

CANDIDATE FILING DISCLOSURE AMENDMENTS

2019 GENERAL SESSION

STATE OF UTAH

Chief Sponsor: Jacob L. Anderegg

House Sponsor: Michael K. McKell

LONG TITLE

General Description:

This bill amends the Government Records Access and Management Act to classify as protected a portion of certain records relating to a candidate for public office.

Highlighted Provisions:

This bill:

- classifies as a protected record the portion of certain records that contains a candidate's residential or mailing address, if the candidate provides another address or phone number where the candidate may be contacted.

Money Appropriated in this Bill:

None

Other Special Clauses:

None

Utah Code Sections Affected:

AMENDS:

63G-2-305, as last amended by Laws of Utah 2018, Chapters 81, 159, 285, 315, 316, 319, 352, 409, and 425

Be it enacted by the Legislature of the state of Utah:

Section 1. Section **63G-2-305** is amended to read:

63G-2-305. Protected records.

The following records are protected if properly classified by a governmental entity:

(1) trade secrets as defined in Section **13-24-2** if the person submitting the trade secret

has provided the governmental entity with the information specified in Section 63G-2-309;

(2) commercial information or nonindividual financial information obtained from a person if:

(a) disclosure of the information could reasonably be expected to result in unfair competitive injury to the person submitting the information or would impair the ability of the governmental entity to obtain necessary information in the future;

(b) the person submitting the information has a greater interest in prohibiting access than the public in obtaining access; and

(c) the person submitting the information has provided the governmental entity with the information specified in Section 63G-2-309;

(3) commercial or financial information acquired or prepared by a governmental entity to the extent that disclosure would lead to financial speculations in currencies, securities, or commodities that will interfere with a planned transaction by the governmental entity or cause substantial financial injury to the governmental entity or state economy;

(4) records, the disclosure of which could cause commercial injury to, or confer a competitive advantage upon a potential or actual competitor of, a commercial project entity as defined in Subsection 11-13-103(4);

(5) test questions and answers to be used in future license, certification, registration, employment, or academic examinations;

(6) records, the disclosure of which would impair governmental procurement proceedings or give an unfair advantage to any person proposing to enter into a contract or agreement with a governmental entity, except, subject to Subsections (1) and (2), that this Subsection (6) does not restrict the right of a person to have access to, after the contract or grant has been awarded and signed by all parties:

(a) a bid, proposal, application, or other information submitted to or by a governmental entity in response to:

(i) an invitation for bids;

(ii) a request for proposals;

58 (iii) a request for quotes;
59 (iv) a grant; or
60 (v) other similar document; or
61 (b) an unsolicited proposal, as defined in Section [63G-6a-712](#);
62 (7) information submitted to or by a governmental entity in response to a request for
63 information, except, subject to Subsections (1) and (2), that this Subsection (7) does not restrict
64 the right of a person to have access to the information, after:
65 (a) a contract directly relating to the subject of the request for information has been
66 awarded and signed by all parties; or
67 (b) (i) a final determination is made not to enter into a contract that relates to the
68 subject of the request for information; and
69 (ii) at least two years have passed after the day on which the request for information is
70 issued;
71 (8) records that would identify real property or the appraisal or estimated value of real
72 or personal property, including intellectual property, under consideration for public acquisition
73 before any rights to the property are acquired unless:
74 (a) public interest in obtaining access to the information is greater than or equal to the
75 governmental entity's need to acquire the property on the best terms possible;
76 (b) the information has already been disclosed to persons not employed by or under a
77 duty of confidentiality to the entity;
78 (c) in the case of records that would identify property, potential sellers of the described
79 property have already learned of the governmental entity's plans to acquire the property;
80 (d) in the case of records that would identify the appraisal or estimated value of
81 property, the potential sellers have already learned of the governmental entity's estimated value
82 of the property; or
83 (e) the property under consideration for public acquisition is a single family residence
84 and the governmental entity seeking to acquire the property has initiated negotiations to acquire
85 the property as required under Section [78B-6-505](#);

(9) records prepared in contemplation of sale, exchange, lease, rental, or other compensated transaction of real or personal property including intellectual property, which, if disclosed prior to completion of the transaction, would reveal the appraisal or estimated value of the subject property, unless:

(a) the public interest in access is greater than or equal to the interests in restricting access, including the governmental entity's interest in maximizing the financial benefit of the transaction; or

(b) when prepared by or on behalf of a governmental entity, appraisals or estimates of the value of the subject property have already been disclosed to persons not employed by or under a duty of confidentiality to the entity;

(10) records created or maintained for civil, criminal, or administrative enforcement purposes or audit purposes, or for discipline, licensing, certification, or registration purposes, if release of the records:

(a) reasonably could be expected to interfere with investigations undertaken for enforcement, discipline, licensing, certification, or registration purposes;

(b) reasonably could be expected to interfere with audits, disciplinary, or enforcement proceedings;

(c) would create a danger of depriving a person of a right to a fair trial or impartial hearing;

(d) reasonably could be expected to disclose the identity of a source who is not generally known outside of government and, in the case of a record compiled in the course of an investigation, disclose information furnished by a source not generally known outside of government if disclosure would compromise the source; or

(e) reasonably could be expected to disclose investigative or audit techniques, procedures, policies, or orders not generally known outside of government if disclosure would interfere with enforcement or audit efforts;

(11) records the disclosure of which would jeopardize the life or safety of an individual;

(12) records the disclosure of which would jeopardize the security of governmental property, governmental programs, or governmental recordkeeping systems from damage, theft, or other appropriation or use contrary to law or public policy;

(13) records that, if disclosed, would jeopardize the security or safety of a correctional facility, or records relating to incarceration, treatment, probation, or parole, that would interfere with the control and supervision of an offender's incarceration, treatment, probation, or parole;

(14) records that, if disclosed, would reveal recommendations made to the Board of Pardons and Parole by an employee of or contractor for the Department of Corrections, the Board of Pardons and Parole, or the Department of Human Services that are based on the employee's or contractor's supervision, diagnosis, or treatment of any person within the board's jurisdiction;

(15) records and audit workpapers that identify audit, collection, and operational procedures and methods used by the State Tax Commission, if disclosure would interfere with audits or collections;

(16) records of a governmental audit agency relating to an ongoing or planned audit until the final audit is released;

(17) records that are subject to the attorney client privilege;

(18) records prepared for or by an attorney, consultant, surety, indemnitor, insurer, employee, or agent of a governmental entity for, or in anticipation of, litigation or a judicial, quasi-judicial, or administrative proceeding;

(19) (a) (i) personal files of a state legislator, including personal correspondence to or from a member of the Legislature; and

(ii) notwithstanding Subsection (19)(a)(i), correspondence that gives notice of legislative action or policy may not be classified as protected under this section; and

(b) (i) an internal communication that is part of the deliberative process in connection with the preparation of legislation between:

(A) members of a legislative body;

(B) a member of a legislative body and a member of the legislative body's staff; or

142 (C) members of a legislative body's staff; and

143 (ii) notwithstanding Subsection (19)(b)(i), a communication that gives notice of
144 legislative action or policy may not be classified as protected under this section;

145 (20) (a) records in the custody or control of the Office of Legislative Research and
146 General Counsel, that, if disclosed, would reveal a particular legislator's contemplated
147 legislation or contemplated course of action before the legislator has elected to support the
148 legislation or course of action, or made the legislation or course of action public; and

149 (b) notwithstanding Subsection (20)(a), the form to request legislation submitted to the
150 Office of Legislative Research and General Counsel is a public document unless a legislator
151 asks that the records requesting the legislation be maintained as protected records until such
152 time as the legislator elects to make the legislation or course of action public;

153 (21) research requests from legislators to the Office of Legislative Research and
154 General Counsel or the Office of the Legislative Fiscal Analyst and research findings prepared
155 in response to these requests;

156 (22) drafts, unless otherwise classified as public;

157 (23) records concerning a governmental entity's strategy about:

158 (a) collective bargaining; or

159 (b) imminent or pending litigation;

160 (24) records of investigations of loss occurrences and analyses of loss occurrences that
161 may be covered by the Risk Management Fund, the Employers' Reinsurance Fund, the
162 Uninsured Employers' Fund, or similar divisions in other governmental entities;

163 (25) records, other than personnel evaluations, that contain a personal recommendation
164 concerning an individual if disclosure would constitute a clearly unwarranted invasion of
165 personal privacy, or disclosure is not in the public interest;

166 (26) records that reveal the location of historic, prehistoric, paleontological, or
167 biological resources that if known would jeopardize the security of those resources or of
168 valuable historic, scientific, educational, or cultural information;

169 (27) records of independent state agencies if the disclosure of the records would

170 conflict with the fiduciary obligations of the agency;

171 (28) records of an institution within the state system of higher education defined in
172 Section [53B-1-102](#) regarding tenure evaluations, appointments, applications for admissions,
173 retention decisions, and promotions, which could be properly discussed in a meeting closed in
174 accordance with Title 52, Chapter 4, Open and Public Meetings Act, provided that records of
175 the final decisions about tenure, appointments, retention, promotions, or those students
176 admitted, may not be classified as protected under this section;

177 (29) records of the governor's office, including budget recommendations, legislative
178 proposals, and policy statements, that if disclosed would reveal the governor's contemplated
179 policies or contemplated courses of action before the governor has implemented or rejected
180 those policies or courses of action or made them public;

181 (30) records of the Office of the Legislative Fiscal Analyst relating to budget analysis,
182 revenue estimates, and fiscal notes of proposed legislation before issuance of the final
183 recommendations in these areas;

184 (31) records provided by the United States or by a government entity outside the state
185 that are given to the governmental entity with a requirement that they be managed as protected
186 records if the providing entity certifies that the record would not be subject to public disclosure
187 if retained by it;

188 (32) transcripts, minutes, recordings, or reports of the closed portion of a meeting of a
189 public body except as provided in Section [52-4-206](#);

190 (33) records that would reveal the contents of settlement negotiations but not including
191 final settlements or empirical data to the extent that they are not otherwise exempt from
192 disclosure;

193 (34) memoranda prepared by staff and used in the decision-making process by an
194 administrative law judge, a member of the Board of Pardons and Parole, or a member of any
195 other body charged by law with performing a quasi-judicial function;

196 (35) records that would reveal negotiations regarding assistance or incentives offered
197 by or requested from a governmental entity for the purpose of encouraging a person to expand

or locate a business in Utah, but only if disclosure would result in actual economic harm to the person or place the governmental entity at a competitive disadvantage, but this section may not be used to restrict access to a record evidencing a final contract;

(36) materials to which access must be limited for purposes of securing or maintaining the governmental entity's proprietary protection of intellectual property rights including patents, copyrights, and trade secrets;

(37) the name of a donor or a prospective donor to a governmental entity, including an institution within the state system of higher education defined in Section 53B-1-102, and other information concerning the donation that could reasonably be expected to reveal the identity of the donor, provided that:

(a) the donor requests anonymity in writing;

(b) any terms, conditions, restrictions, or privileges relating to the donation may not be classified protected by the governmental entity under this Subsection (37); and

(c) except for an institution within the state system of higher education defined in Section 53B-1-102, the governmental unit to which the donation is made is primarily engaged in educational, charitable, or artistic endeavors, and has no regulatory or legislative authority over the donor, a member of the donor's immediate family, or any entity owned or controlled by the donor or the donor's immediate family;

(38) accident reports, except as provided in Sections 41-6a-404, 41-12a-202, and 73-18-13;

(39) a notification of workers' compensation insurance coverage described in Section 34A-2-205;

(40) (a) the following records of an institution within the state system of higher education defined in Section 53B-1-102, which have been developed, discovered, disclosed to, or received by or on behalf of faculty, staff, employees, or students of the institution:

(i) unpublished lecture notes;

(ii) unpublished notes, data, and information:

(A) relating to research; and

226 (B) of:
227 (I) the institution within the state system of higher education defined in Section
228 53B-1-102; or
229 (II) a sponsor of sponsored research;
230 (iii) unpublished manuscripts;
231 (iv) creative works in process;
232 (v) scholarly correspondence; and
233 (vi) confidential information contained in research proposals;
234 (b) Subsection (40)(a) may not be construed to prohibit disclosure of public
235 information required pursuant to Subsection 53B-16-302(2)(a) or (b); and
236 (c) Subsection (40)(a) may not be construed to affect the ownership of a record;
237 (41) (a) records in the custody or control of the Office of Legislative Auditor General
238 that would reveal the name of a particular legislator who requests a legislative audit prior to the
239 date that audit is completed and made public; and
240 (b) notwithstanding Subsection (41)(a), a request for a legislative audit submitted to the
241 Office of the Legislative Auditor General is a public document unless the legislator asks that
242 the records in the custody or control of the Office of Legislative Auditor General that would
243 reveal the name of a particular legislator who requests a legislative audit be maintained as
244 protected records until the audit is completed and made public;
245 (42) records that provide detail as to the location of an explosive, including a map or
246 other document that indicates the location of:
247 (a) a production facility; or
248 (b) a magazine;
249 (43) information:
250 (a) contained in the statewide database of the Division of Aging and Adult Services
251 created by Section 62A-3-311.1; or
252 (b) received or maintained in relation to the Identity Theft Reporting Information
253 System (IRIS) established under Section 67-5-22;

(44) information contained in the Management Information System and Licensing Information System described in Title 62A, Chapter 4a, Child and Family Services;

(45) information regarding National Guard operations or activities in support of the National Guard's federal mission;

(46) records provided by any pawn or secondhand business to a law enforcement agency or to the central database in compliance with Title 13, Chapter 32a, Pawnshop and Secondhand Merchandise Transaction Information Act;

(47) information regarding food security, risk, and vulnerability assessments performed by the Department of Agriculture and Food;

(48) except to the extent that the record is exempt from this chapter pursuant to Section 63G-2-106, records related to an emergency plan or program, a copy of which is provided to or prepared or maintained by the Division of Emergency Management, and the disclosure of which would jeopardize:

- (a) the safety of the general public; or
- (b) the security of:
 - (i) governmental property;
 - (ii) governmental programs; or
 - (iii) the property of a private person who provides the Division of Emergency Management information;

(49) records of the Department of Agriculture and Food that provides for the identification, tracing, or control of livestock diseases, including any program established under Title 4, Chapter 24, Utah Livestock Brand and Anti-Theft Act, or Title 4, Chapter 31, Control of Animal Disease;

(50) as provided in Section 26-39-501:

- (a) information or records held by the Department of Health related to a complaint regarding a child care program or residential child care which the department is unable to substantiate; and
- (b) information or records related to a complaint received by the Department of Health

from an anonymous complainant regarding a child care program or residential child care;

(51) unless otherwise classified as public under Section 63G-2-301 and except as provided under Section 41-1a-116, an individual's home address, home telephone number, or personal mobile phone number, if:

(a) the individual is required to provide the information in order to comply with a law, ordinance, rule, or order of a government entity; and

(b) the subject of the record has a reasonable expectation that this information will be kept confidential due to:

(i) the nature of the law, ordinance, rule, or order; and

(ii) the individual complying with the law, ordinance, rule, or order;

(52) the portion of the following documents that contains a candidate's residential or mailing address, if the candidate provides to the filing officer another address or phone number where the candidate may be contacted:

(a) a declaration of candidacy, a nomination petition, or a certificate of nomination, described in Section 20A-9-201, 20A-9-202, 20A-9-203, 20A-9-404, 20A-9-405, 20A-9-408, 20A-9-408.5, 20A-9-502, or 20A-9-601;

(b) an affidavit of impecuniosity, described in Section 20A-9-201; or

(c) a notice of intent to gather signatures for candidacy, described in Section 20A-9-408;

~~[(52)]~~ (53) the name, home address, work addresses, and telephone numbers of an individual that is engaged in, or that provides goods or services for, medical or scientific research that is:

(a) conducted within the state system of higher education, as defined in Section 53B-1-102; and

(b) conducted using animals;

~~[(53)]~~ (54) in accordance with Section 78A-12-203, any record of the Judicial Performance Evaluation Commission concerning an individual commissioner's vote on whether or not to recommend that the voters retain a judge including information disclosed

310 under Subsection 78A-12-203(5)(e);

311 ~~[(54)]~~ (55) information collected and a report prepared by the Judicial Performance

312 Evaluation Commission concerning a judge, unless Section 20A-7-702 or Title 78A, Chapter

313 12, Judicial Performance Evaluation Commission Act, requires disclosure of, or makes public,

314 the information or report;

315 ~~[(55)]~~ (56) records contained in the Management Information System created in

316 Section 62A-4a-1003;

317 ~~[(56)]~~ (57) records provided or received by the Public Lands Policy Coordinating

318 Office in furtherance of any contract or other agreement made in accordance with Section

319 63J-4-603;

320 ~~[(57)]~~ (58) information requested by and provided to the 911 Division under Section

321 63H-7a-302;

322 ~~[(58)]~~ (59) in accordance with Section 73-10-33:

323 (a) a management plan for a water conveyance facility in the possession of the Division

324 of Water Resources or the Board of Water Resources; or

325 (b) an outline of an emergency response plan in possession of the state or a county or

326 municipality;

327 ~~[(59)]~~ (60) the following records in the custody or control of the Office of Inspector

328 General of Medicaid Services, created in Section 63A-13-201:

329 (a) records that would disclose information relating to allegations of personal

330 misconduct, gross mismanagement, or illegal activity of a person if the information or

331 allegation cannot be corroborated by the Office of Inspector General of Medicaid Services

332 through other documents or evidence, and the records relating to the allegation are not relied

333 upon by the Office of Inspector General of Medicaid Services in preparing a final investigation

334 report or final audit report;

335 (b) records and audit workpapers to the extent they would disclose the identity of a

336 person who, during the course of an investigation or audit, communicated the existence of any

337 Medicaid fraud, waste, or abuse, or a violation or suspected violation of a law, rule, or

regulation adopted under the laws of this state, a political subdivision of the state, or any recognized entity of the United States, if the information was disclosed on the condition that the identity of the person be protected;

(c) before the time that an investigation or audit is completed and the final investigation or final audit report is released, records or drafts circulated to a person who is not an employee or head of a governmental entity for the person's response or information;

(d) records that would disclose an outline or part of any investigation, audit survey plan, or audit program; or

(e) requests for an investigation or audit, if disclosure would risk circumvention of an investigation or audit;

~~[(60)]~~ (61) records that reveal methods used by the Office of Inspector General of Medicaid Services, the fraud unit, or the Department of Health, to discover Medicaid fraud, waste, or abuse;

~~[(61)]~~ (62) information provided to the Department of Health or the Division of Occupational and Professional Licensing under Subsection 58-68-304(3) or (4);

~~[(62)]~~ (63) a record described in Section 63G-12-210;

~~[(63)]~~ (64) captured plate data that is obtained through an automatic license plate reader system used by a governmental entity as authorized in Section 41-6a-2003;

~~[(64)]~~ (65) any record in the custody of the Utah Office for Victims of Crime relating to a victim, including:

(a) a victim's application or request for benefits;

(b) a victim's receipt or denial of benefits; and

(c) any administrative notes or records made or created for the purpose of, or used to, evaluate or communicate a victim's eligibility for or denial of benefits from the Crime Victim Reparations Fund;

~~[(65)]~~ (66) an audio or video recording created by a body-worn camera, as that term is defined in Section 77-7a-103, that records sound or images inside a hospital or health care facility as those terms are defined in Section 78B-3-403, inside a clinic of a health care

provider, as that term is defined in Section 78B-3-403, or inside a human service program as that term is defined in Section 62A-2-101, except for recordings that:

(a) depict the commission of an alleged crime;

(b) record any encounter between a law enforcement officer and a person that results in death or bodily injury, or includes an instance when an officer fires a weapon;

(c) record any encounter that is the subject of a complaint or a legal proceeding against a law enforcement officer or law enforcement agency;

(d) contain an officer involved critical incident as defined in Subsection 76-2-408(1)(d); or

(e) have been requested for reclassification as a public record by a subject or authorized agent of a subject featured in the recording;

~~[(66)]~~ (67) a record pertaining to the search process for a president of an institution of higher education described in Section 53B-2-102, except for application materials for a publicly announced finalist; and

~~[(67)]~~ (68) an audio recording that is:

(a) produced by an audio recording device that is used in conjunction with a device or piece of equipment designed or intended for resuscitating an individual or for treating an individual with a life-threatening condition;

(b) produced during an emergency event when an individual employed to provide law enforcement, fire protection, paramedic, emergency medical, or other first responder service:

(i) is responding to an individual needing resuscitation or with a life-threatening condition; and

(ii) uses a device or piece of equipment designed or intended for resuscitating an individual or for treating an individual with a life-threatening condition; and

(c) intended and used for purposes of training emergency responders how to improve their response to an emergency situation;

~~[(68)]~~ (69) records submitted by or prepared in relation to an applicant seeking a recommendation by the Research and General Counsel Subcommittee, the Budget

394 Subcommittee, or the Audit Subcommittee, established under Section 36-12-8, for an
395 employment position with the Legislature;
396 ~~[(69)]~~ (70) work papers as defined in Section 31A-2-204; and
397 ~~[(70)]~~ (71) a record made available to Adult Protective Services or a law enforcement
398 agency under Section 61-1-206.