

HUMAN SERVICES PROGRAM AMENDMENTS

2021 GENERAL SESSION

STATE OF UTAH

Chief Sponsor: Michael K. McKell

House Sponsor: Brady Brammer

LONG TITLE

General Description:

This bill modifies provisions related to human services programs.

Highlighted Provisions:

This bill:

- ▶ defines terms;
- ▶ provides incident reporting requirements for persons licensed by the Office of Licensing;
- ▶ requires the Office of Licensing to review certain policies and procedures established by a human services program;
- ▶ requires a human services program to publicly post the Office of Licensing's contact information;
- ▶ requires the Office of Licensing to inspect each congregate care program multiple times a year;
- ▶ describes when a congregate care program may use a restraint or seclusion;
- ▶ requires a congregate care program to maintain suicide prevention policies;
- ▶ prohibits a human services program from engaging in sex and gender based discrimination; and
- ▶ makes technical changes.

Money Appropriated in this Bill:

None

Other Special Clauses:

29 None

30 **Utah Code Sections Affected:**

31 AMENDS:

32 **62A-2-101**, as last amended by Laws of Utah 2019, Chapters 136, 193 and last
33 amended by Coordination Clause, Laws of Utah 2019, Chapter 193

34 **62A-2-106**, as last amended by Laws of Utah 2017, Chapter 148

35 **62A-2-118**, as last amended by Laws of Utah 2005, Chapter 188

36 **62A-2-120**, as last amended by Laws of Utah 2020, Chapters 176, 225, 250 and last
37 amended by Coordination Clause, Laws of Utah 2020, Chapter 225

38 ENACTS:

39 **62A-2-123**, Utah Code Annotated 1953

40 **62A-2-124**, Utah Code Annotated 1953



42 *Be it enacted by the Legislature of the state of Utah:*

43 Section 1. Section **62A-2-101** is amended to read:

44 **62A-2-101. Definitions.**

45 As used in this chapter:

46 (1) "Adult day care" means nonresidential care and supervision:

47 (a) for three or more adults for at least four but less than 24 hours a day; and

48 (b) that meets the needs of functionally impaired adults through a comprehensive

49 program that provides a variety of health, social, recreational, and related support services in a
50 protective setting.

51 (2) "Applicant" means a person who applies for an initial license or a license renewal
52 under this chapter.

53 (3) (a) "Associated with the licensee" means that an individual is:

54 (i) affiliated with a licensee as an owner, director, member of the governing body,
55 employee, agent, provider of care, department contractor, or volunteer; or

56 (ii) applying to become affiliated with a licensee in a capacity described in Subsection
57 (3)(a)(i).

58 (b) "Associated with the licensee" does not include:

59 (i) service on the following bodies, unless that service includes direct access to a child
60 or a vulnerable adult:

61 (A) a local mental health authority described in Section 17-43-301;

62 (B) a local substance abuse authority described in Section 17-43-201; or

63 (C) a board of an organization operating under a contract to provide mental health or
64 substance abuse programs, or services for the local mental health authority or substance abuse
65 authority; or

66 (ii) a guest or visitor whose access to a child or a vulnerable adult is directly supervised
67 at all times.

68 (4) (a) "Boarding school" means a private school that:

69 (i) uses a regionally accredited education program;

70 (ii) provides a residence to the school's students:

71 (A) for the purpose of enabling the school's students to attend classes at the school; and

72 (B) as an ancillary service to educating the students at the school;

73 (iii) has the primary purpose of providing the school's students with an education, as
74 defined in Subsection (4)(b)(i); and

75 (iv) (A) does not provide the treatment or services described in Subsection [~~(33)~~(a)]
76 (36)(a); or

77 (B) provides the treatment or services described in Subsection [~~(33)~~(a)] (36)(a) on a
78 limited basis, as described in Subsection (4)(b)(ii).

79 (b) (i) For purposes of Subsection (4)(a)(iii), "education" means a course of study for
80 one or more of grades kindergarten through 12th grade.

81 (ii) For purposes of Subsection (4)(a)(iv)(B), a private school provides the treatment or
82 services described in Subsection [~~(33)~~(a)] (36)(a) on a limited basis if:

83 (A) the treatment or services described in Subsection [~~(33)(a)~~] (36)(a) are provided
84 only as an incidental service to a student; and

85 (B) the school does not:

86 (I) specifically solicit a student for the purpose of providing the treatment or services
87 described in Subsection [~~(33)(a)~~] (36)(a); or

88 (II) have a primary purpose of providing the treatment or services described in
89 Subsection [~~(33)(a)~~] (36)(a).

90 (c) "Boarding school" does not include a therapeutic school.

91 (5) "Child" means ~~[a person]~~ an individual under 18 years ~~[of age]~~ old.

92 (6) "Child placing" means receiving, accepting, or providing custody or care for any
93 child, temporarily or permanently, for the purpose of:

94 (a) finding a person to adopt the child;

95 (b) placing the child in a home for adoption; or

96 (c) foster home placement.

97 (7) "Child-placing agency" means a person that engages in child placing.

98 (8) "Client" means an individual who receives or has received services from a licensee.

99 (9) "Congregate care program" means any of the following that provide services to a
100 child:

101 (a) an outdoor youth program;

102 (b) a residential support program;

103 (c) a residential treatment program; or

104 (d) a therapeutic school.

105 [~~(9)~~] (10) "Day treatment" means specialized treatment that is provided to:

106 (a) a client less than 24 hours a day; and

107 (b) four or more persons who:

108 (i) are unrelated to the owner or provider; and

109 (ii) have emotional, psychological, developmental, physical, or behavioral

110 dysfunctions, impairments, or chemical dependencies.

111 ~~[(10)]~~ (11) "Department" means the Department of Human Services.

112 ~~[(11)]~~ (12) "Department contractor" means an individual who:

113 (a) provides services under a contract with the department; and

114 (b) due to the contract with the department, has or will likely have direct access to a
115 child or vulnerable adult.

116 ~~[(12)]~~ (13) "Direct access" means that an individual has, or likely will have:

117 (a) contact with or access to a child or vulnerable adult that provides the individual
118 with an opportunity for personal communication or touch; or

119 (b) an opportunity to view medical, financial, or other confidential personal identifying
120 information of the child, the child's parents or legal guardians, or the vulnerable adult.

121 ~~[(13)]~~ (14) "Directly supervised" means that an individual is being supervised under
122 the uninterrupted visual and auditory surveillance of another individual who has a current
123 background screening approval issued by the office.

124 ~~[(14)]~~ (15) "Director" means the director of the Office of Licensing.

125 ~~[(15)]~~ (16) "Domestic violence" means the same as that term is defined in Section
126 77-36-1.

127 ~~[(16)]~~ (17) "Domestic violence treatment program" means a nonresidential program
128 designed to provide psychological treatment and educational services to perpetrators and
129 victims of domestic violence.

130 ~~[(17)]~~ (18) "Elder adult" means a person 65 years ~~[of age]~~ old or older.

131 ~~[(18)]~~ (19) "Executive director" means the executive director of the department.

132 ~~[(19)]~~ (20) "Foster home" means a residence that is licensed or certified by the Office
133 of Licensing for the full-time substitute care of a child.

134 ~~[(20)]~~ (21) "Health benefit plan" means the same as that term is defined in Section
135 31A-1-301.

136 ~~[(21)]~~ (22) "Health care provider" means the same as that term is defined in Section

137 78B-3-403.

138 [~~(22)~~] (23) "Health insurer" means the same as that term is defined in Section

139 31A-22-615.5.

140 [~~(23)~~] (24) (a) "Human services program" means [a]:

141 (i) a foster home;

142 (ii) a therapeutic school;

143 (iii) a youth program;

144 (iv) an outdoor youth program;

145 (v) a residential treatment program;

146 (vi) a residential support program;

147 [~~(iv)~~] (vii) a resource family home;

148 [~~(v)~~] (viii) a recovery residence; or

149 [~~(vi)~~] (ix) a facility or program that provides:

150 [~~(A)~~] secure treatment;

151 [~~(B)~~] inpatient treatment;

152 [~~(C)~~] residential treatment;

153 [~~(D)~~] residential support;

154 [~~(E)~~] (A) adult day care;

155 [~~(F)~~] (B) day treatment;

156 [~~(G)~~] (C) outpatient treatment;

157 [~~(H)~~] (D) domestic violence treatment;

158 [~~(I)~~] (E) child-placing services;

159 [~~(J)~~] (F) social detoxification; or

160 [~~(K)~~] (G) any other human services that are required by contract with the department to

161 be licensed with the department.

162 (b) "Human services program" does not include:

163 (i) a boarding school; or

164 (ii) a residential, vocational and life skills program, as defined in Section 13-53-102.

165 [~~24~~] (25) "Indian child" means the same as that term is defined in 25 U.S.C. Sec.

166 1903.

167 [~~25~~] (26) "Indian country" means the same as that term is defined in 18 U.S.C. Sec.

168 1151.

169 [~~26~~] (27) "Indian tribe" means the same as that term is defined in 25 U.S.C. Sec.

170 1903.

171 (28) "Intermediate secure treatment" means 24-hour specialized residential treatment or
172 care for an individual who:

173 (a) cannot live independently or in a less restrictive environment; and

174 (b) requires, without the individual's consent or control, the use of locked doors to care
175 for the individual.

176 [~~27~~] (29) "Licensee" means an individual or a human services program licensed by
177 the office.

178 [~~28~~] (30) "Local government" means a city, town, metro township, or county.

179 [~~29~~] (31) "Minor" has the same meaning as "child."

180 [~~30~~] (32) "Office" means the Office of Licensing within the Department of Human
181 Services.

182 (33) "Outdoor youth program" means a program that provides:

183 (a) services to a child that has:

184 (i) a chemical dependency; or

185 (ii) a dysfunction or impairment that is emotional, psychological, developmental, or
186 behavioral;

187 (b) a 24-hour outdoor group living environment; and

188 (c) (i) regular therapy, including group, individual, or supportive family therapy; or

189 (ii) informal therapy or similar services, including wilderness therapy, adventure
190 therapy, or outdoor behavioral healthcare.

191 [~~(31)~~] (34) "Outpatient treatment" means individual, family, or group therapy or
192 counseling designed to improve and enhance social or psychological functioning for those
193 whose physical and emotional status allows them to continue functioning in their usual living
194 environment.

195 [~~(32)~~] (35) "Practice group" or "group practice" means two or more health care
196 providers legally organized as a partnership, professional corporation, or similar association,
197 for which:

198 (a) substantially all of the services of the health care providers who are members of the
199 group are provided through the group and are billed in the name of the group and amounts
200 received are treated as receipts of the group; and

201 (b) the overhead expenses of and the income from the practice are distributed in
202 accordance with methods previously determined by members of the group.

203 [~~(33)~~] (36) (a) "Recovery residence" means a home, residence, or facility that meets at
204 least two of the following requirements:

205 (i) provides a supervised living environment for individuals recovering from a
206 substance use disorder;

207 (ii) provides a living environment in which more than half of the individuals in the
208 residence are recovering from a substance use disorder;

209 (iii) provides or arranges for residents to receive services related to their recovery from
210 a substance use disorder, either on or off site;

211 (iv) is held out as a living environment in which individuals recovering from substance
212 abuse disorders live together to encourage continued sobriety; or

213 (v) (A) receives public funding; or

214 (B) is run as a business venture, either for-profit or not-for-profit.

215 (b) "Recovery residence" does not mean:

216 (i) a residential treatment program;

217 (ii) residential support; or

- 218 (iii) a home, residence, or facility, in which:
- 219 (A) residents, by their majority vote, establish, implement, and enforce policies
- 220 governing the living environment, including the manner in which applications for residence are
- 221 approved and the manner in which residents are expelled;
- 222 (B) residents equitably share rent and housing-related expenses; and
- 223 (C) a landlord, owner, or operator does not receive compensation, other than fair
- 224 market rental income, for establishing, implementing, or enforcing policies governing the
- 225 living environment.
- 226 ~~[(34)]~~ (37) "Regular business hours" means:
- 227 (a) the hours during which services of any kind are provided to a client; or
- 228 (b) the hours during which a client is present at the facility of a licensee.
- 229 ~~[(35)]~~ (38) (a) "Residential support program" means ~~[arranging for or providing]~~ a
- 230 program that arranges for or provides the necessities of life as a protective service to
- 231 individuals or families who have a disability or who are experiencing a dislocation or
- 232 emergency that prevents them from providing these services for themselves or their families.
- 233 (b) "Residential support program" includes ~~[providing]~~ a program that provides a
- 234 supervised living environment for ~~[persons]~~ individuals with dysfunctions or impairments that
- 235 are:
- 236 (i) emotional;
- 237 (ii) psychological;
- 238 (iii) developmental; or
- 239 (iv) behavioral.
- 240 (c) Treatment is not a necessary component of a residential support program.
- 241 (d) "Residential support program" does not include:
- 242 (i) a recovery residence; or
- 243 (ii) a program that provides residential services that are performed:
- 244 (A) exclusively under contract with the department and provided to individuals through

245 the Division of Services for People with Disabilities; or

246 (B) in a facility that serves fewer than four individuals.

247 ~~[(36)]~~ (39) (a) "Residential treatment" means a 24-hour group living environment for
248 four or more individuals unrelated to the owner or provider that offers room or board and
249 specialized treatment, behavior modification, rehabilitation, discipline, emotional growth, or
250 habilitation services for persons with emotional, psychological, developmental, or behavioral
251 dysfunctions, impairments, or chemical dependencies.

252 (b) "Residential treatment" does not include a:

253 (i) boarding school;

254 (ii) foster home; or

255 (iii) recovery residence.

256 ~~[(37)]~~ (40) "Residential treatment program" means a ~~[human services program]~~ a
257 program or facility that provides:

258 (a) residential treatment; or

259 (b) intermediate secure treatment.

260 ~~[(38) (a) "Secure treatment" means 24-hour specialized residential treatment or care for~~
261 ~~persons whose current functioning is such that they cannot live independently or in a less~~
262 ~~restrictive environment.]~~

263 ~~[(b) "Secure treatment" differs from residential treatment to the extent that it requires~~
264 ~~intensive supervision, locked doors, and other security measures that are imposed on residents~~
265 ~~with neither their consent nor control.]~~

266 (41) "Seclusion" means the involuntary confinement of an individual in a room or an
267 area:

268 (a) away from the individual's peers; and

269 (b) in a manner that physically prevents the individual from leaving the room or area.

270 ~~[(39)]~~ (42) "Social detoxification" means short-term residential services for persons
271 who are experiencing or have recently experienced drug or alcohol intoxication, that are

272 provided outside of a health care facility licensed under Title 26, Chapter 21, Health Care
273 Facility Licensing and Inspection Act, and that include:

274 (a) room and board for persons who are unrelated to the owner or manager of the
275 facility;

276 (b) specialized rehabilitation to acquire sobriety; and

277 (c) aftercare services.

278 ~~[(40)]~~ (43) "Substance abuse disorder" or "substance use disorder" mean the same as
279 "substance use disorder" is defined in Section [62A-15-1202](#).

280 ~~[(41)]~~ (44) "Substance abuse treatment program" or "substance use disorder treatment
281 program" means a program:

282 (a) designed to provide:

283 (i) specialized drug or alcohol treatment;

284 (ii) rehabilitation; or

285 (iii) habilitation services; and

286 (b) that provides the treatment or services described in Subsection ~~[(41)]~~ (44)(a) to
287 persons with:

288 (i) a diagnosed substance use disorder; or

289 (ii) chemical dependency disorder.

290 ~~[(42)]~~ (45) "Therapeutic school" means a residential group living facility:

291 (a) for four or more individuals that are not related to:

292 (i) the owner of the facility; or

293 (ii) the primary service provider of the facility;

294 (b) that serves students who have a history of failing to function:

295 (i) at home;

296 (ii) in a public school; or

297 (iii) in a nonresidential private school; and

298 (c) that offers:

- 299 (i) room and board; and
- 300 (ii) an academic education integrated with:
 - 301 (A) specialized structure and supervision; or
 - 302 (B) services or treatment related to:
 - 303 (I) a disability;
 - 304 (II) emotional development;
 - 305 (III) behavioral development;
 - 306 (IV) familial development; or
 - 307 (V) social development.
- 308 ~~[(43)]~~ (46) "Unrelated persons" means persons other than parents, legal guardians,
- 309 grandparents, brothers, sisters, uncles, or aunts.
- 310 ~~[(44)]~~ (47) "Vulnerable adult" means an elder adult or an adult who has a temporary or
- 311 permanent mental or physical impairment that substantially affects the person's ability to:
 - 312 (a) provide personal protection;
 - 313 (b) provide necessities such as food, shelter, clothing, or mental or other health care;
 - 314 (c) obtain services necessary for health, safety, or welfare;
 - 315 (d) carry out the activities of daily living;
 - 316 (e) manage the adult's own resources; or
 - 317 (f) comprehend the nature and consequences of remaining in a situation of abuse,
 - 318 neglect, or exploitation.
- 319 ~~[(45)]~~ (48) (a) "Youth program" means a [~~nonresidential~~] program designed to provide
- 320 behavioral, substance abuse, or mental health services to minors that:
 - 321 (i) serves adjudicated or nonadjudicated youth;
 - 322 (ii) charges a fee for its services;
 - 323 (iii) may [~~or may not~~] provide host homes or other arrangements for overnight
 - 324 accommodation of the youth;
 - 325 (iv) may [~~or may not~~] provide all or part of its services in the outdoors;

326 (v) may ~~or may not~~ limit or censor access to parents or guardians; and
327 (vi) prohibits or restricts a minor's ability to leave the program at any time of the
328 minor's own free will.

329 (b) "Youth program" does not include recreational programs such as Boy Scouts, Girl
330 Scouts, 4-H, and other such organizations.

331 Section 2. Section **62A-2-106** is amended to read:

332 **62A-2-106. Office responsibilities.**

333 (1) Subject to the requirements of federal and state law, the office shall:

334 (a) make rules in accordance with Title 63G, Chapter 3, Utah Administrative
335 Rulemaking Act, to establish:

336 (i) except as provided in Subsection (1)(a)(ii), basic health and safety standards for
337 licensees, that shall be limited to:

338 (A) fire safety;

339 (B) food safety;

340 (C) sanitation;

341 (D) infectious disease control;

342 (E) safety of the:

343 (I) physical facility and grounds; and

344 (II) area and community surrounding the physical facility;

345 (F) transportation safety;

346 (G) emergency preparedness and response;

347 (H) the administration of medical standards and procedures, consistent with the related
348 provisions of this title;

349 (I) staff and client safety and protection;

350 (J) the administration and maintenance of client and service records;

351 (K) staff qualifications and training, including standards for permitting experience to
352 be substituted for education, unless prohibited by law;

- 353 (L) staff to client ratios;
- 354 (M) access to firearms; and
- 355 (N) the prevention of abuse, neglect, exploitation, harm, mistreatment, or fraud;
- 356 (ii) basic health and safety standards for therapeutic schools, that shall be limited to:
- 357 (A) fire safety, except that the standards are limited to those required by law or rule
- 358 under Title 53, Chapter 7, Part 2, Fire Prevention and Fireworks Act;
- 359 (B) food safety;
- 360 (C) sanitation;
- 361 (D) infectious disease control, except that the standards are limited to:
- 362 (I) those required by law or rule under Title 26, Utah Health Code, or Title 26A, Local
- 363 Health Authorities; and
- 364 (II) requiring a separate room for clients who are sick;
- 365 (E) safety of the physical facility and grounds, except that the standards are limited to
- 366 those required by law or rule under Title 53, Chapter 7, Part 2, Fire Prevention and Fireworks
- 367 Act;
- 368 (F) transportation safety;
- 369 (G) emergency preparedness and response;
- 370 (H) access to appropriate medical care, including:
- 371 (I) subject to the requirements of law, designation of a person who is authorized to
- 372 dispense medication; and
- 373 (II) storing, tracking, and securing medication;
- 374 (I) staff and client safety and protection that permits the school to provide for the direct
- 375 supervision of clients at all times;
- 376 (J) the administration and maintenance of client and service records;
- 377 (K) staff qualifications and training, including standards for permitting experience to
- 378 be substituted for education, unless prohibited by law;
- 379 (L) staff to client ratios;

380 (M) access to firearms; and
381 (N) the prevention of abuse, neglect, exploitation, harm, mistreatment, or fraud;
382 (iii) procedures and standards for permitting a licensee to:
383 (A) provide in the same facility and under the same conditions as children, residential
384 treatment services to a person 18 years old or older who:
385 (I) begins to reside at the licensee's residential treatment facility before the person's
386 18th birthday;
387 (II) has resided at the licensee's residential treatment facility continuously since the
388 time described in Subsection (1)(a)(iii)(A)(I);
389 (III) has not completed the course of treatment for which the person began residing at
390 the licensee's residential treatment facility; and
391 (IV) voluntarily consents to complete the course of treatment described in Subsection
392 (1)(a)(iii)(A)(III); or
393 (B) (I) provide residential treatment services to a child who is:
394 (Aa) [~~12 years old or older~~] at least 12 years old or, as approved by the office, younger
395 than 12 years old; and
396 (Bb) under the custody of the Department of Human Services, or one of its divisions;
397 and
398 (II) provide, in the same facility as a child described in Subsection (1)(a)(iii)(B)(I),
399 residential treatment services to a person who is:
400 (Aa) at least 18 years old, but younger than 21 years old; and
401 (Bb) under the custody of the Department of Human Services, or one of its divisions;
402 (iv) minimum administration and financial requirements for licensees;
403 (v) guidelines for variances from rules established under this Subsection (1);
404 (vi) ethical standards, as described in Subsection 78B-6-106(3), and minimum
405 responsibilities of a child-placing agency that provides adoption services and that is licensed
406 under this chapter;

407 (vii) what constitutes an "outpatient treatment program" for purposes of this chapter;

408 (viii) a procedure requiring a licensee to provide an insurer the licensee's records
409 related to any services or supplies billed to the insurer, and a procedure allowing the licensee
410 and the insurer to contact the Insurance Department to resolve any disputes;

411 (ix) a protocol for the office to investigate and process complaints about licensees;

412 [~~and~~]

413 (x) a procedure for [~~licensees to report incidents;~~] a licensee to:

414 (A) report the use of a restraint or seclusion within one business day after the day on
415 which the use of the restraint or seclusion occurs; and

416 (B) report a critical incident within one business day after the day on which the
417 incident occurs;

418 (xi) guidelines for the policies and procedures described in Sections [62A-2-123](#) and
419 [62A-2-124](#);

420 (xii) a procedure for the office to review and approve the policies and procedures
421 described in Sections [62A-2-123](#) and [62A-2-124](#); and

422 (xiii) a requirement that each human services program publicly post information that
423 informs an individual how to submit a complaint about a human services program to the office;

424 (b) enforce rules relating to the office;

425 (c) issue licenses in accordance with this chapter;

426 (d) if the United States Department of State executes an agreement with the office that
427 designates the office to act as an accrediting entity in accordance with the Intercountry
428 Adoption Act of 2000, Pub. L. No. 106-279, accredit one or more agencies and persons to
429 provide intercountry adoption services pursuant to:

430 (i) the Intercountry Adoption Act of 2000, Pub. L. No. 106-279; and

431 (ii) the implementing regulations for the Intercountry Adoption Act of 2000, Pub. L.
432 No. 106-279;

433 (e) make rules to implement the provisions of Subsection (1)(d);

- 434 (f) conduct surveys and inspections of licensees and facilities in accordance with
- 435 Section [62A-2-118](#);
- 436 (g) collect licensure fees;
- 437 (h) notify licensees of the name of a person within the department to contact when
- 438 filing a complaint;
- 439 (i) investigate complaints regarding any licensee or human services program;
- 440 (j) have access to all records, correspondence, and financial data required to be
- 441 maintained by a licensee;
- 442 (k) have authority to interview any client, family member of a client, employee, or
- 443 officer of a licensee;
- 444 (l) have authority to deny, condition, revoke, suspend, or extend any license issued by
- 445 the department under this chapter by following the procedures and requirements of Title 63G,
- 446 Chapter 4, Administrative Procedures Act;
- 447 (m) electronically post notices of agency action issued to a human services program,
- 448 with the exception of a foster home, on the office's website, in accordance with Title 63G,
- 449 Chapter 2, Government Records Access and Management Act; and
- 450 (n) upon receiving a local government's request under Section [62A-2-108.4](#), notify the
- 451 local government of new human services program license applications, except for foster
- 452 homes, for human services programs located within the local government's jurisdiction.
- 453 (2) In establishing rules under Subsection (1)(a)(ii)(G), the office shall require a
- 454 licensee to establish and comply with an emergency response plan that requires clients and staff
- 455 to:
- 456 (a) immediately report to law enforcement any significant criminal activity, as defined
- 457 by rule, committed:
- 458 (i) on the premises where the licensee operates its human services program;
- 459 (ii) by or against its clients; or
- 460 (iii) by or against a staff member while the staff member is on duty;

461 (b) immediately report to emergency medical services any medical emergency, as
462 defined by rule:

- 463 (i) on the premises where the licensee operates its human services program;
 - 464 (ii) involving its clients; or
 - 465 (iii) involving a staff member while the staff member is on duty; and
- 466 (c) immediately report other emergencies that occur on the premises where the licensee
467 operates its human services program to the appropriate emergency services agency.

468 Section 3. Section **62A-2-118** is amended to read:

469 **62A-2-118. Administrative inspections.**

470 (1) (a) ~~[The]~~ Subject to Subsection (1)(b), the office may, for the purpose of
471 ascertaining compliance with this chapter, enter and inspect on a routine basis the facility of a
472 licensee.

473 (b) (i) The office shall enter and inspect a congregate care program at least once each
474 calendar quarter.

475 (ii) At least two of the inspections described in Subsection (1)(b)(i) shall be
476 unannounced.

477 (c) If another government entity conducts an inspection that is substantially similar to
478 an inspection conducted by the office, the office may conclude the inspection satisfies an
479 inspection described in Subsection (1)(b).

480 (2) Before conducting an inspection under Subsection (1), the office shall, after
481 identifying the person in charge:

- 482 (a) give proper identification;
- 483 (b) request to see the applicable license;
- 484 (c) describe the nature and purpose of the inspection; and
- 485 (d) if necessary, explain the authority of the office to conduct the inspection and the
486 penalty for refusing to permit the inspection as provided in Section [62A-2-116](#).

487 (3) In conducting an inspection under Subsection (1), the office may, after meeting the

488 requirements of Subsection (2):

489 (a) inspect the physical facilities;

490 (b) inspect and copy records and documents;

491 (c) interview officers, employees, clients, family members of clients, and others; and

492 (d) observe the licensee in operation.

493 (4) An inspection conducted under Subsection (1) shall be during regular business
494 hours and may be announced or unannounced.

495 (5) The licensee shall make copies of inspection reports available to the public upon
496 request.

497 (6) The provisions of this section apply to on-site inspections and do not restrict the
498 office from contacting family members, neighbors, or other individuals, or from seeking
499 information from other sources to determine compliance with this chapter.

500 Section 4. Section **62A-2-120** is amended to read:

501 **62A-2-120. Background check -- Direct access to children or vulnerable adults.**

502 (1) As used in this section:

503 (a) (i) "Applicant" means:

504 (A) the same as that term is defined in Section [62A-2-101](#);

505 (B) an individual who is associated with a licensee and has or will likely have direct
506 access to a child or a vulnerable adult;

507 (C) an individual who provides respite care to a foster parent or an adoptive parent on
508 more than one occasion;

509 (D) a department contractor;

510 (E) a guardian submitting an application on behalf of an individual, other than the child
511 or vulnerable adult who is receiving the service, if the individual is 12 years ~~[of age]~~ old or
512 older and resides in a home, that is licensed or certified by the office, with the child or
513 vulnerable adult who is receiving services; or

514 (F) a guardian submitting an application on behalf of an individual, other than the child

515 or vulnerable adult who is receiving the service, if the individual is 12 years [~~of age~~] old or
516 older and is a person described in Subsection (1)(a)(i)(A), (B), (C), or (D).

517 (ii) "Applicant" does not mean an individual, including an adult, who is in the custody
518 of the Division of Child and Family Services or the Division of Juvenile Justice Services.

519 (b) "Application" means a background screening application to the office.

520 (c) "Bureau" means the Bureau of Criminal Identification within the Department of
521 Public Safety, created in Section [53-10-201](#).

522 (d) "Incidental care" means occasional care, not in excess of five hours per week and
523 never overnight, for a foster child.

524 (e) "Personal identifying information" means:

525 (i) current name, former names, nicknames, and aliases;

526 (ii) date of birth;

527 (iii) physical address and email address;

528 (iv) telephone number;

529 (v) driver license or other government-issued identification;

530 (vi) social security number;

531 (vii) only for applicants who are 18 years [~~of age~~] old or older, fingerprints, in a form
532 specified by the office; and

533 (viii) other information specified by the office by rule made in accordance with Title
534 63G, Chapter 3, Utah Administrative Rulemaking Act.

535 (2) (a) Except as provided in Subsection (13), an applicant or a representative shall
536 submit the following to the office:

537 (i) personal identifying information;

538 (ii) a fee established by the office under Section [63J-1-504](#); and

539 (iii) a disclosure form, specified by the office, for consent for:

540 (A) an initial background check upon submission of the information described under
541 this Subsection (2)(a);

542 (B) ongoing monitoring of fingerprints and registries until no longer associated with a
543 licensee for 90 days;

544 (C) a background check when the office determines that reasonable cause exists; and

545 (D) retention of personal identifying information, including fingerprints, for
546 monitoring and notification as described in Subsections (3)(d) and (4).

547 (b) In addition to the requirements described in Subsection (2)(a), if an applicant
548 resided outside of the United States and its territories during the five years immediately
549 preceding the day on which the information described in Subsection (2)(a) is submitted to the
550 office, the office may require the applicant to submit documentation establishing whether the
551 applicant was convicted of a crime during the time that the applicant resided outside of the
552 United States or its territories.

553 (3) The office:

554 (a) shall perform the following duties as part of a background check of an applicant:

555 (i) check state and regional criminal background databases for the applicant's criminal
556 history by:

557 (A) submitting personal identifying information to the bureau for a search; or

558 (B) using the applicant's personal identifying information to search state and regional
559 criminal background databases as authorized under Section 53-10-108;

560 (ii) submit the applicant's personal identifying information and fingerprints to the
561 bureau for a criminal history search of applicable national criminal background databases;

562 (iii) search the Department of Human Services, Division of Child and Family Services'
563 Licensing Information System described in Section 62A-4a-1006;

564 (iv) search the Department of Human Services, Division of Aging and Adult Services'
565 vulnerable adult abuse, neglect, or exploitation database described in Section 62A-3-311.1;

566 (v) search the juvenile court records for substantiated findings of severe child abuse or
567 neglect described in Section 78A-6-323; and

568 (vi) search the juvenile court arrest, adjudication, and disposition records, as provided

569 under Section 78A-6-209;

570 (b) shall conduct a background check of an applicant for an initial background check
571 upon submission of the information described under Subsection (2)(a);

572 (c) may conduct all or portions of a background check of an applicant, as provided by
573 rule, made by the office in accordance with Title 63G, Chapter 3, Utah Administrative
574 Rulemaking Act:

575 (i) for an annual renewal; or

576 (ii) when the office determines that reasonable cause exists;

577 (d) may submit an applicant's personal identifying information, including fingerprints,
578 to the bureau for checking, retaining, and monitoring of state and national criminal background
579 databases and for notifying the office of new criminal activity associated with the applicant;

580 (e) shall track the status of an approved applicant under this section to ensure that an
581 approved applicant is not required to duplicate the submission of the applicant's fingerprints if
582 the applicant applies for:

583 (i) more than one license;

584 (ii) direct access to a child or a vulnerable adult in more than one human services
585 program; or

586 (iii) direct access to a child or a vulnerable adult under a contract with the department;

587 (f) shall track the status of each license and each individual with direct access to a child
588 or a vulnerable adult and notify the bureau within 90 days after the day on which the license
589 expires or the individual's direct access to a child or a vulnerable adult ceases;

590 (g) shall adopt measures to strictly limit access to personal identifying information
591 solely to the individuals responsible for processing and entering the applications for
592 background checks and to protect the security of the personal identifying information the office
593 reviews under this Subsection (3);

594 (h) as necessary to comply with the federal requirement to check a state's child abuse
595 and neglect registry regarding any individual working in a congregate care [~~setting that serves~~

596 ~~children]~~ program, shall:

597 (i) search the Department of Human Services, Division of Child and Family Services'
598 Licensing Information System described in Section 62A-4a-1006; and

599 (ii) require the child abuse and neglect registry be checked in each state where an
600 applicant resided at any time during the five years immediately preceding the day on which the
601 applicant submits the information described in Subsection (2)(a) to the office; and

602 (i) shall make rules, in accordance with Title 63G, Chapter 3, Utah Administrative
603 Rulemaking Act, to implement the provisions of this Subsection (3) relating to background
604 checks.

605 (4) (a) With the personal identifying information the office submits to the bureau under
606 Subsection (3), the bureau shall check against state and regional criminal background databases
607 for the applicant's criminal history.

608 (b) With the personal identifying information and fingerprints the office submits to the
609 bureau under Subsection (3), the bureau shall check against national criminal background
610 databases for the applicant's criminal history.

611 (c) Upon direction from the office, and with the personal identifying information and
612 fingerprints the office submits to the bureau under Subsection (3)(d), the bureau shall:

613 (i) maintain a separate file of the fingerprints for search by future submissions to the
614 local and regional criminal records databases, including latent prints; and

615 (ii) monitor state and regional criminal background databases and identify criminal
616 activity associated with the applicant.

617 (d) The bureau is authorized to submit the fingerprints to the Federal Bureau of
618 Investigation Next Generation Identification System, to be retained in the Federal Bureau of
619 Investigation Next Generation Identification System for the purpose of:

620 (i) being searched by future submissions to the national criminal records databases,
621 including the Federal Bureau of Investigation Next Generation Identification System and latent
622 prints; and

623 (ii) monitoring national criminal background databases and identifying criminal
624 activity associated with the applicant.

625 (e) The Bureau shall notify and release to the office all information of criminal activity
626 associated with the applicant.

627 (f) Upon notice from the office that a license has expired or an individual's direct
628 access to a child or a vulnerable adult has ceased for 90 days, the bureau shall:

629 (i) discard and destroy any retained fingerprints; and

630 (ii) notify the Federal Bureau of Investigation when the license has expired or an
631 individual's direct access to a child or a vulnerable adult has ceased, so that the Federal Bureau
632 of Investigation will discard and destroy the retained fingerprints from the Federal Bureau of
633 Investigation Next Generation Identification System.

634 (5) (a) After conducting the background check described in Subsections (3) and (4), the
635 office shall deny an application to an applicant who, within three years before the day on which
636 the applicant submits information to the office under Subsection (2) for a background check,
637 has been convicted of any of the following, regardless of whether the offense is a felony, a
638 misdemeanor, or an infraction:

639 (i) an offense identified as domestic violence, lewdness, voyeurism, battery, cruelty to
640 animals, or bestiality;

641 (ii) a violation of any pornography law, including sexual exploitation of a minor;

642 (iii) prostitution;

643 (iv) an offense included in:

644 (A) Title 76, Chapter 5, Offenses Against the Person;

645 (B) Section 76-5b-201, Sexual Exploitation of a Minor; or

646 (C) Title 76, Chapter 7, Offenses Against the Family;

647 (v) aggravated arson, as described in Section 76-6-103;

648 (vi) aggravated burglary, as described in Section 76-6-203;

649 (vii) aggravated robbery, as described in Section 76-6-302;

650 (viii) identity fraud crime, as described in Section 76-6-1102; or

651 (ix) a felony or misdemeanor offense committed outside of the state that, if committed
652 in the state, would constitute a violation of an offense described in Subsections (5)(a)(i)
653 through (viii).

654 (b) If the office denies an application to an applicant based on a conviction described in
655 Subsection (5)(a), the applicant is not entitled to a comprehensive review described in
656 Subsection (6).

657 (c) If the applicant will be working in a program serving only adults whose only
658 impairment is a mental health diagnosis, including that of a serious mental health disorder,
659 with or without co-occurring substance use disorder, the denial provisions of Subsection (5)(a)
660 do not apply, and the office shall conduct a comprehensive review as described in Subsection
661 (6).

662 (6) (a) The office shall conduct a comprehensive review of an applicant's background
663 check if the applicant:

664 (i) has an open court case or a conviction for any felony offense, not described in
665 Subsection (5)(a), with a date of conviction that is no more than 10 years before the date on
666 which the applicant submits the application;

667 (ii) has an open court case or a conviction for a misdemeanor offense, not described in
668 Subsection (5)(a), and designated by the office, by rule, in accordance with Title 63G, Chapter
669 3, Utah Administrative Rulemaking Act, if the conviction is within three years before the day
670 on which the applicant submits information to the office under Subsection (2) for a background
671 check;

672 (iii) has a conviction for any offense described in Subsection (5)(a) that occurred more
673 than three years before the day on which the applicant submitted information under Subsection
674 (2)(a);

675 (iv) is currently subject to a plea in abeyance or diversion agreement for any offense
676 described in Subsection (5)(a);

677 (v) has a listing in the Department of Human Services, Division of Child and Family
678 Services' Licensing Information System described in Section 62A-4a-1006;

679 (vi) has a listing in the Department of Human Services, Division of Aging and Adult
680 Services' vulnerable adult abuse, neglect, or exploitation database described in Section
681 62A-3-311.1;

682 (vii) has a record in the juvenile court of a substantiated finding of severe child abuse
683 or neglect described in Section 78A-6-323;

684 (viii) has a record of an adjudication in juvenile court for an act that, if committed by
685 an adult, would be a felony or misdemeanor, if the applicant is:

686 (A) under 28 years [~~of age~~] old; or

687 (B) 28 years [~~of age~~] old or older and has been convicted of, has pleaded no contest to,
688 or is currently subject to a plea in abeyance or diversion agreement for a felony or a
689 misdemeanor offense described in Subsection (5)(a);

690 (ix) has a pending charge for an offense described in Subsection (5)(a); or

691 (x) is an applicant described in Subsection (5)(c).

692 (b) The comprehensive review described in Subsection (6)(a) shall include an
693 examination of:

694 (i) the date of the offense or incident;

695 (ii) the nature and seriousness of the offense or incident;

696 (iii) the circumstances under which the offense or incident occurred;

697 (iv) the age of the perpetrator when the offense or incident occurred;

698 (v) whether the offense or incident was an isolated or repeated incident;

699 (vi) whether the offense or incident directly relates to abuse of a child or vulnerable
700 adult, including:

701 (A) actual or threatened, nonaccidental physical, mental, or financial harm;

702 (B) sexual abuse;

703 (C) sexual exploitation; or

704 (D) negligent treatment;
705 (vii) any evidence provided by the applicant of rehabilitation, counseling, psychiatric
706 treatment received, or additional academic or vocational schooling completed;
707 (viii) the applicant's risk of harm to clientele in the program or in the capacity for
708 which the applicant is applying; and
709 (ix) any other pertinent information presented to or publicly available to the committee
710 members.

711 (c) At the conclusion of the comprehensive review described in Subsection (6)(a), the
712 office shall deny an application to an applicant if the office finds that approval would likely
713 create a risk of harm to a child or a vulnerable adult.

714 (d) At the conclusion of the comprehensive review described in Subsection (6)(a), the
715 office may not deny an application to an applicant solely because the applicant was convicted
716 of an offense that occurred 10 or more years before the day on which the applicant submitted
717 the information required under Subsection (2)(a) if:

718 (i) the applicant has not committed another misdemeanor or felony offense after the
719 day on which the conviction occurred; and

720 (ii) the applicant has never been convicted of an offense described in Subsection
721 (14)(c).

722 (e) In accordance with Title 63G, Chapter 3, Utah Administrative Rulemaking Act, the
723 office may make rules, consistent with this chapter, to establish procedures for the
724 comprehensive review described in this Subsection (6).

725 (7) Subject to Subsection (10), the office shall approve an application to an applicant
726 who is not denied under Subsection (5), (6), or [~~(13)~~] (14).

727 (8) (a) The office may conditionally approve an application of an applicant, for a
728 maximum of 60 days after the day on which the office sends written notice to the applicant
729 under Subsection (12), without requiring that the applicant be directly supervised, if the office:

730 (i) is awaiting the results of the criminal history search of national criminal background

731 databases; and

732 (ii) would otherwise approve an application of the applicant under Subsection (7).

733 (b) The office may conditionally approve an application of an applicant, for a
734 maximum of one year after the day on which the office sends written notice to the applicant
735 under Subsection (12), without requiring that the applicant be directly supervised if the office:

736 (i) is awaiting the results of an out-of-state registry for providers other than foster and
737 adoptive parents; and

738 (ii) would otherwise approve an application of the applicant under Subsection (7).

739 (c) Upon receiving the results of the criminal history search of a national criminal
740 background database, the office shall approve or deny the application of the applicant in
741 accordance with Subsections (5) through (7).

742 (9) A licensee or department contractor may not permit an individual to have direct
743 access to a child or a vulnerable adult unless, subject to Subsection (10):

744 (a) the individual is associated with the licensee or department contractor and:

745 (i) the individual's application is approved by the office under this section;

746 (ii) the individual's application is conditionally approved by the office under

747 Subsection (8); or

748 (iii) (A) the individual has submitted the background check information described in
749 Subsection (2) to the office;

750 (B) the office has not determined whether to approve the applicant's application; and

751 (C) the individual is directly supervised by an individual who has a current background
752 screening approval issued by the office under this section and is associated with the licensee or
753 department contractor;

754 (b) (i) the individual is associated with the licensee or department contractor;

755 (ii) the individual has a current background screening approval issued by the office
756 under this section;

757 (iii) one of the following circumstances, that the office has not yet reviewed under

758 Subsection (6), applies to the individual:

759 (A) the individual was charged with an offense described in Subsection (5)(a);

760 (B) the individual is listed in the Licensing Information System, described in Section
761 62A-4a-1006;

762 (C) the individual is listed in the vulnerable adult abuse, neglect, or exploitation
763 database, described in Section 62A-3-311.1;

764 (D) the individual has a record in the juvenile court of a substantiated finding of severe
765 child abuse or neglect, described in Section 78A-6-323; or

766 (E) the individual has a record of an adjudication in juvenile court for an act that, if
767 committed by an adult, would be a felony or a misdemeanor as described in Subsection (5)(a)
768 or (6); and

769 (iv) the individual is directly supervised by an individual who:

770 (A) has a current background screening approval issued by the office under this
771 section; and

772 (B) is associated with the licensee or department contractor;

773 (c) the individual:

774 (i) is not associated with the licensee or department contractor; and

775 (ii) is directly supervised by an individual who:

776 (A) has a current background screening approval issued by the office under this
777 section; and

778 (B) is associated with the licensee or department contractor;

779 (d) the individual is the parent or guardian of the child, or the guardian of the
780 vulnerable adult;

781 (e) the individual is approved by the parent or guardian of the child, or the guardian of
782 the vulnerable adult, to have direct access to the child or the vulnerable adult;

783 (f) the individual is only permitted to have direct access to a vulnerable adult who
784 voluntarily invites the individual to visit; or

785 (g) the individual only provides incidental care for a foster child on behalf of a foster
786 parent who has used reasonable and prudent judgment to select the individual to provide the
787 incidental care for the foster child.

788 (10) An individual may not have direct access to a child or a vulnerable adult if the
789 individual is prohibited by court order from having that access.

790 (11) Notwithstanding any other provision of this section, an individual for whom the
791 office denies an application may not have direct access to a child or vulnerable adult unless the
792 office approves a subsequent application by the individual.

793 (12) (a) Within 30 days after the day on which the office receives the background
794 check information for an applicant, the office shall give notice of the clearance status to:

795 (i) the applicant, and the licensee or department contractor, of the office's decision
796 regarding the background check and findings; and

797 (ii) the applicant of any convictions and potentially disqualifying charges and
798 adjudications found in the search.

799 (b) With the notice described in Subsection (12)(a), the office shall also give the
800 applicant the details of any comprehensive review conducted under Subsection (6).

801 (c) If the notice under Subsection (12)(a) states that the applicant's application is
802 denied, the notice shall further advise the applicant that the applicant may, under Subsection
803 [62A-2-111\(2\)](#), request a hearing in the department's Office of Administrative Hearings, to
804 challenge the office's decision.

805 (d) In accordance with Title 63G, Chapter 3, Utah Administrative Rulemaking Act, the
806 office shall make rules, consistent with this chapter:

807 (i) defining procedures for the challenge of the office's background check decision
808 described in Subsection (12)(c); and

809 (ii) expediting the process for renewal of a license under the requirements of this
810 section and other applicable sections.

811 (13) An individual or a department contractor who provides services in an adults only

812 substance use disorder program, as defined by rule, is exempt from this section. This
813 exemption does not extend to a program director or a member, as defined by Section
814 [62A-2-108](#), of the program.

815 (14) (a) Except as provided in Subsection (14)(b), in addition to the other requirements
816 of this section, if the background check of an applicant is being conducted for the purpose of
817 giving clearance status to an applicant seeking a position in a congregate care [~~facility~~]
818 program, an applicant for a one-time adoption, an applicant seeking to provide a prospective
819 foster home, or an applicant seeking to provide a prospective adoptive home, the office shall:

820 (i) check the child abuse and neglect registry in each state where each applicant resided
821 in the five years immediately preceding the day on which the applicant applied to be a foster
822 parent or adoptive parent, to determine whether the prospective foster parent or prospective
823 adoptive parent is listed in the registry as having a substantiated or supported finding of child
824 abuse or neglect; and

825 (ii) check the child abuse and neglect registry in each state where each adult living in
826 the home of the applicant described in Subsection (14)(a)(i) resided in the five years
827 immediately preceding the day on which the applicant applied to be a foster parent or adoptive
828 parent, to determine whether the adult is listed in the registry as having a substantiated or
829 supported finding of child abuse or neglect.

830 (b) The requirements described in Subsection (14)(a) do not apply to the extent that:

831 (i) federal law or rule permits otherwise; or

832 (ii) the requirements would prohibit the Division of Child and Family Services or a
833 court from placing a child with:

834 (A) a noncustodial parent under Section [62A-4a-209](#), [78A-6-307](#), or [78A-6-307.5](#); or

835 (B) a relative, other than a noncustodial parent, under Section [62A-4a-209](#), [78A-6-307](#),
836 or [78A-6-307.5](#), pending completion of the background check described in Subsection (5).

837 (c) Notwithstanding Subsections (5) through (9), the office shall deny a clearance to an
838 applicant seeking a position in a congregate care [~~facility~~] program, an applicant for a one-time

839 adoption, an applicant to become a prospective foster parent, or an applicant to become a
840 prospective adoptive parent if the applicant has been convicted of:

841 (i) a felony involving conduct that constitutes any of the following:

842 (A) child abuse, as described in Section 76-5-109;

843 (B) commission of domestic violence in the presence of a child, as described in Section
844 76-5-109.1;

845 (C) abuse or neglect of a child with a disability, as described in Section 76-5-110;

846 (D) endangerment of a child or vulnerable adult, as described in Section 76-5-112.5;

847 (E) aggravated murder, as described in Section 76-5-202;

848 (F) murder, as described in Section 76-5-203;

849 (G) manslaughter, as described in Section 76-5-205;

850 (H) child abuse homicide, as described in Section 76-5-208;

851 (I) homicide by assault, as described in Section 76-5-209;

852 (J) kidnapping, as described in Section 76-5-301;

853 (K) child kidnapping, as described in Section 76-5-301.1;

854 (L) aggravated kidnapping, as described in Section 76-5-302;

855 (M) human trafficking of a child, as described in Section 76-5-308.5;

856 (N) an offense described in Title 76, Chapter 5, Part 4, Sexual Offenses;

857 (O) sexual exploitation of a minor, as described in Section 76-5b-201;

858 (P) aggravated arson, as described in Section 76-6-103;

859 (Q) aggravated burglary, as described in Section 76-6-203;

860 (R) aggravated robbery, as described in Section 76-6-302; or

861 (S) domestic violence, as described in Section 77-36-1; or

862 (ii) an offense committed outside the state that, if committed in the state, would
863 constitute a violation of an offense described in Subsection (14)(c)(i).

864 (d) Notwithstanding Subsections (5) through (9), the office shall deny a license or
865 license renewal to a prospective foster parent or a prospective adoptive parent if, within the five

866 years immediately preceding the day on which the individual's application or license would
867 otherwise be approved, the applicant was convicted of a felony involving conduct that
868 constitutes a violation of any of the following:

- 869 (i) aggravated assault, as described in Section 76-5-103;
- 870 (ii) aggravated assault by a prisoner, as described in Section 76-5-103.5;
- 871 (iii) mayhem, as described in Section 76-5-105;
- 872 (iv) an offense described in Title 58, Chapter 37, Utah Controlled Substances Act;
- 873 (v) an offense described in Title 58, Chapter 37a, Utah Drug Paraphernalia Act;
- 874 (vi) an offense described in Title 58, Chapter 37b, Imitation Controlled Substances
875 Act;

876 (vii) an offense described in Title 58, Chapter 37c, Utah Controlled Substance
877 Precursor Act; or

878 (viii) an offense described in Title 58, Chapter 37d, Clandestine Drug Lab Act.

879 (e) In addition to the circumstances described in Subsection (6)(a), the office shall
880 conduct the comprehensive review of an applicant's background check pursuant to this section
881 if the registry check described in Subsection (14)(a) indicates that the individual is listed in a
882 child abuse and neglect registry of another state as having a substantiated or supported finding
883 of a severe type of child abuse or neglect as defined in Section 62A-4a-1002.

884 Section 5. Section 62A-2-123 is enacted to read:

885 **62A-2-123. Congregate care program regulation.**

886 (1) A congregate care program may not use a cruel, severe, unusual, or unnecessary
887 practice on a child, including:

888 (a) a strip search unless the congregate care program determines and documents that a
889 strip search is necessary to protect an individual's health or safety;

890 (b) a body cavity search unless the congregate care program determines and documents
891 that a body cavity search is necessary to protect an individual's health or safety;

892 (c) inducing pain to obtain compliance;

- 893 (d) hyperextending joints;
- 894 (e) peer restraints;
- 895 (f) discipline or punishment that is intended to frighten or humiliate;
- 896 (g) requiring or forcing the child to take an uncomfortable position, including squatting
- 897 or bending;
- 898 (h) for the purpose of punishing or humiliating, requiring or forcing the child to repeat
- 899 physical movements or physical exercises such as running laps or performing push-ups;
- 900 (i) spanking, hitting, shaking, or otherwise engaging in aggressive physical contact;
- 901 (j) denying an essential program service;
- 902 (k) depriving the child of a meal, water, rest, or opportunity for toileting;
- 903 (l) denying shelter, clothing, or bedding;
- 904 (m) withholding personal interaction, emotional response, or stimulation;
- 905 (n) prohibiting the child from entering the residence;
- 906 (o) abuse as defined in Section [78A-6-105](#); and
- 907 (p) neglect as defined in Section [78A-6-105](#).
- 908 (2) Before a congregate care program may use a restraint or seclusion, the congregate
- 909 care program shall:
- 910 (a) develop and implement written policies and procedures that:
- 911 (i) describe the circumstances under which a staff member may use a restraint or
- 912 seclusion;
- 913 (ii) describe which staff members are authorized to use a restraint or seclusion;
- 914 (iii) describe procedures for monitoring a child that is restrained or in seclusion;
- 915 (iv) describe time limitations on the use of a restraint or seclusion;
- 916 (v) require immediate and continuous review of the decision to use a restraint or
- 917 seclusion;
- 918 (vi) require documenting the use of a restraint or seclusion;
- 919 (vii) describe record keeping requirements for records related to the use of a restraint or

920 seclusion;
921 (viii) to the extent practicable, require debriefing the following individuals if debriefing
922 would not interfere with an ongoing investigation, violate any law or regulation, or conflict
923 with a child's treatment plan:
924 (A) each witness to the event;
925 (B) each staff member involved; and
926 (C) the child who was restrained or in seclusion.
927 (ix) include a procedure for complying with Subsection (5); and
928 (x) provide an administrative review process and required follow up actions after a
929 child is restrained or put in seclusion; and
930 (b) consult with the office to ensure that the congregate care program's written policies
931 and procedures align with industry standards and applicable law.
932 (3) A congregate care program:
933 (a) may use a passive physical restraint only if the passive physical restraint is
934 supported by a nationally or regionally recognized curriculum focused on non-violent
935 interventions and de-escalation techniques;
936 (b) may not use a chemical or mechanical restraint unless the office has authorized the
937 congregate care program to use a chemical or mechanical restraint;
938 (c) shall ensure that a staff member that uses a restraint on a child is:
939 (i) properly trained to use the restraint; and
940 (ii) familiar with the child and if the child has a treatment plan, the child's treatment
941 plan; and
942 (d) shall train each staff member on how to intervene if another staff member fails to
943 follow correct procedures when using a restraint.
944 (4) (a) A congregate care program:
945 (i) may use seclusion if:
946 (A) the purpose for the seclusion is to ensure the immediate safety of the child or

947 others; and
948 (B) no less restrictive intervention is likely to ensure the safety of the child or others;
949 and
950 (ii) may not use seclusion:
951 (A) for coercion, retaliation, or humiliation; or
952 (B) due to inadequate staffing or for the staff's convenience.
953 (b) While a child is in seclusion, a staff member who is familiar to the child shall
954 actively supervise the child for the duration of the seclusion.
955 (5) Subject to the office's review and approval, a congregate care program shall
956 develop:
957 (a) suicide prevention policies and procedures that describe:
958 (i) how the congregate care program will respond in the event a child exhibits
959 self-injurious, self-harm, or suicidal behavior;
960 (ii) warning signs of suicide;
961 (iii) emergency protocol and contacts;
962 (iv) training requirements for staff, including suicide prevention training;
963 (v) procedures for implementing additional supervision precautions and for removing
964 any additional supervision precautions;
965 (vi) suicide risk assessment procedures;
966 (vii) documentation requirements for a child's suicide ideation and self-harm;
967 (viii) special observation precautions for a child exhibiting warning signs of suicide;
968 (ix) communication procedures to ensure all staff are aware of a child who exhibits
969 warning signs of suicide;
970 (x) a process for tracking suicide behavioral patterns; and
971 (xi) a post-intervention plan with identified resources; and
972 (b) based on state law and industry best practices, policies and procedures for
973 managing a child's behavior during the child's participation in the congregate care program.

- 974 (6) A congregate care program:
- 975 (a) when not otherwise prohibited by law, shall facilitate weekly confidential
- 976 communication between a child and the child's parents, guardian, foster parents, and siblings,
- 977 as applicable;
- 978 (b) shall ensure that the communication described in Subsection (6)(a) complies with
- 979 the child's treatment plan, if any; and
- 980 (c) may not use family contact as an incentive for proper behavior or withhold family
- 981 contact as a punishment.

982 Section 6. Section **62A-2-124** is enacted to read:

983 **62A-2-124. Human services program non-discrimination.**

984 A human services program:

- 985 (1) shall perform an individualized assessment when classifying and placing an
- 986 individual in programs and living environments; and
- 987 (2) subject to the office's review and approval, shall create policies and procedures that
- 988 include:
 - 989 (a) a description of what constitutes sex and gender based abuse, discrimination, and
 - 990 harassment;
 - 991 (b) procedures for preventing and reporting abuse, discrimination, and harassment; and
 - 992 (c) procedures for teaching effective and professional communication with individuals
 - 993 of all sexual orientations and genders.