In the Senate of the United States,

December 16, 2010.

Resolved, That the bill from the House of Representatives (H.R. 2142) entitled "An Act to require quarterly performance assessments of Government programs for purposes of assessing agency performance and improvement, and to establish agency performance improvement officers and the Performance Improvement Council.", do pass with the following

AMENDMENT:

Strike out all after the enacting clause and insert:

- 1 SECTION 1. SHORT TITLE; TABLE OF CONTENTS.
- 2 (a) Short Title.—This Act may be cited as the
- 3 "GPRA Modernization Act of 2010".
- 4 (b) Table of Contents for
- 5 this Act is as follows:
 - Sec. 1. Short title; table of contents.
 - Sec. 2. Strategic planning amendments.
 - Sec. 3. Performance planning amendments.
 - Sec. 4. Performance reporting amendments.
 - Sec. 5. Federal Government and agency priority goals.
 - Sec. 6. Quarterly priority progress reviews and use of performance information.
 - Sec. 7. Transparency of Federal Government programs, priority goals, and results.

Sec. 8. Agency Chief Operating Officers. Sec. 9. Agency Performance Improvement Officers and the Performance Improvement Council. Sec. 10. Format of performance plans and reports. Sec. 11. Reducing duplicative and outdated agency reporting. Sec. 12. Performance management skills and competencies. Sec. 13. Technical and conforming amendments. Sec. 14. Implementation of this Act. Sec. 15. Congressional oversight and legislation.

SEC. 2. STRATEGIC PLANNING AMENDMENTS.

- 2 Chapter 3 of title 5, United States Code, is amended 3 by striking section 306 and inserting the following: "§ 306. Agency strategic plans
- 5 "(a) Not later than the first Monday in February of any year following the year in which the term of the President commences under section 101 of title 3, the head of each agency shall make available on the public website of the agency a strategic plan and notify the President and Congress of its availability. Such plan shall contain— 11 "(1) a comprehensive mission statement covering 12 the major functions and operations of the agency: 13 "(2) general goals and objectives, including out-14 come-oriented goals, for the major functions and oper-15 ations of the agency;
- "(3) a description of how any goals and objec-16 17 tives contribute to the Federal Government priority 18 goals required by section 1120(a) of title 31;
- 19 "(4) a description of how the goals and objectives 20 are to be achieved, including—

1	"(A) a description of the operational proc-
2	esses, skills and technology, and the human, cap-
3	ital, information, and other resources required to
4	achieve those goals and objectives; and
5	"(B) a description of how the agency is
6	working with other agencies to achieve its goals
7	and objectives as well as relevant Federal Gov-
8	ernment priority goals;
9	"(5) a description of how the goals and objectives
10	incorporate views and suggestions obtained through
11	congressional consultations required under subsection
12	(d);
13	"(6) a description of how the performance goals
14	provided in the plan required by section 1115(a) of
15	title 31, including the agency priority goals required
16	by section 1120(b) of title 31, if applicable, contribute
17	to the general goals and objectives in the strategic
18	plan;
19	"(7) an identification of those key factors exter-
20	nal to the agency and beyond its control that could
21	significantly affect the achievement of the general
22	goals and objectives; and
23	"(8) a description of the program evaluations
24	used in establishina or revisina general goals and ob-

- 1 jectives, with a schedule for future program evalua-
- 2 tions to be conducted.
- 3 "(b) The strategic plan shall cover a period of not less
- 4 than 4 years following the fiscal year in which the plan
- 5 is submitted. As needed, the head of the agency may make
- 6 adjustments to the strategic plan to reflect significant
- 7 changes in the environment in which the agency is oper-
- 8 ating, with appropriate notification of Congress.
- 9 "(c) The performance plan required by section 1115(b)
- 10 of title 31 shall be consistent with the agency's strategic
- 11 plan. A performance plan may not be submitted for a fiscal
- 12 year not covered by a current strategic plan under this sec-
- 13 tion.
- "(d) When developing or making adjustments to a
- 15 strategic plan, the agency shall consult periodically with
- 16 the Congress, including majority and minority views from
- 17 the appropriate authorizing, appropriations, and oversight
- 18 committees, and shall solicit and consider the views and
- 19 suggestions of those entities potentially affected by or inter-
- 20 ested in such a plan. The agency shall consult with the ap-
- 21 propriate committees of Congress at least once every 2
- 22 years.
- 23 "(e) The functions and activities of this section shall
- 24 be considered to be inherently governmental functions. The

- 1 drafting of strategic plans under this section shall be per-
- 2 formed only by Federal employees.
- 3 "(f) For purposes of this section the term 'agency'
- 4 means an Executive agency defined under section 105, but
- 5 does not include the Central Intelligence Agency, the Gov-
- 6 ernment Accountability Office, the United States Postal
- 7 Service, and the Postal Regulatory Commission.".
- 8 SEC. 3. PERFORMANCE PLANNING AMENDMENTS.
- 9 Chapter 11 of title 31, United States Code, is amended
- 10 by striking section 1115 and inserting the following:
- 11 "§ 1115. Federal Government and agency performance
- 12 plans
- "(a) Federal Government Performance Plans.—
- 14 In carrying out the provisions of section 1105(a)(28), the
- 15 Director of the Office of Management and Budget shall co-
- 16 ordinate with agencies to develop the Federal Government
- 17 performance plan. In addition to the submission of such
- 18 plan with each budget of the United States Government,
- 19 the Director of the Office of Management and Budget shall
- 20 ensure that all information required by this subsection is
- 21 concurrently made available on the website provided under
- 22 section 1122 and updated periodically, but no less than an-
- 23 nually. The Federal Government performance plan shall—
- 24 "(1) establish Federal Government performance
- 25 goals to define the level of performance to be achieved

1	during the year in which the plan is submitted and
2	the next fiscal year for each of the Federal Govern-
3	ment priority goals required under section 1120(a) of
4	this title;
5	"(2) identify the agencies, organizations, pro-
6	gram activities, regulations, tax expenditures, poli-
7	cies, and other activities contributing to each Federal
8	Government performance goal during the current fis-
9	cal year;
10	"(3) for each Federal Government performance
11	goal, identify a lead Government official who shall be
12	responsible for coordinating the efforts to achieve the
13	goal;
14	"(4) establish common Federal Government per-
15	formance indicators with quarterly targets to be used
16	in measuring or assessing—
17	"(A) overall progress toward each Federal
18	Government performance goal; and
19	"(B) the individual contribution of each
20	agency, organization, program activity, regula-
21	tion, tax expenditure, policy, and other activity
22	identified under paragraph (2);
23	"(5) establish clearly defined quarterly mile-
24	stones; and

1	"(6) identify major management challenges that
2	are Governmentwide or crosscutting in nature and
3	describe plans to address such challenges, including
4	relevant performance goals, performance indicators,
5	and milestones.
6	"(b) Agency Performance Plans.—Not later than
7	the first Monday in February of each year, the head of each
8	agency shall make available on a public website of the agen-
9	cy, and notify the President and the Congress of its avail-
10	ability, a performance plan covering each program activity
11	set forth in the budget of such agency. Such plan shall—
12	"(1) establish performance goals to define the
13	level of performance to be achieved during the year in
14	which the plan is submitted and the next fiscal year;
15	"(2) express such goals in an objective, quantifi-
16	able, and measurable form unless authorized to be in
17	an alternative form under subsection (c);
18	"(3) describe how the performance goals con-
19	tribute to—
20	"(A) the general goals and objectives estab-
21	lished in the agency's strategic plan required by
22	section 306(a)(2) of title 5; and
23	"(B) any of the Federal Government per-
24	formance goals established in the Federal Gov-

1	ernment performance plan required by subsection
2	(a)(1);
3	"(4) identify among the performance goals those
4	which are designated as agency priority goals as re-
5	quired by section 1120(b) of this title, if applicable;
6	"(5) provide a description of how the perform-
7	ance goals are to be achieved, including—
8	"(A) the operation processes, training, skills
9	and technology, and the human, capital, infor-
10	mation, and other resources and strategies re-
11	quired to meet those performance goals;
12	"(B) clearly defined milestones;
13	"(C) an identification of the organizations,
14	program activities, regulations, policies, and
15	other activities that contribute to each perform-
16	ance goal, both within and external to the agen-
17	cy;
18	"(D) a description of how the agency is
19	working with other agencies to achieve its per-
20	formance goals as well as relevant Federal Gov-
21	ernment performance goals; and
22	"(E) an identification of the agency offi-
23	cials responsible for the achievement of each per-
24	formance goal, who shall be known as goal lead-
25	ers.

1	"(6) establish a balanced set of performance indi-
2	cators to be used in measuring or assessing progress
3	toward each performance goal, including, as appro-
4	priate, customer service, efficiency, output, and out-
5	come indicators;
6	"(7) provide a basis for comparing actual pro-
7	gram results with the established performance goals;
8	"(8) a description of how the agency will ensure
9	the accuracy and reliability of the data used to meas-
10	ure progress towards its performance goals, including
11	an identification of—
12	"(A) the means to be used to verify and
13	validate measured values;
14	"(B) the sources for the data;
15	"(C) the level of accuracy required for the
16	intended use of the data;
17	"(D) any limitations to the data at the re-
18	quired level of accuracy; and
19	"(E) how the agency will compensate for
20	such limitations if needed to reach the required
21	level of accuracy;
22	"(9) describe major management challenges the
23	agency faces and identify—
24	"(A) planned actions to address such chal-
25	lenges;

1	"(B) performance goals, performance indi-
2	cators, and milestones to measure progress to-
3	ward resolving such challenges; and
4	"(C) the agency official responsible for re-
5	solving such challenges; and
6	"(10) identify low-priority program activities
7	based on an analysis of their contribution to the mis-
8	sion and goals of the agency and include an evidence-
9	based justification for designating a program activity
10	as low priority.
11	"(c) Alternative Form.—If an agency, in consulta-
12	tion with the Director of the Office of Management and
13	Budget, determines that it is not feasible to express the per-
14	formance goals for a particular program activity in an ob-
15	jective, quantifiable, and measurable form, the Director of
16	the Office of Management and Budget may authorize an
17	alternative form. Such alternative form shall—
18	"(1) include separate descriptive statements of—
19	"(A)(i) a minimally effective program; and
20	"(ii) a successful program; or
21	"(B) such alternative as authorized by the
22	Director of the Office of Management and Budg-
23	et, with sufficient precision and in such terms
24	that would allow for an accurate, independent
25	determination of whether the program activity's

1	performance meets the criteria of the description;
2	or
3	"(2) state why it is infeasible or impractical to
4	express a performance goal in any form for the pro-
5	gram activity.
6	"(d) Treatment of Program Activities.—For the
7	purpose of complying with this section, an agency may ag-
8	gregate, disaggregate, or consolidate program activities, ex-
9	cept that any aggregation or consolidation may not omit
10	or minimize the significance of any program activity con-
11	stituting a major function or operation for the agency.
12	"(e) Appendix.—An agency may submit with an an-
13	nual performance plan an appendix covering any portion
14	of the plan that—
15	"(1) is specifically authorized under criteria es-
16	tablished by an Executive order to be kept secret in
17	the interest of national defense or foreign policy; and
18	"(2) is properly classified pursuant to such Ex-
19	ecutive order.
20	"(f) Inherently Governmental Functions.—The
21	functions and activities of this section shall be considered
22	to be inherently governmental functions. The drafting of
23	performance plans under this section shall be performed
24	only by Federal employees.

1	"(g) Chief Human Capital Officers.—With respect
2	to each agency with a Chief Human Capital Officer, the
3	Chief Human Capital Officer shall prepare that portion of
4	the annual performance plan described under subsection
5	(b)(5)(A).
6	"(h) Definitions.—For purposes of this section and
7	sections 1116 through 1125, and sections 9703 and 9704,
8	the term—
9	"(1) 'agency' has the same meaning as such term
10	is defined under section 306(f) of title 5;
11	"(2) 'crosscutting' means across organizational
12	(such as agency) boundaries;
13	"(3) 'customer service measure' means an assess-
14	ment of service delivery to a customer, client, citizen,
15	or other recipient, which can include an assessment of
16	quality, timeliness, and satisfaction among other fac-
17	tors;
18	"(4) 'efficiency measure' means a ratio of a pro-
19	gram activity's inputs (such as costs or hours worked
20	by employees) to its outputs (amount of products or
21	services delivered) or outcomes (the desired results of
22	$a\ program);$
23	"(5) 'major management challenge' means pro-
24	grams or management functions, within or across
25	agencies, that have greater vulnerability to waste,

1	fraud, abuse, and mismanagement (such as issues
2	identified by the Government Accountability Office as
3	high risk or issues identified by an Inspector General)
4	where a failure to perform well could seriously affect
5	the ability of an agency or the Government to achieve
6	its mission or goals;
7	"(6) 'milestone' means a scheduled event signi-
8	fying the completion of a major deliverable or a set
9	of related deliverables or a phase of work;
10	"(7) 'outcome measure' means an assessment of
11	the results of a program activity compared to its in-
12	tended purpose;
13	"(8) 'output measure' means the tabulation, cal-
14	culation, or recording of activity or effort that can be
15	expressed in a quantitative or qualitative manner;
16	"(9) 'performance goal' means a target level of
17	performance expressed as a tangible, measurable ob-
18	jective, against which actual achievement can be com-
19	pared, including a goal expressed as a quantitative
20	standard, value, or rate;
21	"(10) 'performance indicator' means a par-
22	ticular value or characteristic used to measure output
23	$or\ outcome;$
24	"(11) 'program activity' means a specific activ-

ity or project as listed in the program and financing

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- 1 schedules of the annual budget of the United States
- 2 Government; and
- 3 "(12) 'program evaluation' means an assessment,
- 4 through objective measurement and systematic anal-
- 5 ysis, of the manner and extent to which Federal pro-
- 6 grams achieve intended objectives.".

7 SEC. 4. PERFORMANCE REPORTING AMENDMENTS.

- 8 Chapter 11 of title 31, United States Code, is amended
- 9 by striking section 1116 and inserting the following:

10 "§ 1116. Agency performance reporting

- 11 "(a) The head of each agency shall make available on
- 12 a public website of the agency and to the Office of Manage-
- 13 ment and Budget an update on agency performance.
- 14 "(b)(1) Each update shall compare actual performance
- 15 achieved with the performance goals established in the agen-
- 16 cy performance plan under section 1115(b) and shall occur
- 17 no less than 150 days after the end of each fiscal year, with
- 18 more frequent updates of actual performance on indicators
- 19 that provide data of significant value to the Government,
- 20 Congress, or program partners at a reasonable level of ad-
- 21 ministrative burden.
- 22 "(2) If performance goals are specified in an alter-
- 23 native form under section 1115(c), the results shall be de-
- 24 scribed in relation to such specifications, including whether

1	the performance failed to meet the criteria of a minimally
2	effective or successful program.
3	"(c) Each update shall—
4	"(1) review the success of achieving the perform-
5	ance goals and include actual results for the 5 pre-
6	ceding fiscal years;
7	"(2) evaluate the performance plan for the cur-
8	rent fiscal year relative to the performance achieved
9	toward the performance goals during the period cov-
10	ered by the update;
11	"(3) explain and describe where a performance
12	goal has not been met (including when a program ac-
13	tivity's performance is determined not to have met the
14	criteria of a successful program activity under section
15	1115(c)(1)(A)(ii) or a corresponding level of achieve-
16	ment if another alternative form is used)—
17	"(A) why the goal was not met;
18	"(B) those plans and schedules for achieving
19	the established performance goal; and
20	"(C) if the performance goal is impractical
21	or infeasible, why that is the case and what ac-
22	$tion\ is\ recommended;$
23	"(4) describe the use and assess the effectiveness
24	in achieving performance goals of any waiver under
25	section 9703 of this title;

1	"(5) include a review of the performance goals
2	and evaluation of the performance plan relative to the
3	agency's strategic human capital management;
4	"(6) describe how the agency ensures the accu-
5	racy and reliability of the data used to measure
6	progress towards its performance goals, including an
7	identification of—
8	"(A) the means used to verify and validate
9	measured values;
10	"(B) the sources for the data;
11	"(C) the level of accuracy required for the
12	intended use of the data;
13	"(D) any limitations to the data at the re-
14	quired level of accuracy; and
15	"(E) how the agency has compensated for
16	such limitations if needed to reach the required
17	level of accuracy; and
18	"(7) include the summary findings of those pro-
19	gram evaluations completed during the period covered
20	by the update.
21	"(d) If an agency performance update includes any
22	program activity or information that is specifically author-
23	ized under criteria established by an Executive Order to
24	be kept secret in the interest of national defense or foreign
25	policy and is properly classified pursuant to such Executive

- 1 Order, the head of the agency shall make such information
- 2 available in the classified appendix provided under section
- 3 1115(e).
- 4 "(e) The functions and activities of this section shall
- 5 be considered to be inherently governmental functions. The
- 6 drafting of agency performance updates under this section
- 7 shall be performed only by Federal employees.
- 8 "(f) Each fiscal year, the Office of Management and
- 9 Budget shall determine whether the agency programs or ac-
- 10 tivities meet performance goals and objectives outlined in
- 11 the agency performance plans and submit a report on
- 12 unmet goals to—
- 13 "(1) the head of the agency;
- 14 "(2) the Committee on Homeland Security and
- 15 Governmental Affairs of the Senate;
- 16 "(3) the Committee on Oversight and Govern-
- 17 mental Reform of the House of Representatives; and
- 18 "(4) the Government Accountability Office.
- "(g) If an agency's programs or activities have not met
- 20 performance goals as determined by the Office of Manage-
- 21 ment and Budget for 1 fiscal year, the head of the agency
- 22 shall submit a performance improvement plan to the Office
- 23 of Management and Budget to increase program effective-
- 24 ness for each unmet goal with measurable milestones. The
- 25 agency shall designate a senior official who shall oversee

- 1 the performance improvement strategies for each unmet
- 2 goal.
- 3 "(h)(1) If the Office of Management and Budget deter-
- 4 mines that agency programs or activities have unmet per-
- 5 formance goals for 2 consecutive fiscal years, the head of
- 6 the agency shall—
- 7 "(A) submit to Congress a description of the ac-
- 8 tions the Administration will take to improve per-
- 9 formance, including proposed statutory changes or
- 10 planned executive actions; and
- 11 "(B) describe any additional funding the agency
- 12 will obligate to achieve the goal, if such an action is
- 13 determined appropriate in consultation with the Di-
- 14 rector of the Office of Management and Budget, for an
- amount determined appropriate by the Director.
- 16 "(2) In providing additional funding described under
- 17 paragraph (1)(B), the head of the agency shall use any re-
- 18 programming or transfer authority available to the agency.
- 19 If after exercising such authority additional funding is nec-
- 20 essary to achieve the level determined appropriate by the
- 21 Director of the Office of Management and Budget, the head
- 22 of the agency shall submit a request to Congress for addi-
- 23 tional reprogramming or transfer authority.
- 24 "(i) If an agency's programs or activities have not met
- 25 performance goals as determined by the Office of Manage-

1	ment and Budget for 3 consecutive fiscal years, the Director
2	of the Office of Management and Budget shall submit rec-
3	ommendations to Congress on actions to improve perform-
4	ance not later than 60 days after that determination, in-
5	cluding—
6	"(1) reauthorization proposals for each program
7	or activity that has not met performance goals;
8	"(2) proposed statutory changes necessary for the
9	program activities to achieve the proposed level of
10	performance on each performance goal; and
11	"(3) planned executive actions or identification
12	of the program for termination or reduction in the
13	President's budget.".
14	SEC. 5. FEDERAL GOVERNMENT AND AGENCY PRIORITY
15	GOALS.
16	Chapter 11 of title 31, United States Code, is amended
17	by adding after section 1119 the following:
18	"§ 1120. Federal Government and agency priority
19	goals
20	"(a) Federal Government Priority Goals.—
21	"(1) The Director of the Office of Management
22	and Budget shall coordinate with agencies to develop
23	priority goals to improve the performance and man-
24	agement of the Federal Government. Such Federal
25	Government priority goals shall include—

1	"(A) outcome-oriented goals covering a lim-
2	ited number of crosscutting policy areas; and
3	"(B) goals for management improvements
4	needed across the Federal Government, includ-
5	ing—
6	$``(i)\ financial\ management;$
7	"(ii) human capital management;
8	"(iii) information technology manage-
9	ment;
10	"(iv) procurement and acquisition
11	management; and
12	"(v) real property management;
13	"(2) The Federal Government priority goals
14	shall be long-term in nature. At a minimum, the Fed-
15	eral Government priority goals shall be updated or re-
16	vised every 4 years and made publicly available con-
17	currently with the submission of the budget of the
18	United States Government made in the first full fiscal
19	year following any year in which the term of the
20	President commences under section 101 of title 3. As
21	needed, the Director of the Office of Management and
22	Budget may make adjustments to the Federal Govern-
23	ment priority goals to reflect significant changes in
24	the environment in which the Federal Government is
25	operating, with appropriate notification of Congress.

1	"(3) When developing or making adjustments to
2	Federal Government priority goals, the Director of the
3	Office of Management and Budget shall consult peri-
4	odically with the Congress, including obtaining ma-
5	jority and minority views from—
6	"(A) the Committees on Appropriations of
7	the Senate and the House of Representatives;
8	"(B) the Committees on the Budget of the
9	Senate and the House of Representatives;
10	"(C) the Committee on Homeland Security
11	and Governmental Affairs of the Senate;
12	"(D) the Committee on Oversight and Gov-
13	ernment Reform of the House of Representatives;
14	"(E) the Committee on Finance of the Sen-
15	ate;
16	"(F) the Committee on Ways and Means of
17	the House of Representatives; and
18	"(G) any other committees as determined
19	appropriate;
20	"(4) The Director of the Office of Management
21	and Budget shall consult with the appropriate com-
22	mittees of Congress at least once every 2 years.
23	"(5) The Director of the Office of Management
24	and Budget shall make information about the Federal

Government priority goals available on the website
described under section 1122 of this title.

"(6) The Federal Government performance plan required under section 1115(a) of this title shall be consistent with the Federal Government priority goals.

"(b) AGENCY PRIORITY GOALS.—

"(1) Every 2 years, the head of each agency listed in section 901(b) of this title, or as otherwise determined by the Director of the Office of Management and Budget, shall identify agency priority goals from among the performance goals of the agency. The Director of the Office of Management and Budget shall determine the total number of agency priority goals across the Government, and the number to be developed by each agency. The agency priority goals shall—

"(A) reflect the highest priorities of the agency, as determined by the head of the agency and informed by the Federal Government priority goals provided under subsection (a) and the consultations with Congress and other interested parties required by section 306(d) of title 5;

"(B) have ambitious targets that can be achieved within a 2-year period;

1	"(C) have a clearly identified agency offi-
2	cial, known as a goal leader, who is responsible
3	for the achievement of each agency priority goal;
4	"(D) have interim quarterly targets for per-
5	formance indicators if more frequent updates of
6	actual performance provides data of significant
7	value to the Government, Congress, or program
8	partners at a reasonable level of administrative
9	burden; and
10	"(E) have clearly defined quarterly mile-
11	stones.
12	"(2) If an agency priority goal includes any pro-
13	gram activity or information that is specifically au-
14	thorized under criteria established by an Executive
15	order to be kept secret in the interest of national de-
16	fense or foreign policy and is properly classified pur-
17	suant to such Executive order, the head of the agency
18	shall make such information available in the classi-
19	fied appendix provided under section 1115(e).
20	"(c) The functions and activities of this section shall
21	be considered to be inherently governmental functions. The
22	development of Federal Government and agency priority
23	goals shall be performed only by Federal employees.".

1	SEC. 6. QUARTERLY PRIORITY PROGRESS REVIEWS AND
2	USE OF PERFORMANCE INFORMATION.
3	Chapter 11 of title 31, United States Code, is amended
4	by adding after section 1120 (as added by section 5 of this
5	Act) the following:
6	"§ 1121. Quarterly priority progress reviews and use of
7	performance information
8	"(a) Use of Performance Information To
9	Achieve Federal Government Priority Goals.—Not
10	less than quarterly, the Director of the Office of Manage-
11	ment and Budget, with the support of the Performance Im-
12	provement Council, shall—
13	"(1) for each Federal Government priority goal
14	required by section 1120(a) of this title, review with
15	the appropriate lead Government official the progress
16	achieved during the most recent quarter, overall trend
17	data, and the likelihood of meeting the planned level
18	of performance;
19	"(2) include in such reviews officials from the
20	agencies, organizations, and program activities that
21	contribute to the accomplishment of each Federal Gov-
22	ernment priority goal;
23	"(3) assess whether agencies, organizations, pro-
24	gram activities, regulations, tax expenditures, poli-
25	cies, and other activities are contributing as planned
26	to each Federal Government priority goal;

1	"(4) categorize the Federal Government priority
2	goals by risk of not achieving the planned level of per-
3	formance; and
4	"(5) for the Federal Government priority goals
5	at greatest risk of not meeting the planned level of
6	performance, identify prospects and strategies for per-
7	formance improvement, including any needed changes
8	to agencies, organizations, program activities, regula-
9	tions, tax expenditures, policies or other activities.
10	"(b) Agency Use of Performance Information To
11	Achieve Agency Priority Goals.—Not less than quar-
12	terly, at each agency required to develop agency priority
13	goals required by section 1120(b) of this title, the head of
14	the agency and Chief Operating Officer, with the support
15	of the agency Performance Improvement Officer, shall—
16	"(1) for each agency priority goal, review with
17	the appropriate goal leader the progress achieved dur-
18	ing the most recent quarter, overall trend data, and
19	the likelihood of meeting the planned level of perform-
20	ance;
21	"(2) coordinate with relevant personnel within
22	and outside the agency who contribute to the accom-
23	plishment of each agency priority goal;
24	"(3) assess whether relevant organizations, pro-
25	gram activities, regulations, policies, and other activi-

1	ties are contributing as planned to the agency pri-
2	ority goals;
3	"(4) categorize agency priority goals by risk of
4	not achieving the planned level of performance; and
5	"(5) for agency priority goals at greatest risk of
6	not meeting the planned level of performance, identify
7	prospects and strategies for performance improve-
8	ment, including any needed changes to agency pro-
9	gram activities, regulations, policies, or other activi-
10	ties.".
11	SEC. 7. TRANSPARENCY OF FEDERAL GOVERNMENT PRO-
12	GRAMS, PRIORITY GOALS, AND RESULTS.
13	Chapter 11 of title 31, United States Code, is amended
14	by adding after section 1121 (as added by section 6 of this
15	Act) the following:
16	"§ 1122. Transparency of programs, priority goals,
17	and results
18	"(a) Transparency of Agency Programs.—
19	"(1) In general.—Not later than October 1,
20	2012, the Office of Management and Budget shall—
21	"(A) ensure the effective operation of a sin-
22	gle website;
23	"(B) at a minimum, update the website on
24	a quarterly basis; and

1	"(C) include on the website information
2	about each program identified by the agencies.
3	"(2) Information.—Information for each pro-
4	gram described under paragraph (1) shall include—
5	"(A) an identification of how the agency de-
6	fines the term 'program', consistent with guid-
7	ance provided by the Director of the Office of
8	Management and Budget, including the program
9	activities that are aggregated, disaggregated, or
10	consolidated to be considered a program by the
11	agency;
12	"(B) a description of the purposes of the
13	program and the contribution of the program to
14	the mission and goals of the agency; and
15	"(C) an identification of funding for the
16	current fiscal year and previous 2 fiscal years.
17	"(b) Transparency of Agency Priority Goals and
18	Results.—The head of each agency required to develop
19	agency priority goals shall make information about each
20	agency priority goal available to the Office of Management
21	and Budget for publication on the website, with the excep-
22	tion of any information covered by section 1120(b)(2) of
23	this title. In addition to an identification of each agency
24	priority goal, the website shall also consolidate information
25	about each agency priority goal, including—

1	"(1) a description of how the agency incor-
2	porated any views and suggestions obtained through
3	congressional consultations about the agency priority
4	goal;
5	"(2) an identification of key factors external to
6	the agency and beyond its control that could signifi-
7	cantly affect the achievement of the agency priority
8	goal;
9	"(3) a description of how each agency priority
10	goal will be achieved, including—
11	"(A) the strategies and resources required to
12	meet the priority goal;
13	"(B) clearly defined milestones;
14	"(C) the organizations, program activities,
15	regulations, policies, and other activities that
16	contribute to each goal, both within and external
17	to the agency;
18	"(D) how the agency is working with other
19	agencies to achieve the goal; and
20	"(E) an identification of the agency official
21	responsible for achieving the priority goal;
22	"(4) the performance indicators to be used in
23	measuring or assessing progress;
24	"(5) a description of how the agency ensures the
25	accuracy and reliability of the data used to measure

1	progress towards the priority goal, including an iden-
2	tification of—
3	"(A) the means used to verify and validate
4	measured values;
5	"(B) the sources for the data;
6	"(C) the level of accuracy required for the
7	intended use of the data;
8	"(D) any limitations to the data at the re-
9	quired level of accuracy; and
10	"(E) how the agency has compensated for
11	such limitations if needed to reach the required
12	level of accuracy;
13	"(6) the results achieved during the most recent
14	quarter and overall trend data compared to the
15	planned level of performance;
16	"(7) an assessment of whether relevant organiza-
17	tions, program activities, regulations, policies, and
18	other activities are contributing as planned;
19	"(8) an identification of the agency priority
20	goals at risk of not achieving the planned level of per-
21	formance; and
22	"(9) any prospects or strategies for performance
23	improvement.
24	"(c) Transparency of Federal Government Pri-
25	ORITY GOALS AND RESULTS.—The Director of the Office

1	of Management and Budget shall also make available on
2	the website—
3	"(1) a brief description of each of the Federal
4	Government priority goals required by section
5	1120(a) of this title;
6	"(2) a description of how the Federal Govern-
7	ment priority goals incorporate views and suggestions
8	$obtained\ through\ congressional\ consultations;$
9	"(3) the Federal Government performance goals
10	and performance indicators associated with each Fed-
11	eral Government priority goal as required by section
12	1115(a) of this title;
13	"(4) an identification of the lead Government of-
14	ficial for each Federal Government performance goal;
15	"(5) the results achieved during the most recent
16	quarter and overall trend data compared to the
17	planned level of performance;
18	"(6) an identification of the agencies, organiza-
19	tions, program activities, regulations, tax expendi-
20	tures, policies, and other activities that contribute to
21	each Federal Government priority goal;
22	"(7) an assessment of whether relevant agencies,
23	organizations, program activities, regulations, tax ex-
24	penditures, policies, and other activities are contrib-
25	uting as planned;

1	"(8) an identification of the Federal Government
2	priority goals at risk of not achieving the planned
3	level of performance; and
4	"(9) any prospects or strategies for performance
5	improvement.
6	"(d) Information on Website.—The information
7	made available on the website under this section shall be
8	readily accessible and easily found on the Internet by the
9	public and members and committees of Congress. Such in-
10	formation shall also be presented in a searchable, machine-
11	readable format. The Director of the Office of Management
12	and Budget shall issue guidance to ensure that such infor-
13	mation is provided in a way that presents a coherent pic-
14	ture of all Federal programs, and the performance of the
15	Federal Government as well as individual agencies.".
16	SEC. 8. AGENCY CHIEF OPERATING OFFICERS.
17	Chapter 11 of title 31, United States Code, is amended
18	by adding after section 1122 (as added by section 7 of this
19	Act) the following:
20	"S 1199 Chief Operating Officers

20 "§ 1123. Chief Operating Officers

- 21 "(a) Establishment.—At each agency, the deputy
- 22 head of agency, or equivalent, shall be the Chief Operating
- 23 Officer of the agency.

1	"(b) Function.—Each Chief Operating Officer shall
2	be responsible for improving the management and perform-
3	ance of the agency, and shall—
4	"(1) provide overall organization management to
5	improve agency performance and achieve the mission
6	and goals of the agency through the use of strategic
7	and performance planning, measurement, analysis,
8	regular assessment of progress, and use of perform-
9	ance information to improve the results achieved;
10	"(2) advise and assist the head of agency in car-
11	rying out the requirements of sections 1115 through
12	1122 of this title and section 306 of title 5;
13	"(3) oversee agency-specific efforts to improve
14	management functions within the agency and across
15	Government; and
16	"(4) coordinate and collaborate with relevant
17	personnel within and external to the agency who have
18	a significant role in contributing to and achieving the
19	mission and goals of the agency, such as the Chief Fi-
20	nancial Officer, Chief Human Capital Officer, Chief
21	Acquisition Officer/Senior Procurement Executive,
22	Chief Information Officer and other line of business

chiefs at the agency.".

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1	SEC. 9. AGENCY PERFORMANCE IMPROVEMENT OFFICERS
2	AND THE PERFORMANCE IMPROVEMENT
3	COUNCIL.
4	Chapter 11 of title 31, United States Code, is amended
5	by adding after section 1123 (as added by section 8 of this
6	Act) the following:
7	"§ 1124. Performance Improvement Officers and the
8	Performance Improvement Council
9	"(a) Performance Improvement Officers.—
10	"(1) Establishment.—At each agency, the head
11	of the agency, in consultation with the agency Chief
12	Operating Officer, shall designate a senior executive
13	of the agency as the agency Performance Improvement
14	Officer.
15	"(2) Function.—Each Performance Improve-
16	ment Officer shall report directly to the Chief Oper-
17	ating Officer. Subject to the direction of the Chief Op-
18	erating Officer, each Performance Improvement Offi-
19	cer shall—
20	"(A) advise and assist the head of the agen-
21	cy and the Chief Operating Officer to ensure that
22	the mission and goals of the agency are achieved
23	through strategic and performance planning,
24	measurement, analysis, regular assessment of
25	progress, and use of performance information to
26	improve the results achieved;

1	"(B) advise the head of the agency and the
2	Chief Operating Officer on the selection of agen-
3	cy goals, including opportunities to collaborate
4	with other agencies on common goals;
5	"(C) assist the head of the agency and the
6	Chief Operating Officer in overseeing the imple-
7	mentation of the agency strategic planning, per-
8	formance planning, and reporting requirements
9	provided under sections 1115 through 1122 of
10	this title and sections 306 of title 5, including
11	the contributions of the agency to the Federal
12	Government priority goals;
13	"(D) support the head of agency and the
14	Chief Operating Officer in the conduct of regular
15	reviews of agency performance, including at least
16	quarterly reviews of progress achieved toward
17	agency priority goals, if applicable;
18	"(E) assist the head of the agency and the
19	Chief Operating Officer in the development and
20	use within the agency of performance measures
21	in personnel performance appraisals, and, as ap-
22	propriate, other agency personnel and planning
23	processes and assessments; and
24	"(F) ensure that agency progress toward the

achievement of all goals is communicated to

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1	leaders, managers, and employees in the agency
2	and Congress, and made available on a public
3	website of the agency.
4	"(b) Performance Improvement Council.—
5	"(1) Establishment.—There is established a
6	Performance Improvement Council, consisting of—
7	"(A) the Deputy Director for Management
8	of the Office of Management and Budget, who
9	shall act as chairperson of the Council;
10	"(B) the Performance Improvement Officer
11	from each agency defined in section 901(b) of
12	$this \ title;$
13	"(C) other Performance Improvement Offi-
14	cers as determined appropriate by the chair-
15	person; and
16	"(D) other individuals as determined ap-
17	propriate by the chairperson.
18	"(2) Function.—The Performance Improvement
19	Council shall—
20	"(A) be convened by the chairperson or the
21	designee of the chairperson, who shall preside at
22	the meetings of the Performance Improvement
23	Council, determine its agenda, direct its work,
24	and establish and direct subgroups of the Per-

1	formance Improvement Council, as appropriate,
2	to deal with particular subject matters;
3	"(B) assist the Director of the Office of
4	Management and Budget to improve the per-
5	formance of the Federal Government and achieve
6	the Federal Government priority goals;
7	"(C) assist the Director of the Office of
8	Management and Budget in implementing the
9	planning, reporting, and use of performance in-
10	formation requirements related to the Federal
11	Government priority goals provided under sec-
12	tions 1115, 1120, 1121, and 1122 of this title;
13	"(D) work to resolve specific Government-
14	wide or crosscutting performance issues, as nec-
15	essary;
16	"(E) facilitate the exchange among agencies
17	of practices that have led to performance im-
18	provements within specific programs, agencies,
19	or across agencies;
20	"(F) coordinate with other interagency
21	management councils;
22	"(G) seek advice and information as appro-
23	priate from nonmember agencies, particularly
24	smaller agencies;

1	"(H) consider the performance improvement
2	experiences of corporations, nonprofit organiza-
3	tions, foreign, State, and local governments, Gov-
4	ernment employees, public sector unions, and
5	customers of Government services;
6	"(I) receive such assistance, information
7	and advice from agencies as the Council may re-
8	quest, which agencies shall provide to the extent
9	permitted by law; and
10	"(J) develop and submit to the Director of
11	the Office of Management and Budget, or when
12	appropriate to the President through the Direc-
13	tor of the Office of Management and Budget, at
14	times and in such formats as the chairperson
15	may specify, recommendations to streamline and
16	improve performance management policies and
17	requirements.
18	"(3) Support.—
19	"(A) In General.—The Administrator of
20	General Services shall provide administrative
21	and other support for the Council to implement
22	this section.
23	"(B) Personnel.—The heads of agencies
24	with Performance Improvement Officers serving

on the Council shall, as appropriate and to the

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1	extent permitted by law, provide at the request
2	of the chairperson of the Performance Improve-
3	ment Council up to 2 personnel authorizations to
4	serve at the direction of the chairperson.".
5	SEC. 10. FORMAT OF PERFORMANCE PLANS AND REPORTS.
6	(a) Searchable, Machine-readable Plans and
7	REPORTS.—For fiscal year 2012 and each fiscal year there-
8	after, each agency required to produce strategic plans, per-
9	formance plans, and performance updates in accordance
10	with the amendments made by this Act shall—
11	(1) not incur expenses for the printing of stra-
12	tegic plans, performance plans, and performance re-
13	ports for release external to the agency, except when
14	providing such documents to the Congress;
15	(2) produce such plans and reports in searchable,
16	machine-readable formats; and
17	(3) make such plans and reports available on the
18	website described under section 1122 of title 31,
19	United States Code.
20	(b) Web-based Performance Planning and Re-
21	PORTING.—
22	(1) In general.—Not later than June 1, 2012,
23	the Director of the Office of Management and Budget
24	shall issue guidance to agencies to provide concise
25	and timely performance information for publication

1	on the website described under section 1122 of title 31
2	United States Code, including, at a minimum, all re-
3	quirements of sections 1115 and 1116 of title 31
4	United States Code, except for section 1115(e).
5	(2) High-priority goals.—For agencies re-
6	quired to develop agency priority goals under section
7	1120(b) of title 31, United States Code, the perform
8	ance information required under this section shall be
9	merged with the existing information required under
10	section 1122 of title 31, United States Code.
11	(3) Considerations.—In developing guidance
12	under this subsection, the Director of the Office of
13	Management and Budget shall take into consideration
14	the experiences of agencies in making consolidated
15	performance planning and reporting information
16	available on the website as required under section
17	1122 of title 31, United States Code.
18	SEC. 11. REDUCING DUPLICATIVE AND OUTDATED AGENCY
19	REPORTING.
20	(a) Budget Contents.—Section 1105(a) of title 31
21	United States Code, is amended—
22	(1) by redesignating second paragraph (33) as
23	paragraph (35); and
24	(2) by adding at the end the following:

1	"(37) the list of plans and reports, as provided
2	for under section 1125, that agencies identified for
3	elimination or consolidation because the plans and re-
4	ports are determined outdated or duplicative of other
5	required plans and reports.".
6	(b) Elimination of Unnecessary Agency Report-
7	ING.—Chapter 11 of title 31, United States Code, is further
8	amended by adding after section 1124 (as added by section
9	9 of this Act) the following:
10	"§ 1125. Elimination of unnecessary agency reporting
11	"(a) Agency Identification of Unnecessary Re-
12	PORTS.—Annually, based on guidance provided by the Di-
13	rector of the Office of Management and Budget, the Chief
14	Operating Officer at each agency shall—
15	"(1) compile a list that identifies all plans and
16	reports the agency produces for Congress, in accord-
17	ance with statutory requirements or as directed in
18	$congressional\ reports;$
19	"(2) analyze the list compiled under paragraph
20	(1), identify which plans and reports are outdated or
21	duplicative of other required plans and reports, and
22	refine the list to include only the plans and reports
23	identified to be outdated or duplicative;
24	"(3) consult with the congressional committees
25	that receive the plans and reports identified under

- paragraph (2) to determine whether those plans and reports are no longer useful to the committees and could be eliminated or consolidated with other plans and reports; and
 - "(4) provide a total count of plans and reports compiled under paragraph (1) and the list of outdated and duplicative reports identified under paragraph (2) to the Director of the Office of Management and Budget.

"(b) Plans and Reports.—

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- "(1) FIRST YEAR.—During the first year of implementation of this section, the list of plans and reports identified by each agency as outdated or duplicative shall be not less than 10 percent of all plans and reports identified under subsection (a)(1).
- "(2) Subsequent Years.—In each year following the first year described under paragraph (1), the Director of the Office of Management and Budget shall determine the minimum percent of plans and reports to be identified as outdated or duplicative on each list of plans and reports.
- "(c) Request for Elimination of Unnecessary Reports.—In addition to including the list of plans and reports determined to be outdated or duplicative by each agency in the budget of the United States Government, as

- 1 provided by section 1105(a)(37), the Director of the Office
- 2 of Management and Budget may concurrently submit to
- 3 Congress legislation to eliminate or consolidate such plans
- 4 and reports.".
- 5 SEC. 12. PERFORMANCE MANAGEMENT SKILLS AND COM-
- 6 **PETENCIES.**
- 7 (a) Performance Management Skills and Com-
- 8 PETENCIES.—Not later than 1 year after the date of enact-
- 9 ment of this Act, the Director of the Office of Personnel
- 10 Management, in consultation with the Performance Im-
- 11 provement Council, shall identify the key skills and com-
- 12 petencies needed by Federal Government personnel for de-
- 13 veloping goals, evaluating programs, and analyzing and
- 14 using performance information for the purpose of improv-
- 15 ing Government efficiency and effectiveness.
- 16 (b) Position Classifications.—Not later than 2
- 17 years after the date of enactment of this Act, based on the
- 18 identifications under subsection (a), the Director of the Of-
- 19 fice of Personnel Management shall incorporate, as appro-
- 20 priate, such key skills and competencies into relevant posi-
- 21 tion classifications.
- 22 (c) Incorporation Into Existing Agency Train-
- 23 ING.—Not later than 2 years after the enactment of this
- 24 Act, the Director of the Office of Personnel Management
- 25 shall work with each agency, as defined under section 306(f)

- 1 of title 5, United States Code, to incorporate the key skills
- 2 identified under subsection (a) into training for relevant
- 3 employees at each agency.
- 4 SEC. 13. TECHNICAL AND CONFORMING AMENDMENTS.
- 5 (a) The table of contents for chapter 3 of title 5, United
- 6 States Code, is amended by striking the item relating to
- 7 section 306 and inserting the following:

"306. Agency strategic plans.".

- 8 (b) The table of contents for chapter 11 of title 31,
- 9 United States Code, is amended by striking the items relat-
- 10 ing to section 1115 and 1116 and inserting the following:

- 11 (c) The table of contents for chapter 11 of title 31,
- 12 United States Code, is amended by adding at the end the
- 13 following:

14 SEC. 14. IMPLEMENTATION OF THIS ACT.

- 15 (a) Interim Planning and Reporting.—
- 16 (1) In general.—The Director of the Office of
- 17 Management and Budget shall coordinate with agen-
- 18 cies to develop interim Federal Government priority
- 19 goals and submit interim Federal Government per-
- 20 formance plans consistent with the requirements of

[&]quot;1115. Federal Government and agency performance plans.

[&]quot;1116. Agency performance reporting.".

[&]quot;1120. Federal Government and agency priority goals.

[&]quot;1121. Quarterly priority progress reviews and use of performance information.

[&]quot;1122. Transparency of programs, priority goals, and results.

[&]quot;1123. Chief Operating Officers.

[&]quot;1124. Performance Improvement Officers and the Performance Improvement Council.

[&]quot;1125. Elimination of unnecessary agency reporting.".

1	this Act beginning with the submission of the fiscal
2	year 2013 Budget of the United States Government.
3	(2) Requirements.—Each agency shall—
4	(A) not later than February 6, 2012, make
5	adjustments to its strategic plan to make the
6	plan consistent with the requirements of this Act;
7	(B) prepare and submit performance plans
8	consistent with the requirements of this Act, in-
9	cluding the identification of agency priority
10	goals, beginning with the performance plan for
11	fiscal year 2013; and
12	(C) make performance reporting updates
13	consistent with the requirements of this Act be-
14	ginning in fiscal year 2012.
15	(3) Quarterly reviews.—The quarterly pri-
16	ority progress reviews required under this Act shall
17	begin—
18	(A) with the first full quarter beginning on
19	or after the date of enactment of this Act for
20	agencies based on the agency priority goals con-
21	tained in the Analytical Perspectives volume of
22	the Fiscal Year 2011 Budget of the United States
23	Government; and

1	(B) with the quarter ending June 30, 2012
2	for the interim Federal Government priority
3	goals.
4	(b) Guidance.—The Director of the Office of Manage-
5	ment and Budget shall prepare guidance for agencies in
6	carrying out the interim planning and reporting activities
7	required under subsection (a), in addition to other guidance
8	as required for implementation of this Act.
9	SEC. 15. CONGRESSIONAL OVERSIGHT AND LEGISLATION.
10	(a) In General.—Nothing in this Act shall be con-
11	strued as limiting the ability of Congress to establish,
12	amend, suspend, or annul a goal of the Federal Government
13	or an agency.
14	(b) GAO REVIEWS.—
15	(1) Interim planning and reporting evalua-
16	TION.—Not later than June 30, 2013, the Comptroller
17	General shall submit a report to Congress that in-
18	cludes—
19	(A) an evaluation of the implementation of
20	the interim planning and reporting activities
21	conducted under section 14 of this Act; and
22	(B) any recommendations for improving
23	implementation of this Act as determined appro-
24	priate.
25	(2) Implementation evaluations.—

1	(A) In general.—The Comptroller General
2	shall evaluate the implementation of this Act
3	subsequent to the interim planning and report-
4	ing activities evaluated in the report submitted
5	to Congress under paragraph (1).
6	(B) AGENCY IMPLEMENTATION.—
7	(i) Evaluations.—The Comptroller
8	General shall evaluate how implementation
9	of this Act is affecting performance manage-
10	ment at the agencies described in section
11	901(b) of title 31, United States Code, in-
12	cluding whether performance management
13	is being used by those agencies to improve
14	the efficiency and effectiveness of agency
15	programs.
16	(ii) Reports.—The Comptroller Gen-
17	eral shall submit to Congress—
18	(I) an initial report on the eval-
19	uation under clause (i), not later than
20	September 30, 2015; and
21	(II) a subsequent report on the
22	evaluation under clause (i), not later
23	than September 30, 2017.
24	(C) FEDERAL GOVERNMENT PLANNING AND
25	REPORTING IMPLEMENTATION.—

1	(i) EVALUATIONS.—The Comptroller
2	General shall evaluate the implementation
3	of the Federal Government priority goals,
4	Federal Government performance plans and
5	related reporting required by this Act.
6	(ii) Reports.—The Comptroller Gen-
7	eral shall submit to Congress—
8	(I) an initial report on the eval-
9	uation under clause (i), not later than
10	September 30, 2015; and
11	(II) subsequent reports on the
12	evaluation under clause (i), not later
13	than September 30, 2017 and every 4
14	years thereafter.
15	(D) RECOMMENDATIONS.—The Comptroller
16	General shall include in the reports required by
17	subparagraphs (B) and (C) any recommenda-
18	tions for improving implementation of this Act
19	and for streamlining the planning and reporting
20	requirements of the Government Performance
21	and Results Act of 1993.

Attest:

¹¹¹TH CONGRESS H.R. 2142

AMENDMENT