1	ASSISTED OUTPATIENT TREATMENT FOR MENTAL
2	ILLNESS
3	2019 GENERAL SESSION
4	STATE OF UTAH
5	Chief Sponsor: Lincoln Fillmore
6	House Sponsor: Steve Eliason
7	
8	LONG TITLE
9	General Description:
10	This bill creates a process for the provision of assisted outpatient treatment for an
11	individual with mental illness.
12	Highlighted Provisions:
13	This bill:
14	 defines "assisted outpatient treatment";
15	 describes the services provided to an individual receiving assisted outpatient
16	treatment;
17	 describes the process whereby an individual is court ordered to receive assisted
18	outpatient treatment;
19	 requires a designated examiner to consider assisted outpatient treatment when
20	evaluating a proposed patient for civil commitment; and
21	 makes technical changes.
22	Money Appropriated in this Bill:
23	None
24	Other Special Clauses:
25	None
26	Utah Code Sections Affected:
27	AMENDS:
28	17-43-301, as last amended by Laws of Utah 2018, Chapters 68 and 407
29	62A-15-602, as last amended by Laws of Utah 2018, Chapter 322

30	62A-15-618, as renumbered and amended by Laws of Utah 2002, Fifth Special Session,
31	Chapter 8
32	62A-15-631, as last amended by Laws of Utah 2018, Chapter 322
33	62A-15-703, as last amended by Laws of Utah 2018, Chapter 322
34	ENACTS:
35	31A-22-650 , Utah Code Annotated 1953
36	62A-15-630.4, Utah Code Annotated 1953
37	62A-15-630.5, Utah Code Annotated 1953
38 39	Be it enacted by the Legislature of the state of Utah:
40	Section 1. Section 17-43-301 is amended to read:
41	17-43-301. Local mental health authorities Responsibilities.
42	(1) As used in this section:
43	(a) "Assisted outpatient treatment" means the same as that term is defined in Section
44	<u>62A-15-602.</u>
45	[(a)] (b) "Crisis worker" means the same as that term is defined in Section
46	62A-15-1301.
47	[(b)] (c) "Local mental health crisis line" means the same as that term is defined in
48	Section 63C-18-102.
49	[(c)] (d) "Mental health therapist" means the same as that term is defined in Section
50	58-60-102.
51	[(d)] (e) "Public funds" means the same as that term is defined in Section 17-43-303.
52	[(e)] (f) "Statewide mental health crisis line" means the same as that term is defined in
53	Section 63C-18-102.
54	(2) (a) (i) In each county operating under a county executive-council form of
55	government under Section 17-52a-203, the county legislative body is the local mental health
56	authority, provided however that any contract for plan services shall be administered by the
57	county executive.

58	(ii) In each county operating under a council-manager form of government under
59	Section 17-52a-204, the county manager is the local mental health authority.
60	(iii) In each county other than a county described in Subsection (2)(a)(i) or (ii), the
61	county legislative body is the local mental health authority.
62	(b) Within legislative appropriations and county matching funds required by this
63	section, under the direction of the division, each local mental health authority shall:
64	(i) provide mental health services to [persons] individuals within the county; and
65	(ii) cooperate with efforts of the Division of Substance Abuse and Mental Health to
66	promote integrated programs that address an individual's substance abuse, mental health, and
67	physical healthcare needs, as described in Section 62A-15-103.
68	(c) Within legislative appropriations and county matching funds required by this
69	section, each local mental health authority shall cooperate with the efforts of the Department of
70	Human Services to promote a system of care, as defined in Section 62A-1-104, for minors with
71	or at risk for complex emotional and behavioral needs, as described in Section 62A-1-111.
72	(3) (a) By executing an interlocal agreement under Title 11, Chapter 13, Interlocal
73	Cooperation Act, two or more counties may join to:
74	(i) provide mental health prevention and treatment services; or
75	(ii) create a united local health department that combines substance abuse treatment
76	services, mental health services, and local health department services in accordance with
77	Subsection (4).
78	(b) The legislative bodies of counties joining to provide services may establish
79	acceptable ways of apportioning the cost of mental health services.
80	(c) Each agreement for joint mental health services shall:
81	(i) (A) designate the treasurer of one of the participating counties or another person as
82	the treasurer for the combined mental health authorities and as the custodian of money
83	available for the joint services; and
84	(B) provide that the designated treasurer, or other disbursing officer authorized by the
85	treasurer, may make payments from the money available for the joint services upon audit of the

- 3 -

86 appropriate auditing officer or officers representing the participating counties; 87 (ii) provide for the appointment of an independent auditor or a county auditor of one of the participating counties as the designated auditing officer for the combined mental health 88 89 authorities; (iii) (A) provide for the appointment of the county or district attorney of one of the 90 91 participating counties as the designated legal officer for the combined mental health 92 authorities; and 93 (B) authorize the designated legal officer to request and receive the assistance of the 94 county or district attorneys of the other participating counties in defending or prosecuting 95 actions within their counties relating to the combined mental health authorities; and (iv) provide for the adoption of management, clinical, financial, procurement, 96 97 personnel, and administrative policies as already established by one of the participating counties or as approved by the legislative body of each participating county or interlocal board. 98 99 (d) An agreement for joint mental health services may provide for: 100 (i) joint operation of services and facilities or for operation of services and facilities 101 under contract by one participating local mental health authority for other participating local 102 mental health authorities; and (ii) allocation of appointments of members of the mental health advisory council 103 104 between or among participating counties. 105 (4) A county governing body may elect to combine the local mental health authority 106 with the local substance abuse authority created in Part 2, Local Substance Abuse Authorities, 107 and the local health department created in Title 26A. Chapter 1. Part 1. Local Health 108 Department Act, to create a united local health department under Section 26A-1-105.5. A local 109 mental health authority that joins with a united local health department shall comply with this 110 part. (5) (a) Each local mental health authority is accountable to the department, the 111 Department of Health, and the state with regard to the use of state and federal funds received 112

113 from those departments for mental health services, regardless of whether the services are

114 provided by a private contract provider.

(b) Each local mental health authority shall comply, and require compliance by its
contract provider, with all directives issued by the department and the Department of Health
regarding the use and expenditure of state and federal funds received from those departments
for the purpose of providing mental health programs and services. The department and
Department of Health shall ensure that those directives are not duplicative or conflicting, and
shall consult and coordinate with local mental health authorities with regard to programs and
services.

122 (6) (a) Each local mental health authority shall:

(i) review and evaluate mental health needs and services, including mental health needs
and services for [persons]:

125 (A) an individual incarcerated in a county jail or other county correctional facility; and

(B) an individual who is a resident of the county and who is court ordered to receive
 assisted outpatient treatment under Section 62A-15-630.5;

- (ii) in accordance with Subsection (6)(b), annually prepare and submit to the division a
 plan approved by the county legislative body for mental health funding and service delivery,
 either directly by the local mental health authority or by contract;
- (iii) establish and maintain, either directly or by contract, programs licensed under Title
 62A, Chapter 2, Licensure of Programs and Facilities;
- (iv) appoint, directly or by contract, a full-time or part-time director for mental health
 programs and prescribe the director's duties;
- 135

136

(vi) establish and require contract providers to establish administrative, clinical,

(v) provide input and comment on new and revised rules established by the division:

137 personnel, financial, procurement, and management policies regarding mental health services

and facilities, in accordance with the rules of the division, and state and federal law;

- 139 (vii) establish mechanisms allowing for direct citizen input;
- 140 (viii) annually contract with the division to provide mental health programs and
- services in accordance with the provisions of Title 62A, Chapter 15, Substance Abuse and

142	Mental Health Act;
143	(ix) comply with all applicable state and federal statutes, policies, audit requirements,
144	contract requirements, and any directives resulting from those audits and contract requirements;
145	(x) provide funding equal to at least 20% of the state funds that it receives to fund
146	services described in the plan;
147	(xi) comply with the requirements and procedures of Title 11, Chapter 13, Interlocal
148	Cooperation Act, Title 17B, Chapter 1, Part 6, Fiscal Procedures for Local Districts, and Title
149	51, Chapter 2a, Accounting Reports from Political Subdivisions, Interlocal Organizations, and
150	Other Local Entities Act; and
151	(xii) take and retain physical custody of minors committed to the physical custody of
152	local mental health authorities by a judicial proceeding under Title 62A, Chapter 15, Part 7,
153	Commitment of Persons Under Age 18 to Division of Substance Abuse and Mental Health.
154	(b) Each plan under Subsection (6)(a)(ii) shall include services for adults, youth, and
155	children, which shall include:
156	(i) inpatient care and services;
157	(ii) residential care and services;
158	(iii) outpatient care and services;
159	(iv) 24-hour crisis care and services;
160	(v) psychotropic medication management;
161	(vi) psychosocial rehabilitation, including vocational training and skills development;
162	(vii) case management;
163	(viii) community supports, including in-home services, housing, family support
164	services, and respite services;
165	(ix) consultation and education services, including case consultation, collaboration
166	with other county service agencies, public education, and public information; and
167	(x) services to persons incarcerated in a county jail or other county correctional facility.
168	(7) (a) If a local mental health authority provides for a local mental health crisis line
169	under the plan for 24-hour crisis care and services described in Subsection (6)(b)(iv), the local

S.B. 39

170	mental health authority shall:
-----	--------------------------------

- 171 (i) collaborate with the statewide mental health crisis line described in Section
- 172 62A-15-1302;
- 173 (ii) ensure that each individual who answers calls to the local mental health crisis line:
- 174 (A) is a mental health therapist or a crisis worker; and
- 175 (B) meets the standards of care and practice established by the Division of Substance
- 176 Abuse and Mental Health, in accordance with Section 62A-15-1302; and

(iii) ensure that when necessary, based on the local mental health crisis line's capacity,
calls are immediately routed to the statewide mental health crisis line to ensure that when an
individual calls the local mental health crisis line, regardless of the time, date, or number of
individuals trying to simultaneously access the local mental health crisis line, a mental health
therapist or a crisis worker answers the call without the caller first:

- 182 (A) waiting on hold; or
- (B) being screened by an individual other than a mental health therapist or crisisworker.
- (b) If a local mental health authority does not provide for a local mental health crisis
 line under the plan for 24-hour crisis care and services described in Subsection (6)(b)(iv), the
 local mental health authority shall use the statewide mental health crisis line as a local crisis
 line resource.
- (8) Before disbursing any public funds, each local mental health authority shall require
 that each entity that receives any public funds from a local mental health authority agrees in
 writing that:
- (a) the entity's financial records and other records relevant to the entity's performanceof the services provided to the mental health authority shall be subject to examination by:
- 194 (i) the division;
- 195 (ii) the local mental health authority director;
- 196 (iii) (A) the county treasurer and county or district attorney; or
- 197 (B) if two or more counties jointly provide mental health services under an agreement

198	under Subsection (3), the designated treasurer and the designated legal officer;
199	(iv) the county legislative body; and
200	(v) in a county with a county executive that is separate from the county legislative
201	body, the county executive;
202	(b) the county auditor may examine and audit the entity's financial and other records
203	relevant to the entity's performance of the services provided to the local mental health
204	authority; and
205	(c) the entity will comply with the provisions of Subsection (5)(b).
206	(9) A local mental health authority may receive property, grants, gifts, supplies,
207	materials, contributions, and any benefit derived therefrom, for mental health services. If those
208	gifts are conditioned upon their use for a specified service or program, they shall be so used.
209	(10) Public funds received for the provision of services pursuant to the local mental
210	health plan may not be used for any other purpose except those authorized in the contract
211	between the local mental health authority and the provider for the provision of plan services.
212	(11) A local mental health authority shall provide assisted outpatient treatment
213	services, as described in Section 62A-15-630.4, to a resident of the county who has been
214	ordered under Section 62A-15-630.5 to receive assisted outpatient treatment.
215	Section 2. Section 31A-22-650 is enacted to read:
216	<u>31A-22-650.</u> Insurance coverage for assisted outpatient treatment.
217	(1) As used in this section, "assisted outpatient treatment" means the same as that term
218	is defined in Section 62A-15-602.
219	(2) A health insurance provider may not deny an insured the benefits of the insured's
220	policy solely because the health care that the insured receives is provided under a court order
221	for assisted outpatient treatment, as provided in Section 62A-15-630.5.
222	Section 3. Section 62A-15-602 is amended to read:
223	62A-15-602. Definitions.
224	As used in this part, Part 7, Commitment of Persons Under Age 18 to Division of
225	Substance Abuse and Montal Health Part & Interstate Compact on Montal Health Part O. Utab

225 Substance Abuse and Mental Health, Part 8, Interstate Compact on Mental Health, Part 9, Utah

226 Forensic Mental Health Facility, Part 10, Declaration for Mental Health Treatment, and Part

- 227 12, Essential Treatment and Intervention Act:
- 228 (1) "Adult" means an individual 18 years of age or older.
- (2) "Approved treatment facility or program" means a treatment provider that meets the
 standards described in Subsection 62A-15-103(2)(a)(v).
- 231 (3) "Assisted outpatient treatment" means involuntary outpatient mental health
 232 treatment ordered under Section 62A-15-630.5.
- [(3)] (4) "Commitment to the custody of a local mental health authority" means that an
 adult is committed to the custody of the local mental health authority that governs the mental
 health catchment area where the adult resides or is found.
- [(4)] (5) "Community mental health center" means an entity that provides treatment and services to a resident of a designated geographical area, that operates by or under contract with a local mental health authority, and that complies with state standards for community mental health centers.
- 240 [(5)] (6) "Designated examiner" means:
- (a) a licensed physician, preferably a psychiatrist, who is designated by the division as
 specially qualified by training or experience in the diagnosis of mental or related illness; or
- (b) a licensed mental health professional designated by the division as specially
 qualified by training and who has at least five years' continual experience in the treatment of
 mental illness.
- [(6)] (7) "Designee" means a physician who has responsibility for medical functions
 including admission and discharge, an employee of a local mental health authority, or an
 employee of a person that has contracted with a local mental health authority to provide mental
 health services under Section 17-43-304.
- [(7)] <u>(8)</u> "Essential treatment" and "essential treatment and intervention" mean
 court-ordered treatment at a local substance abuse authority or an approved treatment facility or
 program for the treatment of an adult's substance use disorder.
- 253 [(8)] (9) "Harmful sexual conduct" means the following conduct upon an individual

254	without the individual's consent, including the nonconsensual circumstances described in
255	Subsections 76-5-406(1) through (12):
256	(a) sexual intercourse;
257	(b) penetration, however slight, of the genital or anal opening of the individual;
258	(c) any sexual act involving the genitals or anus of the actor or the individual and the
259	mouth or anus of either individual, regardless of the gender of either participant; or
260	(d) any sexual act causing substantial emotional injury or bodily pain.
261	[(9)] (10) "Institution" means a hospital or a health facility licensed under Section
262	26-21-8.
263	[(10)] (11) "Local substance abuse authority" means the same as that term is defined in
264	Section 62A-15-102 and described in Section 17-43-201.
265	[(11)] (12) "Mental health facility" means the Utah State Hospital or other facility that
266	provides mental health services under contract with the division, a local mental health
267	authority, a person that contracts with a local mental health authority, or a person that provides
268	acute inpatient psychiatric services to a patient.
269	[(12)] (13) "Mental health officer" means an individual who is designated by a local
270	mental health authority as qualified by training and experience in the recognition and
271	identification of mental illness, to:
272	(a) apply for and provide certification for a temporary commitment; or
273	(b) assist in the arrangement of transportation to a designated mental health facility.
274	[(13)] (14) "Mental illness" means:
275	(a) a psychiatric disorder that substantially impairs an individual's mental, emotional,
276	behavioral, or related functioning; or
277	(b) the same as that term is defined in:
278	(i) the current edition of the Diagnostic and Statistical Manual of Mental Disorders
279	published by the American Psychiatric Association; or
280	(ii) the current edition of the International Statistical Classification of Diseases and
281	Related Health Problems.

282	[(14)] (15) "Patient" means an individual who is:
283	(a) under commitment to the custody or to the treatment services of a local mental
284	health authority; or
285	(b) undergoing essential treatment and intervention.
286	[(15)] (16) "Physician" means an individual who is:
287	(a) licensed as a physician under Title 58, Chapter 67, Utah Medical Practice Act; or
288	(b) licensed as a physician under Title 58, Chapter 68, Utah Osteopathic Medical
289	Practice Act.
290	[(16)] (17) "Serious bodily injury" means bodily injury that involves a substantial risk
291	of death, unconsciousness, extreme physical pain, protracted and obvious disfigurement, or
292	protracted loss or impairment of the function of a bodily member, organ, or mental faculty.
293	[(17)] (18) "Substantial danger" means that due to mental illness, an individual is at
294	serious risk of:
295	(a) suicide;
296	(b) serious bodily self-injury;
297	(c) serious bodily injury because the individual is incapable of providing the basic
298	necessities of life, including food, clothing, or shelter;
299	(d) causing or attempting to cause serious bodily injury to another individual; or
300	(e) engaging in harmful sexual conduct.
301	[(18)] (19) "Treatment" means psychotherapy, medication, including the administration
302	of psychotropic medication, or other medical treatments that are generally accepted medical or
303	psychosocial interventions for the purpose of restoring the patient to an optimal level of
304	functioning in the least restrictive environment.
305	Section 4. Section 62A-15-618 is amended to read:
306	62A-15-618. Designated examiners.
307	(1) A designated examiner, when evaluating a proposed patient for civil commitment,
308	shall consider whether:
309	(a) a proposed patient has been under a court order for assisted outpatient treatment;

310	(b) the proposed patient complied with the terms of the assisted outpatient treatment
311	order, if any; and
312	(c) whether assisted outpatient treatment is sufficient to meet the proposed patient's
313	needs.
314	(2) Designated examiners shall be allowed a reasonable fee by the county legislative
315	body of the county in which the proposed patient resides or is found, unless they are otherwise
316	paid.
317	Section 5. Section 62A-15-630.4 is enacted to read:
318	62A-15-630.4. Assisted outpatient treatment services.
319	(1) The local mental health authority or its designee shall provide assisted outpatient
320	treatment, which shall include:
321	(a) case management; and
322	(b) an individualized treatment plan, created with input from the proposed patient
323	when possible.
324	(2) A court order for assisted outpatient treatment does not create independent
325	authority to forcibly medicate a patient.
326	Section 6. Section 62A-15-630.5 is enacted to read:
327	62A-15-630.5. Assisted outpatient treatment proceedings.
328	(1) A responsible individual who has credible knowledge of an adult's mental illness
329	and the condition or circumstances that have led to the adult's need for assisted outpatient
330	treatment may file, in the district court in the county where the proposed patient resides or is
331	found, a written application that includes:
332	(a) unless the court finds that the information is not reasonably available, the proposed
333	patient's:
334	(i) name;
335	(ii) date of birth; and
336	(iii) social security number; and
337	(b) (i) a certificate of a licensed physician or a designated examiner stating that within

338	the seven-day period immediately preceding the certification, the physician or designated
339	examiner examined the proposed patient and is of the opinion that the proposed patient has a
340	mental illness and should be involuntarily committed; or
341	(ii) a written statement by the applicant that:
342	(A) the proposed patient has been requested to, but has refused to, submit to an
343	examination of mental condition by a licensed physician or designated examiner;
344	(B) is sworn to under oath; and
345	(C) states the facts upon which the application is based.
346	(2) (a) Subject to Subsection (2)(b), before issuing a judicial order, the court may
347	require the applicant to consult with the appropriate local mental health authority, and the court
348	may direct a mental health professional from that local mental health authority to interview the
349	applicant and the proposed patient to determine the existing facts and report them to the court.
350	(b) The consultation described in Subsection (2)(a):
351	(i) may take place at or before the hearing; and
352	(ii) is required if the local mental health authority appears at the hearing.
353	(3) If the proposed patient refuses to submit to an interview described in Subsection
354	(2)(a) or an examination described in Subsection (8), the court may issue an order, directed to a
355	mental health officer or peace officer, to immediately place the proposed patient into the
356	custody of a local mental health authority or in a temporary emergency facility, as provided in
357	Section 62A-15-634, to be detained for the purpose of examination.
358	(4) Notice of commencement of proceedings for assisted outpatient treatment, setting
359	forth the allegations of the application and any reported facts, together with a copy of any
360	official order of detention, shall:
361	(a) be provided by the court to a proposed patient before, or upon, placement into the
362	custody of a local mental health authority or, with respect to any proposed patient presently in
363	the custody of a local mental health authority;
364	(b) be maintained at the proposed patient's place of detention, if any;
365	(c) be provided by the court as soon as practicable to the applicant, any legal guardian,

200	
366	any immediate adult family members, legal counsel for the parties involved, the local mental
367	health authority or its designee, and any other person whom the proposed patient or the court
368	shall designate; and
369	(d) advise that a hearing may be held within the time provided by law.
370	(5) The district court may, in its discretion, transfer the case to any other district court
371	within this state, provided that the transfer will not be adverse to the interest of the proposed
372	patient.
373	(6) Within 24 hours, excluding Saturdays, Sundays, and legal holidays, of the issuance
374	of a judicial order, or after commitment of a proposed patient to a local mental health authority
375	or its designee under court order for detention in order to complete an examination, the court
376	shall appoint two designated examiners:
377	(a) who did not sign the assisted outpatient treatment application nor the certification
378	described in Subsection (1);
379	(b) one of whom is a licensed physician; and
380	(c) one of whom may be designated by the proposed patient or the proposed patient's
381	counsel, if that designated examiner is reasonably available.
382	(7) The court shall schedule a hearing to be held within 10 calendar days of the day on
383	which the designated examiners are appointed.
384	(8) The designated examiners shall:
385	(a) conduct their examinations separately;
386	(b) conduct the examinations at the home of the proposed patient, at a hospital or other
387	medical facility, or at any other suitable place that is not likely to have a harmful effect on the
388	proposed patient's health;
389	(c) inform the proposed patient, if not represented by an attorney:
390	(i) that the proposed patient does not have to say anything;
391	(ii) of the nature and reasons for the examination;
392	(iii) that the examination was ordered by the court;
393	(iv) that any information volunteered could form part of the basis for the proposed

S.B. 39

394	patient to be ordered to receive assisted outpatient treatment; and
395	(v) that findings resulting from the examination will be made available to the court;
396	and
397	(d) within 24 hours of examining the proposed patient, report to the court, orally or in
398	writing, whether the proposed patient is mentally ill. If the designated examiner reports orally,
399	the designated examiner shall immediately send a written report to the clerk of the court.
400	(9) If a designated examiner is unable to complete an examination on the first attempt
401	because the proposed patient refuses to submit to the examination, the court shall fix a
402	reasonable compensation to be paid to the examiner.
403	(10) If the local mental health authority, its designee, or a medical examiner determines
404	before the court hearing that the conditions justifying the findings leading to an assisted
405	outpatient treatment hearing no longer exist, the local mental health authority, its designee, or
406	the medical examiner shall immediately report that determination to the court.
407	(11) The court may terminate the proceedings and dismiss the application at any time,
408	including prior to the hearing, if the designated examiners or the local mental health authority
409	or its designee informs the court that the proposed patient is not mentally ill.
410	(12) Before the hearing, an opportunity to be represented by counsel shall be afforded
411	to the proposed patient, and if neither the proposed patient nor others provide counsel, the court
412	shall appoint counsel and allow counsel sufficient time to consult with the proposed patient
413	before the hearing. In the case of an indigent proposed patient, the payment of reasonable
414	attorney fees for counsel, as determined by the court, shall be made by the county in which the
415	proposed patient resides or is found.
416	(13) (a) All persons to whom notice is required to be given shall be afforded an
417	opportunity to appear at the hearing, to testify, and to present and cross-examine witnesses. The
418	court may, in its discretion, receive the testimony of any other individual. The court may allow
419	a waiver of the proposed patient's right to appear only for good cause shown, and that cause
420	shall be made a matter of court record.
421	(b) The court is authorized to exclude all individuals not necessary for the conduct of

422 the proceedings and may, upon motion of counsel, require the testimony of each examiner to be 423 given out of the presence of any other examiners. 424 (c) The hearing shall be conducted in as informal a manner as may be consistent with 425 orderly procedure, and in a physical setting that is not likely to have a harmful effect on the mental health of the proposed patient. 426 427 (d) The court shall consider all relevant historical and material information that is 428 offered, subject to the rules of evidence, including reliable hearsay under Rule 1102, Utah 429 Rules of Evidence. 430 (e) (i) A local mental health authority or its designee, or the physician in charge of the 431 proposed patient's care shall, at the time of the hearing, provide the court with the following 432 information: 433 (A) the detention order, if any: 434 (B) admission notes, if any; (C) the diagnosis, if any; 435 (D) doctor's orders, if any; 436 437 (E) progress notes, if any; 438 (F) nursing notes, if any; and 439 (G) medication records, if any. 440 (ii) The information described in Subsection (13)(e)(i) shall also be provided to the proposed patient's counsel: 441 442 (A) at the time of the hearing; and 443 (B) at any time prior to the hearing, upon request. 444 (14) The court shall order a proposed patient to assisted outpatient treatment if, upon 445 completion of the hearing and consideration of the information presented, the court finds by 446 clear and convincing evidence that: (a) the proposed patient has a mental illness; 447 448 (b) there is no appropriate less-restrictive alternative to a court order for assisted 449 outpatient treatment; and

450	(c) (i) the proposed patient lacks the ability to engage in a rational decision-making
451	process regarding the acceptance of mental health treatment, as demonstrated by evidence of
452	inability to weigh the possible risks of accepting or rejecting treatment; or
453	(ii) the proposed patient needs assisted outpatient treatment in order to prevent relapse
454	or deterioration that is likely to result in the proposed patient posing a substantial danger to self
455	or others.
456	(15) The court may order the applicant or a close relative of the patient to be the
457	patient's personal representative, as described in 45 C.F.R. Sec. 164.502(g), for purposes of the
458	patient's mental health treatment.
459	(16) In the absence of the findings described in Subsection (14), the court, after the
460	hearing, shall dismiss the proceedings.
461	(17) (a) The assisted outpatient treatment order shall designate the period for which the
462	patient shall be treated, which may not exceed six months without a review hearing.
463	(b) An individual identified under Subsection (4) may request a review hearing at any
464	time while the assisted outpatient treatment order is in effect.
465	(c) At a review hearing, the court may extend the duration of an assisted outpatient
466	treatment order by up to six months, if:
467	(i) the court finds by clear and convincing evidence that the patient meets the
468	conditions described in Subsection (14); or
469	(ii) (A) the patient does not appear at the review hearing; and
470	(B) notice of the review hearing was provided to the patient's last known address by the
471	applicant described in Subsection (1) or by a local mental health authority.
472	(d) The court shall maintain a current list of all patients under its order of assisted
473	outpatient treatment.
474	(e) At least two weeks prior to the expiration of the designated period of any assisted
475	outpatient treatment order still in effect, the court that entered the original order shall inform
476	the appropriate local mental health authority or its designee.
177	(19) Casta of all propositions under this spatian shall be noted by the county in which the

477 (18) Costs of all proceedings under this section shall be paid by the county in which the

478	proposed patient resides or is found.
479	(19) A court may not hold an individual in contempt for failure to comply with an
480	assisted outpatient treatment order.
481	(20) As provided in Section <u>31A-22-650</u> , a health insurance provider may not deny an
482	insured the benefits of the insured's policy solely because the health care that the insured
483	receives is provided under a court order for assisted outpatient treatment.
484	Section 7. Section 62A-15-631 is amended to read:
485	62A-15-631. Involuntary commitment under court order Examination
486	Hearing Power of court Findings required Costs.
487	(1) A responsible [person] individual who has [reason to know] credible knowledge of
488	an adult's mental illness and the condition or circumstances that have led to the adult's need to
489	be involuntarily committed may initiate an involuntary commitment court proceeding by filing,
490	in the district court in the county where the proposed patient resides or is found, a written
491	application that includes:
492	(a) unless the court finds that the information is not reasonably available, the proposed
493	patient's:
494	(i) name;
495	(ii) date of birth; and
496	(iii) social security number; [and]
497	(b) (i) a certificate of a licensed physician or a designated examiner stating that within
498	the seven-day period immediately preceding the certification, the physician or designated
499	examiner examined the proposed patient and is of the opinion that the proposed patient has a
500	mental illness and should be involuntarily committed; or
501	(ii) a written statement by the applicant that:
502	(A) the proposed patient has been requested to, but has refused to, submit to an
503	examination of mental condition by a licensed physician or designated examiner;
504	(B) is sworn to under oath; and
505	(C) states the facts upon which the application is based[.]; and

- 506 (c) a statement whether the proposed patient has previously been under an assisted
 507 outpatient treatment order, if known by the applicant.
- 508 (2) (a) Subject to Subsection (2)(b), before issuing a judicial order, the court may 509 require the applicant to consult with the appropriate local mental health authority, and the court 510 may direct a mental health professional from that local mental health authority to interview the 511 applicant and the proposed patient to determine the existing facts and report them to the court.
- 512

(b) The consultation described in Subsection (2)(a):

- 513 (i) may take place at or before the hearing; and
- 514 (ii) is required if the local mental health authority appears at the hearing.

515 (3) If the court finds from the application, from any other statements under oath, or from any reports from a mental health professional that there is a reasonable basis to believe 516 517 that the proposed patient has a mental illness that poses a substantial danger to self or others requiring involuntary commitment pending examination and hearing; or, if the proposed patient 518 519 has refused to submit to an interview with a mental health professional as directed by the court 520 or to go to a treatment facility voluntarily, the court may issue an order, directed to a mental 521 health officer or peace officer, to immediately place the proposed patient in the custody of a local mental health authority or in a temporary emergency facility as provided in Section 522 523 62A-15-634 to be detained for the purpose of examination.

(4) Notice of commencement of proceedings for involuntary commitment, setting forth
the allegations of the application and any reported facts, together with a copy of any official
order of detention, shall be provided by the court to a proposed patient before, or upon,
placement in the custody of a local mental health authority or, with respect to any proposed
patient presently in the custody of a local mental health authority whose status is being changed
from voluntary to involuntary, upon the filing of an application for that purpose with the court.
A copy of that order of detention shall be maintained at the place of detention.

(5) Notice of commencement of those proceedings shall be provided by the court as
soon as practicable to the applicant, any legal guardian, any immediate adult family members,
legal counsel for the parties involved, the local mental health authority or its designee, and any

534	other persons whom the proposed patient or the court shall designate. That notice shall advise
535	those persons that a hearing may be held within the time provided by law. If the proposed
536	patient has refused to permit release of information necessary for provisions of notice under
537	this subsection, the extent of notice shall be determined by the court.
538	(6) Proceedings for commitment of an individual under the age of 18 years to a local
539	mental health authority may be commenced in accordance with Part 7, Commitment of Persons
540	Under Age 18 to Division of Substance Abuse and Mental Health.
541	(7) The district court may, in its discretion, transfer the case to any other district court
542	within this state, provided that the transfer will not be adverse to the interest of the proposed
543	patient.
544	(8) Within 24 hours, excluding Saturdays, Sundays, and legal holidays, of the issuance
545	of a judicial order, or after commitment of a proposed patient to a local mental health authority
546	or its designee under court order for detention or examination, the court shall appoint two
547	designated examiners:
548	(a) who did not sign the civil commitment application nor the civil commitment
549	certification under Subsection (1);
550	(b) one of whom is a licensed physician; and
551	(c) one of whom may be designated by the proposed patient or the proposed patient's
552	counsel, if that designated examiner is reasonably available.
553	(9) The court shall schedule a hearing to be held within 10 calendar days of the day on
554	which the designated examiners are appointed.
555	(10) The designated examiners shall:
556	(a) conduct their examinations separately;
557	(b) conduct the examinations at the home of the proposed patient, at a hospital or other
558	medical facility, or at any other suitable place that is not likely to have a harmful effect on the
559	proposed patient's health;
560	(c) inform the proposed patient, if not represented by an attorney:
561	(i) that the proposed patient does not have to say anything;

S.B. 39

562 (ii) of the nature and reasons for the examination;

563 (iii) that the examination was ordered by the court;

(iv) that any information volunteered could form part of the basis for the proposedpatient's involuntary commitment; and

566 (v) that findings resulting from the examination will be made available to the court; 567 and

(d) within 24 hours of examining the proposed patient, report to the court, orally or in
writing, whether the proposed patient is mentally ill, has agreed to voluntary commitment, as
described in Section 62A-15-625, or has acceptable programs available to the proposed patient
without court proceedings. If the designated examiner reports orally, the designated examiner
shall immediately send a written report to the clerk of the court.

(11) If a designated examiner is unable to complete an examination on the first attempt
because the proposed patient refuses to submit to the examination, the court shall fix a
reasonable compensation to be paid to the examiner.

(12) If the local mental health authority, its designee, or a medical examiner determines
before the court hearing that the conditions justifying the findings leading to a commitment
hearing no longer exist, the local mental health authority, its designee, or the medical examiner
shall immediately report that determination to the court.

(13) The court may terminate the proceedings and dismiss the application at any time,
including prior to the hearing, if the designated examiners or the local mental health authority
or its designee informs the court that the proposed patient:

583 (a) is not mentally ill;

584 (b) has agreed to voluntary commitment, as described in Section 62A-15-625; or

(c) has acceptable options for treatment programs that are available without courtproceedings.

(14) Before the hearing, an opportunity to be represented by counsel shall be afforded
to [every] the proposed patient, and if neither the proposed patient nor others provide counsel,
the court shall appoint counsel and allow counsel sufficient time to consult with the proposed

Enrolled Copy

patient before the hearing. In the case of an indigent proposed patient, the payment of
reasonable attorney fees for counsel, as determined by the court, shall be made by the county in
which the proposed patient resides or is found.

(15) (a) The proposed patient, the applicant, and all other persons to whom notice is required to be given shall be afforded an opportunity to appear at the hearing, to testify, and to present and cross-examine witnesses. The court may, in its discretion, receive the testimony of any other person. The court may allow a waiver of the proposed patient's right to appear only for good cause shown, and that cause shall be made a matter of court record.

(b) The court is authorized to exclude all persons not necessary for the conduct of the
proceedings and may, upon motion of counsel, require the testimony of each examiner to be
given out of the presence of any other examiners.

601 (c) The hearing shall be conducted in as informal a manner as may be consistent with 602 orderly procedure, and in a physical setting that is not likely to have a harmful effect on the 603 mental health of the proposed patient.

604 (d) The court shall consider all relevant historical and material information that is
605 offered, subject to the rules of evidence, including reliable hearsay under Rule 1102, Utah
606 Rules of Evidence.

607 (e) (i) A local mental health authority or its designee, or the physician in charge of the 608 proposed patient's care shall, at the time of the hearing, provide the court with the following 609 information:

- 610 (A) the detention order;
- 611 (B) admission notes;
- 612 (C) the diagnosis;
- 613 (D) any doctors' orders;
- 614 (E) progress notes;
- 615 (F) nursing notes; [and]
- 616 (G) medication records pertaining to the current commitment[-]; and
- 617 (H) whether the proposed patient has previously been civilly committed or under an

618	order for assisted outpatient treatment.
619	(ii) That information shall also be supplied to the proposed patient's counsel at the time
620	of the hearing, and at any time prior to the hearing upon request.
621	(16) The court shall order commitment of a proposed patient who is 18 years of age or
622	older to a local mental health authority if, upon completion of the hearing and consideration of
623	the information presented in accordance with Subsection (15)(d), the court finds by clear and
624	convincing evidence that:
625	(a) the proposed patient has a mental illness;
626	(b) because of the proposed patient's mental illness the proposed patient poses a
627	substantial danger to self or others;
628	(c) the proposed patient lacks the ability to engage in a rational decision-making
629	process regarding the acceptance of mental treatment as demonstrated by evidence of inability
630	to weigh the possible risks of accepting or rejecting treatment;
631	(d) there is no appropriate less-restrictive alternative to a court order of commitment;
632	and
633	(e) the local mental health authority can provide the proposed patient with treatment
634	that is adequate and appropriate to the proposed patient's conditions and needs. In the absence
635	of the required findings of the court after the hearing, the court shall dismiss the proceedings.
636	(17) (a) The order of commitment shall designate the period for which the patient shall
637	be treated. When the patient is not under an order of commitment at the time of the hearing,
638	that period may not exceed six months without benefit of a review hearing. Upon such a
639	review hearing, to be commenced prior to the expiration of the previous order, an order for
640	commitment may be for an indeterminate period, if the court finds by clear and convincing
641	evidence that the required conditions in Subsection (16) will last for an indeterminate period.
642	(b) The court shall maintain a current list of all patients under its order of commitment.
643	That list shall be reviewed to determine those patients who have been under an order of
644	commitment for the designated period. At least two weeks prior to the expiration of the
645	designated period of any order of commitment still in effect, the court that entered the original

Enrolled Copy

order shall inform the appropriate local mental health authority or its designee. The local
mental health authority or its designee shall immediately reexamine the reasons upon which the
order of commitment was based. If the local mental health authority or its designee determines
that the conditions justifying that commitment no longer exist, it shall discharge the patient
from involuntary commitment and immediately report the discharge to the court. Otherwise,
the court shall immediately appoint two designated examiners and proceed under Subsections
(8) through (14).

653 (c) The local mental health authority or its designee responsible for the care of a patient 654 under an order of commitment for an indeterminate period shall, at six-month intervals, 655 reexamine the reasons upon which the order of indeterminate commitment was based. If the local mental health authority or its designee determines that the conditions justifying that 656 657 commitment no longer exist, that local mental health authority or its designee shall discharge 658 the patient from its custody and immediately report the discharge to the court. If the local mental health authority or its designee determines that the conditions justifying that 659 660 commitment continue to exist, the local mental health authority or its designee shall send a 661 written report of those findings to the court. The patient and the patient's counsel of record shall be notified in writing that the involuntary commitment will be continued, the reasons for 662 663 that decision, and that the patient has the right to a review hearing by making a request to the 664 court. Upon receiving the request, the court shall immediately appoint two designated 665 examiners and proceed under Subsections (8) through (14).

666 (18) Any patient committed as a result of an original hearing or a patient's legally 667 designated representative who is aggrieved by the findings, conclusions, and order of the court 668 entered in the original hearing has the right to a new hearing upon a petition filed with the court 669 within 30 days of the entry of the court order. The petition must allege error or mistake in the 670 findings, in which case the court shall appoint three impartial designated examiners previously 671 unrelated to the case to conduct an additional examination of the patient. The new hearing 672 shall, in all other respects, be conducted in the manner otherwise permitted.

673

(19) Costs of all proceedings under this section shall be paid by the county in which the

674	proposed patient resides or is found.
675	Section 8. Section 62A-15-703 is amended to read:
676	62A-15-703. Residential and inpatient settings Commitment proceeding
677	Child in physical custody of local mental health authority.
678	(1) A child may receive services from a local mental health authority in an inpatient or
679	residential setting only after a commitment proceeding, for the purpose of transferring physical
680	custody, has been conducted in accordance with the requirements of this section.
681	(2) That commitment proceeding shall be initiated by a petition for commitment, and
682	shall be a careful, diagnostic inquiry, conducted by a neutral and detached fact finder, pursuant
683	to the procedures and requirements of this section. If the findings described in Subsection (4)
684	exist, the proceeding shall result in the transfer of physical custody to the appropriate local
685	mental health authority, and the child may be placed in an inpatient or residential setting.
686	(3) The neutral and detached fact finder who conducts the inquiry:
687	(a) shall be a designated examiner, as defined in Section 62A-15-602; and
688	(b) may not profit, financially or otherwise, from the commitment or physical
689	placement of the child in that setting.
690	(4) Upon determination by a fact finder that the following circumstances clearly exist,
691	the fact finder may order that the child be committed to the physical custody of a local mental
692	health authority:
693	(a) the child has a mental illness, as defined in [Subsection] Section 62A-15-602[(13)];
694	(b) the child demonstrates a reasonable fear of the risk of substantial danger to self or
695	others;
696	(c) the child will benefit from care and treatment by the local mental health authority;
697	and
698	(d) there is no appropriate less-restrictive alternative.
699	(5) (a) The commitment proceeding before the neutral and detached fact finder shall be
700	conducted in as informal manner as possible and in a physical setting that is not likely to have a
701	harmful effect on the child.

- 25 -

702	(b) The child, the child's parent or legal guardian, the petitioner, and a representative of
703	the appropriate local mental health authority:
704	(i) shall receive informal notice of the date and time of the proceeding; and
705	(ii) may appear and address the petition for commitment.
706	(c) The neutral and detached fact finder may, in the fact finder's discretion, receive the
707	testimony of any other person.
708	(d) The fact finder may allow a child to waive the child's right to be present at the
709	commitment proceeding, for good cause shown. If that right is waived, the purpose of the
710	waiver shall be made a matter of record at the proceeding.
711	(e) At the time of the commitment proceeding, the appropriate local mental health
712	authority, its designee, or the psychiatrist who has been in charge of the child's care prior to the
713	commitment proceeding, shall provide the neutral and detached fact finder with the following
714	information, as it relates to the period of current admission:
715	(i) the petition for commitment;
716	(ii) the admission notes;
717	(iii) the child's diagnosis;
718	(iv) physicians' orders;
719	(v) progress notes;
720	(vi) nursing notes; and
721	(vii) medication records.
722	(f) The information described in Subsection (5)(e) shall also be provided to the child's
723	parent or legal guardian upon written request.
724	(g) (i) The neutral and detached fact finder's decision of commitment shall state the
725	duration of the commitment. Any commitment to the physical custody of a local mental health
726	authority may not exceed 180 days. Prior to expiration of the commitment, and if further
727	commitment is sought, a hearing shall be conducted in the same manner as the initial
728	commitment proceeding, in accordance with the requirements of this section.
729	(ii) At the conclusion of the hearing and subsequently in writing, when a decision for

commitment is made, the neutral and detached fact finder shall inform the child and the child'sparent or legal guardian of that decision and of the reasons for ordering commitment.

- (iii) The neutral and detached fact finder shall state in writing the basis of the decision,
 with specific reference to each of the criteria described in Subsection (4), as a matter of record.
- (6) A child may be temporarily committed for a maximum of 72 hours, excluding
 Saturdays, Sundays, and legal holidays, to the physical custody of a local mental health
 authority in accordance with the procedures described in Section 62A-15-629 and upon
 satisfaction of the risk factors described in Subsection (4). A child who is temporarily
 committed shall be released at the expiration of the 72 hours unless the procedures and findings
 required by this section for the commitment of a child are satisfied.

(7) A local mental health authority shall have physical custody of each child committed
to it under this section. The parent or legal guardian of a child committed to the physical
custody of a local mental health authority under this section, retains legal custody of the child,
unless legal custody has been otherwise modified by a court of competent jurisdiction. In cases
when the Division of Child and Family Services or the Division of Juvenile Justice Services
has legal custody of a child, that division shall retain legal custody for purposes of this part.

(8) The cost of caring for and maintaining a child in the physical custody of a local 746 747 mental health authority shall be assessed to and paid by the child's parents, according to their 748 ability to pay. For purposes of this section, the Division of Child and Family Services or the 749 Division of Juvenile Justice Services shall be financially responsible, in addition to the child's 750 parents, if the child is in the legal custody of either of those divisions at the time the child is 751 committed to the physical custody of a local mental health authority under this section, unless 752 Medicaid regulation or contract provisions specify otherwise. The Office of Recovery Services 753 shall assist those divisions in collecting the costs assessed pursuant to this section.

(9) Whenever application is made for commitment of a minor to a local mental health
authority under any provision of this section by a person other than the child's parent or
guardian, the local mental health authority or its designee shall notify the child's parent or
guardian. The parents shall be provided sufficient time to prepare and appear at any scheduled

- 27 -

proceeding.

758

759 (10) (a) Each child committed pursuant to this section is entitled to an appeal within 30 760 days after any order for commitment. The appeal may be brought on the child's own petition or 761 on petition of the child's parent or legal guardian, to the juvenile court in the district where the child resides or is currently physically located. With regard to a child in the custody of the 762 763 Division of Child and Family Services or the Division of Juvenile Justice Services, the attorney 764 general's office shall handle the appeal, otherwise the appropriate county attorney's office is 765 responsible for appeals brought pursuant to this Subsection (10)(a). 766 (b) Upon receipt of the petition for appeal, the court shall appoint a designated

examiner previously unrelated to the case, to conduct an examination of the child in accordance
with the criteria described in Subsection (4), and file a written report with the court. The court
shall then conduct an appeal hearing to determine whether the findings described in Subsection
(4) exist by clear and convincing evidence.

(c) Prior to the time of the appeal hearing, the appropriate local mental health authority,
its designee, or the mental health professional who has been in charge of the child's care prior
to commitment, shall provide the court and the designated examiner for the appeal hearing with
the following information, as it relates to the period of current admission:

- (i) the original petition for commitment;
- 776 (ii) admission notes;
- 777 (iii) diagnosis;
- 778 (iv) physicians' orders;
- 779 (v) progress notes;
- 780 (vi) nursing notes; and
- 781 (vii) medication records.
- 782 (d) Both the neutral and detached fact finder and the designated examiner appointed for
- the appeal hearing shall be provided with an opportunity to review the most current
- information described in Subsection (10)(c) prior to the appeal hearing.
- 785

(e) The child, the child's parent or legal guardian, the person who submitted the

786 original petition for commitment, and a representative of the appropriate local mental health 787 authority shall be notified by the court of the date and time of the appeal hearing. Those persons shall be afforded an opportunity to appear at the hearing. In reaching its decision, the 788 789 court shall review the record and findings of the neutral and detached fact finder, the report of the designated examiner appointed pursuant to Subsection (10)(b), and may, in its discretion, 790 791 allow or require the testimony of the neutral and detached fact finder, the designated examiner, 792 the child, the child's parent or legal guardian, the person who brought the initial petition for 793 commitment, or any other person whose testimony the court deems relevant. The court may 794 allow the child to waive the right to appear at the appeal hearing, for good cause shown. If that 795 waiver is granted, the purpose shall be made a part of the court's record.

(11) Each local mental health authority has an affirmative duty to conduct periodic
evaluations of the mental health and treatment progress of every child committed to its physical
custody under this section, and to release any child who has sufficiently improved so that the
criteria justifying commitment no longer exist.

800 (12) (a) A local mental health authority or its designee, in conjunction with the child's 801 current treating mental health professional may release an improved child to a less restrictive 802 environment, as they determine appropriate. Whenever the local mental health authority or its designee, and the child's current treating mental health professional, determine that the 803 conditions justifying commitment no longer exist, the child shall be discharged and released to 804 805 the child's parent or legal guardian. With regard to a child who is in the physical custody of the 806 State Hospital, the treating psychiatrist or clinical director of the State Hospital shall be the 807 child's current treating mental health professional.

(b) A local mental health authority or its designee, in conjunction with the child's
current treating mental health professional, is authorized to issue a written order for the
immediate placement of a child not previously released from an order of commitment into a
more restrictive environment, if the local authority or its designee and the child's current
treating mental health professional has reason to believe that the less restrictive environment in
which the child has been placed is exacerbating the child's mental illness, or increasing the risk

Enrolled Copy

814 of harm to self or others.

815 (c) The written order described in Subsection (12)(b) shall include the reasons for placement in a more restrictive environment and shall authorize any peace officer to take the 816 817 child into physical custody and transport the child to a facility designated by the appropriate local mental health authority in conjunction with the child's current treating mental health 818 819 professional. Prior to admission to the more restrictive environment, copies of the order shall 820 be personally delivered to the child, the child's parent or legal guardian, the administrator of the 821 more restrictive environment, or the administrator's designee, and the child's former treatment 822 provider or facility.

(d) If the child has been in a less restrictive environment for more than 30 days and is
aggrieved by the change to a more restrictive environment, the child or the child's
representative may request a review within 30 days of the change, by a neutral and detached
fact finder as described in Subsection (3). The fact finder shall determine whether:

(i) the less restrictive environment in which the child has been placed is exacerbatingthe child's mental illness or increasing the risk of harm to self or others; or

(ii) the less restrictive environment in which the child has been placed is not
exacerbating the child's mental illness or increasing the risk of harm to self or others, in which
case the fact finder shall designate that the child remain in the less restrictive environment.

(e) Nothing in this section prevents a local mental health authority or its designee, in
conjunction with the child's current mental health professional, from discharging a child from
commitment or from placing a child in an environment that is less restrictive than that
designated by the neutral and detached fact finder.

(13) Each local mental health authority or its designee, in conjunction with the child's
current treating mental health professional shall discharge any child who, in the opinion of that
local authority, or its designee, and the child's current treating mental health professional, no
longer meets the criteria specified in Subsection (4), except as provided by Section 78A-6-120.
The local authority and the mental health professional shall assure that any further supportive
services required to meet the child's needs upon release will be provided.

842 (14) Even though a child has been committed to the physical custody of a local mental

843 health authority under this section, the child is still entitled to additional due process

- 844 proceedings, in accordance with Section 62A-15-704, before any treatment that may affect a
- 845 constitutionally protected liberty or privacy interest is administered. Those treatments include,
- 846 but are not limited to, antipsychotic medication, electroshock therapy, and psychosurgery.