LC00109

2010 -- H 7088

STATE OF RHODE ISLAND

IN GENERAL ASSEMBLY

JANUARY SESSION, A.D. 2010

AN ACT

RELATING TO COURTS AND CIVIL PROCEDURE--COURTS -- JUDICIAL SELECTION

Introduced By: Representative Scott M. Pollard

Date Introduced: January 12, 2010

Referred To: House Judiciary

It is enacted by the General Assembly as follows:

- SECTION 1. Section 816.1-6 of the General Laws in Chapter 8-16.1 entitled "Judicial
 Selection" is hereby amended to read as follows:
- 3 <u>8-16.1-6. Nomination and appointment of judges. [Repealed effective June 30, 2009.]</u>

4 Nomination and appointment of judges. [Repealed effective June 30, 2010.] -- (a) (1) The

5 governor shall immediately notify the commission of any vacancy or prospective vacancy of a 6 judge of any state court other than the Rhode Island supreme court. The commission shall 7 advertise for each vacancy and solicit prospective candidates and shall consider names submitted 8 from any source. Within ninety (90) days of any vacancy the commission shall publicly submit 9 the names of not less than three (3) and not more than five (5) highly qualified persons for each 10 vacancy to the governor.

(2) Notwithstanding any other law to the contrary, any individual whose name was publicly submitted to the governor by the commission as described in subsection (1) above, shall also be eligible for subsequent nomination by the governor for any vacancy or prospective vacancy of a judge in the same court for which that particular individual had previously applied except for a vacancy in the position of presiding justice, chief justice, or chief judge.

(3) Such individuals shall remain eligible for nomination to fill any vacancy or
prospective vacancy within the same court to which they previously applied for a period of five
(5) years from the date their name or names were publicly submitted to the governor by the
commission unless such individuals withdraw from future consideration in writing to the judicial

nominating commission. However, such individuals must reapply for any subsequent vacancy or
 prospective vacancy in the same court for the position of presiding justice, chief justice, or chief
 judge.

4 (4) Subject to the eligibility requirements set forth above, the governor shall fill any 5 vacancy of any judge of the Rhode Island superior court, family court, district court, workers' 6 compensation court, or any other state court which the general assembly may from time to time 7 establish, by nominating one of the three (3) to five (5) highly qualified persons forwarded to him 8 or her by the commission for the court where the vacancy occurs, or by nominating another 9 individual who has previously applied for a vacancy or prospective vacancy within the same court 10 and whose name had been previously publicly submitted to the governor within the previous five 11 (5) years.

12 (b) The governor shall fill any vacancy within twenty-one (21) days of the public 13 submission by the commission. Notwithstanding any provisions of the general or public laws to 14 the contrary, in the event that the governor fails to fill the vacancy within twenty-one (21) days 15 after candidates have been submitted by the commission, the governor's power of appointment shall terminate and said power shall be vested in the grand committee of the general assembly. 16 17 The grand committee shall have six (6) months following the governor's twenty-one (21) day 18 period to make said appointment. Those eligible for appointment by the grand committee shall be 19 those individuals who are eligible pursuant to this section. In the event that more than one 20 individual is nominated for appointment by the grand committee, the individual who receives the 21 most votes shall be appointed.

Upon the appointment of said individual, the advise and consent of the senate shall be
 required in accordance with the provisions of this section.

24 (c) Each nomination shall be delivered forthwith to the secretary of the senate for presentation to the senate, and by and with the advice and consent of the senate, each nominee 25 26 shall be appointed by the governor to serve subject to the general laws. The senate shall, after 27 seven (7) calendar days of receipt of the nomination consider the nomination, but if the senate 28 fails within sixty (60) ninety (90) days after the submission to confirm the nominee or if the 29 senate does not by a majority vote of its members extend the deliberation an additional seven (7) 30 calendar days, the governor shall appoint some other person to fill the vacancy and shall submit 31 his or her appointment to the senate for confirmation in like manner until the senate shall confirm 32 the nomination. If the nominee is rejected by the senate, the commission shall submit a new list of 33 three (3) to five (5) candidates to the governor for the purpose of nomination in accordance with 34 this chapter. Any new list may include but need not be limited to the names of any candidates

1 who were previously submitted to the governor by the commission but who were not forwarded

2 to the senate for its advice and consent.

3 (d) During the time for consideration of the nominees by the senate, the senate judiciary 4 committee shall conduct an investigation and public hearing on the question of the qualifications 5 of the nominee or nominees. At the public hearing, the testimony of every witness shall be taken 6 under oath and stenographic records shall be taken and maintained. Further, the senate judiciary 7 committee shall during the course of its investigation and hearing have the power upon majority 8 vote of the committee members present to issue witness subpoenas, subpoenas duces tecum, and 9 orders for the production of books, accounts, papers, records, and documents which shall be 10 signed and issued by the chairperson of the committee, or the person serving in his or her 11 capacity. All such subpoenas and orders shall be served as subpoenas in civil cases in the superior 12 court are served, and witnesses so subpoenaed shall be entitled to the same fees for attendance 13 and travel as provided for witnesses in civil cases in the superior court. If the person subpoenaed 14 to attend before the committee fails to obey the command of the subpoena without reasonable 15 cause, refuse to be sworn, or to be examined, or to answer a legal and pertinent question, or if any 16 person shall refuse to produce books, accounts, papers, records, and documents material to the 17 issue, set forth in an order duly served on him or her, the committee by majority vote of the 18 committee members present may apply to any justice of the superior court, for any county, upon 19 proof by affidavit of the fact, for a rule or order returnable in not less than two (2) nor more than 20 five (5) days, directing the person to show cause before the justice who made the order or any 21 other justice of the superior court, why he or she should not be adjudged in contempt. Upon the 22 return of the order, the justice before whom the matter is brought on for hearing shall examine 23 under oath the person, and the person shall be given an opportunity to be heard, and if the justice 24 shall determine that the person has refused without reasonable cause or legal excuse to be 25 examined or to answer a legal and pertinent question, or to produce books, accounts, papers, 26 records, and documents material to the issue which he or she was ordered to bring or produce, he 27 or she may forthwith commit the offender to the adult correctional institution, there to remain 28 until the person submits to do the act which he or she was so required to do, or is discharged 29 according to law.

30 (e) The committee shall, for the purpose of investigating he qualifications of the 31 nominee or nominees, be furnished with a report compiled by the state police in conjunction with 32 the attorney general's office indicating the determinations and findings of the state police and 33 attorney general's office investigations concerning the background of the nominee or nominees, 34 and the report shall include, but not be limited to, the following:

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(1) Whether the nominee has ever been convicted of or pleaded guilty to a misdemeanor
 or felony in this or any other state or foreign country;

3 (2) Whether the nominee has ever filed a personal bankruptcy petition or an assignment 4 for the benefit of creditors in this or any other state or foreign country; and whether the nominee 5 has ever been a partner in, held ten percent (10%) or more of stock in, or held office in any sole 6 proprietorship, partnership, or corporation that has been involved in bankruptcy or receivership 7 actions as a debtor or because of insolvency at the time the nominee was a partner in, held ten 8 percent (10%) or more stock in, or held office in any such sole proprietorship, partnership, or 9 corporation;

(3) Whether the nominee has ever had a civil judgment rendered against him or her
arising out of an allegation of fraud, misrepresentation, libel, slander, professional negligence, or
any intentional tort in this state or any other state or foreign country;

(4) The state police in conjunction with the attorney general's department shall providein their report the names and addresses of each and every source of their information.

(f) The reports set forth in this section shall be delivered to the chairperson and members of the senate judiciary committee in addition to the nominee or nominees only prior to the commencement of the public hearing. Provided, however, that if the nominee or nominees withdraw or decline the appointment prior to the public hearing then the report or reports shall be returned to the chairperson of the judiciary committee and destroyed.

20 (g) The committee shall also require a financial statement to be submitted by each 21 nominee, prior to the public hearing, to the chairperson of the senate judiciary committee, to 22 investigate each nominee to determine his or her compliance with the provisions of chapter 14 of 23 title 36.

(h) Any associate justice of any state court who is appointed to serve as the chief or
presiding justice of that court on an interim basis shall retain his or her status as an associate
justice until the appointment to chief or presiding justice is made permanent.

(i) In case a vacancy shall occur when the senate is not in session, the governor shall
appoint some person from a list of three (3) to five (5) persons submitted to the governor by the
commission to fill the vacancy until the senate shall next convene, when the governor shall make
an appointment as provided in this section.

<u>8-16.1-6. Nomination and appointment of judges. [Effective June 30, 2009.]</u>
 <u>Nomination and appointment of judges. [Effective June 30, 2010.] --</u> (a) The governor shall
 immediately notify the commission of any vacancy or prospective vacancy of a judge of any state
 court other than the Rhode Island supreme court. The commission shall advertise for each

1 vacancy and solicit prospective candidates and shall consider names submitted from any source. 2 Within ninety (90) days of any vacancy the commission shall publicly submit the names of not 3 less than three (3) and not more than five (5) highly qualified persons for each vacancy to the 4 governor. The governor shall fill any vacancy of any judge of the Rhode Island superior court, 5 family court, district court, workers' compensation court, or any other state court which the 6 general assembly may from time to time establish, by nominating one of the three (3) to five (5) 7 highly qualified persons forwarded to him or her by the commission for the court where the 8 vacancy occurs.

9 (b) The governor shall fill any vacancy within twenty-one (21) days of the public 10 submission by the commission. Notwithstanding any provisions of the general or public laws to 11 the contrary, in the event that the governor fails to fill the vacancy within twenty-one (21) days 12 after candidates have been submitted by the commission, the governor's power of appointment 13 shall terminate and said power shall be vested in the grand committee of the general assembly. The grand committee shall have six (6) months following the governor's twenty-one (21) day 14 15 period to make said appointment. Those eligible for appointment by the grand committee shall be those individuals who are eligible pursuant to this section. In the event that more than one 16 17 individual is nominated for appointment by the grand committee, the individual who receives the 18 most votes shall be appointed.

19 Upon the appointment of said individual, the advise and consent of the senate shall be 20 required in accordance with the provisions of this section.

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(c) Each nomination shall be forwarded forthwith to the senate, and by and with the 22 advice and consent of the senate, each nominee shall be appointed by the governor to serve subject to the general laws. The senate shall, after seven (7) calendar days of receipt of the 23 24 nomination consider the nomination, but if the senate fails within sixty (60) ninety (90) days after 25 the submission to confirm the nominee or if the senate does not by a majority vote of its members 26 extend the deliberation an additional seven (7) calendar days, the governor shall appoint some 27 other person to fill the vacancy and shall submit his or her appointment to the senate for 28 confirmation in like manner until the senate shall confirm the nomination. If the nominee is 29 rejected by the senate, the commission shall submit a new list of three (3) to five (5) candidates to 30 the governor for the purpose of nomination in accordance with this chapter. Any new list may 31 include but need not be limited to the names of any candidates who were previously submitted to 32 the governor by the commission but who were not forwarded to the senate for its advice and 33 consent.

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(d) During the time for consideration of the nominees by the senate, the senate judiciary

1 committee shall conduct an investigation and public hearing on the question of the qualifications 2 of the nominee or nominees. At the public hearing, the testimony of every witness shall be taken 3 under oath and stenographic records shall be taken and maintained. Further, the senate judiciary 4 committee shall during the course of its investigation and hearing have the power upon majority 5 vote of the committee members present to issue witness subpoenas, subpoenas duces tecum, and 6 orders for the production of books, accounts, papers, records, and documents which shall be 7 signed and issued by the chairperson of the committee, or the person serving in his or her 8 capacity. All such subpoenas and orders shall be served as subpoenas in civil cases in the superior 9 court are served, and witnesses so subpoenaed shall be entitled to the same fees for attendance 10 and travel as provided for witnesses in civil cases in the superior court. If the person subpoenaed 11 to attend before the committee fails to obey the command of the subpoena without reasonable 12 cause, refuse to be sworn, or to be examined, or to answer a legal and pertinent question, or if any 13 person shall refuse to produce books, accounts, papers, records, and documents material to the 14 issue, set forth in an order duly served on him or her, the committee by majority vote of the 15 committee members present may apply to any justice of the superior court, for any county, upon 16 proof by affidavit of the fact, for a rule or order returnable in not less than two (2) nor more than 17 five (5) days, directing the person to show cause before the justice who made the order or any 18 other justice of the superior court, why he or she should not be adjudged in contempt. Upon the 19 return of the order, the justice before whom the matter is brought on for hearing shall examine 20 under oath the person, and the person shall be given an opportunity to be heard, and if the justice 21 shall determine that the person has refused without reasonable cause or legal excuse to be 22 examined or to answer a legal and pertinent question, or to produce books, accounts, papers, 23 records, and documents material to the issue which he or she was ordered to bring or produce, he 24 or she may forthwith commit the offender to the adult correctional institution, there to remain 25 until the person submits to do the act which he or she was so required to do, or is discharged 26 according to law.

(e) The committee shall, for the purpose of investigating the qualifications of the nominee or nominees, be furnished with a report compiled by the state police in conjunction with the attorney general's office indicating the determinations and findings of the state police and attorney general's office investigations concerning the background of the nominee or nominees, and the report shall include, but not be limited to, the following:

32 (1) Whether the nominee has ever been convicted of or pleaded guilty to a misdemeanor
33 or felony in this or any other state or foreign country;

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(2) Whether the nominee has ever filed a personal bankruptcy petition or an assignment

for the benefit of creditors in this or any other state or foreign country; and whether the nominee has ever been a partner in, held ten percent (10%) or more of stock in, or held office in any sole proprietorship, partnership, or corporation that has been involved in bankruptcy or receivership actions as a debtor or because of insolvency at the time the nominee was a partner in, held ten percent (10%) or more stock in, or held office in any such sole proprietorship, partnership, or corporation;

(3) Whether the nominee has ever had a civil judgment rendered against him or her
arising out of an allegation of fraud, misrepresentation, libel, slander, professional negligence, or
any intentional tort in this state or any other state or foreign country;

10 (4) The state police in conjunction with the attorney general's department shall provide11 in their report the names and addresses of each and every source of their information.

(f) The reports set forth in this section shall be delivered to the chairperson and members of the senate judiciary committee in addition to the nominee or nominees only prior to the commencement of the public hearing. Provided, however, that if the nominee or nominees withdraw or decline the appointment prior to the public hearing then the report or reports shall be returned to the chairperson of the judiciary committee and destroyed.

17 (g) The committee shall also require a financial statement to be submitted by each 18 nominee, prior to the public hearing, to the chairperson of the senate judiciary committee, to 19 investigate each nominee to determine his or her compliance with the provisions of chapter 14 of 20 title 36.

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presiding justice of that court on an interim basis shall retain his or her status as an associate
justice until the appointment to chief or presiding justice is made permanent.

(i) In case a vacancy shall occur when the senate is not in session, the governor shall
appoint some person from a list of three (3) to five (5) persons submitted to the governor by the
commission to fill the vacancy until the senate shall next convene, when the governor shall make
an appointment as provided in this section.

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SECTION 2. This act shall take effect upon passage.

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EXPLANATION

BY THE LEGISLATIVE COUNCIL

OF

AN ACT

RELATING TO COURTS AND CIVIL PROCEDURE--COURTS -- JUDICIAL SELECTION

This act would terminate the governor's right to appoint judges if not done within twentyone (21) days of the list of candidates from the Judicial Nominating Commission being submitted to the governor. After the expiration of the twenty-one (21) day period and for a period of six (6) months thereafter, the grand committee of the general assembly will then have the power to appoint said judge. This act would take effect upon passage.

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