1	STATE OF OKLAHOMA	
2	1st Session of the 59th Legislature (2023)	
3	SENATE BILL 233 By: Coleman	
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6	AS INTRODUCED	
7	An Act relating to child care; amending 10 O.S. 2021,	
8	Section 406, which relates to investigations; modifying procedures relating to complaints;	
9	requiring the Department of Human Services to request certain information from complainant; limiting	
LO	investigative authority of the Department; updating	
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L3	BE IT ENACTED BY THE PEOPLE OF THE STATE OF OKLAHOMA:	
L 4	SECTION 1. AMENDATORY 10 O.S. 2021, Section 406, is	
L5	amended to read as follows:	
L6	Section 406. A. 1. Except as provided in paragraph 2 of this	
L7	subsection, the Department of Human Services shall have authority at	
18	any reasonable time to investigate and examine the conditions of any	
L9	child care facility in which a licensee or applicant hereunder	
20	receives and maintains children, and shall have authority at any	
21	time to require the facility to provide information pertaining to	
22	children in its care.	
23	2. When the Department of Human Services is reviewing the star	

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rating of a child care program with a capacity of fifty or more, the

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comprehensive visit to inspect and examine the program shall be scheduled with the administration of the program at least one (1) week in advance of the visit, if requested by the child care facility.

- B. 1. The State Department of Health may visit any licensee or applicant at the request of the Department to advise on matters affecting the health of children and to inspect the sanitation of the buildings used for their care.
- 2. The State Fire Marshal may visit any licensee or applicant at the request of the Department to advise on matters affecting the safety of children and to inspect the condition of the buildings used for their care.
 - C. 1. a. Upon receipt of a complaint against any child care facility alleging a violation of the provisions of the Oklahoma Child Care Facilities Licensing Act, or any licensing standard promulgated by the <u>Director of the Department</u>, the Department shall request that the complainant provide the complainant's name and contact information.
 - b. If the complaint alleges a violation that poses a potential risk to the health, safety, or well-being of one or more of the children cared for by the facility, the Department shall immediately conduct a full

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investigation <u>regardless of whether the complainant</u> provides his or her name and contact information.

- <u>c.</u> If the complaint alleges a violation that does not pose a potential risk to the health, safety, or wellbeing of one or more of the children cared for by the facility, the Department:
 - (1) shall conduct a full investigation if the complainant provides his or her name and contact information, or
 - (2) shall not conduct an investigation if the complainant does not provide his or her name and contact information.
- 2. If upon investigation, it is determined that there are reasonable grounds to believe that a facility is in violation of the Oklahoma Child Care Facilities Licensing Act or of any standard or rule promulgated pursuant thereto, the Department shall:
 - a. document the complaint,
 - b. provide the complaint allegations in writing to the facility involved and, upon written request by the child care facility, provide a summary of the facts used to evaluate the completed complaint, and
 - c. document the facility's plan for correcting any substantiated violations.

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2. 3. If the Department determines there has been a violation and the violation has a direct impact on the health, safety or wellbeing of one or more of the children cared for by the facility, the Department shall notify the facility and require correction of the violation.

3. 4. The Department shall notify the facility that failure to correct the confirmed violation can result in the revocation of the license, the denial of an application for a license, the issuance of an emergency order or the filing of an injunction pursuant to the provisions of Section 409 of this title.

4. 5. If the facility refuses to correct a violation or fails to complete the plan of correction, the Department may issue an emergency order, revoke the license, or deny the application for a license. Nothing in this section or Section 407 of this title shall be construed as preventing the Department from denying an application, revoking a license, or issuing an emergency order for a single violation of this act, or the rules of the Department promulgated by the Director as provided in Section 404 of this title.

5. 6. If the Department determines there has been a substantiated finding of heinous and shocking abuse by a person responsible for a child's health, safety or welfare, as those terms are defined in Section 1-1-105 of Title 10A of the Oklahoma Statutes, the Department shall notify the child care facility owner

Req. No. 1524 Page 4 or operator and the child care resource and referral organization in writing immediately or not later than one (1) business day after the substantiated finding. Upon receiving notice of a substantiated finding, the facility owner or operator shall attempt to immediately notify, but not later than seventy-two (72) hours after receiving notice of the substantiated finding, parents or legal guardians of children attending the facility by certified mail.

- D. Upon the completion of the investigation of a complaint against any child care facility alleging a violation of the provisions of the Oklahoma Child Care Facilities Licensing Act or any licensing standard promulgated thereto by the Department Director, the Department shall clearly designate its findings on the first page of the report of the investigation. The findings shall state whether the complaint was substantiated or unsubstantiated.
- E. Information obtained by the Department or Oklahoma Child
 Care Services concerning a report of a violation of a licensing
 requirement, or from any licensee regarding children or their
 parents or other relatives shall be deemed confidential and
 privileged communications, shall be properly safeguarded, and shall
 not be accessible to anyone except as herein provided, unless upon
 order of a court of competent jurisdiction. Provided, however, this
 provision shall not prohibit the Department from providing a summary
 of allegations and findings of an investigation involving a child

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	care facility that does not disclose identities but that permits		
2	parents to evaluate the facility.		
3	F. The Department <u>Director</u> shall promulgate rules to establish		
4	and maintain a grievance process that shall include an anonymous		
5	complaint system for reporting and investigating complaints or		
6	grievances about employees of the Department who retaliate against a		
7	child care facility or facility employee.		
8	SECTION 2. This act shall become effective November 1, 2023.		
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