115TH CONGRESS 1ST SESSION

## S. 585

### **AN ACT**

To provide greater whistleblower protections for Federal employees, increased awareness of Federal whistleblower protections, and increased accountability and required discipline for Federal supervisors who retaliate against whistleblowers, and for other purposes.

1	Be it enacted by the Senate and House of Representa-
2	tives of the United States of America in Congress assembled,
3	SECTION 1. SHORT TITLE; TABLE OF CONTENTS.
4	(a) Short Title.—This Act may be cited as the
5	"Dr. Chris Kirkpatrick Whistleblower Protection Act of
6	2017".
7	(b) TABLE OF CONTENTS.—The table of contents for
8	this Act is as follows:
	Sec. 1. Short title; table of contents.
	TITLE I—EMPLOYEES GENERALLY
	<ul> <li>Sec. 101. Definitions.</li> <li>Sec. 102. Stays; probationary employees.</li> <li>Sec. 103. Prohibited personnel practices.</li> <li>Sec. 104. Discipline of supervisors based on retaliation against whistleblowers.</li> <li>Sec. 105. Suicide by employees.</li> <li>Sec. 106. Training for supervisors.</li> <li>Sec. 107. Information on whistleblower protections.</li> </ul>
	TITLE II—DEPARTMENT OF VETERANS AFFAIRS EMPLOYEES
	<ul> <li>Sec. 201. Prevention of unauthorized access to medical records of employees of the Department of Veterans Affairs.</li> <li>Sec. 202. Outreach on availability of mental health services available to employees of the Department of Veterans Affairs.</li> <li>Sec. 203. Protocols to address threats against employees of the Department of Veterans Affairs.</li> <li>Sec. 204. Comptroller General of the United States study on accountability of chiefs of police of Department of Veterans Affairs medical centers.</li> </ul>
9	TITLE I—EMPLOYEES
10	GENERALLY
11	SEC. 101. DEFINITIONS.
12	In this title—
13	(1) the term "agency"—
14	(A) except as provided in subparagraph
15	(B), means an entity that is an agency, as de-

fined under section 2302 of title 5, United

States Code, without regard to whether one or

more portions of title 5 of the United States

Code are inapplicable to the entity; and

- 6 (B) does not include any entity that is an element of the intelligence community, as defined in section 3(4) of the National Security
- 9 (2) the term "employee" means an employee 10 (as defined in section 2105 of title 5, United States 11 Code) of an agency; and

Act of 1947 (50 U.S.C. 3003(4));

(3) the term "personnel action" has the meaning given that term under section 2302 of title 5,
United States Code.

#### 15 SEC. 102. STAYS; PROBATIONARY EMPLOYEES.

- 16 (a) Request by Special Counsel.—Section
- 17 1214(b)(1) of title 5, United States Code, is amended by
- 18 adding at the end the following:
- 19 "(E) If the Merit Systems Protection Board grants
- 20 a stay under this subsection, the head of the agency em-
- 21 ploying the employee shall give priority to a request for
- 22 a transfer submitted by the employee.".
- 23 (b) Probationary Employees.—Section 1221 of
- 24 title 5, United States Code, is amended by adding at the
- 25 end the following:

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- 1 "(k) If the Merit Systems Protection Board grants a stay to an employee in probationary status under subsection (c), the head of the agency employing the employee shall give priority to a request for a transfer submitted by the employee.". 6 (c) STUDY REGARDING RETALIATION AGAINST Pro-BATIONARY EMPLOYEES.—The Comptroller General of the United States shall submit to the Committee on Homeland Security and Governmental Affairs of the Senate and the Committee on Oversight and Government Reform of the House of Representatives a report discussing retaliation against employees in probationary status. SEC. 103. PROHIBITED PERSONNEL PRACTICES. 14 Section 2302(b) of title 5, United States Code, is 15 amended— (1) in paragraph (12), by striking "or" at the 16 17 end; 18 (2) in paragraph (13), by striking the period at 19 the end and inserting "; or"; and 20 (3) by inserting after paragraph (13) the fol-21 lowing: 22 "(14) access the medical record of another em-
- ployee or an applicant for employment as a part of, or otherwise in furtherance of, any conduct described in paragraphs (1) through (13).".

1	SEC. 104. DISCIPLINE OF SUPERVISORS BASED ON RETAL-
2	IATION AGAINST WHISTLEBLOWERS.
3	(a) In General.—Subchapter II of chapter 75 of
4	title 5, United States Code, is amended by adding at the
5	end the following:
6	" $\S$ 7515. Discipline of supervisors based on retaliation
7	against whistleblowers
8	"(a) Definitions.—In this section—
9	"(1) the term 'agency'—
10	"(A) except as provided in subparagraph
11	(B), means an entity that is an agency, as de-
12	fined under section 2302, without regard to
13	whether any other provision of this chapter is
14	applicable to the entity; and
15	"(B) does not include any entity that is an
16	element of the intelligence community, as de-
17	fined in section 3(4) of the National Security
18	Act of 1947 (50 U.S.C. 3003(4));
19	"(2) the term 'prohibited personnel action'
20	means taking or failing to take an action in violation
21	of paragraph (8), (9), or (14) of section 2302(b)
22	against an employee of an agency; and
23	"(3) the term 'supervisor' means an employee
24	who would be a supervisor, as defined under section
25	7103(a), if the entity employing the employee was
26	an agency.

1	"(b) Proposed disciplinary Actions.—
2	"(1) IN GENERAL.—If the head of the agency
3	employing a supervisor, an administrative law judge,
4	the Merit Systems Protection Board, the Special
5	Counsel, a judge of the United States, or the Inspec-
6	tor General of the agency employing a supervisor de-
7	termines that the supervisor has committed a pro-
8	hibited personnel action, the head of the agency em-
9	ploying the supervisor, in accordance with the proce-
10	dures required under paragraph (2)—
11	"(A) for the first prohibited personnel ac-
12	tion committed by a supervisor—
13	"(i) shall propose suspending the su-
14	pervisor for a period of not less than 3
15	days; and
16	"(ii) may, in addition to a suspension
17	described in clause (i), propose any other
18	action, including a reduction in grade or
19	pay, that the head of the agency deter-
20	mines appropriate; and
21	"(B) for the second prohibited personnel
22	action committed by a supervisor, shall propose
23	removing the supervisor.
24	"(2) Procedures.—

1	"(A) Notice.—A supervisor against whom
2	an action is proposed to be taken under para-
3	graph (1) is entitled to written notice—
4	"(i) stating the specific reasons for
5	the proposed action; and
6	"(ii) informing the supervisor of the
7	right of the supervisor to review the mate-
8	rial which is relied on to support the rea-
9	sons for the proposed action.
10	"(B) Answer and Evidence.—
11	"(i) In general.—A supervisor who
12	is notified under subparagraph (A) that
13	the supervisor is the subject of a proposed
14	action under paragraph (1) is entitled to
15	14 days following such notification to an-
16	swer and furnish evidence in support of the
17	answer.
18	"(ii) No evidence furnished; in-
19	SUFFICIENT EVIDENCE.—After the end of
20	the 14-day period described in clause (i), if
21	a supervisor does not furnish evidence as
22	described in clause (i) or if the head of the
23	agency determines that such evidence is
24	not sufficient to reverse the proposed ac-

1	tion, the head of the agency shall carry out
2	the action.
3	"(C) Scope of Procedures.—An action
4	carried out under this section—
5	"(i) except as provided in clause (ii),
6	shall be subject to the same requirements
7	and procedures (including regarding ap-
8	peals) as an action under section 7503,
9	7513, or 7543; and
10	"(ii) shall not be subject to—
11	"(I) paragraphs (1) and (2) of
12	section 7503(b);
13	"(II) paragraphs (1) and (2) of
14	subsection (b) and subsection (c) of
15	section 7513; or
16	"(III) paragraphs (1) and (2) of
17	subsection (b) and subsection (c) of
18	section 7543.
19	"(3) Delegation.—
20	"(A) In general.—Except as provided in
21	paragraph (B), the head of an agency may dele-
22	gate any authority or responsibility under this
23	subsection.
24	"(B) Nondelegability of determina-
25	TION REGARDING PROHIBITED PERSONNEL AC-

1	TION.—If the head of an agency is responsible
2	for determining whether a supervisor has com-
3	mitted a prohibited personnel action for pur-
4	poses of paragraph (1), the head of the agency
5	may not delegate that responsibility.".
6	(b) Technical and Conforming Amendment.—
7	The table of sections for subchapter II of chapter 75 of
8	title 5, United States Code, is amended by adding at the
9	end the following:
	"7515. Discipline of supervisors based on retaliation against whistleblowers."
10	SEC. 105. SUICIDE BY EMPLOYEES.
11	(a) Referral.—The head of an agency shall refer
12	to the Special Counsel, along with any information known
13	to the agency regarding the circumstances described in
14	paragraphs (2) and (3), any instance in which the head
15	of the agency has information indicating—
16	(1) an employee of the agency committed sui-
17	cide;
18	(2) prior to the death of the employee, the em-
19	ployee made any disclosure of information which rea-
20	sonably evidences—
21	(A) any violation of any law, rule, or regu-
22	lation; or
23	(B) gross mismanagement, a gross waste
24	of funds, an abuse of authority, or a substantial

1	and specific danger to public health or safety
2	and
3	(3) after a disclosure described in paragraph
4	(2), a personnel action was taken against the em-
5	ployee.
6	(b) Office of Special Counsel Review.—For
7	any referral to the Special Counsel under subsection (a)
8	the Special Counsel shall—
9	(1) examine whether any personnel action was
10	taken because of any disclosure of information de-
11	scribed in subsection (a)(2); and
12	(2) take any action the Special Counsel deter-
13	mines appropriate under subchapter II of chapter 12
14	of title 5, United States Code.
15	SEC. 106. TRAINING FOR SUPERVISORS.
16	In consultation with the Special Counsel and the In-
17	spector General of the agency (or senior ethics official of
18	the agency for an agency without an Inspector General)
19	the head of each agency shall provide training regarding
20	how to respond to complaints alleging a violation of whis-
21	tleblower protections (as defined in section 2307 of title
22	5, United States Code, as added by section 107) available
23	to employees of the agency—

1	(1) to employees appointed to supervisory posi-
2	tions in the agency who have not previously served
3	as a supervisor; and
4	(2) on an annual basis, to all employees of the
5	agency serving in a supervisory position.
6	SEC. 107. INFORMATION ON WHISTLEBLOWER PROTEC-
7	TIONS.
8	(a) Existing Provision.—
9	(1) In General.—Section 2302 of title 5,
10	United States Code, is amended—
11	(A) by striking subsection (c); and
12	(B) by redesignating subsections (d), (e),
13	and (f) as subsections (e), (d), and (e), respec-
14	tively.
15	(2) Technical and conforming amend-
16	MENTS.—
17	(A) Section 4505a(b)(2) of title 5, United
18	States Code, is amended by striking "section
19	2302(d)" and inserting "section 2302(e)".
20	(B) Section 5755(b)(2) of title 5, United
21	States Code, is amended by striking "section
22	2302(d)" and inserting "section 2302(e)".
23	(C) Section 110(b)(2) of the Whistleblower
24	Protection Enhancement Act of 2012 (5 U.S.C.
25	2302 note) is amended by striking "section

1	2302(f)(1) or $(2)$ " and inserting "section
2	2302(e)(1) or (2)".
3	(D) Section 1217(d)(3) of the Panama
4	Canal Act of 1979 (22 U.S.C. 3657(d)(3)) is
5	amended by striking "section 2302(d)" and in-
6	serting "section 2302(c)".
7	(E) Section 1233(b) of the Panama Canal
8	Act of 1979 (22 U.S.C. 3673(b)) is amended by
9	striking "section 2302(d)" and inserting "sec-
10	tion $2302(c)$ ".
11	(b) Provision of Information.—Chapter 23 of
12	title 5, United States Code, is amended by adding at the
13	end the following:
14	" $\S$ 2307. Information on whistleblower protections
15	"(a) Definitions.—In this section—
16	"(1) the term 'agency'—
17	"(A) except as provided in subparagraph
18	(B), has the meaning given that term in section
19	2302; and
20	"(B) does not include any entity that is an
21	element of the intelligence community, as de-
22	fined in section 3(4) of the National Security
23	Act of 1947 (50 U.S.C. 3003(4));
24	"(2) the term 'new employee' means an indi-
25	vidual—

1	"(A) appointed to a position as an em-
2	ployee of an agency on or after the date of en-
3	actment of the Dr. Chris Kirkpatrick Whistle-
4	blower Protection Act of 2017; and
5	"(B) who has not previously served as an
6	employee; and
7	"(3) the term 'whistleblower protections' means
8	the protections against and remedies for a prohibited
9	personnel practice described in paragraph (8), sub-
10	paragraph (A)(i), (B), (C), or (D) of paragraph (9),
11	or paragraph (14) of section 2302(b).
12	"(b) RESPONSIBILITIES OF HEAD OF AGENCY.—The
13	head of each agency shall be responsible for the prevention
14	of prohibited personnel practices, for the compliance with
15	and enforcement of applicable civil service laws, rules, and
16	regulations, and other aspects of personnel management,
17	and for ensuring (in consultation with the Special Counsel
18	and the Inspector General of the agency) that employees
19	of the agency are informed of the rights and remedies
20	available to them under this chapter and chapter 12, in-
21	cluding—
22	"(1) information regarding whistleblower pro-
23	tections available to new employees during the pro-
24	bationary period:

- 1 "(2) the role of the Office of Special Counsel 2 and the Merit Systems Protection Board with regard 3 to whistleblower protections; and
- "(3) how to make a lawful disclosure of information that is specifically required by law or Executive order to be kept classified in the interest of national defense or the conduct of foreign affairs to the Special Counsel, the Inspector General of an agency, Congress, or other agency employee designated to receive such disclosures.
- "(c) TIMING.—The head of each agency shall ensure that the information required to be provided under subsection (b) is provided to each new employee of the agency not later than 6 months after the date the new employee begins performing service as an employee.
- "(d) Information Online.—The head of each agency shall make available information regarding whistle-blower protections applicable to employees of the agency on the public website of the agency, and on any online portal that is made available only to employees of the agency if one exists.
- "(e) Deleges.—Any employee to whom the head of an agency delegates authority for personnel management, or for any aspect thereof, shall, within the limits

1	of the scope of the delegation, be responsible for the activi-
2	ties described in subsection (b).".
3	(c) Technical and Conforming Amendment.—
4	The table of sections for chapter 23 of title 5, United
5	States Code, is amended by adding at the end the fol-
6	lowing:
	"2307. Information on whistleblower protections.".
7	TITLE II—DEPARTMENT OF
8	VETERANS AFFAIRS EMPLOYEES
9	SEC. 201. PREVENTION OF UNAUTHORIZED ACCESS TO
10	MEDICAL RECORDS OF EMPLOYEES OF THE
11	DEPARTMENT OF VETERANS AFFAIRS.
12	(a) Development of Plan.—
13	(1) In general.—Not later than 180 days
14	after the date of the enactment of this Act, the Sec-
15	retary of Veterans Affairs shall—
16	(A) develop a plan to prevent access to the
17	medical records of employees of the Department
18	of Veterans Affairs by employees of the Depart-
19	ment who are not authorized to access such
20	records;
21	(B) submit to the appropriate committees
22	of Congress the plan developed under subpara-
23	graph (A); and
24	(C) upon request, provide a briefing to the
25	appropriate committees of Congress with re-

1	spect to the plan developed under subparagraph
2	(A).
3	(2) Elements.—The plan required under
4	paragraph (1) shall include the following:
5	(A) A detailed assessment of strategic
6	goals of the Department for the prevention of
7	unauthorized access to the medical records of
8	employees of the Department.
9	(B) A list of circumstances in which an
10	employee of the Department who is not a health
11	care provider or an assistant to a health care
12	provider would be authorized to access the med-
13	ical records of another employee of the Depart-
14	ment.
15	(C) Steps that the Secretary will take to
16	acquire new or implement existing technology to
17	prevent an employee of the Department from
18	accessing the medical records of another em-
19	ployee of the Department without a specific
20	need to access such records.
21	(D) Steps the Secretary will take, includ-
22	ing plans to issue new regulations, as necessary,
23	to ensure that an employee of the Department
24	may not access the medical records of another

employee of the Department for the purpose of

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1	retrieving demographic information if that de-						
2	mographic information is available to the em						
3	ployee in another location or through anothe						
4	format.						
5	(E) A proposed timetable for the imple						
6	mentation of such plan.						
7	(F) An estimate of the costs associate						
8	with implementing such plan.						
9	(b) Appropriate Committees of Congress De						
10	FINED.—In this section, the term "appropriate commit						
11	tees of Congress" means—						
12	(1) the Committee on Homeland Security and						
13	Governmental Affairs and the Committee on Vet						
14	erans' Affairs of the Senate; and						
15	(2) the Committee on Oversight and Govern						
16	ment Reform and the Committee on Veterans' Af						
17	fairs of the House of Representatives.						
18	SEC. 202. OUTREACH ON AVAILABILITY OF MENTAL						
19	HEALTH SERVICES AVAILABLE TO EMPLOY						
20	EES OF THE DEPARTMENT OF VETERANS AF						
21	FAIRS.						
22	The Secretary of Veterans Affairs shall conduct a						
23	program of outreach to employees of the Department of						
24	Veterans Affairs to inform those employees of any menta						

1	health services, including telemedicine options, that are					
2	available to them.					
3	SEC. 203. PROTOCOLS TO ADDRESS THREATS AGAINST EM					
4	PLOYEES OF THE DEPARTMENT OF VET					
5	ERANS AFFAIRS.					
6	The Secretary of Veterans Affairs shall ensure prote					
7	cols are in effect to address threats from individuals re-					
8	ceiving health care from the Department of Veterans Af					
9	fairs directed towards employees of the Department wh					
10	are providing such health care.					
11	SEC. 204. COMPTROLLER GENERAL OF THE UNITED					
12	STATES STUDY ON ACCOUNTABILITY OF					
13	CHIEFS OF POLICE OF DEPARTMENT OF VET					
14	ERANS AFFAIRS MEDICAL CENTERS.					
15	The Comptroller General of the United States shall					
16	conduct a study to assess the reporting, staffing, account-					
17	ability, and chain of command structure of the Depar					
1 /	ability, and chain of command structure of the Depart-					
18	ment of Veterans Affairs police officers at medical centers					

Passed the Senate May 25, 2017.

Attest:

Secretary.

# 115TH CONGRESS S. 585

# AN ACT

To provide greater whistleblower protections for Federal employees, increased awareness of Federal whistleblower protections, and increased accountability and required discipline for Federal supervisors who retaliate against whistleblowers, and for other purposes.