

Senate Bill 176

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SUMMARY

The following summary is not prepared by the sponsors of the measure and is not a part of the body thereof subject to consideration by the Legislative Assembly. It is an editor's brief statement of the essential features of the measure **as introduced**.

Creates exceptions to certain privileges in abuse proceedings.

A BILL FOR AN ACT

1
2 Relating to abuse; creating new provisions; and amending ORS 179.505.

3 **Be It Enacted by the People of the State of Oregon:**

4 **SECTION 1. (1) In the case of abuse of an elderly person, the privileges created in ORS**
5 **40.230 to 40.255, including the psychotherapist-patient privilege, the physician-patient privi-**
6 **lege, the privileges extended to nurses, to staff members of schools and to regulated social**
7 **workers and the spousal privilege, shall not be a ground for excluding evidence regarding an**
8 **elderly person's abuse, or the cause thereof, in any judicial proceeding resulting from a re-**
9 **port made pursuant to ORS 124.050 to 124.095.**

10 **(2) In any judicial proceedings resulting from a report made pursuant to ORS 124.050 to**
11 **124.095, either spouse shall be a competent and compellable witness against the other.**

12 **(3) As used in this section, "abuse" and "elderly person" have the meanings given those**
13 **terms in ORS 124.050.**

14 **SECTION 2. (1) In the case of abuse of an adult, the privileges created in ORS 40.230 to**
15 **40.255, including the psychotherapist-patient privilege, the physician-patient privilege, the**
16 **privileges extended to nurses, to staff members of schools and to regulated social workers**
17 **and the spousal privilege, shall not be a ground for excluding evidence regarding an adult's**
18 **abuse, or the cause thereof, in any judicial proceeding resulting from a report made pursuant**
19 **to ORS 430.735 to 430.765.**

20 **(2) In any judicial proceedings resulting from a report made pursuant to ORS 430.735 to**
21 **430.765, either spouse shall be a competent and compellable witness against the other.**

22 **(3) As used in this section, "abuse" and "adult" have the meanings given those terms in**
23 **ORS 430.735.**

24 **SECTION 3. (1) In the case of abuse of a resident in a long term care facility, the privi-**
25 **leges created in ORS 40.230 to 40.255, including the psychotherapist-patient privilege, the**
26 **physician-patient privilege, the privileges extended to nurses, to staff members of schools**
27 **and to regulated social workers and the spousal privilege, shall not be a ground for excluding**
28 **evidence regarding a resident's abuse, or the cause thereof, in any judicial proceeding re-**
29 **sulting from a report made pursuant to ORS 441.630 to 441.680.**

30 **(2) In any judicial proceedings resulting from a report made pursuant to ORS 441.630 to**
31 **441.680, either spouse shall be a competent and compellable witness against the other.**

NOTE: Matter in **boldfaced** type in an amended section is new; matter *[italic and bracketed]* is existing law to be omitted. New sections are in **boldfaced** type.

1 **(3) As used in this section, “abuse” and “facility” have the meanings given those terms**
 2 **in ORS 441.630.**

3 **SECTION 4.** ORS 179.505 is amended to read:

4 179.505. (1) As used in this section:

5 (a) “Disclosure” means the release of, transfer of, provision of access to or divulgence in any
 6 other manner of information outside the health care services provider holding the information.

7 (b) “Health care services provider” means:

8 (A) Medical personnel or other staff employed by or under contract with a public provider to
 9 provide health care or maintain written accounts of health care provided to individuals; or

10 (B) Units, programs or services designated, operated or maintained by a public provider to pro-
 11 vide health care or maintain written accounts of health care provided to individuals.

12 (c) “Individually identifiable health information” means any health information that is:

13 (A) Created or received by a health care services provider; and

14 (B) Identifiable to an individual, including demographic information that identifies the individual,
 15 or for which there is a reasonable basis to believe the information can be used to identify an indi-
 16 vidual, and that relates to:

17 (i) The past, present or future physical or mental health or condition of an individual;

18 (ii) The provision of health care to an individual; or

19 (iii) The past, present or future payment for the provision of health care to an individual.

20 (d) “Personal representative” includes but is not limited to:

21 (A) A person appointed as a guardian under ORS 125.305, 419B.372, 419C.481 or 419C.555 with
 22 authority to make medical and health care decisions;

23 (B) A person appointed as a health care representative under ORS 127.505 to 127.660 or a rep-
 24 resentative under ORS 127.700 to 127.737 to make health care decisions or mental health treatment
 25 decisions; and

26 (C) A person appointed as a personal representative under ORS chapter 113.

27 (e) “Psychotherapy notes” means notes recorded in any medium:

28 (A) By a mental health professional, in the performance of the official duties of the mental
 29 health professional;

30 (B) Documenting or analyzing the contents of conversation during a counseling session; and

31 (C) That are maintained separately from the rest of the individual’s record.

32 (f) “Psychotherapy notes” does not mean notes documenting:

33 (A) Medication prescription and monitoring;

34 (B) Counseling session start and stop times;

35 (C) Modalities and frequencies of treatment furnished;

36 (D) Results of clinical tests; or

37 (E) Any summary of the following items:

38 (i) Diagnosis;

39 (ii) Functional status;

40 (iii) Treatment plan;

41 (iv) Symptoms;

42 (v) Prognosis; or

43 (vi) Progress to date.

44 (g) “Public provider” means:

45 (A) The Oregon State Hospital campuses;

1 (B) Department of Corrections institutions as defined in ORS 421.005;

2 (C) A contractor of the Department of Corrections or the Oregon Health Authority that provides
3 health care to individuals residing in a state institution operated by the agencies;

4 (D) A community mental health program or community developmental disabilities program as
5 described in ORS 430.610 to 430.695 and the public and private entities with which it contracts to
6 provide mental health or developmental disabilities programs or services;

7 (E) A program or service provided under ORS 431.001 to 431.550 and 431.990;

8 (F) A program or service established or maintained under ORS 430.630 or 430.664;

9 (G) A program or facility providing an organized full-day or part-day program of treatment that
10 is licensed, approved, established, maintained or operated by or contracted with the Oregon Health
11 Authority for alcoholism, drug addiction or mental or emotional disturbance;

12 (H) A program or service providing treatment by appointment that is licensed, approved, estab-
13 lished, maintained or operated by or contracted with the authority for alcoholism, drug addiction
14 or mental or emotional disturbance; or

15 (I) The impaired health professional program established under ORS 676.190.

16 (h) "Written account" means records containing only individually identifiable health information.

17 (2) Except as provided in subsections (3), (4), (6), (7), (8), (9), (11), (12), (14), (15), (16), (17) and (18)
18 of this section or unless otherwise permitted or required by state or federal law or by order of the
19 court, written accounts of the individuals served by any health care services provider maintained
20 in or by the health care services provider by the officers or employees thereof who are authorized
21 to maintain written accounts within the official scope of their duties are not subject to access and
22 may not be disclosed. This subsection applies to written accounts maintained in or by facilities of
23 the Department of Corrections only to the extent that the written accounts concern the medical,
24 dental or psychiatric treatment as patients of those under the jurisdiction of the Department of
25 Corrections.

26 (3) If the individual or a personal representative of the individual provides an authorization, the
27 content of any written account referred to in subsection (2) of this section must be disclosed ac-
28 cordingly, if the authorization is in writing and is signed and dated by the individual or the personal
29 representative of the individual and sets forth with specificity the following:

30 (a) Name of the health care services provider authorized to make the disclosure, except when
31 the authorization is provided by recipients of or applicants for public assistance or medical assist-
32 ance, as defined in ORS 414.025, to a governmental entity for purposes of determining eligibility for
33 benefits or investigating for fraud;

34 (b) Name or title of the persons or organizations to which the information is to be disclosed or
35 that information may be disclosed to the public;

36 (c) Name of the individual;

37 (d) Extent or nature of the information to be disclosed; and

38 (e) Statement that the authorization is subject to revocation at any time except to the extent
39 that action has been taken in reliance thereon, and a specification of the date, event or condition
40 upon which it expires without express revocation. However, a revocation of an authorization is not
41 valid with respect to inspection or records necessary to validate expenditures by or on behalf of
42 governmental entities.

43 (4) The content of any written account referred to in subsection (2) of this section may be dis-
44 closed without an authorization:

45 (a) To any person to the extent necessary to meet a medical emergency.

1 (b) At the discretion of the responsible officer of the health care services provider, which in the
2 case of any Oregon Health Authority facility or community mental health program is the Director
3 of the Oregon Health Authority, to persons engaged in scientific research, program evaluation, peer
4 review and fiscal audits. However, individual identities may not be disclosed to such persons, except
5 when the disclosure is essential to the research, evaluation, review or audit and is consistent with
6 state and federal law.

7 (c) To governmental agencies when necessary to secure compensation for services rendered in
8 the treatment of the individual.

9 (5) When an individual's identity is disclosed under subsection (4) of this section, a health care
10 services provider shall prepare, and include in the permanent records of the health care services
11 provider, a written statement indicating the reasons for the disclosure, the written accounts dis-
12 closed and the recipients of the disclosure.

13 (6) The content of any written account referred to in subsection (2) of this section and held by
14 a health care services provider currently engaged in the treatment of an individual may be disclosed
15 to officers or employees of that provider, its agents or cooperating health care services providers
16 who are currently acting within the official scope of their duties to evaluate treatment programs,
17 to diagnose or treat or to assist in diagnosing or treating an individual when the written account
18 is to be used in the course of diagnosing or treating the individual. Nothing in this subsection
19 prevents the transfer of written accounts referred to in subsection (2) of this section among health
20 care services providers, the Department of Corrections, the Oregon Health Authority or a local
21 correctional facility when the transfer is necessary or beneficial to the treatment of an individual.

22 (7) When an action, suit, claim, arbitration or proceeding is brought under ORS 34.105 to 34.240
23 or 34.310 to 34.730 and involves a claim of constitutionally inadequate medical care, diagnosis or
24 treatment, or is brought under ORS 30.260 to 30.300 and involves the Department of Corrections or
25 an institution operated by the department, nothing in this section prohibits the disclosure of any
26 written account referred to in subsection (2) of this section to the Department of Justice, Oregon
27 Department of Administrative Services, or their agents, upon request, or the subsequent disclosure
28 to a court, administrative hearings officer, arbitrator or other administrative decision maker.

29 (8)(a) When an action, suit, claim, arbitration or proceeding involves the Oregon Health Au-
30 thority or an institution operated by the authority, nothing in this section prohibits the disclosure
31 of any written account referred to in subsection (2) of this section to the Department of Justice,
32 Oregon Department of Administrative Services, or their agents.

33 (b) Disclosure of information in an action, suit, claim, nonlabor arbitration or proceeding is
34 limited by the relevancy restrictions of ORS 40.010 to 40.585, 183.710 to 183.730, 183.745 and 183.750
35 and ORS chapter 183. Only written accounts of a plaintiff, claimant or petitioner shall be disclosed
36 under this paragraph.

37 (c) Disclosure of information as part of a labor arbitration or proceeding to support a personnel
38 action taken against staff is limited to written accounts directly relating to alleged action or in-
39 action by staff for which the personnel action was imposed.

40 (9)(a) The copy of any written account referred to in subsection (2) of this section, upon written
41 request of the individual or a personal representative of the individual, shall be disclosed to the
42 individual or the personal representative of the individual within a reasonable time not to exceed
43 five working days. The individual or the personal representative of the individual shall have the
44 right to timely access to any written accounts.

45 (b) If the disclosure of psychiatric or psychological information contained in the written account

1 would constitute an immediate and grave detriment to the treatment of the individual, disclosure
2 may be denied, if medically contraindicated by the treating physician or a licensed health care
3 professional in the written account of the individual.

4 (c) The Department of Corrections may withhold psychiatric or psychological information if:

5 (A) The information relates to an individual other than the individual seeking it.

6 (B) Disclosure of the information would constitute a danger to another individual.

7 (C) Disclosure of the information would compromise the privacy of a confidential source.

8 (d) However, a written statement of the denial under paragraph (c) of this subsection and the
9 reasons therefor must be entered in the written account.

10 (10) A health care services provider may require a person requesting disclosure of the contents
11 of a written account under this section to reimburse the provider for the reasonable costs incurred
12 in searching files, abstracting if requested and copying if requested. However, an individual or a
13 personal representative of the individual may not be denied access to written accounts concerning
14 the individual because of inability to pay.

15 (11) A written account referred to in subsection (2) of this section may not be used to initiate
16 or substantiate any criminal, civil, administrative, legislative or other proceedings conducted by
17 federal, state or local authorities against the individual or to conduct any investigations of the in-
18 dividual. If the individual, as a party to an action, suit or other judicial proceeding, voluntarily
19 produces evidence regarding an issue to which a written account referred to in subsection (2) of this
20 section would be relevant, the contents of that written account may be disclosed for use in the
21 proceeding.

22 (12) Information obtained in the course of diagnosis, evaluation or treatment of an individual
23 that, in the professional judgment of the health care services provider, indicates a clear and imme-
24 diate danger to others or to society may be reported to the appropriate authority. A decision not
25 to disclose information under this subsection does not subject the provider to any civil liability.
26 Nothing in this subsection may be construed to alter the provisions of ORS 146.750, 146.760,
27 419B.010, 419B.015, 419B.020, 419B.025, 419B.030, 419B.035, 419B.040 [and] **or 419B.045 or sections**
28 **1, 2 or 3 of this 2021 Act.**

29 (13) The prohibitions of this section apply to written accounts concerning any individual who
30 has been treated by any health care services provider irrespective of whether or when the individual
31 ceases to receive treatment.

32 (14) Persons other than the individual or the personal representative of the individual who are
33 granted access under this section to the contents of a written account referred to in subsection (2)
34 of this section may not disclose the contents of the written account to any other person except in
35 accordance with the provisions of this section.

36 (15) Nothing in this section prevents the Department of Human Services or the Oregon Health
37 Authority from disclosing the contents of written accounts in its possession to individuals or agen-
38 cies with whom children in its custody are placed.

39 (16) The system described in ORS 192.517 (1) shall have access to records, as defined in ORS
40 192.515, as provided in ORS 192.517.

41 (17)(a) Except as provided in paragraph (b) of this subsection, a health care services provider
42 must obtain an authorization from an individual or a personal representative of the individual to
43 disclose psychotherapy notes.

44 (b) A health care services provider may use or disclose psychotherapy notes without obtaining
45 an authorization from the individual or a personal representative of the individual to carry out the

1 following treatment, payment and health care operations:

2 (A) Use by the originator of the psychotherapy notes for treatment;

3 (B) Disclosure by the health care services provider for its own training program in which stu-
4 dents, trainees or practitioners in mental health learn under supervision to practice or improve their
5 skills in group, joint, family or individual counseling; or

6 (C) Disclosure by the health care services provider to defend itself in a legal action or other
7 proceeding brought by the individual or a personal representative of the individual.

8 (c) An authorization for the disclosure of psychotherapy notes may not be combined with an
9 authorization for a disclosure of any other individually identifiable health information, but may be
10 combined with another authorization for a disclosure of psychotherapy notes.

11 (18) A health care services provider may disclose information contained in a written account if
12 the conditions of ORS 192.567 (1) to (5) or 192.577 are met.

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