalty of up to \$25,000.
353	(b) An education entity may enter into a contract with a third-party contractor that
354	knowingly or recklessly permitted unauthorized collecting, sharing, or use of student data if:
355	(i) the education entity determines that the third-party contractor has corrected the
356	errors that caused the unauthorized collecting, sharing, or use of student data; and
357	(ii) the third-party contractor demonstrates:
358	(A) if the third-party contractor is under contract with the education entity, current
359	compliance with this part; or
360	(B) an ability to comply with the requirements of this part.
361	(c) The board may assess the civil penalty described in Subsection (1)(a)(ii) in
362	accordance with Title 63G, Chapter 4, Administrative Procedures Act.
363	(d) The board may bring an action in the district court of the county in which the office
364	of the education entity is located, if necessary, to enforce payment of the civil penalty described
365	in Subsection (1)(a)(ii).
366	(e) An individual who knowingly or intentionally permits unauthorized collecting,

307	sharing, or use of student data may be found gunty of a class A misdemeanor.
368	(2) (a) A student or a minor student's parent may bring an action against an institution
369	in a court of competent jurisdiction for damages caused by a knowing or reckless violation of
370	Section 53B-28-505 by a third-party contractor that the institution contracts with under
371	<u>53B-28-505.</u>
372	(b) If the court finds that a third-party contractor has violated Section 53B-28-505, the
373	court may order the institution to pay to the parent or student:
374	(i) damages; and
375	(ii) costs.
376	Section 9. Section 53B-33-101, which is renumbered from Section 35A-14-102 is
377	renumbered and amended to read:
378	[35A-14-102]. <u>53B-33-101.</u> Definitions.
379	As used in this chapter:
380	(1) "Advisory board" means the Utah Data Research Advisory Board created in Section
381	[35A-14-203] <u>53B-33-203</u> .
382	(2) "Center" means the Utah Data Research Center <u>created in Section 53B-33-201</u> .
383	(3) "Data" means any information about a person stored in a physical or electronic
384	record.
385	(4) "Data research program" means the data maintained by the center in accordance
386	with Section [35A-14-301] <u>53B-33-301</u> .
387	(5) "De-identified data" means data about a person that cannot, without additional
388	information, identify the person to another person or machine.
389	(6) "Director" means the director of the [Workforce Research and Analysis Division]
390	<u>Utah Data Research Center created in Section 53B-33-201</u> .
391	(7) "Institution of higher education" means an institution of higher education described
392	<u>in Section 53B-1-102.</u>
393	[(7)] (8) "Participating entity" means:
394	(a) the State Board of Education, which includes the director as defined in Section
395	53E-10-701;
396	(b) the [Utah Board of Higher Education] <u>board</u> ;
397	(c) the Department of Workforce Services; and

398	(d) the Department of Health and Human Services.
399	(9) "Unique student identifier" means the same as that term is defined in Section
400	53E-4-308 <u>.</u>
401	Section 10. Section 53B-33-201, which is renumbered from Section 35A-14-201 is
402	renumbered and amended to read:
403	[35A-14-201]. <u>53B-33-201.</u> Utah Data Research Center Creation.
404	The Utah Data Research Center is created within the [Workforce Research and Analysis
405	Division within the department] Utah system of higher education.
406	Section 11. Section 53B-33-202, which is renumbered from Section 35A-14-203 is
407	renumbered and amended to read:
408	[35A-14-203]. 53B-33-202. Utah Data Research Advisory Board
409	Composition Appointment.
410	(1) There is created the Utah Data Research Advisory Board [in accordance with this
411	section].
412	(2) The [Utah Data Research Advisory Board] advisory board is composed of the
413	following members:
414	(a) the state superintendent of the State Board of Education or the state superintendent's
415	designee;
416	(b) the commissioner [of higher education or the commissioner of higher education's]
417	or the commissioner's designee;
418	(c) the executive director of the Department of Workforce Services or the executive
419	director's designee; and
420	(d) the <u>executive</u> director of the Department of Health <u>and Human Services</u> or the
421	<u>executive</u> director's designee.
422	(3) The [executive director] commissioner shall serve as chair.
423	(4) A member of the <u>advisory</u> board:
424	(a) except to the extent a member's service on the <u>advisory</u> board is related to the
425	member's duties outside of the <u>advisory</u> board, may not receive compensation or benefits for
426	the member's service; and
427	(b) may receive per diem and travel expenses in accordance with:
428	(i) Section 63A-3-106:

429	(ii) Section 63A-3-107; and
430	(iii) rules made by the Division of Finance under Sections 63A-3-106 and 63A-3-107.
431	Section 12. Section 53B-33-203, which is renumbered from Section 35A-14-204 is
432	renumbered and amended to read:
433	[35A-14-204]. 53B-33-203. Director Additional staff Administrative
434	support.
435	(1) The commissioner shall appoint a director [shall] to manage the day-to-day
436	operations of the center.
437	(2) The director may, with the [department's] commissioner's approval, hire staff,
438	including:
439	(a) data scientists;
440	(b) data technology experts; and
441	(c) data security experts.
442	Section 13. Section 53B-33-301, which is renumbered from Section 35A-14-301 is
443	renumbered and amended to read:
444	[35A-14-301]. <u>53B-33-301.</u> Data research program.
445	(1) The center shall establish a data research program for the purpose of analyzing data
446	that is:
447	(a) collected over time;
448	(b) aggregated from multiple sources; and
449	(c) connected and de-identified.
450	(2) The center may, in order to establish the data research program described in
451	Subsection (1):
452	(a) acquire property or equipment in order to store aggregated, connected, and
453	de-identified data derived from data contributed by the participating entities; or
454	(b) contract with a private entity in accordance with Title 63G, Chapter 6a, Utah
455	Procurement Code, or with a state government entity to:
456	(i) store aggregated, connected, and de-identified data derived from data contributed by
457	the participating entities; or
458	(ii) utilize existing aggregated, connected, and de-identified data maintained by a state
459	government entity.

460	(3) A participating entity shall contribute data to the data research program described in
461	Subsection (1) within guidelines established by the center.
462	(4) The center may only release data maintained by the center in accordance with the
463	procedures described in this chapter.
464	(5) The center shall:
465	(a) as directed by the board, serve as a repository in the state of data from institutions
466	of higher education;
467	(b) collaborate with the board and the State Board of Education to coordinate access to
468	the unique student identifier of a public education student who later attends an institution of
469	higher education in accordance with Sections 53B-1-109 and 53E-4-308;
470	(c) develop, establish, and maintain programs that promote access to data from
471	institutions of higher education;
472	(d) identify initiatives that leverage education data that will improve a state citizen's
473	ability to:
474	(i) access services at an institution of higher education; or
475	(ii) graduate with a postsecondary certificate or degree; and
476	(e) perform all other duties provided in this chapter.
477	(6) The director shall identify the resources necessary to successfully implement
478	initiatives described in Subsection (5)(d), in accordance with Section 53B-7-101.
479	(7) The center may:
480	(a) employ staff necessary to carry out the center's duties;
481	(b) purchase, own, create, or maintain equipment necessary to:
482	(i) collect data from the participating entities;
483	(ii) connect and de-identify data collected by the center;
484	(iii) store connected and de-identified data; or
485	(iv) conduct research on data stored or obtained by the center; or
486	(c) contract with a private entity, another state or federal entity, or a political
487	subdivision of the state to carry out the center's duties as provided in this chapter.
488	[(5)] (8) The data research program is not subject to Title 63G, Chapter 2, Government
489	Records Access and Management Act.
490	Section 14. Section 53B-33-302, which is renumbered from Section 35A-14-302 is

491	renumbered and amended to read:
492	[35A-14-302]. <u>53B-33-302.</u> Data research requests.
493	(1) The center shall use data that the center maintains or that a participating entity
494	contributes to the data research program under Section [35A-14-301] 53B-33-301 to conduct
495	research for the purpose of developing public policy for the state.
496	(2) The director, with consultation by the advisory board, shall create a prioritized list
497	of data research for the center to conduct using the data research program each year.
498	(3) (a) In developing the list described in Subsection (2), the center shall accept data
499	research requests from:
500	(i) a legislative committee or a legislative staff office;
501	(ii) the governor or an executive branch agency;
502	(iii) the State Board of Education; and
503	(iv) the [Utah Board of Higher Education] board.
504	[(b) The department shall begin accepting the data research requests described in
505	Subsection (3)(a) on July 1, 2017.
506	[(c)] (b) The center shall report the list described in Subsection (2) to the Education
507	Interim Committee before December 1 of each year.
508	(4) In addition to conducting data research in accordance with the prioritized list
509	described in Subsection (2), the center may use additional resources to prepare data research at
510	the request of:
511	(a) a state government entity;
512	(b) a political subdivision of the state;
513	(c) a private entity; or
514	(d) a member of the public.
515	(5) The director, with approval by the <u>advisory</u> board, shall determine, for a data
516	research request described in Subsection (4):
517	(a) whether the center has the resources to complete the data research request;
518	(b) the order in which the center shall complete the data research request, if at all; and
519	(c) a reasonable estimated cost for the request.
520	(6) The center, after evaluating a request under Subsection (5), shall:
521	(a) provide the person that requested the data research with a cost estimate; and

522	(b) require, before accepting a data research request, that the person that submitted the
523	data research request agree to pay, once the data research is complete, the full cost of
524	completing the data research request as determined by the center under Subsection (5).
525	(7) The center shall make available to the public, on a website maintained by the
526	center, any data research request that the center completes under this section.
527	(8) The center shall ensure that any data contained in a completed data research request
528	is de-identified.
529	(9) The center shall:
530	(a) establish, by rule made in accordance with Title 63G, Chapter 3, Utah
531	Administrative Rulemaking Act:
532	(i) procedures for submitting a data research request under this section;
533	(ii) criteria to determine how to prioritize data research requests; and
534	(iii) minimum standards for information a person is required to include in a data
535	research request; and
536	(b) create a fee schedule in accordance with Section 63J-1-504 for completing a data
537	research request.
538	(10) In addition to submitting a data research request under Subsection (4), a
539	participating entity, executive branch agency, or legislative staff office may request, and the
540	center may release, a data set from the data research program if the data set is:
541	(a) connected;
542	(b) aggregated; and
543	(c) de-identified.
544	(11) (a) The center shall use any fee the center collects under this section to cover the
545	center's costs to administer this chapter.
546	(b) The center shall deposit any fee the center collects under this section not used to
547	cover the center's costs into the General Fund.
548	Section 15. Section 53B-33-303, which is renumbered from Section 35A-14-303 is
549	renumbered and amended to read:
550	[35A-14-303]. <u>53B-33-303.</u> Data visualization access.
551	(1) In addition to performing data research and responding to data research requests
552	under Section [35A-14-302] 53B-33-302, the center shall create an online data visualization

553	portal that provides access to the public to connected, aggregated, and de-identified data in the
554	program.
555	(2) The data visualization portal described in Subsection (1) shall include role-based
556	dashboards that:
557	(a) allow a user to query data in the program;
558	(b) integrate real-time data; and
559	(c) allow a user to view queried data in a customizable environment.
560	Section 16. Section 53B-33-304, which is renumbered from Section 35A-14-304 is
561	renumbered and amended to read:
562	[35A-14-304]. <u>53B-33-304.</u> Reporting.
563	(1) The center shall report to the Education Interim Committee:
564	(a) before July 1 of each year regarding the center's:
565	(i) research and services priorities for the year; [and]
566	(ii) completed research from the previous year; and
567	(iii) activities and accomplishments in the previous year; and
568	(b) before December 1 of each year, the center's ongoing data research and services
569	priority list described in Subsection [35A-14-302(2)] 53B-33-302(2).
570	(2) The Education Interim Committee shall provide the center ongoing input regarding
571	the center's activities and data research priorities.
572	Section 17. Section 53E-1-201 is amended to read:
573	53E-1-201. Reports to and action required of the Education Interim Committee.
574	(1) In accordance with applicable provisions and Section 68-3-14, the following
575	recurring reports are due to the Education Interim Committee:
576	(a) the report described in Section 9-22-109 by the STEM Action Center Board,
577	including the information described in Section 9-22-113 on the status of the computer science
578	initiative and Section 9-22-114 on the Computing Partnerships Grants Program;
579	(b) the prioritized list of data research described in Section [35A-14-302] 53B-33-302
580	and the report on research and activities described in Section [35A-14-304] 53B-33-304 by the
581	Utah Data Research Center;
582	(c) the report described in Section 35A-15-303 by the State Board of Education on
583	preschool programs;

609

610

611

612

- 584 (d) the report described in Section 53B-1-402 by the Utah Board of Higher Education 585 on career and technical education issues and addressing workforce needs; 586 (e) the annual report of the Utah Board of Higher Education described in Section 587 53B-1-402; 588 (f) the reports described in Section 53B-28-401 by the Utah Board of Higher Education 589 regarding activities related to campus safety; 590 (g) the State Superintendent's Annual Report by the state board described in Section 591 53E-1-203; 592 (h) the annual report described in Section 53E-2-202 by the state board on the strategic 593 plan to improve student outcomes; 594 (i) the report described in Section 53E-8-204 by the state board on the Utah Schools for 595 the Deaf and the Blind; 596 (i) the report described in Section 53E-10-703 by the Utah Leading through Effective, 597 Actionable, and Dynamic Education director on research and other activities; 598 (k) the report described in Section 53F-4-203 by the state board and the independent 599 evaluator on an evaluation of early interactive reading software; 600 (1) the report described in Section 53F-4-407 by the state board on UPSTART; 601 (m) the reports described in Sections 53F-5-214 and 53F-5-215 by the state board 602 related to grants for professional learning and grants for an elementary teacher preparation 603 assessment; and 604 (n) the report described in Section 53F-5-405 by the State Board of Education 605 regarding an evaluation of a partnership that receives a grant to improve educational outcomes 606 for students who are low income. 607 (2) In accordance with applicable provisions and Section 68-3-14, the following 608
 - occasional reports are due to the Education Interim Committee:
 - (a) the report described in Section 35A-15-303 by the School Readiness Board by November 30, 2020, on benchmarks for certain preschool programs;
 - (b) the report described in Section 53B-28-402 by the Utah Board of Higher Education on or before the Education Interim Committee's November 2021 meeting;
- 613 (c) the reports described in Section 53E-3-520 by the state board regarding cost centers 614 and implementing activity based costing;

615	(d) if required, the report described in Section 53E-4-309 by the state board explaining
616	the reasons for changing the grade level specification for the administration of specific
617	assessments;
618	(e) if required, the report described in Section 53E-5-210 by the state board of an
619	adjustment to the minimum level that demonstrates proficiency for each statewide assessment;
620	(f) in 2022 and in 2023, on or before November 30, the report described in Subsection
621	53E-10-309(7) related to the PRIME pilot program;
622	(g) the report described in Section 53E-10-702 by Utah Leading through Effective,
623	Actionable, and Dynamic Education;
624	(h) if required, the report described in Section 53F-2-513 by the state board evaluating
625	the effects of salary bonuses on the recruitment and retention of effective teachers in high
626	poverty schools;
627	(i) upon request, the report described in Section 53F-5-207 by the state board on the
628	Intergenerational Poverty Intervention Grants Program;
629	(j) the report described in Section 53F-5-210 by the state board on the Educational
630	Improvement Opportunities Outside of the Regular School Day Grant Program;
631	(k) the report described in Section 53G-7-503 by the state board regarding fees that
632	LEAs charge during the 2020-2021 school year;
633	(l) the reports described in Section 53G-11-304 by the state board regarding proposed
634	rules and results related to educator exit surveys;
635	(m) the report described in Section 62A-15-117 by the Division of Substance Abuse
636	and Mental Health, the State Board of Education, and the Department of Health regarding
637	recommendations related to Medicaid reimbursement for school-based health services; and
638	(n) the reports described in Section 63C-19-202 by the Higher Education Strategic
639	Planning Commission.
640	Section 18. Section 53E-4-308 is amended to read:
641	53E-4-308. Unique student identifier Coordination of higher education and
642	public education information technology systems Coordination of preschool and public
643	education information technology systems.
644	(1) As used in this section, "unique student identifier" means an alphanumeric code
645	assigned to each public education student for identification purposes, which:

674

675

676

website described in Subsection (1); and

(b) include in the research clearinghouse:

646 (a) is not assigned to any former or current student; and 647 (b) does not incorporate personal information, including a birth date or Social Security 648 number. 649 (2) The state board, through the state superintendent, shall assign each public education 650 student a unique student identifier, which shall be used to track individual student performance 651 on achievement tests administered under this part. 652 (3) The state board and the Utah Board of Higher Education, in collaboration with the 653 Utah Data Research Center created in Section 53B-33-201, shall: 654 (a) coordinate public education and higher education information technology systems 655 to allow individual student academic achievement to be tracked through both education 656 systems in accordance with this section and Section 53B-1-109[-]; and 657 [(4)] (b) [The state board and the Utah Board of Higher Education shall] coordinate 658 access to the unique student identifier of a public education student who later attends an 659 institution within the state system of higher education. 660 [(5)] (4) (a) The state board and the Department of Workforce Services shall 661 coordinate assignment of a unique student identifier to each student enrolled in a program 662 described in Title 35A, Chapter 15, Preschool Programs. 663 (b) A unique student identifier assigned to a student under Subsection [(5)] (4)(a) shall 664 remain the student's unique student identifier used by the state board when the student enrolls 665 in a public school in kindergarten or a later grade. 666 (c) The state board, the Department of Workforce Services, and a contractor as defined 667 in Section 53F-4-401, shall coordinate access to the unique student identifier of a preschool 668 student who later attends an LEA. 669 Section 19. Section 53E-10-706 is amended to read: 670 53E-10-706. Electronic resources -- Research clearinghouse. 671 (1) The state board shall publish a ULEAD website containing information provided by 672 the director as described in this part. 673 (2) The director shall within two years of appointment:

- 22 -

(a) develop and maintain a research clearinghouse publicly available through the

(77	
677	(i) research on K-12 education, including peer-reviewed research;
678	(ii) information on K-12 education innovation and best practices;
679	(iii) an index and explanation of academic, state, federal, or other K-12 education
680	research repositories;
681	(iv) K-12 education research and policy briefs generated by Utah public and private
682	institutions of higher education, including participating institutions, categorized and searchable
683	by topic;
684	(v) access points to and explanation of currently available K-12 education data,
685	including data managed by the Utah Data Research Center created in Section [35A-14-201]
686	53B-33-201 and data maintained by the state board;
687	(vi) other K-12 education information as determined by the director, including
688	information regarding efforts by institutions or other individuals to promote innovative and
689	effective education practices in Utah; and
690	(vii) each innovative practice report prepared by ULEAD, categorized and searchable
691	by topic, location of the studied LEA, and socioeconomic and demographic profile.
692	(3) The director shall publish:
693	(a) an electronic directory of K-12 education experts identified in ULEAD research and
694	reports; and
695	(b) a monthly report to LEAs, via electronic channels provided by the state board,
696	highlighting ULEAD activities and soliciting proposals from education practitioners for
697	ULEAD research and reports.
698	(4) The director may provide electronic seminars or forums for professional learning
699	regarding subjects of ULEAD research and reports to K-12 practitioners.
700	Section 20. Section 53E-10-707 is amended to read:
701	53E-10-707. ULEAD Steering Committee.
702	(1) (a) There is created the ULEAD Steering Committee.
703	(b) The director is the chair of the steering committee.
704	(2) The steering committee shall consist of the following members each appointed for a
705	term of one year:
706	(a) the director;
707	(b) one member appointed by the chair of the state board;

- 708 (c) the state superintendent or the state superintendent's designee; 709 (d) the staff director of the State Charter School Board or the director's designee; 710 (e) one member appointed by the office of the governor; 711 (f) one member, appointed by the director, who is a superintendent of a school district; 712 (g) one member, appointed by the director, of a local school board; 713 (h) two principals or other public school leaders of public schools that are not charter 714 schools, appointed by the director; 715 (i) two principals or other public school leaders of charter schools, appointed by the 716 director; 717 (i) two educators who hold a current license under Chapter 6, Education Professional 718 Licensure, nominated by LEA leaders and appointed by the director; and 719 (k) two members representing citizens or business, nominated by the members of the 720 public and appointed by the director. 721 (3) (a) A member of the steering committee may be appointed for more than one term. 722 (b) If a midterm vacancy occurs on the steering committee, the appointing individual, 723 as described in Subsection (2), for the vacant position shall appoint an individual for the 724 remainder of the term. 725 (4) (a) The steering committee shall hold a meeting at least semi annually in January 726 and July or on dates otherwise chosen by the director. 727 (b) The state board shall provide space for the steering committee to meet. 728 (5) The steering committee shall: 729 (a) discuss prospective and current ULEAD projects and findings; 730 (b) consult with and make recommendations to the director to prioritize ULEAD 731 reports and areas of focused research; 732 (c) facilitate connections between the director and Utah's political, business, education 733 technology, and academic communities; and 734 (d) make recommendations to improve gathering, retaining, and disseminating 735 education data and research and evaluation findings for use by participating institutions and 736 other education policy researchers, including data managed by the Utah Data Research Center
 - (6) In order to determine research priorities for ULEAD, the director shall consult with:

created in Section [35A-14-201] 53B-33-201.

737

738

739	(a) members of the Legislature responsible for public education;
740	(b) members of Utah professional education associations, including principals and
741	LEA governing board members; and
742	(c) policy-research centers based in Utah.
743	(7) The state board or state superintendent may request that the director arrange with a
744	participating institution to prepare a report on a specific LEA or area of practice meeting the
745	criteria established in this part.
746	(8) A member of the steering committee may not receive compensation except a
747	member who is a legislator shall receive compensation for travel and other expense
748	reimbursements in accordance with Section 36-2-2.
749	(9) The steering committee shall hold a meeting described in this section in accordance
750	with Title 52, Chapter 4, Open and Public Meetings Act.
751	Section 21. Repealer.
752	This bill repeals:
753	Section 35A-14-101, Title.
754	Section 35A-14-202, Utah Data Research Center Powers.
755	Section 22. Appropriation.
756	The following sums of money are appropriated for the fiscal year beginning July 1,
757	2022, and ending June 30, 2023. These are additions to amounts previously appropriated for
758	fiscal year 2023. Under the terms and conditions of Title 63J, Chapter 1, Budgetary Procedures
759	Act, the Legislature appropriates the following sums of money from the funds or accounts
760	indicated for the use and support of the government of the state of Utah.
761	ITEM 1
762	To Utah Board of Higher Education Administration
763	From Education Fund \$770,000
764	From Education Fund, One-time \$275,000
765	Schedule of Programs:
766	Administration \$1,045,000
767	The Legislature intends that Utah Board of Higher Education use the appropriation
768	provided under this item to pay for up to seven full-time positions and up to two full-time
769	temporary positions, including related costs, for the purposes of implementing the data research

3rd Sub. (Ivory) S.B. 226

03-02-22 10:53 AM

770	program established by the Utah Data Research Center in accordance with Section 53B-33-301
771	and restructuring the storage system for data maintained by the Utah Data Research Center.
772	Section 23. Effective date.
773	This bill takes effect on July 1, 2022, except that Section 53B-28-506 takes effect on
774	January 1, 2024.