1 ENGROSSED SENATE AMENDMENT TO ENGROSSED HOUSE BILL NO. 2824 By: Kendrix of the House 3 and 4 Bergstrom of the Senate 5 6 7 An Act relating to long-term care; directing transfer of employees, powers, duties, monies and contractual rights from the Oklahoma State Board of Examiners\*\*\* 8 meetings; directing the State Department of Health 9 to; amending 63 O.S. 2021, Section 330.53, which relates to licensure of long-term care administrators; transferring duties to the Department 10 and the Commissioner; requiring input of the Advisory Council; modifying applicability of certain 11 provisions; \*\*\* authorizing certain disclosures; authorizing informal resolution process; providing 12 for certain appeals; providing for recodification; 1.3 providing for codification; and providing an effective date. 14 15 16 AMENDMENT NO. 1. Page 1, strike the title, enacting clause and entire bill and insert 17 18 "An Act relating to long-term care; creating the Long-Term Care Administrator Licensing Act; providing 19 short title; directing transfer of employees, powers, duties, monies, and contractual rights from the 20 Oklahoma State Board of Examiners for Long-Term Care Administrators to the State Department of Health; requiring the Director of the Office of Management 2.1 and Enterprise Services to coordinate certain 22 transfers; directing transfer of certain administrative rules from the Board to the State 23 Commissioner of Health; abolishing the Board upon

completion of transfers; amending 63 O.S. 2021,

Section 330.51, which relates to definitions;

removing, adding, and modifying certain definitions; 1 amending 63 O.S. 2021, Section 330.52, which relates 2 to the Oklahoma State Board of Examiners for Long-Term Care Administrators; modifying termination date; abolishing the Board when certain conditions are met; 3 amending 63 O.S. 2021, Section 330.53, which relates to licensure of long-term care administrators; 4 transferring duties to the Department and the 5 Commissioner; modifying terminology; granting certain authority to the Commissioner; requiring promulgation of certain rules; modifying applicability of certain 6 provisions; stipulating certain licensure and 7 certification qualifications; imposing certain duties on the Department regarding training and education programs; requiring and authorizing certain fees; 8 directing deposit of fees; providing for licensure by 9 endorsement; prohibiting certain unlicensed activity; amending 63 O.S. 2021, Section 330.58, which relates 10 to duties; transferring duties to the Department and the Commissioner; adding, modifying, and removing certain duties; modifying applicability of certain 11 provisions; amending 63 O.S. 2021, Section 330.62, which relates to the Oklahoma State Board of 12 Examiners for Long-Term Care Administrators Revolving 1.3 Fund; renaming and transferring fund; amending 63 O.S. 2021, Section 330.64, which relates to 14 complaints; providing complaint procedures; transferring duties to the Department and the 15 Commissioner; requiring certain notice to be provided to administrator found to be in violation of act; 16 providing for certain appeals; authorizing the Department to order summary suspension under certain 17 conditions; requiring certain notice; granting certain right to suspended licensee; providing for 18 confidentiality; excluding certain information from specified definition and certain proceedings; 19 authorizing certain disclosures; establishing and requiring the Department to offer independent 20 informal dispute resolution process; allowing the Department to contract with a third-party vendor for 2.1 specified purpose; stipulating procedures for request for and conduct of informal dispute resolution; 22 specifying composition of impartial decision-making panel; providing for submission of evidence and 23 presentation of arguments; limiting length of arguments; specifying certain procedures for 24 production of evidence; prohibiting and authorizing

1 certain recording of informal dispute resolution; specifying allowed participants; prohibiting legal 2 representation; limiting inclusion of evidence in certain written statement; requiring panel to issue and provide written statement of findings; 3 stipulating contents of statement; allowing the Commissioner to intervene for certain purpose; 4 requiring the Department to consider findings when 5 making certain determination; directing promulgation of rules; amending 51 O.S. 2021, Section 24A.3, as amended by Section 1, Chapter 402, O.S.L. 2022 (51 6 O.S. Supp. 2022, Section 24A.3), which relates to the 7 Oklahoma Open Records Act; modifying certain definition; updating statutory references and language; renumbering 63 O.S. 2021, Sections 330.51, 8 330.53, 330.58, 330.62, and 330.64, as amended by 9 Sections 3, 5, 6, 7, and 8 of this act, which relate to the Oklahoma State Board of Examiners for Long-10 Term Care Administrators; repealing 63 O.S. 2021, Sections 330.54, 330.56, 330.57, 330.59, 330.60, 330.61, and 330.65, which relate to the Oklahoma 11 State Board of Examiners for Long-Term Care Administrators; providing for codification; providing 12

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BE IT ENACTED BY THE PEOPLE OF THE STATE OF OKLAHOMA:

clause; and declaring an emergency.

SECTION 1. NEW LAW A new section of law to be codified in the Oklahoma Statutes as Section 1-1949.1 of Title 63, unless there is created a duplication in numbering, reads as follows:

This act shall be known and may be cited as the "Long-Term Care Administrator Licensing Act".

for recodification; providing a conditional effective

SECTION 2. NEW LAW A new section of law to be codified in the Oklahoma Statutes as Section 330.52a of Title 63, unless there is created a duplication in numbering, reads as follows:

- A. On November 1, 2023, all employees, powers, duties,

  functions, and responsibilities of the Oklahoma State Board of

  Examiners for Long-Term Care Administrators shall be transferred to

  the State Department of Health. The transfer shall include all

  equipment, supplies, records, assets, current and future

  liabilities, fund balances, encumbrances, obligations, and

  indebtedness associated with the Oklahoma State Board of Examiners

  for Long-Term Care Administrators.
  - B. Any monies accruing to or in the name of the Oklahoma State Board of Examiners for Long-Term Care Administrators on and after November 1, 2023, or any monies that accrue in any funds or accounts or are maintained for the benefit of the Oklahoma State Board of Examiners for Long-Term Care Administrators on and after November 1, 2023, shall be transferred to the State Department of Health.
  - C. The State Department of Health shall succeed to any contractual rights and responsibilities incurred by the Oklahoma State Board of Examiners for Long-Term Care Administrators.
  - D. The Director of the Office of Management and Enterprise
    Services is hereby directed to coordinate the transfer of funds,
    allotments, purchase orders, and outstanding financial obligations
    or encumbrances as provided for in this section.
- E. On November 1, 2023, all administrative rules promulgated by
  the Oklahoma State Board of Examiners for Long-Term Care
  Administrators shall be transferred to and become a part of the

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- administrative rules of the State Department of Health. The Office
  of Administrative Rules in the Secretary of State's office shall
  provide adequate notice in the Oklahoma Register of the transfer of
  such rules and shall place the transferred rules under the Oklahoma
  Administrative Code title of the State Department of Health. Such
  rules shall continue in force and effect as rules of the State
  Department of Health from and after November 1, 2023, and any
  amendment, repeal, or addition to the transferred rules shall be
  under the jurisdiction of the State Commissioner of Health.
  - F. The state agency known as the Oklahoma State Board of Examiners for Long-Term Care Administrators shall be abolished after all the transfers described in this section have been completed.
- SECTION 3. AMENDATORY 63 O.S. 2021, Section 330.51, is amended to read as follows:
  - Section 330.51 For the purposes of <del>Section 330.51 et seq. of this title, and as used herein</del> this act:
  - 1. "Board" means the Oklahoma State Board of Examiners for Long-Term Care Administrators;
  - 2. "Long-term care administrator" means a person licensed or certified as a nursing facility administrator, an assisted living facility administrator, a residential care facility administrator, or an adult day care center administrator pursuant to Section 330.51 et seq. of this title Tier 1 long-term care administrator or Tier 2 long-term care administrator under this act. A long-term care

administrator must devote at least one-half (1/2) of such person's working time to on-the-job supervision of a long-term care facility; provided that this requirement shall not apply to an administrator of an intermediate care facility for individuals with intellectual disabilities with sixteen or fewer beds (ICF/IID-16), in which case the person licensed by the state may be in charge of more than one ICF/IID-16, if such facilities are located within a circle that has a radius of not more than fifteen (15) miles, and the total number of facilities and beds does not exceed six facilities and sixty-four beds. The facilities may be free-standing in a community or may be on campus with a parent institution. The ICF/IID-16 may be independently owned and operated or may be part of a larger institutional ownership and operation;

3. "Nursing facility administrator"

- 2. "Tier 1 long-term care administrator" means a person licensed by the State of Oklahoma this state to perform the duties of an administrator serving in a skilled nursing or nursing facility or ICF/IID an intermediate care facility for individuals with intellectual disabilities with seventeen or greater beds (ICF/IID);
  - 4. "Assisted living facility administrator"
- 3. "Tier 2 long-term care administrator" means a person licensed or certified by the State of Oklahoma this state to perform the duties of an administrator serving in an assisted living facility, residential care facility, adult day care center, or

1 intermediate care facility for individuals with intellectual
2 disabilities with sixteen or fewer beds (ICF/IID-16);

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- 5. "Residential care facility administrator" means a person licensed or certified by the State of Oklahoma to perform the duties of an administrator serving in a residential care facility;
- 6. "Adult day care center administrator" means a person
  licensed or certified by the State of Oklahoma to perform the duties
  of an administrator serving in an adult day care center; and
- 7. 4. "Nursing home", "rest home" and "specialized home" shall have the same meaning as the term "nursing facility" as such term is defined in the Nursing Home Care Act; "assisted living center" and "continuum of care facility" shall have the same meaning as such terms are defined in the Continuum of Care and Assisted Living Act; "home" and "residential care home" shall have the same meaning as the terms are used in the Residential Care Act; and "adult day care center" and "center" shall have the same meaning as such terms are used in the Adult Day Care Act.
- SECTION 4. AMENDATORY 63 O.S. 2021, Section 330.52, is amended to read as follows:
- Section 330.52 A. There is hereby re-created, to continue

  until July 1, 2022, in accordance with the provisions of the

  Oklahoma Sunset Law, the Oklahoma State Board of Examiners for Long
  Term Care Administrators, to continue until the conditions of

  Section 2 of this act have been met. Upon satisfaction of such

conditions, the Oklahoma State Board of Examiners for Long-Term Care

Administrators shall be abolished.

The Oklahoma State Board of Examiners for Long-Term Care В. Administrators shall consist of fifteen (15) members, eight of whom shall be representatives of the professions and institutions of long-term care, with representation from each type of administrator defined in Section 330.51 of this title. In order to be eligible to serve as a member, such administrators shall be licensed or certified in their defined facility type, and be in good standing and have at least three (3) years of experience as an administrator in the facility type they represent, except a nursing facility administrator as defined in Section 330.51 of this title, who shall have at least five (5) years of experience as a nursing facility administrator. Four members shall represent the general public, of which at least two shall be licensed medical professionals concerned with the care and treatment of critically ill or infirm elderly patients. The preceding twelve members shall be appointed by the Governor, with the advice and consent of the Senate. three members shall constitute the State Commissioner of Health, the Director of the Department of Human Services, and the Director of the Department of Mental Health and Substance Abuse Services, or their designees.

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B. C. No members other than the eight licensed or certified administrators shall have a direct or indirect financial interest in long-term care facilities.

- C. D. Effective November 1, 2011, all appointed positions of the current Board shall be deemed vacant. The Governor shall make initial appointments pursuant to the provisions of this subsection. Initial appointments shall become effective on November 1, 2011. The new members of the Board shall be initially appointed as follows:
- 1. Four of the members representing each administrator type, two members representing the general public and two other members shall be appointed for a term of two (2) years to expire on October 31, 2013; and
- 2. Four of the members representing each administrator type, two members representing the general public and one other member shall be appointed for a term of three (3) years to expire on October 31, 2014.
- D. E. After the initial terms, the terms of all appointive members shall be three (3) years. Any vacancy occurring in the position of an appointive member shall be filled by the Governor, with the advice and consent of the Senate, for the unexpired term.
- $E ext{-} F.$  Any member of the Board shall recuse himself or herself from voting on any matter that originated from or involves an entity with which the Board member is affiliated.

SECTION 5. AMENDATORY 63 O.S. 2021, Section 330.53, is amended to read as follows:

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Section 330.53 A. The Oklahoma State Board of Examiners for

Long-Term Care Administrators State Department of Health shall have
authority to issue licenses or certifications to qualified persons
as long-term care administrators, and shall establish in accordance
with qualification criteria for each type of long-term care
administrator established by the State Commissioner of Health.

- B. No license or certification shall be issued to a person as a long-term care administrator unless:
- 1. The person shall have submitted evidence satisfactory to the Board Department that the person is:
  - a. not less than twenty-one (21) years of age, and
  - b. of reputable and responsible character; and
- 2. The person shall have submitted evidence satisfactory to the Board Department of the person's ability to supervise the defined facility type in which he or she is be licensed or certified to serve as a Tier 1 long-term care administrator or Tier 2 long-term care administrator.
- C. The Commissioner shall have the authority to determine the qualifications, skill, and fitness of any person to serve as a long-term care administrator under the applicable provisions of the Nursing Home Care Act, the Continuum of Care and Assisted Living

  Act, the Residential Care Act, and the Adult Day Care Act. The

- 1 | Commissioner shall promulgate rules to determine the qualifications
- 2 | for licensure or certification as a Tier 1 or Tier 2 long-term care
- 3 administrator. Such rules may, at the discretion of the
- 4 | Commissioner, include a requirement for licensure instead of
- 5 | certification for either or both of the tiers of long-term care
- 6 administrators.
- 7 D. 1. All persons <del>currently</del> licensed or certified or lawfully
- 8 | serving as an administrator in their defined facility type shall be
- 9 permitted to continue to serve in their current capacity under their
- 10 | current terms of authorization. The Board Commissioner may
- 11 promulgate rules <del>pursuant to Section 330.57 of this title</del> to address
- 12 | future certification and licensure requirements for all both tiers
- 13 of long-term care administrator types administrators without effect
- 14 on the licensure or certification status of those currently
- 15 | certified or licensed. Until such rules are promulgated, current
- 16 licensure and certification processes and standards shall remain in
- 17 place.
- 18 2. To be eligible for licensure or certification as either a
- 19 | Tier 1 or Tier 2 long-term care administrator, the applicant shall
- 20 have successfully completed a training and education program
- 21 approved by the Commissioner.
- 3. The Board Commissioner shall not include a requirement for a
- 23 | four-year degree in any future licensing or certification
- 24 requirements for assisted living, residential care or adult day care

administrators. Until such rules are promulgated, current licensure
and certification processes and standards shall remain in place <u>Tier</u>
large term care administrators.

D. The Oklahoma State Board of Examiners for Long-Term Care
Administrators shall, on or before July 1, 2017, promulgate rules
permitting eligible applicants to

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- 4. In addition to the requirement provided by paragraph 2 of this subsection, to be eligible for licensure or certification as a Tier 1 long-term care administrator, the applicant shall:
  - a. hold a baccalaureate degree from an institution of higher education, or
  - b. hold an associate degree in a health- or businessrelated field or other relevant field as determined by
    the Commissioner and have not less than five (5) years
    of experience in upper-level management of a long-term
    care facility as determined by the Commissioner.
- E. Eligible applicants may sit for the state standards examination at a testing facility using procedures approved by the National Association of Long-Term Care Administrator Board, Boards including, but not limited to, the use of electronic or online methods for examination.
- E. The Oklahoma State Board of Examiners for Long-Term Care

  Administrators shall promulgate rules to implement the provisions of this section.

- F. The State Department of Health shall either:
- 1. Approve one or more organizations or agencies to provide

  training and education programs for long-term care administrators.

  Each such organization or agency shall meet such requirements as may

  be prescribed by rules promulgated by the State Commissioner of

  Health;
  - 2. Offer a training and education program for long-term care administrators conducted by the Department; or
  - 3. Both approve one or more organizations to provide training and education programs for long-term care administrators as described in paragraph 1 of this subsection and offer a training and education program for long-term care administrators conducted by the Department as described in paragraph 2 of this subsection.
  - G. 1. Each person licensed or certified as a long-term care administrator under the provisions of this act shall pay an annual license or certification fee which shall be deposited in the Long-Term Care Administrator Revolving Fund described in Section 7 of this act. Such fee shall be determined by the Commissioner. Each such license or certification shall expire on the thirty-first day of December following its issuance, and shall be renewable for a calendar year, upon meeting the renewal requirements and upon payment of the annual licensure or certification fee.

- 2. In addition to licensure and certification fees, the
  Commissioner may impose fees on agencies and organizations that
  provide training and education programs.
  - 3. All revenues collected as a result of fees authorized in this section and imposed by the Commissioner shall be deposited into the Long-Term Care Administrator Revolving Fund described in Section 7 of this act.
  - H. The State Commissioner of Health shall promulgate rules to provide for licensure or certification by endorsement of long-term care administrators who are licensed or certified in other states that have requirements for licensure or certification of long-term care administrators that are substantially equivalent to or greater than the requirements of this state, as determined by the Commissioner.
  - capacity of a long-term care administrator unless the person is the holder of a license or certificate as a long-term care administrator, issued in accordance with the provisions of this act.

    A person found guilty of a violation of this subsection shall, upon conviction, be guilty of a misdemeanor.

I. It shall be unlawful for any person to act or serve in the

21 SECTION 6. AMENDATORY 63 O.S. 2021, Section 330.58, is 22 amended to read as follows:

Section 330.58 The Oklahoma State Board of Examiners for Long-Term Care Administrators shall State Department of Health or, as appropriate, the State Commissioner of Health shall:

- 1. Develop and apply standards for approval of training and education programs for long-term care administrators that meet the accreditation standards of the National Association of Long Term

  Care Administrator Boards and approve or offer training and education programs, or both, as described in subsection F of Section 330.53 of this title;
- 2. Develop, impose, and enforce standards which must be met by individuals in order to receive a license or certification as a long-term care administrator, which standards shall be designed to ensure that long-term care administrators will be individuals who are of good character and are otherwise suitable, and who, by training or experience in the field of institutional administration, are qualified to serve as long-term care administrators;
- 2. 3. Develop and apply appropriate techniques, including examinations and investigations, for determining whether an individual meets such standards;
- 3. 4. Issue licenses or certifications to individuals determined, after the application of such techniques, to meet such standards. The Board Department may deny an initial application, deny a renewal application, and revoke or suspend licenses or certifications previously issued by the Board Department in any case

1 where the individual holding any such license or certification is determined substantially to have failed to conform to the requirements of such standards. The Board Department may also warn, 3 censure, impose administrative fines or use other remedies that may 5 be considered to be less than revocation and suspension. Administrative fines imposed pursuant to this section shall not 6 exceed One Thousand Dollars (\$1,000.00) per violation. The Board 7 Department shall consider the scope, severity and repetition of the violation and any additional factors deemed appropriate by the Board 10 Department when issuing a fine. The Department may utilize one or

12 proceedings;

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4. <u>5.</u> Establish and carry out procedures designed to ensure that individuals licensed or certified as long-term care administrators will, during any period that they serve as such, comply with the requirements of such standards;

more administrative law judges to conduct administrative

5. 6. Receive, investigate, and take appropriate action with respect to any charge or complaint filed with the Board Department to the effect that any individual licensed as a long-term care administrator has failed to comply with the requirements of such standards. The long-term care ombudsman program of the Aging Services Division of the Department of Human Services shall be notified of all complaint investigations of the Board Department so

that they may be present at any such complaint investigation for the purpose of representing long-term care facility consumers;

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- 6. 7. Receive and take appropriate action on any complaint or referral received by the Board Department from the Department of Human Services or any other regulatory agency. Complaints may also be generated by the Board or staff. A complaint shall not be published on the web site website of the Oklahoma State Board of Examiners for Long-Term Care Administrators Department unless there is a finding by the Board Department that the complaint has merit. The Board Commissioner shall promulgate rules that include, but are not limited to, provisions for:
  - a. establishing a complaint review process,
  - b. creating a formal complaint file, and
  - c. establishing a protocol for investigation of complaints, and
  - d. establishing an independent informal dispute
     resolution process in accordance with Section 9 of
     this act;
- 7. 8. Enforce the provisions of Sections 330.51 through 330.65 of this title this act against all persons who are in violation thereof including, but not limited to, individuals who are practicing or attempting to practice as long-term care administrators without proper authorization from the Board Department;

1 8. 9. Conduct a continuing study and investigation of long-term care facilities and administrators of long-term care facilities within the state with a view toward the improvement of the standards imposed for the licensing or certifying of such administrators and of procedures and methods for the enforcement of such standards with respect to administrators of long-term care facilities who have been licensed or certified;

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- 9. 10. Cooperate with and provide assistance when necessary to state regulatory agencies in investigations of complaints;
- 10. 11. Develop a code of ethics for long-term care administrators which includes, but is not limited to, a statement that administrators have a fiduciary duty to the facility and cannot serve as guardian of the person or of the estate, or hold a durable power of attorney or power of attorney for any resident of a facility of which they are an administrator;
- 11. 12. Report a final adverse action against a long-term care administrator to the Healthcare Integrity and Protection Data Bank pursuant to federal regulatory requirements;
- 12. 13. Refer completed investigations to the proper law enforcement authorities for prosecution of criminal activities;
- 13. 14. Impose administrative fines, in an amount to be determined by the Board Commissioner, against persons who do not comply with the provisions of this act or the rules adopted by the Board Commissioner. Administrative fines imposed pursuant to this

section shall not exceed One Thousand Dollars (\$1,000.00) per

violation. The Board Department shall consider the scope, severity

and repetition of the violation and any additional factors deemed

appropriate by the Board Department when issuing a fine;

14. 15. Assess the costs of the hearing process, including attorney fees;

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15. 16. Grant short-term provisional licenses to individuals who do not meet all of the licensing requirements, provided the individual obtains the services of a currently licensed administrator to act as a consultant and meets any additional criteria for a provisional license established by the Board Commissioner;

- 16. Order a summary suspension of an administrator's license or certification or an Administrator in Training (AIT) permit, if, in the course of an investigation, it is determined that a licensee, certificate holder or AIT candidate for licensure has engaged in conduct of a nature that is detrimental to the health, safety or welfare of the public, and which conduct necessitates immediate action to prevent further harm; and
- 17. Promulgate rules governing the employment of assistant administrators for nursing and skilled nursing facilities including, but not limited to, minimum qualifications; and
- 23 <u>18. Employ such staff as may be necessary to carry out the</u>
  24 duties of this act.

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SECTION 7. AMENDATORY 63 O.S. 2021, Section 330.62, is amended to read as follows:
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Section 330.62 There is hereby created in the State Treasury a revolving fund for the Oklahoma State Board of Examiners for Long-Term Care Administrators State Department of Health to be designated the "Oklahoma State Board of Examiners for Long-Term Care Administrators Administrator Revolving Fund". The fund shall be a continuing fund, not subject to fiscal year limitations, and shall consist of such sources of income as are provided by law. All monies accruing to the credit of said the fund are hereby appropriated and may be budgeted and expended by the Oklahoma State Board of Examiners for Long-Term Care Administrators Department to carry out the duties established by law this act. Expenditures from said the fund shall be made upon warrants issued by the State Treasurer against claims filed as prescribed by law with the Director of the Office of Management and Enterprise Services for approval and payment.

SECTION 8. AMENDATORY 63 O.S. 2021, Section 330.64, is amended to read as follows:

Section 330.64 A. Any person or agency may submit to the State

Department of Health a complaint against a long-term care

administrator. Complaints may also be generated by the Department.

Each investigation of a complaint received by the Oklahoma State

Board of Examiners for Long-Term Care Administrators Department

- shall be initiated within ninety (90) days from the date the

  complaint is received by the Board Department. Each complaint

  investigation shall be completed within twelve (12) months of

  initiation. The time period may be extended by the Board Department

  for good cause.
  - B. Effective May 13, 2005, the Board Upon conclusion of an investigation, if the Department determines that an administrator has violated this act, the Department shall promptly serve a notice of violation to the administrator. The notice of violation shall be prepared in writing and shall specify the nature of the violation or violations and the provision or provisions of state law or rule alleged to have been violated. The notice of violation shall inform the administrator of his or her right to an independent informal dispute resolution conducted in accordance with Section 9 of this act or a hearing conducted under subsection C of this section, or both, and instruction on how to seek an informal dispute resolution or hearing.
    - C. If the case is not resolved through the independent informal dispute resolution process prescribed by Section 9 of this act, the administrator shall be afforded notice and a hearing in accordance with the provisions of Article II of the Administrative Procedures

      Act. Any party aggrieved by a decision of the Department following a hearing may appeal directly to district court under Section 318 of Title 75 of the Oklahoma Statutes.

1 D. Notwithstanding any other provision of this section, the 2 Department may order a summary suspension of an administrator's license or certification or an Administrator in Training (AIT) 3 4 permit if, in the course of an investigation, it is determined that 5 a licensee, certificate holder, or AIT candidate for licensure has 6 engaged in conduct of a nature that is detrimental to the health, 7 safety, or welfare of the public, and which conduct necessitates immediate action to prevent further harm. The Department shall 8 9 immediately notify the licensee, certificate holder, or AIT 10 candidate upon issuance of the order. The licensee, certificate 11 holder, or AIT candidate shall have the right to contest the order 12 at a hearing as provided by subsection C of this section. 13 E. To ensure the confidentiality of an investigative file 14 obtained during the investigation, the information in the 15 investigative file shall not be deemed to be a record as that term 16 is defined in the Oklahoma Open Records Act nor shall the 17 information be subject to subpoena or discovery in any civil or 18 criminal proceeding, except that the Department may give the 19 information to law enforcement and other state licensing agencies as 20 necessary and appropriate in the discharge of the duties of that 21 agency and only under circumstances that will ensure against 22 unauthorized access to the information. The respondent may acquire 23 information obtained during an investigation, unless the disclosure

of the information is otherwise prohibited, if the respondent signs

- a protective order whereby the respondent agrees to use the

  information solely for the purpose of defense in the proceedings of

  the Department and in any appeal therefrom and agrees not to

  otherwise disclose the information.
  - F. The Department shall create and maintain a registry of all complaints or referrals, found by the Board Department to have merit, complaining of acts or omissions of licensed administrators. The registry shall be maintained in both electronic and paper formats and shall be available for inspection by the public. Such registry shall be organized both in chronological order by the date of the complaint and by the name of the licensed administrator. The registry shall contain information about the nature of the complaint and the action, if any, taken by the Board Department. The registry shall also contain the number of complaints made against an individual administrator.
  - SECTION 9. NEW LAW A new section of law to be codified in the Oklahoma Statutes as Section 1-1949.7 of Title 63, unless there is created a duplication in numbering, reads as follows:
  - A. The Department shall give a long-term care administrator who the Department has determined, upon investigation, has violated the provisions of this act an opportunity to participate in an independent informal dispute resolution process of the case in accordance with this section. The Department may contract with a

1 third-party vendor to provide the independent informal dispute 2 resolution.

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- B. The administrator shall make a written request to the Department to participate in an informal dispute resolution. Upon receipt of such request, the Department shall:
- 1. Refer the case to the informal dispute resolution provider, if the Department contracts with a third-party vendor as described in subsection A of this section, and the informal dispute resolution provider shall:
  - a. schedule a time and date for an informal dispute resolution meeting and inform the parties of such time and date, and
  - b. appoint an impartial decision-making panel to conduct the informal dispute resolution as provided by subsection C of this section; or
- 2. If the Department does not contract with a third-party vendor as described in subsection A of this section, the Department shall:
  - a. schedule a time and date for an informal dispute resolution meeting and inform the parties of such time and date, and
  - b. appoint an impartial decision-making panel to conduct the informal dispute resolution as provided by subsection C of this section.

- C. The impartial decision-making panel shall be a group of six(6) individuals who meet the following criteria:
- 1. Three members shall be impartial volunteers who have experience in the operation of the same type of long-term facility as the administrator who is the subject of the complaint. Such volunteers may include, but not be limited to, an administrator, assistant administrator, owner, operator, director of nursing, or compliance executive of an appropriate long-term care facility, but shall not include any person with a direct financial interest in any facility that employs or contracts with the administrator who is the subject of the complaint; and
- 2. Three members shall be persons representing the aging or disabled community, as appropriate for the type of long-term facility whose administrator is the subject of the complaint.
- D. Each party shall submit to the impartial decision-making panel all documentary evidence that the party believes has a bearing on or relevance to the violation or violations alleged by the Department in the complaint.
- E. The Department shall present initial arguments. The administrator shall then present his or her arguments. The informal dispute resolution shall be limited to no more than two (2) hours in length, with each party being permitted one (1) hour to present its arguments; however, the impartial decision-making panel may grant

1 each party additional equal time for good cause as determined by the 2 impartial decision making-panel.

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- F. Rules of evidence or procedure shall not apply to the informal dispute resolution except as provided in this section. The impartial decision-making panel may:
- 1. Accept any information that the impartial decision-making panel deems material to the issue being presented; and
- 2. Reject any information that the impartial decision-making panel deems immaterial to the issue being presented.
- G. The informal dispute resolution may not be recorded; however, the impartial decision-making panel may make written or recorded notes of the arguments.
- H. 1. Only employees of or health care providers contracted by the facility where the administrator who is the subject of the complaint is employed may appear or participate in the informal dispute resolution on behalf of the administrator, except that the administrator may call one character witness to appear and testify on his or her behalf.
- 2. Only employees of the Department may appear or participate
  at the meeting for, or on behalf of, the Department for the purpose
  of presenting arguments. In addition to such employees, one or more
  employees of the Department may provide technical assistance to the
  impartial decision-making panel at the panel's request. Any
  employee of the Department who participates in the informal dispute

- resolution process as described in this paragraph shall have no

  current involvement in long-term care facility surveys including but

  not limited to the informal dispute resolution process described in

  Section 1-1914.3 et seq. of Title 63 of the Oklahoma Statutes or the

  alternative informal dispute resolution process described in Section

  1-1914.11 et seq. of Title 63 of the Oklahoma Statutes for long-term

  care facilities.
  - 3. The State Long-Term Care Ombudsman or designee may appear at or participate in the informal dispute resolution.
    - 4. No party may be represented by an attorney in the informal dispute resolution.
    - I. The informal dispute resolution process is limited to violations alleged by the Department in the complaint. If the impartial decision-making panel finds that matters not subject to the informal dispute resolution are presented, the impartial decision-making panel shall strike all documentary evidence related to or presented for the purpose of disputing the matter not subject to the informal dispute resolution. The impartial decision-making panel may not include in the statement of findings described in subsection J of this section any matter not subject to the informal dispute resolution.
    - J. Upon the conclusion of all arguments by the parties at the informal dispute resolution, the impartial decision-making panel

- 1 | shall issue a written statement of findings, which shall be provided 2 | to all parties and which shall include:
  - 1. A summary of any alleged violations;
  - 2. A statement of whether the impartial decision-making panel agrees that the alleged violation or violations occurred;
  - 3. The facts and persuasive arguments that support the finding of the impartial decision-making panel for each alleged violation; and
  - 4. A recommendation on appropriate disciplinary action against the administrator, if any.
    - K. If the impartial decision-making panel cannot reach a majority decision on the findings of the informal dispute resolution as described in subsection J of this section, the State Commissioner of Health may intervene for the purpose of breaking a tie.
    - L. The Department shall review the findings of the impartial decision-making panel and shall take such findings into consideration when determining whether to pursue further disciplinary action against the administrator.
- SECTION 10. NEW LAW A new section of law to be codified in the Oklahoma Statutes as Section 1-1949.8 of Title 63, unless there is created a duplication in numbering, reads as follows:
- The State Commissioner of Health shall promulgate rules to implement the provisions of this act.

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SECTION 11. AMENDATORY 51 O.S. 2021, Section 24A.3, as amended by Section 1, Chapter 402, O.S.L. 2022 (51 O.S. Supp. 2022, Section 24A.3), is amended to read as follows:

Section 24A.3 As used in the Oklahoma Open Records Act:

- 1. "Record" means all documents including, but not limited to, any book, paper, photograph, microfilm, data files created by or used with computer software, computer tape, disk, record, sound recording, film recording, video record or other material regardless of physical form or characteristic, created by, received by, under the authority of, or coming into the custody, control or possession of public officials, public bodies or their representatives in connection with the transaction of public business, the expenditure of public funds or the administering of public property. "Record" Record does not mean:
  - a. computer software,

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- b. nongovernment personal effects,
- c. unless public disclosure is required by other laws or regulations, vehicle movement records of the Oklahoma Transportation Authority obtained in connection with the Authority's electronic toll collection system,
- d. personal financial information, credit reports or other financial data obtained by or submitted to a public body for the purpose of evaluating credit worthiness, obtaining a license, permit or for the

1 purpose of becoming qualified to contract with a 2 public body, any digital audio/video recordings of the toll 3 е. collection and safeguarding activities of the Oklahoma 4 5 Transportation Authority, f. any personal information provided by a guest at any 6 facility owned or operated by the Oklahoma Tourism and 7 Recreation Department to obtain any service at the 8 9 facility or by a purchaser of a product sold by or 10 through the Oklahoma Tourism and Recreation 11 Department, a Department of Defense Form 214 (DD Form 214) filed 12 g. 1.3 with a county clerk including any DD Form 214 filed 14 before July 1, 2002, 15 except as provided for in Section 2-110 of Title 47 of h. 16 the Oklahoma Statutes $_{\tau}$ : 17 (1)any record in connection with a Motor Vehicle 18 Report issued by the Department of Public Safety, 19 as prescribed in Section 6-117 of Title 47 of the 20 Oklahoma Statutes, or 2.1 (2) personal information within driver records, as 22 defined by the Driver's Privacy Protection Act, 23 18 United States Code, Sections 2721 through 24

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- i. any portion of any document or information provided to an agency or entity of the state or a political subdivision to obtain licensure under the laws of this state or a political subdivision that contains an applicant's personal address, personal phone number, personal electronic mail address or other contact information. Provided, however, lists of persons licensed, the existence of a license of a person, or a business or commercial address, or other business or commercial information disclosable under state law submitted with an application for licensure shall be public record, or
- <u>an investigative file obtained during an investigation</u>
  <u>conducted by the State Department of Health under this</u>
  act;
- 2. "Public body" shall include, but not be limited to, any office, department, board, bureau, commission, agency, trusteeship, authority, council, committee, trust or any entity created by a trust, county, city, village, town, township, district, school district, fair board, court, executive office, advisory group, task force, study group or any subdivision thereof, supported in whole or in part by public funds or entrusted with the expenditure of public

1 funds or administering or operating public property, and all committees, or subcommittees thereof. Except for the records required by Section 24A.4 of this title, "public body" public body 3 does not mean judges, justices, the Council on Judicial Complaints, 4 5 the Legislature or legislators. "Public body" Public body shall not include an organization that is exempt from federal income tax under 6 7 Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, and whose sole beneficiary is a college or university, or an 8 9 affiliated entity of the college or university, that is a member of 10 The Oklahoma State System of Higher Education. Such organization 11 shall not receive direct appropriations from the Oklahoma 12 Legislature. The following persons shall not be eligible to serve 13 as a voting member of the governing board of the organization:

- a. a member, officer, or employee of the Oklahoma State

  Regents for Higher Education,
- b. a member of the board of regents or other governing board of the college or university that is the sole beneficiary of the organization, or
- c. an officer or employee of the college or university that is the sole beneficiary of the organization;
- 3. "Public office" means the physical location where public bodies conduct business or keep records;
- 4. "Public official" means any official or employee of any public body as defined herein; and

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5. "Law enforcement agency" means any public body charged with
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    enforcing state or local criminal laws and initiating criminal
    prosecutions including, but not limited to, police departments,
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    county sheriffs, the Department of Public Safety, the Oklahoma State
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 5
    Bureau of Narcotics and Dangerous Drugs Control, the Alcoholic
    Beverage Laws Enforcement Commission, and the Oklahoma State Bureau
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    of Investigation.
        SECTION 12.
                        RECODIFICATION 63 O.S. 2021, Section 330.51,
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    as amended by Section 3 of this act, shall be recodified as Section
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    1-1949.2 of Title 63 of the Oklahoma Statutes, unless there is
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    created a duplication in numbering.
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                        RECODIFICATION 63 O.S. 2021, Section 330.53,
        SECTION 13.
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    as amended by Section 5 of this act, shall be recodified as Section
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    1-1949.3 of Title 63 of the Oklahoma Statutes, unless there is
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    created a duplication in numbering.
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        SECTION 14.
                        RECODIFICATION 63 O.S. 2021, Section 330.58,
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    as amended by Section 6 of this act, shall be recodified as Section
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    1-1949.4 of Title 63 of the Oklahoma Statutes, unless there is
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    created a duplication in numbering.
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        SECTION 15.
                        RECODIFICATION 63 O.S. 2021, Section 330.62,
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    as amended by Section 7 of this act, shall be recodified as Section
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    1-1949.5 of Title 63 of the Oklahoma Statutes, unless there is
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created a duplication in numbering.

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1	SECTION 16. RECODIFICATION 63 O.S. 2021, Section 330.64,
2	as amended by Section 8 of this act, shall be recodified as Section
3	1-1949.6 of Title 63 of the Oklahoma Statutes, unless there is
4	created a duplication in numbering.
5	SECTION 17. REPEALER 63 O.S. 2021, Sections 330.54,
6	330.56, 330.57, 330.59, 330.60, 330.61, and 330.65, are hereby
7	repealed.
8	SECTION 18. Sections 3, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15,
9	16, and 17 of this act shall become effective upon certification by
10	the State Commissioner of Health that the conditions of Section 2 of
11	this act have been met.
12	SECTION 19. It being immediately necessary for the preservation
13	of the public peace, health or safety, an emergency is hereby
14	declared to exist, by reason whereof this act shall take effect and
15	be in full force from and after its passage and approval."
16	Passed the Senate the 26th day of April, 2023.
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18	Presiding Officer of the Senate
19	riestaing officer of the behave
20	Passed the House of Representatives the day of,
21	2023.
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23	Presiding Officer of the House
24	of Representatives

ENGROSSED HOUSE BILL NO. 2824

By: Kendrix of the House

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Bergstrom of the Senate

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An Act relating to long-term care; directing transfer of employees, powers, duties, monies and contractual rights from the Oklahoma State Board of Examiners for Long-Term Care Administrators to the State Department of Health; requiring the Director of the Office of Management and Enterprise Services to coordinate certain transfers; directing transfer of certain administrative rules from the Board to the State Commissioner of Health; abolishing the Board upon completion of transfers; creating the Long-Term Care Advisory Council; providing for appointments to the Council; prescribing terms of office; providing for the election of a chair and vice-chair; stating

reimbursement of expenses pursuant to the State Travel Reimbursement Act; authorizing meetings; prescribing minimum number of meetings; directing the State Department of Health to provide space for meetings; requiring certain recusal; directing

powers and duties of the Council; providing for

promulgation of rules; amending 63 O.S. 2021, Section 330.51, which relates to definitions; removing and adding definitions; amending 63 O.S. 2021, Section

330.52, which relates to the State Board of

Examiners; extending termination date; amending 63 O.S. 2021, Section 330.53, which relates to licensure of long-term care administrators; transferring duties

to the Department and the Commissioner; requiring input of the Advisory Council; modifying

applicability of certain provisions; requiring and authorizing certain fees; directing deposit of fees;

requiring the Commissioner to determine certain qualifications; prohibiting certain unlicensed activity; amending 63 O.S. 2021, Section 330.54,

which relates to license fees; updating citation; amending 63 O.S. 2021, Section 330.57, which relates

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to qualifications of Administrator; updating citation; amending 63 O.S. 2021, Section 330.58, which relates to duties; transferring duties to the Department and the Commissioner; requiring input of the Advisory Council; adding and removing certain duties; amending 63 O.S. 2021, Section 330.62, which relates to the Oklahoma State Board of Examiners for Long-Term Care Administrators Revolving Fund; renaming and transferring fund; amending 63 O.S. 2021, Section 330.64, which relates to complaints; providing complaint procedures; transferring duties to the Department and the Commissioner; requiring input of the Advisory Council; requiring certain notice; prohibiting adverse action by the Department except under certain conditions; requiring the Advisory Council to review complaints; authorizing certain investigation and interviews; directing certain recommendation; requiring certain vote; authorizing the Department to order summary suspension under certain conditions; requiring certain notice; granting certain right to suspended licensee; providing for confidentiality; excluding certain information from specified definition and certain proceedings; authorizing certain disclosures; authorizing informal resolution process; providing for certain appeals; providing for recodification; providing for codification; and providing an

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BE IT ENACTED BY THE PEOPLE OF THE STATE OF OKLAHOMA:

effective date.

SECTION 20. NEW LAW A new section of law to be codified in the Oklahoma Statutes as Section 330.66 of Title 63, unless there is created a duplication in numbering, reads as follows:

A. On the effective date of this act, all employees, powers, duties, functions, and responsibilities of the Oklahoma State Board of Examiners for Long-Term Care Administrators shall be transferred to the State Department of Health. The transfer shall include all

- equipment, supplies, records, assets, current and future

  liabilities, fund balances, encumbrances, obligations, and

  indebtedness associated with the Oklahoma State Board of Examiners

  for Long-Term Care Administrators.
  - B. Any monies accruing to or in the name of the Oklahoma State Board of Examiners for Long-Term Care Administrators on and after the effective date of this act, or any monies that accrue in any funds or accounts or are maintained for the benefit of the Oklahoma State Board of Examiners for Long-Term Care Administrators on and after the effective date of this act, shall be transferred to the State Department of Health.
  - C. The State Department of Health shall succeed to any contractual rights and responsibilities incurred by the Oklahoma State Board of Examiners for Long-Term Care Administrators.
  - D. The Director of the Office of Management and Enterprise
    Services is hereby directed to coordinate the transfer of funds,
    allotments, purchase orders, and outstanding financial obligations
    or encumbrances as provided for in this section.
  - E. On the effective date of this act, all administrative rules promulgated by the Oklahoma State Board of Examiners for Long-Term Care Administrators shall be transferred to and become a part of the administrative rules of the State Department of Health. The Office of Administrative Rules in the Secretary of State's office shall provide adequate notice in the Oklahoma Register of the transfer of

- such rules and shall place the transferred rules under the

  Administrative Code section of the State Department of Health. Such

  rules shall continue in force and effect as rules of the State

  Department of Health from and after the effective date of this act,

  and any amendment, repeal, or addition to the transferred rules
  - F. The state agency known as the Oklahoma State Board of Examiners for Long-Term Care Administrators shall be abolished after all the transfers described in this section have been completed.

shall be under the jurisdiction of the State Commissioner of Health.

- SECTION 21. NEW LAW A new section of law to be codified in the Oklahoma Statutes as Section 1-1923A of Title 63, unless there is created a duplication in numbering, reads as follows:
- A. There is hereby created, to continue until July 1, 2025, in accordance with the provisions of the Oklahoma Sunset Law, a Long-Term Care Advisory Council which shall be composed of fifteen (15) members, each of whom shall serve at the pleasure of the member's appointing authority, as follows:
- 1. The State Long-Term Care Ombudsman or designee. Such designee must be a current employee of the Department of Human Services;
- 2. One medical doctor or doctor of osteopathy who practices in general practice or who specializes in geriatrics, appointed by the Governor;

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- 3. One pharmacist who works in a long-term care facility or in the long-term care industry, appointed by the Governor;
- 4. One registered nurse, appointed by the President Pro Tempore of the Oklahoma State Senate;
- 5. One licensed practical nurse, appointed by the Speaker of the Oklahoma House of Representatives;
- 6. Three representatives of nursing facilities, each of whom shall be an executive, owner-operator, or licensed administrator of the facility and shall have not less than three (3) years of experience as an executive, owner-operator, or licensed administrator of one or more nursing facilities. One of the representatives shall be appointed by the Governor, one of the representatives shall be appointed by the President Pro Tempore of the Oklahoma State Senate, and one of the representatives shall be appointed by the Speaker of the Oklahoma House of Representatives. At least one of the representatives shall be from a facility with ninety-five (95) or fewer licensed beds, and at least one representative shall be from a facility with ninety-six (96) or more licensed beds;
- 7. Two representatives of an assisted living center or continuum of care facility, each of whom shall be an executive, owner-operator, or licensed administrator of the center or facility and shall have not less than three (3) years of experience as an executive, owner-operator, or licensed administrator of one or more

- assisted living centers or continuum of care facilities. One of the representatives shall be appointed by the President Pro Tempore of the Oklahoma State Senate, and one shall be appointed by the Speaker of the Oklahoma House of Representatives. One representative shall be from a facility with sixty-four (64) or fewer licensed beds, and one representative shall be from a facility with sixty-five (65) or more licensed beds;
  - 8. One representative of an adult day care center or residential care home, appointed by the Governor, who shall be an executive, owner-operator, or licensed administrator of the center or home and shall have not less than three (3) years of experience as an executive, owner-operator, or licensed administrator of one or more adult day care centers or residential care homes;
  - 9. One representative of an intermediate care facility for individuals with intellectual disabilities (ICF/IID), appointed by the President Pro Tempore of the Oklahoma State Senate, who shall be an executive, owner-operator, or licensed administrator of the ICF/IID and shall have not less than three (3) years of experience as an executive owner-operator, or licensed administrator of one or more ICFs/IID;
- 21 10. One representative of a veterans center operated by the 22 state, appointed by the Speaker of the Oklahoma House of 23 Representatives, who shall be a licensed administrator or executive-

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- level staff member of one or more veterans centers operated by the state; and
  - 11. Two members of the general public, both appointed by the Governor, at least one of whom shall be sixty-five (65) years of age or older. Neither member shall be a current or former employee of the State Department of Health or the Department of Human Services, be currently registered as a lobbyist, or be affiliated with any organization that represents the long-term care industry or advocates for senior citizens.
    - All members shall be in good standing.
  - B. The State Department of Health shall provide space for meetings of the Advisory Council.
  - C. The Advisory Council shall annually elect a chair, vice-chair, and secretary-treasurer, shall meet monthly, and may hold such special meetings as may be necessary. The members of the Advisory Council shall be reimbursed as provided for by the State Travel Reimbursement Act.
    - D. The Advisory Council shall have the power and duty to:
- 1. Serve as an advisory body to the Department for the

  development and improvement of services to and care and treatment of

  residents of facilities subject to the provisions of the Nursing

  Home Care Act, homes subject to the provisions of the Residential

  Care Act, and facilities subject to the provisions of the Adult Day

  Care Act;

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- 2. Review, make recommendations regarding, and approve in its advisory capacity the system of standards developed by the Department;
- 3. Evaluate and review the standards, practices, and procedures of the Department regarding the administration and enforcement of the provisions of the Nursing Home Care Act, the Residential Care Act, and the Adult Day Care Act, and the quality of services and care and treatment provided to residents of facilities and residential care homes and participants in adult day care centers. The Advisory Council may make recommendations to the Department as necessary and appropriate;
- 4. Evaluate and review financial accountability standards, policies and practices of long-term care facilities regarding residents' funds for which the facility is the payee, and evaluate and review expenditures made on behalf of the resident by the facility to ensure that such funds are managed appropriately and in the best interests of the resident;
- 5. Publish and distribute an annual report of its activities and any recommendations for the improvement of services and care and treatment to residents of facilities and residential care homes and participants in adult day care centers on or before January 1 of each year to the Governor, the State Commissioner of Health, the State Board of Health, the Speaker of the House of Representatives, the President Pro Tempore of the Oklahoma State Senate, and the

- 1 chief administrative officer of each agency affected by the report;
  2 and
  - 6. Perform the duties prescribed by Sections 6, 9, 10, and 11 of this act.
    - E. Any member of the Advisory Council shall recuse himself or herself from voting or involvement in proceedings on any matter that originated from or involves an entity with which:
    - 1. The member is related, by blood or marriage, to an owner-operator, licensed administrator, or executive of an entity under review or consideration;
    - 2. The member has a direct monetary relationship with the entity under review or consideration or a monetary relationship with an individual associated with the entity under review or consideration;
    - 3. The member is an employee or an employer of an individual or entity under review or consideration;
    - 4. The member or his or her employer or employee is a direct competitor of an individual or entity under review or consideration;
- 5. The member is employed by a subsidiary of the entity that is under review or consideration, or the member is employed by an entity that shares an owner with the entity that is under review or consideration.

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SECTION 22. NEW LAW A new section of law to be codified in the Oklahoma Statutes as Section 1-1923B of Title 63, unless there is created a duplication in numbering, reads as follows:

The State Commissioner of Health shall promulgate rules to implement the provisions of this act.

SECTION 23. AMENDATORY 63 O.S. 2021, Section 330.51, is amended to read as follows:

Section 330.51 For the purposes of Section  $\frac{330.51}{1-1923C}$  et seq. of this title, and as used herein:

- 1. "Board" means the Oklahoma State Board of Examiners for

  Long-Term Care Administrators "Advisory Council" means the Long-Term

  Care Advisory Council;
- 2. "Long-term care administrator" means a person licensed or certified as a nursing facility administrator, an assisted living facility administrator, a residential care facility administrator, or an adult day care center administrator pursuant to Section 330.51 et seq. of this title. A long-term care administrator must devote at least one-half (1/2) of such person's working time to on-the-job supervision of a long-term care facility; provided that this requirement shall not apply to an administrator of an intermediate care facility for individuals with intellectual disabilities with sixteen or fewer beds (ICF/IID-16), in which case the person licensed by the state may be in charge of more than one ICF/IID-16, if such facilities are located within a circle that has a radius of

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- not more than fifteen (15) miles, and the total number of facilities
  and beds does not exceed six facilities and sixty-four beds. The
  facilities may be free-standing in a community or may be on campus
  with a parent institution. The ICF/IID-16 may be independently
  owned and operated or may be part of a larger institutional
  ownership and operation;
  - 3. "Nursing facility administrator" means a person licensed by the State of Oklahoma to perform the duties of an administrator serving in a skilled nursing or nursing or ICF/IID facility;
  - 4. "Assisted living facility administrator" means a person licensed or certified by the State of Oklahoma to perform the duties of an administrator serving in an assisted living facility;
  - 5. "Residential care facility administrator" means a person licensed or certified by the State of Oklahoma to perform the duties of an administrator serving in a residential care facility;
  - 6. "Adult day care center administrator" means a person licensed or certified by the State of Oklahoma to perform the duties of an administrator serving in an adult day care center; and
  - 7. "Nursing home", "rest home" and "specialized home" shall have the same meaning as the term "nursing facility" as such term is defined in the Nursing Home Care Act; "assisted living center" and "continuum of care facility" shall have the same meaning as such terms are defined in the Continuum of Care and Assisted Living Act; "home" and "residential care home" shall have the same meaning as

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the terms are used in the Residential Care Act; and "adult day care center" and "center" shall have the same meaning as such terms are used in the Adult Day Care Act.

SECTION 24. AMENDATORY 63 O.S. 2021, Section 330.52, is amended to read as follows:

Section 330.52 A. There is hereby re-created, to continue until <del>July 1, 2022</del> November 1, 2023, in accordance with the provisions of the Oklahoma Sunset Law, the Oklahoma State Board of Examiners for Long-Term Care Administrators. The Oklahoma State Board of Examiners for Long-Term Care Administrators shall consist of fifteen (15) members, eight of whom shall be representatives of the professions and institutions of long-term care, with representation from each type of administrator defined in Section 330.51 1-1923C of this title. In order to be eligible to serve as a member, such administrators shall be licensed or certified in their defined facility type, and be in good standing and have at least three (3) years of experience as an administrator in the facility type they represent, except a nursing facility administrator as defined in Section 330.51 1-1923C of this title, who shall have at least five (5) years of experience as a nursing facility administrator. Four members shall represent the general public, of which at least two shall be licensed medical professionals concerned with the care and treatment of critically ill or infirm elderly The preceding twelve members shall be appointed by the

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- Governor, with the advice and consent of the Senate. The final
  three members shall constitute the State Commissioner of Health, the
  Director of the Department of Human Services, and the Director of
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- 4 the Department of Mental Health and Substance Abuse Services, or
- 5 their designees.
- B. No members other than the eight licensed or certified

  administrators shall have a direct or indirect financial interest in

  long-term care facilities.
- 9 C. Effective November 1, 2011, all appointed positions of the 10 current Board shall be deemed vacant. The Governor shall make

initial appointments pursuant to the provisions of this subsection.

- 12 Initial appointments shall become effective on November 1, 2011.
- 13 The new members of the Board shall be initially appointed as
- 14 follows:

- 1. Four of the members representing each administrator type,
  two members representing the general public and two other members
  shall be appointed for a term of two (2) years to expire on October
  31, 2013; and
- 2. Four of the members representing each administrator type, two members representing the general public and one other member shall be appointed for a term of three (3) years to expire on October 31, 2014.
- D. After the initial terms, the terms of all appointive members shall be three (3) years. Any vacancy occurring in the position of

- an appointive member shall be filled by the Governor, with the advice and consent of the Senate, for the unexpired term.
  - E. Any member of the Board shall recuse himself or herself from voting on any matter that originated from or involves an entity with which the Board member is affiliated.
  - SECTION 25. AMENDATORY 63 O.S. 2021, Section 330.53, is amended to read as follows:

Section 330.53 A. The Oklahoma State Board of Examiners for Long-Term Care Administrators State Department of Health, with the input and assistance of the Long-Term Care Advisory Council, shall have authority to issue licenses or certifications to qualified persons as long-term care administrators, and shall establish qualification criteria for each type category of long-term care administrator.

- B. No license or certification shall be issued to a person as a long-term care administrator unless:
- 1. The person shall have submitted evidence satisfactory to the Board Department that the person is:
  - a. not less than twenty-one (21) years of age, and
  - b. of reputable and responsible character; and
- 2. The person shall have submitted evidence satisfactory to the Board Department of the person's ability to supervise the defined facility type in which he or she is licensed or certified to serve as a long-term care administrator.

- C. All persons currently licensed or certified or lawfully serving as an administrator in their defined facility type shall be permitted to continue to serve in their current capacity under their current terms of authorization. The Board State Commissioner of Health may promulgate rules pursuant to Section 330.57 of this title to address future certification and licensure requirements for all long-term care administrator types without effect on the licensure or certification status of those currently certified or licensed. The Board Commissioner shall not include a requirement for a four-year degree in any future licensing or certification requirements for assisted living, residential care or adult day care administrators. Until such rules are promulgated, current licensure and certification processes and standards shall remain in place long-term care administrators.
- D. The Oklahoma State Board of Examiners for Long-Term Care

  Administrators shall, on or before July 1, 2017, promulgate rules

  permitting eligible applicants to Eligible applicants may sit for

  the state standards examination at a testing facility using

  procedures approved by the National Association of Long-Term Care

  Administrator Board, Boards including, but not limited to, the use

  of electronic or online methods for examination.
- E. The Oklahoma State Board of Examiners for Long-Term Care

  Administrators shall promulgate rules to implement the provisions of this section.

- 1 1. Each person licensed or certified as a long-term care 2 administrator under the provisions of this act shall pay an annual license or certification fee which shall be deposited in the Long-3 4 Term Care Revolving Fund created in Section 1-1923M of this title. 5 Such fee shall be determined by the Commissioner with the input of 6 the Advisory Council. Each such license or certification shall 7 expire on the thirty-first day of December following its issuance, 8 and shall be renewable for a calendar year, upon meeting the renewal 9 requirements and upon payment of the annual license or certification 10 fee.
  - 2. In addition to license and certification fees, the

    Commissioner and Advisory Council may impose fees for training and education programs approved by the Department.
  - 3. All revenues collected as a result of fees authorized in this section and imposed by the Commissioner shall be deposited into the Long-Term Care Revolving Fund created in Section 1-1923M of this title.
- F. The Commissioner, with the input and assistance of the
  Advisory Council, shall have sole and exclusive authority to
  determine the qualifications, skill, and fitness of any person to
  serve as a long-term care administrator under the applicable
  provisions of the Nursing Home Care Act, the Continuum of Care and
  Assisted Living Act, the Residential Care Act, and the Adult Day
  Care Act. The Commissioner shall, with the input and assistance of

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- 1 | the Advisory Council, promulgate rules to determine the
- 2 | qualifications for licensure or certification for the long-term care
- 3 administrator categories as defined in Section 1-1923C of this
- 4 | title. Such rules may include a requirement for licensure instead
- 5 of certification for certain long-term care administrator
- 6 | categories.
- 7 G. It shall be unlawful for any person to act or serve in the
- 8 capacity as a long-term care administrator unless the person is the
- 9 holder of a license or certificate as a long-term care
- 10 administrator, issued in accordance with the provisions of this act.
- 11 | A person found guilty of a violation of this subsection shall, upon
- 12 | conviction, be guilty of a misdemeanor.
- 13 SECTION 26. AMENDATORY 63 O.S. 2021, Section 330.54, is
- 14 | amended to read as follows:
- 15 Section 330.54 Each person licensed or certified as a long-term
- 16 | care administrator pursuant to the provisions of Section  $\frac{330.53}{1}$
- 17 | 1923E of this title shall be required to pay an annual license or
- 18 | certification fee which shall be deposited in the Oklahoma State
- 19 | Board of Examiners for Long-Term Care Administrators Revolving Fund.
- 20 | Such fee shall be determined by the Oklahoma State Board of
- 21 Examiners for Long-Term Care Administrators. Each such license or
- 22 certification shall expire on the 31st day of December following its
- 23 issuance, and shall be renewable for a calendar year, upon meeting
- 24 | the renewal requirements and upon payment of the annual license fee.

SECTION 27. AMENDATORY 63 O.S. 2021, Section 330.57, is amended to read as follows:

Section 330.57 The Oklahoma State Board of Examiners for Long-Term Care Administrators shall have sole and exclusive authority to determine the qualifications, skill and fitness of any person to serve as a long-term care administrator under the applicable provisions of the Nursing Home Care Act, the Continuum of Care and Assisted Living Act, the Residential Care Act, and the Adult Day Care Act. The Board shall promulgate rules to determine the qualifications for licensure or certification for the long-term care administrator types as defined in Section 330.51 1-1923C of this title. Such rules may include a requirement for licensure instead of certification for certain long-term care administrator types.

SECTION 28. AMENDATORY 63 O.S. 2021, Section 330.58, is

amended to read as follows:

Section 330.58 The Oklahoma State Board of Examiners for Long-Term Care Administrators shall State Department of Health or, as appropriate, the State Commissioner of Health shall, with the input and assistance of the Long-Term Care Advisory Council:

1. Develop and apply standards for approval of training and education programs for long-term care administrators that meet the accreditation standards of the National Association of Long-Term Care Administrator Boards;

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- 2. Develop, impose, and enforce standards which must be met by individuals in order to receive a license or certification as a long-term care administrator, which standards shall be designed to ensure that long-term care administrators will be individuals who are of good character and are otherwise suitable, and who, by training or experience in the field of institutional administration, are qualified to serve as long-term care administrators;
- 2. 3. Develop and apply appropriate techniques, including examinations and investigations, for determining whether an individual meets such standards;
- 3. 4. Issue licenses or certifications to individuals determined, after the application of such techniques, to meet such standards. The Board Department may, with the input and assistance of the Advisory Council, deny an initial application, deny a renewal application, and revoke or suspend licenses or certifications previously issued by the Board Department in any case where the individual holding any such license or certification is determined substantially to have failed to conform to the requirements of such standards. The Board Department may, with the input and assistance of the Advisory Council, also warn, censure, impose administrative fines or use other remedies that may be considered to be less than revocation and suspension. Administrative fines imposed pursuant to this section shall not exceed One Thousand Dollars (\$1,000.00) per violation. The Board Department shall, with the input and

- assistance of the Advisory Council, consider the scope, severity and repetition of the violation and any additional factors deemed appropriate by the Board Department when issuing a fine;
  - 4. 5. Establish and carry out procedures designed to ensure that individuals licensed or certified as long-term care administrators will, during any period that they serve as such, comply with the requirements of such standards;
  - 5. 6. Receive, investigate, and take appropriate action with respect to any charge or complaint filed with the Board Department to the effect that any individual licensed as a long-term care administrator has failed to comply with the requirements of such standards. The long-term care ombudsman program of the Aging Services Division of the Department of Human Services shall be notified of all complaint investigations of the Board Department so that they may be present at any such complaint investigation for the purpose of representing long-term care facility consumers;
  - 6. 7. Receive and take appropriate action on any complaint or referral received by the Board State Department of Health from the Department of Human Services or any other regulatory agency.

    Complaints may also be generated by the Board or staff State

    Department of Health. A complaint shall not be published on the web site website of the Oklahoma State Board of Examiners for Long-Term

    Care Administrators State Department of Health unless there is a finding by the Board Department that the complaint has merit. The

- Board State Commissioner of Health shall, with the input and
  assistance of the Advisory Council, promulgate rules that include,
  but are not limited to, provisions for:
  - a. establishing a complaint review process,
  - b. creating a formal complaint file, and
  - c. establishing a protocol for investigation of complaints,

## as provided by Section 1-1923N of this title;

- 7. 8. Enforce the provisions of Sections 330.51 through 330.65

  Section 1-1923C et seq. of this title against all persons who are in violation thereof including, but not limited to, individuals who are practicing or attempting to practice as long-term care administrators without proper authorization from the Board
- 14 Department;

- 8. 9. Conduct a continuing study and investigation of long-term care facilities and administrators of long-term care facilities within the state with a view toward the improvement of the standards imposed for the licensing or certifying of such administrators and of procedures and methods for the enforcement of such standards with respect to administrators of long-term care facilities who have been licensed or certified;
- 9.10. Cooperate with and provide assistance when necessary to state regulatory agencies in investigations of complaints;

1 10. 11. Develop a code of ethics for long-term care
2 administrators which includes, but is not limited to, a statement
3 that administrators have a fiduciary duty to the facility and cannot
4 serve as guardian of the person or of the estate, or hold a durable
5 power of attorney or power of attorney for any resident of a
6 facility of which they are an administrator;

11. 12. Report a final adverse action against a long-term care administrator to the Healthcare Integrity and Protection Data Bank pursuant to federal regulatory requirements;

 $\frac{12.}{13.}$  Refer completed investigations to the proper law enforcement authorities for prosecution of criminal activities;

determined by the Board Commissioner, against persons who do not comply with the provisions of this act Section 1-1923C et seq. of this title or the rules adopted by the Board Commissioner.

Administrative fines imposed pursuant to this section shall not exceed One Thousand Dollars (\$1,000.00) per violation. The Board Department shall, with the input and assistance of the Advisory Council, consider the scope, severity and repetition of the violation and any additional factors deemed appropriate by the Board Department when issuing a fine;

14. 15. Assess the costs of the hearing process, including attorney fees;

1 15. 16. Grant short-term provisional licenses to individuals

2 who do not meet all of the licensing requirements, provided the

3 individual obtains the services of a currently licensed

4 administrator to act as a consultant and meets any additional

5 criteria for a provisional license established by the Board

6 Commissioner;

16. Order a summary suspension of an administrator's license or certification or an Administrator in Training (AIT) permit, if, in the course of an investigation, it is determined that a licensee, certificate holder or AIT candidate for licensure has engaged in conduct of a nature that is detrimental to the health, safety or welfare of the public, and which conduct necessitates immediate action to prevent further harm; and

17. Promulgate rules governing the employment of assistant administrators for nursing and skilled nursing facilities including, but not limited to, minimum qualifications.

SECTION 29. AMENDATORY 63 O.S. 2021, Section 330.62, is amended to read as follows:

Section 330.62 There is hereby created in the State Treasury a revolving fund for the Oklahoma State Board of Examiners for Long
Term Care Administrators State Department of Health to be designated the "Oklahoma State Board of Examiners for Long-Term Care

Administrators Revolving Fund". The fund shall be a continuing fund, not subject to fiscal year limitations, and shall consist of

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such sources of income as are provided by law. All monies accruing to the credit of said the fund are hereby appropriated and may be budgeted and expended by the Oklahoma State Board of Examiners for Long-Term Care Administrators Department to carry out the duties established by law this act. Expenditures from said the fund shall be made upon warrants issued by the State Treasurer against claims filed as prescribed by law with the Director of the Office of Management and Enterprise Services for approval and payment.

SECTION 30. AMENDATORY 63 O.S. 2021, Section 330.64, is amended to read as follows:

Section 330.64 A. Any person or agency may submit to the State

Department of Health a complaint against a long-term care

administrator. Complaints may also be generated by the Department.

Each investigation of a complaint received by the Oklahoma State

Board of Examiners for Long-Term Care Administrators Department

shall be initiated within ninety (90) days from the date the

complaint is received by the Board Department. Each complaint

investigation shall be completed within twelve (12) months of

initiation. The time period may be extended by the Board Department

for good cause. When the Department completes an investigation, the

Department shall immediately notify the Advisory Council and shall

share the investigative file with the Advisory Council.

B. Effective May 13, 2005, the Board The Department shall, with the input and assistance of the Advisory Council, create and

1 maintain a registry of all complaints or referrals, found by the Board Department to have merit, complaining of acts or omissions of licensed administrators. The registry shall be maintained in both 3 4 electronic and paper formats and shall be available for inspection 5 by the public. Such registry shall be organized both in chronological order by the date of the complaint and by the name of 6 7 the licensed administrator. The registry shall contain information about the nature of the complaint and the action, if any, taken by 8 9 the Board Department. The registry shall also contain the number of 10 complaints made against an individual administrator.

- C. The Department shall not take any adverse action against an administrator for a violation of this act or the rules promulgated by the State Commissioner of Health before the Advisory Council has issued a recommendation to the Department regarding the complaint and before the Department has taken such recommendation into consideration, except:
  - 1. As provided by subsection E of this section; or
- 2. If the Advisory Council does not issue a recommendation within forty-five (45) calendar days following the date the investigation is completed.
- D. The Advisory Council shall review the complaint and the investigative materials provided by the Department, may cause the allegations to be investigated, and may interview witnesses including but not limited to the administrator who is the subject of

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1 the complaint. The Advisory Council shall make a recommendation to

2 | the Department to set the case for hearing, for dismissal, or other

3 action including but not limited to informal resolution. Any

4 | recommendation of the Advisory Council to the Department under this

subsection shall be voted upon by a quorum of the Advisory Council

in an open meeting.

- E. Notwithstanding any other provision of this section, the

  Department may order a summary suspension of an administrator's

  license or certification or an Administrator in Training (AIT)

  permit if, in the course of an investigation, it is determined that

  a licensee, certificate holder, or AIT candidate for licensure has

  engaged in conduct of a nature that is detrimental to the health,

  safety, or welfare of the public, and which conduct necessitates

  immediate action to prevent further harm. The Department shall

  immediately notify the licensee, certificate holder, or AIT

  candidate upon issuance of the order. The licensee, certificate

  holder, or AIT candidate shall have the right to contest the order

  at a hearing as provided by subsection H of this section.
- F. To ensure the confidentiality of an investigative file

  obtained during the investigation, the information in the

  investigative file shall not be deemed to be a record as that term

  is defined in the Oklahoma Open Records Act nor shall the

  information be subject to subpoena or discovery in any civil or

  criminal proceeding, except that the Department may give the

1 information to law enforcement and other state licensing agencies as 2 necessary and appropriate in the discharge of the duties of that agency and only under circumstances that will ensure against 3 4 unauthorized access to the information. The respondent may acquire 5 information obtained during an investigation, unless the disclosure 6 of the information is otherwise prohibited, except for the 7 investigative report, if the respondent signs a protective order 8 whereby the respondent agrees to use the information solely for the 9 purpose of defense in the proceedings of the Department or the 10 Advisory Council and in any appeal therefrom and agrees not to

G. The Department may give the respondent an opportunity to participate in an informal resolution of the case. Discussions to resolve the case without a hearing shall be conducted in consultation with the Advisory Council.

otherwise disclose the information.

16 H. If the case is not resolved, the respondent shall be afforded notice and a hearing in accordance with the provisions of Article II of the Administrative Procedures Act. The Advisory Council may assist and advise the Department in all hearings and may recommend to the Department disciplinary action against the respondent. Any party aggrieved by a decision of the Department 22 following a hearing may appeal directly to district court under Section 318 of Title 75 of the Oklahoma Statutes.

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        SECTION 31. RECODIFICATION 63 O.S. 2021, Section 330.51,
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    as amended by Section 4 of this act, shall be recodified as Section
    1-1923C of Title 63 of the Oklahoma Statutes, unless there is
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    created a duplication in numbering.
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        SECTION 32.
                        RECODIFICATION
                                       63 O.S. 2021, Section 330.52,
    as amended by Section 5 of this act, shall be recodified as Section
 6
    1-1923D of Title 63 of the Oklahoma Statutes, unless there is
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 8
    created a duplication in numbering.
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        SECTION 33.
                        RECODIFICATION 63 O.S. 2021, Section 330.53,
    as amended by Section 6 of this act, shall be recodified as Section
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    1-1923E of Title 63 of the Oklahoma Statutes, unless there is
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    created a duplication in numbering.
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        SECTION 34.
                        RECODIFICATION 63 O.S. 2021, Section 330.54,
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    as amended by Section 7 of this act, shall be recodified as Section
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    1-1923F of Title 63 of the Oklahoma Statutes, unless there is
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    created a duplication in numbering.
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        SECTION 35.
                        RECODIFICATION
                                           63 O.S. 2021, Section 330.56,
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    shall be recodified as Section 1-1923G of Title 63 of the Oklahoma
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    Statutes, unless there is created a duplication in numbering.
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        SECTION 36.
                        RECODIFICATION
                                           63 O.S. 2021, Section 330.57,
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    as amended by Section 8 of this act, shall be recodified as Section
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    1-1923H of Title 63 of the Oklahoma Statutes, unless there is
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    created a duplication in numbering.
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SECTION 37. RECODIFICATION 63 O.S. 2021, Section 330.58,
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2 | as amended by Section 9 of this act, shall be recodified as Section

1-1923I of Title 63 of the Oklahoma Statutes, unless there is

created a duplication in numbering.

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5 SECTION 38. RECODIFICATION 63 O.S. 2021, Section 330.59,

6 | shall be recodified as Section 1-1923J of Title 63 of the Oklahoma

Statutes, unless there is created a duplication in numbering.

8 SECTION 39. RECODIFICATION 63 O.S. 2021, Section 330.60,

shall be recodified as Section 1-1923K of Title 63 of the Oklahoma

Statutes, unless there is created a duplication in numbering.

11 SECTION 40. RECODIFICATION 63 O.S. 2021, Section 330.61,

shall be recodified as Section 1-1923L of Title 63 of the Oklahoma

Statutes, unless there is created a duplication in numbering.

SECTION 41. RECODIFICATION 63 O.S. 2021, Section 330.62,

as amended by Section 10 of this act, shall be recodified as Section

16 | 1-1923M of Title 63 of the Oklahoma Statutes, unless there is

17 | created a duplication in numbering.

18 SECTION 42. RECODIFICATION 63 O.S. 2021, Section 330.64,

as amended by Section 11 of this act, shall be recodified as Section

1-1923N of Title 63 of the Oklahoma Statutes, unless there is

created a duplication in numbering.

SECTION 43. RECODIFICATION 63 O.S. 2021, Section 330.65,

shall be recodified as Section 1-19230 of Title 63 of the Oklahoma

Statutes, unless there is created a duplication in numbering.

1	SECTION 44. This act shall become effective November 1, 2023.
2	Passed the House of Representatives the 21st day of March, 2023.
3	
4	Presiding Officer of the House
5	of Representatives
6	
7	Passed the Senate the day of, 2023.
8	
9	Presiding Officer of the Senate
LO	riestating officer of the benace
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