

**SECOND ENGROSSMENT
with Senate Amendments
REENGROSSED HOUSE BILL NO. 1181**

Introduced by

Representatives Skroch, Klemin, Vetter

Senators Burckhard, Clemens

1 A BILL for an Act to to create and enact section 12.1-04-04.1 of the North Dakota Century Code,
2 relating to a defendant's fitness to proceed; and to amend and reenact sections 12.1-04-04,
3 12.1-04-06, 12.1-04-07, and 12.1-04-08 of the North Dakota Century Code, relating to a
4 defendant's fitness to proceed.

5 **BE IT ENACTED BY THE LEGISLATIVE ASSEMBLY OF NORTH DAKOTA:**

6 **SECTION 1. AMENDMENT.** Section 12.1-04-04 of the North Dakota Century Code is
7 amended and reenacted as follows:

8 **~~12.1-04-04. Disposition of mentally unfit defendants~~Definitions.**

9 ~~No person who, as a result of mental disease or defect, lacks capacity to understand the~~
10 ~~proceedings against the person or to assist in the person's own defense shall be tried,~~
11 ~~convicted, or sentenced for the commission of an offense so long as such incapacity endures~~

12 As used in this chapter, unless the context otherwise requires:

- 13 1. "Fitness to proceed" means sufficient present ability to consult with the individual's
14 counsel with a reasonable degree of rational understanding and a rational as well as
15 factual understanding of the proceedings against the individual.
- 16 2. "Least restrictive appropriate setting" means available treatment or service that best
17 meets the identified need and is no more restrictive of physical or social liberties than
18 what is necessary to meet the need.
- 19 3. "Therapeutically appropriate treatment" means treatment that provides the individual
20 the greatest probability of improvement or cure.

21 **SECTION 2.** Section 12.1-04-04.1 of the North Dakota Century Code is created and
22 enacted as follows:

23 **12.1-04-04.1. Disposition of defendants - Lack of fitness to proceed - Records.**

- 24 1. A defendant is presumed to be fit to stand trial, to plead, or to be sentenced.

- 1 2. An individual who lacks fitness to proceed may not be tried, convicted, or sentenced
2 for the commission of an offense.
- 3 3. The clerk of the district court shall maintain any report filed pursuant to this chapter
4 regarding "diagnosis, treatment, or treatment plans" separately from the record
5 relating to the offense. The records may be reviewed only by the court or an appellate
6 court, the state, the defense, the facility providing treatment as required by order of the
7 court, and any other person as directed by the court.

8 **SECTION 3. AMENDMENT.** Section 12.1-04-06 of the North Dakota Century Code is
9 amended and reenacted as follows:

10 **12.1-04-06. Examination-- Temporary commitmentdetention for purposes of**
11 **examination.**

12 Whenever there is reason to doubt the defendant's fitness to proceed, the court may order
13 ~~the~~temporary detention of the defendant for the purpose of an examination ~~by a tier 1a mental-~~
14 ~~health professional.~~ The temporary detention must be in the least restrictive appropriate setting,
15 including the state hospital, the life skills and transition center, or other suitable facility for a
16 reasonable period, not to exceed thirty days, for such examination. In lieu of detention, the court
17 may allow the defendant to remain in the defendant's present residential setting or other
18 suitable residential setting for the purpose of ~~evaluation by a suitable facility or~~
19 ~~personnel~~examination, subject to any reasonable limitation the court may impose. A human
20 service center may ~~not~~ be considered a suitable facility and may ~~not~~ be considered suitable
21 ~~personnel under this section unless~~if the court is aware an inquiry was made before the court
22 ordered the evaluation to ensure appropriate resources exist at the human service center being
23 ordered to conduct the ~~evaluation~~examination. The court, ~~by subsequent order and for good-~~
24 ~~cause shown,~~ may extend the detention for a period not to exceed thirty additional days. While
25 the defendant is detained, the defendant's legal counsel, family, and others necessary to assist
26 in the defendant's case must have reasonable opportunity to examine and confer with the
27 defendant.

28 **SECTION 4. AMENDMENT.** Section 12.1-04-07 of the North Dakota Century Code is
29 amended and reenacted as follows:

1 **12.1-04-07. Examination - Report - Hearing when contested.**

- 2 1. ~~The report of the examining psychiatrists or psychologists must be given in writing to~~
3 ~~the court within three days of expiration of the period of commitment or, if the~~
4 ~~defendant is not committed, within thirty days after the outpatient evaluation. The court~~
5 ~~shall cause copies to be delivered to the prosecutor and counsel for the~~
6 ~~defendant. Whenever there is reason to doubt the defendant's fitness to proceed, the~~
7 ~~court shall order the defendant be examined by a tier 1a mental health professional.~~
- 8 2. An examination must occur within fifteen days from notice of entry of the order served
9 upon the tier 1a mental health professional. Attorneys shall disclose any materials
10 necessary to examine the fitness of the individual to the tier 1a examiner
11 contemporaneously with the order. For good cause shown, the court may grant an
12 extension allowing an additional seven days to complete the examination.
- 13 3. The report of the examining mental health professional, whether the report is for a
14 retrospective evaluation of fitness or an evaluation of the defendant's current fitness,
15 must be provided to the court in writing within thirty days of the date of the
16 examination.
- 17 4. The report must include:
- 18 a. The identity of the individuals interviewed and records and other information
19 considered.
- 20 b. Procedures, tests, and techniques utilized in the assessment.
- 21 c. The date and time of the examination of the defendant, and the identity of each
22 individual present during the examination.
- 23 d. The relevant information obtained, the defendant's responses to questions
24 related to the defendant's fitness to proceed, other information not obtained
25 which the examiner believes may be relevant, and the findings made.
- 26 e. An opinion as to whether the defendant is fit to proceed or, is unable to
27 understand the nature or purpose of the proceedings against the defendant, is
28 unable to effectively communicate with counsel, and whether the defendant will
29 attain fitness to proceed ~~or ability to effectively communicate with counsel in the~~
30 foreseeable future.

1 3. within the time frames set forth in section 12.1-04-08. If the examiner is unable to
2 determine whether the defendant will attain fitness within a specified period of time,
3 the report must include the reasoning. The report may include a general description of
4 the type of treatment needed and of the therapeutically appropriate treatment or other
5 appropriate treatment.

6 5. If the findings of the report are contested, the court shall hold a hearing ~~prior to~~before
7 deciding whether the defendant currently lacks fitness to proceed ~~or currently lacks~~
8 ~~ability to effectively communicate with counsel~~ and whether the defendant will attain
9 fitness to proceed ~~or ability to effectively communicate with counsel in the foreseeable~~
10 future. Upon hearing, the prosecution and defense have the right to summon and
11 cross-examine the persons responsible for the report and to offer evidence upon the
12 issues.

13 **SECTION 5. AMENDMENT.** Section 12.1-04-08 of the North Dakota Century Code is
14 amended and reenacted as follows:

15 **12.1-04-08. Suspension or dismissal of proceedings - Referral for services.**

16 1. If the court determines based upon a preponderance of the evidence that the
17 defendant currently lacks fitness to proceed ~~or to effectively communicate with counsel~~
18 ~~but that the defendant may attain fitness to proceed or effectively communicate with~~
19 ~~counsel in the foreseeable future, and the report as required under section 12.1-04-07~~
20 indicates a likelihood the defendant will attain fitness within a specified period of time
21 from the date of the finding upon completion of a course of therapeutically appropriate
22 treatment, the proceedings against the defendant must be suspended, except as
23 ~~provided in section 12.1-04-09. For a defendant charged with a felony, the~~
24 proceedings must be suspended for a period of up to one hundred and eighty days.
25 The court may extend the suspension period for an additional three hundred sixty-five
26 days if there is medical evidence the defendant's fitness to proceed will be restored
27 during the extended period. For a defendant charged with a misdemeanor, the
28 proceedings must be suspended for a period no longer than the maximum term of
29 imprisonment for the most serious offense charged. When the court determines, after
30 a hearing if a hearing is requested, that the defendant has regained fitness to proceed
31 ~~or to effectively communicate with counsel, the proceeding must be resumed. If~~

1 prosecution of the defendant has not resumed prior to the expiration of the maximum-
2 period for which the defendant could be sentenced; or it is determined by the court,
3 after a hearing if a hearing is requested, that the defendant will not regain fitness to
4 proceed ~~or to effectively communicate with counsel within the allotted time~~, the charges
5 against the defendant must be dismissed. ~~The court may at any time make a referral~~
6 ~~for other appropriate services, treatment, or civil commitment.~~

7 2. If the court determines based upon a preponderance of the evidence that the
8 defendant currently lacks fitness to proceed and that the defendant will not attain
9 fitness to proceed ~~in the foreseeable future~~, the proceedings must be dismissed. The
10 court may at any time make a referral for other appropriate services, ~~treatment, or civil-~~
11 ~~commitment.~~

12 3. Other appropriate services ~~or treatment~~ include:

- 13 a. Determination of incapacity, by a district court with appropriate jurisdiction
14 following petition by the state's attorney, for the appointment of a guardian or
15 conservator pursuant to chapter 30.1-28 or 30.1-29; or
16 b. Civil commitment of the person pursuant to chapter 25-03.1; ~~or~~
17 c. ~~Treatment of the person by a human service center or other appropriate public or~~
18 ~~private provider.~~

19 3. If the court determines the defendant currently lacks fitness to proceed and the
20 defendant may attain fitness to proceed under subsection 1, the court may enter an
21 order for a course of treatment considering the least restrictive form of treatment
22 therapeutically appropriate.

23 a. Unless excused by the court, in a proceeding to determine therapy in an attempt
24 to attain fitness, the defendant shall be represented by trial counsel.

25 b. If the court finds the individual is not able to retain the services of a tier 1a mental
26 health professional and that those services are not otherwise available, the court
27 shall authorize reasonable expenditures from public funds to examine the
28 individual.

29 c. In a motion hearing to resume prosecution, the state or prosecuting authority
30 must show by a preponderance of the evidence the defendant has attained
31 fitness to proceed.

- 1 4. If the court orders the defendant committed to a treatment facility in an attempt to
2 attain fitness to proceed under subsection 1, the court shall provide the special
3 custody and commitment terms in the order. The special terms of commitment must
4 include an order for the defendant to accept all nonexperimental, generally accepted
5 medical, psychiatric, or psychological treatment recommended by the treatment
6 facility, including the use of involuntary treatment with prescribed medication without
7 the need for a separate commitment under chapter 25-03.1.
- 8 a. If the order does not indicate the terms of commitment, the director or
9 superintendent of the treatment facility may determine the nature of the
10 constraints necessary within the treatment facility to carry out the order of the
11 court.
- 12 b. If the court orders an individual committed for therapeutic treatment to attain
13 fitness to proceed, the court shall set a date consistent with the timeline
14 established in this section for a review of the defendant's fitness to proceed. At
15 least sixty days before the date specified for review, the director or director's
16 designee or the superintendent of the treatment facility shall inquire as to whether
17 the individual is represented by counsel and file a written report of the facts
18 ascertained with the court.
- 19 5. If the parties to the action have reason to modify the special terms of the commitment
20 order under this section, the parties shall make a motion to the court and the court
21 shall determine by a preponderance of the evidence if the modification of the special
22 terms is necessary and the least restrictive therapeutic alternative therapy in an
23 attempt to attain fitness to proceed.
- 24 6. The custodian, guardian, or other person charged with the control of the defendant
25 may take an appeal from the court's order in the manner provided by law. The
26 ~~procedure provided in this section is not exclusive, but is in addition to any other~~
27 ~~procedure for the commitment of individuals to the life skills and transition center, state-~~
28 ~~hospital, or other state facility.~~