LC005333

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following principles:

STATE OF RHODE ISLAND

IN GENERAL ASSEMBLY

JANUARY SESSION, A.D. 2018

AN ACT

RELATING TO PROBATE PRACTICE AND PROCEDURE -- SUPPORTED DECISION-MAKING ACT

Introduced By: Senators Satchell, Seveney, P Fogarty, Calkin, and Gallo

Date Introduced: March 27, 2018

Referred To: Senate Judiciary

It is enacted by the General Assembly as follows:

1 SECTION 1. Title 33 of the General Laws entitled "PROBATE PRACTICE AND 2 PROCEDURE" is hereby amended by adding thereto the following chapter: 3 CHAPTER 15.3 SUPPORTED DECISION-MAKING ACT 4 5 33-15.3-1. Short title. This chapter shall be known and may be cited as the "Supported Decision-Making Act." 6 7 33-15.3-2. Purpose. (a) The purpose of this chapter is to achieve all of the following: 8 9 (1) Provide assistance in gathering and assessing information, making informed decisions, and communicating decisions for adults who would benefit from decision-making 10 assistance; 11 12 (2) Give supporters legal status to be with the adult and participate in discussions with 13 others when the adult is making decisions or attempting to obtain information; 14 (3) Enable supporters to assist in making and communicating decisions for the adult but 15 not substitute as the decision maker for that adult; and 16 (4) Establish the use of supported decision-making as an alternative to guardianship. 17 (b) This chapter is to be administered and interpreted in accordance with all of the

1	(1) All adults should be able to choose to live in the manner they wish and to accept or	
2	refuse support, assistance, or protection;	
3	(2) All adults should be able to be informed about and participate in the management of	
4	their affairs; and	
5	(3) The values, beliefs, wishes, cultural norms, and traditions that adults hold, should be	
6	respected in supporting adults to manage their affairs.	
7	33-15.3-3. Definitions.	
8	For the purposes of this chapter:	
9	(1) "Adult" means an individual who is eighteen (18) years of age or older.	
10	(2) "Affairs" means personal, health care, and financial matters arising in the course of	
11	activities of daily living and includes all of the following:	
12	(i) Those health care and personal affairs in which adults make their own health care	
13	decisions, including monitoring their own health; obtaining, scheduling, and coordinating health	
14	and support services; understanding health care information and options; and making personal	
15	decisions, including those to provide for their own care and comfort; and	
16	(ii) Those financial affairs in which adults manage their income and assets and its use for	
17	clothing, support, care, comfort, education, shelter, and payment of other liabilities of the	
18	individua l.	
19	(3) "Good faith" means honesty in fact and the observance of reasonable standards of fair	
20	dealing.	
21	(4) "Immediate family member" means a spouse, child, sibling, parent, grandparent,	
22	grandchild, stepparent, stepchild, or stepsibling.	
23	(5) "Person" means an adult; health care institution; health care provider; corporation;	
24	partnership; limited liability company; association; joint venture; government; governmental	
25	subdivision, agency, or instrumentality; public corporation; or any other legal or commercial	
26	entity.	
27	(6) "Principal" means an adult who seeks to enter, or has entered, into a supported	
28	decision-making agreement with a supporter under this chapter.	
29	(7) "Supported decision-making" means a process of supporting and accommodating an	
30	adult to enable the adult to make life decisions, including decisions related to where the adult	
31	wants to live, the services, supports, and medical care the adult wants to receive, whom the adult	
32	wants to live with, where the adult wants to work, and how the adult wants to manage finances,	
33	without impeding the self-determination of the adult.	
34	(8) "Supported decision-making agreement" or "the agreement" means an agreement	

1	between a principal and a supporter entered into under this chapter.		
2	(9) "Supporter" means a person who is named in a supported decision-making agreement		
3	and is not prohibited from acting pursuant to § 33-15.3-6(b).		
4	(10) "Support services" means a coordinated system of social and other services supplie		
5	by private, state, institutional, or community providers designed to help maintain the		
6	independence of an adult, including any of the following:		
7	(i) Homemaker-type services, including house repair, home cleaning, laundry, shopping		
8	and meal-provision;		
9	(ii) Companion-type services, including transportation, escort, and facilitation of written		
10	oral, and electronic communication;		
11	(iii) Visiting nurse and attendant care;		
12	(iv) Health care provision;		
13	(v) Physical and psychosocial assessments;		
14	(vi) Financial assessments and advisement on banking, taxes, loans, investments, and		
15	management of real property;		
16	(vii) Legal assessments and advisement;		
17	(viii) Education and educational assessment and advisement;		
18	(ix) Hands-on treatment or care, including assistance with activities of daily living such		
19	as bathing, dressing, eating, range of motion, toileting, transferring, and ambulation;		
20	(x) Care planning; and		
21	(xi) Other services needed to maintain the independence of an adult.		
22	33-15.3-4. Presumption of capacity.		
23	(a) All adults are presumed to be capable of managing their affairs and to have legal		
24	capacity.		
25	(b) The manner in which an adult communicates with others is not grounds for deciding		
26	that the adult is incapable of managing the adult's affairs.		
27	(c) Execution of a supported decision-making agreement may not be used as evidence of		
28	incapacity and does not preclude the ability of the adult who has entered into such an agreemen		
29	to act independently of the agreement.		
30	33-15.3-5. Supported decision-making agreements.		
31	(a) A supported decision-making agreement must include all of the following:		
32	(1) Designation of at least one supporter;		
33	(2) The types of decisions for which the supporter is authorized to assist; and		
34	(3) The types of decisions, if any, for which the supporter may not assist.		

1	(b) A supported decision-making agreement may include any of the following:		
2	(i) Designation of more than one supporter;		
3	(ii) Provision for an alternate to act in the place of a supporter in such circumstances as		
4	may be specified in the agreement; and		
5	(iii) Authorization for a supporter to share information with any other supporter named in		
6	the agreement, as a supporter believes is necessary.		
7	(c) A supported decision-making agreement is valid only if all of the following occur:		
8	(1) The agreement is in a writing that contains the elements of the form contained in §		
9	<u>33-15.3-11;</u>		
10	(2) The agreement is dated; and		
11	(3) Each party to the agreement signed the agreement in the presence of two (2) adult		
12	witnesses, or before a notary public.		
13	(d) The two (2) adult witnesses required by subsection (c)(3) of this section may not be		
14	any of the following:		
15	(1) A supporter for the principal;		
16	(2) An employee or agent of a supporter named in the supported decision-making		
17	agreement;		
18	(3) A paid provider of services to the principal; and		
19	(4) Any person who does not understand the type of communication the principal uses,		
20	unless an individual who understands the principal's means of communication is present to assist		
21	during the execution of the supported decision-making agreement.		
22	(e) A supported decision-making agreement must contain a separate declaration signed		
23	by each supporter named in the agreement indicating all of the following:		
24	(1) The supporter's relationship to the principal;		
25	(2) The supporter's willingness to act as a supporter; and		
26	(3) The supporter's acknowledgement of the role of a supporter under this chapter.		
27	(f) A supported decision-making agreement may authorize a supporter to assist the		
28	principal to decide whether to give or refuse consent to a life sustaining procedure pursuant to the		
29	provisions of chapters 4.10 and 4.11 of title 23.		
30	(g) A principal or a supporter may revoke a supported decision-making agreement at any		
31	time in writing and with notice to the other parties to the agreement.		
32	<u>33-15.3-6. Supporters.</u>		
33	(a) Except as otherwise provided by a supported decision-making agreement, a supporter		
34	may do all of the following:		

1	(1) Assist the principal in understanding information, options, responsibilities, and	
2	consequences of the principal's life decisions, including those decisions relating to the principal's	
3	affairs or support services;	
4	(2) Help the principal access, obtain, and understand any information that is relevant to	
5	any given life decision, including medical, psychological, financial, or educational decisions, or	
6	any treatment records or records necessary to manage the principal's affairs or support services;	
7	(3) Assist the principal in finding, obtaining, making appointments for, and implementing	
8	the principal's support services or plans for support services;	
9	(4) Help the principal monitor information about the principal's affairs or support	
10	services, including keeping track of future necessary or recommended services; and	
11	(5) Ascertain the wishes and decisions of the principal, assist in communicating those	
12	wishes and decisions to other persons, and advocate to ensure that the wishes and decisions of the	
13	principal are implemented.	
14	(b) Any of the following are disqualified from acting as a supporter:	
15	(1) A person who is an employer or employee of the principal, unless the person is an	
16	immediate family member of the principal;	
17	(2) A person directly providing paid support services to the principal, unless the person is	
18	an immediate family member of the principal; and	
19	(3) An individual against whom the principal has obtained an order of protection from	
20	abuse or an individual who is the subject of a civil or criminal order prohibiting contact with the	
21	principal.	
22	(c) A supporter shall act with the care, competence, and diligence ordinarily exercised by	
23	individuals in similar circumstances, with due regard either to the possession of, or lack of,	
24	special skills or expertise.	
25	33-15.3-7. Recognition of supporters.	
26	A decision or request made or communicated with the assistance of a supporter in	
27	conformity with this chapter shall be recognized for the purposes of any provision of law as the	
28	decision or request of the principal and may be enforced by the principal or supporter in law or	
29	equity on the same basis as a decision or request of the principal.	
30	33-15.3-8. Limitations of liability.	
31	(a) A person, who in good faith acts in reliance on an authorization in a supported	
32	decision-making agreement, or who in good faith declines to honor an authorization in a	
33	supported decision-making agreement, is not subject to civil or criminal liability or to discipline	
34	for unprofessional conduct for any of the following:	

1	(1) Complying with an authorization in a supported decision-making agreement based of	
2	an assumption that the underlying supported decision-making agreement was valid when made	
3	and has not been revoked;	
4	(2) Declining to comply with an authorization in a supported decision-making agreemen	
5	based on actual knowledge that the agreement is invalid.	
6	33-15.3-9. Access to information.	
7	(a) A supporter may assist the principal with obtaining any information to which the	
8	principal is entitled, including, with a signed and dated specific consent, protected health	
9	information under the Health Insurance Portability and Accountability Act of 1996 [Pub. L. 104-	
10	191], educational records under the Family Educational Rights and Privacy Act of 1974 [20	
11	U.S.C. § 1232g], or information protected by 42 U.S.C.A. § 290dd-2, 42 C.F.R Part 2.	
12	(b) The supporter shall ensure all information collected on behalf of the principal under	
13	this section is kept privileged and confidential, as applicable; is not subject to unauthorized	
14	access, use, or disclosure; and is properly disposed of when appropriate.	
15	33-15.3-10. Reporting of suspected abuse, neglect, or exploitation.	
16	If a person who receives a copy of a supported decision-making agreement or is aware of	
17	the existence of a supported decision-making agreement has cause to believe that the principal,	
18	who is an adult with a developmental disability or an elder, is being abused, neglected, or	
19	exploited by the supporter, the person shall report the alleged abuse, neglect, or exploitation	
20	pursuant to §§ 40.1-27-02, and 42-66-8.	
21	33-15.3-11. Form of supported decision-making agreement.	
22	A supported decision-making agreement may be in any form not inconsistent with the	
23	following form and the other requirements of this chapter. Use of the following form is presumed	
24	to meet statutory provisions.	
25	SUPPORTED DECISION-MAKING AGREEMENT	
26	Appointment of Supporter	
27	I,(insert your name), make this agreement of my own free will.	
28	I agree and designate that:	
29	<u>Name:</u>	
30	Address:	
31	Phone Number:	
32	E-mail Address:	
33	is my supporter. My supporter may help me with making everyday life decisions relating to the	
34	following:	

<u>Y/N</u>	Obtaining food, clothing, and shelfer
Y/N	Taking care of my health
Y/N	Managing my financial affairs
Y/N	Other (specify):
I agree and des	signate that:
Name:	
Address:	<u></u>
Phone Number	<u>"</u>
E-mail Addres	<u>s:</u>
is my supporte	er. My supporter may help me with making everyday life decisions relating to the
following:	
Y/N	Obtaining food, clothing, and shelter
Y/N	Taking care of my physical health
Y/N	Managing my financial affairs
<u>Y/N</u> <u>Y/N</u>	Managing my financial affairs Other (specify):
Y/N	Other (specify):
Y/N	Other (specify): s) is (are) not allowed to make decisions for me. To help me with my decisions, my
Y/N My supporter(s) ma	Other (specify): s) is (are) not allowed to make decisions for me. To help me with my decisions, my ay:
Y/N My supporter(s) material (1) He	Other (specify): s) is (are) not allowed to make decisions for me. To help me with my decisions, my ay:
My supporter(s) magnetical, psych	Other (specify): s) is (are) not allowed to make decisions for me. To help me with my decisions, my ay: elp me access, collect, or obtain information that is relevant to a decision, including
My supporter(s) magnetical, psychology (2) He	Other (specify): s) is (are) not allowed to make decisions for me. To help me with my decisions, my ay: elp me access, collect, or obtain information that is relevant to a decision, including hological, financial, educational, or treatment records;
My supporter(s) magnetical, psychology (2) He (3) He	Other (specify): s) is (are) not allowed to make decisions for me. To help me with my decisions, my ay: elp me access, collect, or obtain information that is relevant to a decision, including nological, financial, educational, or treatment records; elp me gather and complete appropriate authorizations and releases;
My supporter(s) magnetical, psychology (2) He (3) He	Other (specify): s) is (are) not allowed to make decisions for me. To help me with my decisions, my ay: elp me access, collect, or obtain information that is relevant to a decision, including nological, financial, educational, or treatment records; elp me gather and complete appropriate authorizations and releases; elp me understand my options so I can make an informed decision; and
My supporter(s) magnetical, psychology (2) He (3) He (4) He	Other (specify): s) is (are) not allowed to make decisions for me. To help me with my decisions, my ay: elp me access, collect, or obtain information that is relevant to a decision, including nological, financial, educational, or treatment records; elp me gather and complete appropriate authorizations and releases; elp me understand my options so I can make an informed decision; and elp me communicate my decision to appropriate persons.
My supporter(s) magnetical, psychology (2) He (3) He (4) He	Other (specify): s) is (are) not allowed to make decisions for me. To help me with my decisions, my ay: elp me access, collect, or obtain information that is relevant to a decision, including nological, financial, educational, or treatment records; elp me gather and complete appropriate authorizations and releases; elp me understand my options so I can make an informed decision; and elp me communicate my decision to appropriate persons. Effective Date of Supported Decision-Making Agreement ed decision-making agreement is effective immediately and will continue
My supporter(s) may supporter(s) may supporter(s) may supporter(s) may supported (1) He medical, psychological,	Other (specify): s) is (are) not allowed to make decisions for me. To help me with my decisions, my ay: elp me access, collect, or obtain information that is relevant to a decision, including nological, financial, educational, or treatment records; elp me gather and complete appropriate authorizations and releases; elp me understand my options so I can make an informed decision; and elp me communicate my decision to appropriate persons. Effective Date of Supported Decision-Making Agreement ed decision-making agreement is effective immediately and will continue agreement is terminated by my supporter or me
My supporter(s) may supporter(s) may supporter(s) may (1) He medical, psychology (2) He (3) He (4) He (4) He medical, supporter (4) He medical, supporter (5) He (6) He medical, psychology (6) He (7) He medical, psychology (7) He (1) He medical, psychology (1) He (1) He medical, psychology (1) He (1) He medical, psychology (1) He	Other (specify): s) is (are) not allowed to make decisions for me. To help me with my decisions, my ay: elp me access, collect, or obtain information that is relevant to a decision, including nological, financial, educational, or treatment records; elp me gather and complete appropriate authorizations and releases; elp me understand my options so I can make an informed decision; and elp me communicate my decision to appropriate persons. Effective Date of Supported Decision-Making Agreement ed decision-making agreement is effective immediately and will continue
My supporter(s) may supporter(s) may supporter(s) may (1) He medical, psychology (2) He (3) He (4) He (4) He medical, supporter (4) He medical, supporter (5) He (6) He medical, psychology (6) He (7) He medical, psychology (7) He (1) He medical, psychology (1) He (1) He medical, psychology (1) He (1) He medical, psychology (1) He	Other (specify): s) is (are) not allowed to make decisions for me. To help me with my decisions, my ay: the me access, collect, or obtain information that is relevant to a decision, including nological, financial, educational, or treatment records; the me gather and complete appropriate authorizations and releases; the me understand my options so I can make an informed decision; and the me communicate my decision to appropriate persons. Effective Date of Supported Decision-Making Agreement and decision-making agreement is effective immediately and will continue
My supporter(s) may supporter(s) may supporter(s) may (1) He (2) He (3) He (4) He (4) He (4) This supporter supporte	Other (specify): s) is (are) not allowed to make decisions for me. To help me with my decisions, my ay: elp me access, collect, or obtain information that is relevant to a decision, including nological, financial, educational, or treatment records; elp me gather and complete appropriate authorizations and releases; elp me understand my options so I can make an informed decision; and elp me communicate my decision to appropriate persons. Effective Date of Supported Decision-Making Agreement ed decision-making agreement is effective immediately and will continue

1	·····	·····
2	(Signature of supporter)	(Printed name of supporter)
3	My relationship to the principal is:	<u></u>
4	I, (Name of s	upporter), consent to act as a supporter under this
5	agreement, and acknowledge my responsibiliti	es under chapter 15.3 of title 33.
6		
7	(Signature of supporter)	(Printed name of supporter)
8	My relationship to the principal is:	
9	Consent	of the Principal
10		
11	(My signature)	(My printed name)
12	Witnes	ses or Notary
13		
14	(Witness 1 signature)	(Printed name of witness 1)
15		
16	(Witness 2 signature)	(Printed name of witness 2)
17	<u>Or</u>	
18	State of	
19	County of	
20	This document was acknowled	lged before me on (date) by
21	a	nd
22	(Name of adult with a disability)	(Name of supporter)
23		
24		(Signature of notarial officer)
25	(Seal, if any, of notary)	
26		
27		(Printed name)
28	My commission expires:	<u></u>
29	SECTION 2. Section 33-15-47 of the	ne General Laws in Chapter 33-15 entitled "Limited
30	Guardianship and Guardianship of Adults" is	hereby amended to read as follows:
31	33-15-47. Forms.	
32	The following forms shall be used for	the purposes of this chapter:
33	STATE OF RHODE ISLAND	PROBATE COURT
34	OF THE COUNTY OF	

	No
ESTATE OF	
PERSONAL ESTATE ESTIMATED AT \$	CITY/TOWN OF
	19
PETITION FOR LIMITED GUARDIANSHIP	OR GUARDIANSHIP
hereby petitions the Probate Cou	rt of the city/town of
Petitioner	
to appoint a limited guardian/guardian for	who currently resides a
, in the city/town of	, and whose date of birtl
Address	
is	
Based upon an assessment conducted by	on
	Date
which functional assessment reflects the current level of func-	ctioning of, i
	Respondent
has been determined that lacks decision-	-making ability in one or more of the
Respondent	
following areas as indicated:	
health care	
financial matters	
residence	
association	
other	
Regarding each area indicated, please describe the s	mecific assistance needed:
	-
Indicate which of the following, less restrictive elters	
-	
explored and deemed inappropriate as indicated:	natives to guardianship have been
Describb D. CAM. C. H. M. C.	
Durable Power of Attorney for Health Care Living Will	

1	Power of Attorney
2	Durable Power of Attorney
3	Trusts
4	Joint Property Arrangements
5	Representative Payee
6	Money Management
7	Single Court Transactions
8	Government Benefit and Social Service Programs
9	Housing Options
10	Supported Decision-Making Agreement
11	Other
12	Please describe the basis for the determination that the alternative will not meet the needs
13	of the respondent for each alternative explored and deemed inappropriate:
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
29	The following individual/agency is willing to serve as guardian:
30	
31	
32	
33	Upon information and belief the above individual/agency has:
34	□ No conflict of interest that would interfere with guardianship duties.

1	☐ No criminal background that would interfere with guardianship duties.	
2	☐ The capacity to manage financial resources involved.	
3	☐ The ability to meet requirements of law and unique needs of individual.	
4	□ Demonstrated willingness to undergo training.	
5	The Respondent has the following heirs at law:	
6	NAME: RESIDENCE:	
7		
8		
9		
10		
11		
12		
13		
14	Signature	
15		
16	Name	
17		
18	Address	
19		
20	Telephone	
21	Subscribed and sworn to before me this as to the truth of the above facts by in	
22	on theday of, 19	
23		
24	Notary Public	
25		
26	Print Name	
27	DECREE	
28		
29	Dated	
30	PROBATE JUDGE	
31	This notice should be served at once and returned to the clerk of the court. NOTICE	
32		
33	STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS BY THE PROPATE COURT OF THE	
34	BY THE PROBATE COURT OF THE OF	

1	BY THE COUNTY OF AND STATE AFORESAID	
2	To	
3	Estate or	
4	Docket No	
5	GREETING:	
6	A petition for Limited Guardianship/Guardianship has been filed in the Probate Court of	
7	the city/town of has requested that	
8	the Probate Petitioner	
9	Court appoint a limited guardian/guardian for you.	
10	A hearing regarding this Petition shall be held	
11	On:	
12	date	
13	At:	
14	time	
15	at the Probate Court for the town of	
16		
17	Address	
18		
19	The Petition requests that the Probate Court consider the qualification of the following	
20	individual/agency to serve as your limited guardian/guardian:	
21		
22		
23	A guardian ad litem will be appointed by the Probate Court to visit you, explain the	
24	process and inform you of your rights.	
25	You have the right to attend the hearing to contest the petition, to request that the powers	
26	of the guardian be limited or to object to the appointment of particular individual/agency limited	
27	guardian/ guardian. If you wish to contest the petition, you have the right to be represented by an	
28	attorney, at state expense, if you are indigent.	
29	If the Petition is granted and a limited guardian/guardian is appointed, the Probate Court	
30	may give the limited guardian/guardian the power to make decisions about one or more of the	
31	following:	
32	Your health care; your money; where you live; and with whom you associate.	
33	Copies of this Notice will be mailed to:	
34	The administrator of any care or treatment facility where you live or receive primary	

1	services;	
2	your spouse, and heirs at law; any individual or entity known to petitioner to be	
3	regularly	
4	supplying protection services to you.	
5	CERTIFICATION	OF SERVICE
6	I certify that I hand-delivered and read	this Notice to on the
7	day of, 19	
8		
9		Signature
10		
11		Print Name
12		
13		Address
14	CERTIFICATION	N OF NOTICE
15	I certify that, as required by Rhode Island General Laws § 33-15-17.1(e), I mailed a cop	
16	of this Notice to the following persons, at the addresses listed, on the day of	
17	19	
18		
19		Signature
20		
21		Print Name
22		
23		Address
24	Subscribed and sworn to before me this	day of, 19
25		
26		Notary Public
27	WITNESS	
28	Judge of the Probate Court of the	of this day of
29	, 19	
30		
31		Clerk
32	DECISION-MAKING A	SSESSMENT TOOL
33	Name of Individual being assessed:	Current Address:
34		

Instructions for Complete This document will be used by a Probate Court to a guardian to assist this individual in some or all areas of dear This document has two parts. Please first complete instructions, titled Assessment. Then complete the second To a physician completing this document: The indicomplete this document. If there is any information of which this document does not have direct knowledge, he or she is such other persons as are necessary to complete the entire to other medical personnel such as nurses, or other persons supprofessionals who are acquainted with the individual. If the from others in completing the form, the names of those indicumenty.	determine whether to appoint a cision-making. The the part which is right after these section, titled Summary. The treating physician must characteristic physician completing encouraged to make such inquiries of form. Those persons might include ach as family members or social service physician has received information
guardian to assist this individual in some or all areas of decomplete this document has two parts. Please first complete instructions, titled Assessment. Then complete the second To a physician completing this document: The indicomplete this document. If there is any information of which this document does not have direct knowledge, he or she is such other persons as are necessary to complete the entire to other medical personnel such as nurses, or other persons supprofessionals who are acquainted with the individual. If the from others in completing the form, the names of those indicates the complete the second to the person of the perso	cision-making. It the part which is right after these section, titled Summary. It ividual's treating physician must characteristic physician completing encouraged to make such inquiries of form. Those persons might include ach as family members or social service physician has received information
This document has two parts. Please first complete instructions, titled Assessment. Then complete the second To a physician completing this document: The indicomplete this document. If there is any information of which this document does not have direct knowledge, he or she is such other persons as are necessary to complete the entire to other medical personnel such as nurses, or other persons supprofessionals who are acquainted with the individual. If the from others in completing the form, the names of those indicates the second complete	e the part which is right after these section, titled Summary. ividual's treating physician must che the treating physician completing encouraged to make such inquiries of form. Those persons might include uch as family members or social service physician has received information
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professionals who are acquainted with the individual. If the from others in completing the form, the names of those individual.	e physician has received information
from others in completing the form, the names of those ind	
	ividuals must be listed on the
Summary.	
To a non-physician completing this document: Pro	ofessionals or other persons acquainted
with the individual being assessed may also complete this	document. If there is information of
which a non-physician completing this document does not	have knowledge, such non-physician
may either leave portions of the document blank, or also m	nake inquiries or do such investigation
as is necessary to complete the entire document. Again, the	e names of any individual from whom
information is derived should be listed on the Summary.	
The document must be signed and dated by the p	erson completing it. It does not need to
be notarized.	
A. BIOLOGICAL ASSESS	SMENT
THE FOLLOWING IS BASED UPON A PHYSIC	CAL EXAMINATION CONDUCTED
BY ME ON	
(DATE)	
1. DIAGNOSIS and PROGNOSIS:	
2. MEDICATION (PLEASE LIST):	

1	
2	
3	How do the above medications, if any, affect the individual's decision-making ability?
4	Please explain:
5	
6	
7	
8	
9	
10	3. CURRENT NUTRITIONAL STATUS:
11	
12	
13 14	
15	
16	B. PSYCHOLOGICAL ASSESSMENT
17	1. MEMORY (CIRCLE ONE)
18	(A) Intact; (B) Mild Impairment; (C) Moderate Impairment; (D) Severe Impairment
19	2. ATTENTION (CIRCLE ONE)
20	(A) Intact; (B) Mild Impairment; (C) Shifting/Wandering; (D) Delirium; (E)
21	Unresponsive
22	3. JUDGMENT (CIRCLE ONE)
23	(A) Intact; (B) Able to Make Most Decisions; (C) Impaired; (D) Gross Impairment
24	4. LANGUAGE (CIRCLE ALL THAT APPLY)
25	(A) Intact (B) Sensory Deficits (Hearing/Speech/Sight)
26	(C) Impairment In Comprehension/Speech: Mild/Moderate/Severe
27	(D) Completely Unresponsive
28	5. EMOTION (CIRCLE ALL THAT APPLY)
29	(A) ANXIETY/DEPRESSION: (1) None (2) History of Anxiety/Depression
30	(3) Moderate Symptoms of Anxiety/Depression
31	(4) Severe symptoms with sleep/appetite/energy disturbance
32	(5) Suicide/Homicidal
33	(B) OTHER: (1) Suspiciousness/Belligerence/Explosiveness
34	(2) Delusions/Hallucinations (3) Unresponsive

L	If you circled any of the above, other than (A) of (1) for any of the above categories,
2	please explain whether the situation is treatable or reversible, and if so, how:
3	C. SOCIAL ASSESSMENT
1	1. MOBILITY (CIRCLE ALL THAT APPLY)
5	(A) Intact/Exercises (B) Drives Car Or Uses Public Transportation
5	(C) Independent Ambulation in Home Only; (D) Walker/Cane; (E) Requires Assistance
7	If you circled (C), (D), or (E), is situation treatable or reversible? If so, how?
3	
)	
)	
L	
2	
	2. SELF CARE (CIRCLE ALL THAT APPLY)
	(A) No Assistance Needed;
	(B) Requires Assistance with (1) Meals (2) Bathing (3) Dressing (4) Toileting/Feeding
	If you circled any of (B), is individual aware that assistance is required?
	Is individual willing to accept assistance?
	Is individual able to arrange for assistance?
	3. CARE PLAN MAINTENANCE (CIRCLE ALL THAT APPLY)
	(A) No Active Problem; (B) Initiates Problem Identification; (C) Actively Cooperative;
	(D) Passively Cooperative; (E) Passively Uncooperative; (F) Actively Uncooperative
	4. SOCIAL NETWORK RELATIONSHIPS
	(CIRCLE ONE IN (A) AND IN ONE IN (B))
	SUPPORT:
	(1) Very Good Supportive Network; (2) Some Support From Family And Friends; (3) No
	Or Limited Support From Family/Friends; (4) Needs Community Support; (5)
	Isolated/Homebound
	(B) SOCIAL SKILLS:
	(1) Very Good Social Skills; (2) Good Social Skills; (3) Interacts With Prompting; (4)
	Isolated
	D. SUMMARY
	I hereby certify that I have reviewed sections A, B, & C attached hereto and based on
	such assessments that the individual's decision-making ability is as follows:

1	(1) PLEASE DESCRIBE AS FULLY AS YOU CAN THE INDIVIDUAL'S DECISION
2	MAKING ABILITY IN EACH OF THE FOLLOWING AREAS:
3	A. FINANCIAL MATTERS
4	
5	
6	
7	
8	
9	B. HEALTH CARE MATTERS
10	
11	
12	
13	
14	
15	C. RELATIONSHIPS
16	
17	
18	
19	
20	
21	D. RESIDENTIAL MATTERS
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23	
24	
25	
26	
27	(2) PLEASE INDICATE YOUR OPINION REGARDING WHETHER THE
28	INDIVIDUAL NEEDS A SUBSTITUTE DECISION-MAKER IN ANY OF THE FOLLOWING
29	AREAS: (Circle one for each category. If you circle "limited" for any category, please explain.)
30	(1) FINANCIAL MATTERS Yes No Limited
31	
32	
33	
34	

	(2) HEALTH CARE MATTERS	Yes	No	Limited		
	(3) RELATIONSHIPS	Yes	No	Limite		
	(4) RESIDENTIAL MATTERS	Yes	No	Limite		
	(5) OTHER: If there are any other areas	in which you thi	nk the individu	al lacks dec		
aking	ability or has limited decision-making abi	lity, please expla	in.			
		Signatu	re			
		Name ((Print or Type))		
		Title				
		Date				

Names and titles of others who assiste	d in Preparation of This Assessment.
STATE OF RHODE ISLAND	PROBATE COURT
OF THE	
COUNTY OF	
Estate of	Docket No
ANNUAL S'	TATUS REPORT
(1) The residence of the ward is	
(2) The medical condition of the ward	
	the decision making capacity of the ward:
	a actions I have taken and desigions I have made on
	e actions I have taken and decisions I have made on
behalf of the ward during the last year:	
(If more space is needed, please attacl	h a supplement).
, , , , , , , , , , , , , , , , , , ,	•
	Guardian
	Date
STATE OF RHODE ISLAND	PROBATE COURT OF
COUNTY OF	THE
(Estate Name)	· · · · · · · · · · · · · · · · · · ·
(Estate Maille)	

	Probate Court No
	REPORT OF THE GUARDIAN AD LITEM
	Now comes (Name of Guardian Ad Litem) for (Name of Proposed Ward) and reports that
on (Da	ate), I personally visited the proposed ward at (Address). I explained to (Name of Proposed
Ward)	the following:
	* The nature, purpose, and legal effect of the appointment of a guardian;
	* The hearing procedure, including, but not limited to, the right to contest the petition, to
reques	t limits on the guardian's powers, to object to a particular person being appointed guardian,
to be p	present at the hearing, and to be represented by legal counsel;
	* The name of the person known to be seeking appointment as guardian:
	Based on such visit and the respondent's reaction thereto, I make the following
determ	nination regarding the respondent's desire to be present at the hearing, to contest the
petition	n, to have limits placed on the guardian's powers and respondent's objection, if any, to a
particu	lar person being appointed as guardian.
	Based on my review of the petition, the decision making assessment tool, my interview
with th	ne prospective guardian, my visit with the respondent, and interviews and discussions with
other p	parties, I made the following additional determinations:
	Regarding whether the respondent is in need of a guardian of the type prayed for in the
petition	n:
	Regarding whether the guardian ad litem has, in the course of fulfilling his or her duties
discov	ered information concerning the suitability of the individual or entity to serve as such
guardia	an:

1		Respectfully submitted,
2	Date:	
3		(Name of Guardian Ad Litem)
4	SECTION 3. This act shall take effect upon passage.	
	====== LC005333 =======	

EXPLANATION

BY THE LEGISLATIVE COUNCIL

OF

AN ACT

RELATING TO PROBATE PRACTICE AND PROCEDURE -- SUPPORTED DECISION-MAKING ACT

This act would establish the supported decision-making act which is a less restrictive alternative to guardianship for utilization of the probate courts.

This act would take effect upon passage.

LC005333

LC005333