01/15/21 REVISOR BD/KM 21-01596 as introduced

## SENATE STATE OF MINNESOTA NINETY-SECOND SESSION

A bill for an act

relating to human services; modifying requirements for substance use disorder and

S.F. No. 481

(SENATE AUTHORS: BENSON)
DATE D-PG

**DATE** 02/01/2021

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OFFICIAL STATUS

Introduction and first reading
Referred to Human Services Licensing Policy

mental health treatment provided via telemedicine; amending Minnesota Statutes 1.3 2020, sections 245G.01, subdivisions 13, 26; 245G.05, subdivision 1; 245G.06, 1.4 subdivision 1; 254A.19, subdivision 5; 254B.05, subdivision 5; 256B.0625, 1.5 subdivisions 3b, 46; repealing Minnesota Statutes 2020, section 245G.22, 1.6 subdivision 13. 1.7 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF MINNESOTA: 1.8 Section 1. Minnesota Statutes 2020, section 245G.01, subdivision 13, is amended to read: 1.9 Subd. 13. Face-to-face. "Face-to-face" means two-way, real-time, interactive and visual 1.10 communication between a client and a treatment service provider and includes services 1.11 delivered in person or via telemedicine electronic combined audio and visual communication. 1.12 Sec. 2. Minnesota Statutes 2020, section 245G.01, subdivision 26, is amended to read: 1.13 Subd. 26. Telemedicine. "Telemedicine" means the delivery of a substance use disorder 1.14 treatment service while the client is at an originating site and the licensed health care provider 1.15 is at a distant site as specified in section 254B.05, subdivision 5, paragraph (f). For purposes 1.16 of this chapter, an originating site includes the client's residence and a distant site includes 1.17 the provider's residence. 1.18 Sec. 3. Minnesota Statutes 2020, section 245G.05, subdivision 1, is amended to read: 1.19 Subdivision 1. Comprehensive assessment. (a) A comprehensive assessment of the 1.20 client's substance use disorder must be administered face-to-face in person or via telemedicine 1.21

by an alcohol and drug counselor within three calendar days from the day of service initiation

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for a residential program or within three calendar days on which a treatment session has been provided of the day of service initiation for a client in a nonresidential program. If the comprehensive assessment is not completed within the required time frame, the person-centered reason for the delay and the planned completion date must be documented in the client's file. The comprehensive assessment is complete upon a qualified staff member's dated signature. If the client received a comprehensive assessment that authorized the treatment service, an alcohol and drug counselor may use the comprehensive assessment for requirements of this subdivision but must document a review of the comprehensive assessment and update the comprehensive assessment as clinically necessary to ensure compliance with this subdivision within applicable timelines. The comprehensive assessment must include sufficient information to complete the assessment summary according to subdivision 2 and the individual treatment plan according to section 245G.06. The comprehensive assessment must include information about the client's needs that relate to substance use and personal strengths that support recovery, including:

- (1) age, sex, cultural background, sexual orientation, living situation, economic status, and level of education:
  - (2) a description of the circumstances on the day of service initiation;
- (3) a list of previous attempts at treatment for substance misuse or substance use disorder, compulsive gambling, or mental illness;
- (4) a list of substance use history including amounts and types of substances used, frequency and duration of use, periods of abstinence, and circumstances of relapse, if any. For each substance used within the previous 30 days, the information must include the date of the most recent use and address the absence or presence of previous withdrawal symptoms;
- (5) specific problem behaviors exhibited by the client when under the influence of substances;
- (6) the client's desire for family involvement in the treatment program, family history of substance use and misuse, history or presence of physical or sexual abuse, and level of family support;
- (7) physical and medical concerns or diagnoses, current medical treatment needed or being received related to the diagnoses, and whether the concerns need to be referred to an appropriate health care professional;
- (8) mental health history, including symptoms and the effect on the client's ability to function; current mental health treatment; and psychotropic medication needed to maintain

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stability. The assessment must utilize screening tools approved by the commissioner pursuant 3.1 to section 245.4863 to identify whether the client screens positive for co-occurring disorders; 3.2 (9) arrests and legal interventions related to substance use; 3.3 (10) a description of how the client's use affected the client's ability to function 3.4 appropriately in work and educational settings; 3.5 (11) ability to understand written treatment materials, including rules and the client's 3.6 rights; 3.7 (12) a description of any risk-taking behavior, including behavior that puts the client at 3.8 risk of exposure to blood-borne or sexually transmitted diseases; 3.9 (13) social network in relation to expected support for recovery; 3.10 (14) leisure time activities that are associated with substance use; 3.11 (15) whether the client is pregnant and, if so, the health of the unborn child and the 3.12 client's current involvement in prenatal care; 3.13 (16) whether the client recognizes needs related to substance use and is willing to follow 3.14 treatment recommendations; and 3.15 (17) information from a collateral contact may be included, but is not required. 3.16 (b) If the client is identified as having opioid use disorder or seeking treatment for opioid 3.17 use disorder, the program must provide educational information to the client concerning: 3.18 (1) risks for opioid use disorder and dependence; 3.19 (2) treatment options, including the use of a medication for opioid use disorder; 3.20 (3) the risk of and recognizing opioid overdose; and 3.21 (4) the use, availability, and administration of naloxone to respond to opioid overdose. 3 22 (c) The commissioner shall develop educational materials that are supported by research 3.23 and updated periodically. The license holder must use the educational materials that are 3.24 approved by the commissioner to comply with this requirement. 3.25 (d) If the comprehensive assessment is completed to authorize treatment service for the 3.26 client, at the earliest opportunity during the assessment interview the assessor shall determine 3.27 if: 3.28 (1) the client is in severe withdrawal and likely to be a danger to self or others; 3.29 (2) the client has severe medical problems that require immediate attention; or 3.30

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(3) the client has severe emotional or behavioral symptoms that place the client or others at risk of harm.

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If one or more of the conditions in clauses (1) to (3) are present, the assessor must end the assessment interview and follow the procedures in the program's medical services plan under section 245G.08, subdivision 2, to help the client obtain the appropriate services. The assessment interview may resume when the condition is resolved.

EFFECTIVE DATE. This section is effective January 1, 2022, or upon federal approval, whichever is later. The commissioner of human services shall notify the revisor of statutes when federal approval is obtained.

Sec. 4. Minnesota Statutes 2020, section 245G.06, subdivision 1, is amended to read:

Subdivision 1. General. Each client must have a person-centered individual treatment plan developed by an alcohol and drug counselor within ten days from the day of service initiation for a residential program and within five calendar days on which a treatment session has been provided from the day of service initiation for a client in a nonresidential program. Opioid treatment programs must complete the individual treatment plan within 21 days from the day of service initiation. The individual treatment plan must be signed by the client and the alcohol and drug counselor and document the client's involvement in the development of the plan. The individual treatment plan is developed upon the qualified staff member's dated signature. Treatment planning must include ongoing assessment of client needs. An individual treatment plan must be updated based on new information gathered about the client's condition, the client's level of participation, and on whether methods identified have the intended effect. A change to the plan must be signed by the client and the alcohol and drug counselor. If the client chooses to have family or others involved in treatment services, the client's individual treatment plan must include how the family or others will be involved in the client's treatment. If a client is receiving treatment services or an assessment via telemedicine, the alcohol and drug counselor may document the client's verbal approval of the treatment plan or change to the treatment plan in lieu of the client's signature.

- Sec. 5. Minnesota Statutes 2020, section 254A.19, subdivision 5, is amended to read:
- Subd. 5. Assessment via telemedicine. Notwithstanding Minnesota Rules, part
   9530.6615, subpart 3, item A, a chemical use assessment may be conducted via telemedicine,
   which includes two-way interactive video or telephone communication.

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5.1	<b>EFFECTIVE DATE.</b> This section is effective January 1, 2022, or upon federal approval,
5.2	whichever is later. The commissioner of human services shall notify the revisor of statutes
5.3	when federal approval is obtained.
5.4	Sec. 6. Minnesota Statutes 2020, section 254B.05, subdivision 5, is amended to read:
5.5	Subd. 5. Rate requirements. (a) The commissioner shall establish rates for substance
5.6	use disorder services and service enhancements funded under this chapter.
5.7	(b) Eligible substance use disorder treatment services include:
5.8	(1) outpatient treatment services that are licensed according to sections 245G.01 to
5.9	245G.17, or applicable tribal license;
5.10	(2) comprehensive assessments provided according to sections 245.4863, paragraph (a),
5.11	and 245G.05;
5.12	(3) care coordination services provided according to section 245G.07, subdivision 1,
5.13	paragraph (a), clause (5);
5.14	(4) peer recovery support services provided according to section 245G.07, subdivision
5.15	2, clause (8);
5.16	(5) on July 1, 2019, or upon federal approval, whichever is later, withdrawal management
5.17	services provided according to chapter 245F;
5.18	(6) medication-assisted therapy services that are licensed according to sections 245G.01
5.19	to 245G.17 and 245G.22, or applicable tribal license;
5.20	(7) medication-assisted therapy plus enhanced treatment services that meet the
5.21	requirements of clause (6) and provide nine hours of clinical services each week;
5.22	(8) high, medium, and low intensity residential treatment services that are licensed
5.23	according to sections 245G.01 to 245G.17 and 245G.21 or applicable tribal license which
5.24	provide, respectively, 30, 15, and five hours of clinical services each week;
5.25	(9) hospital-based treatment services that are licensed according to sections 245G.01 to
5.26	245G.17 or applicable tribal license and licensed as a hospital under sections 144.50 to
5.27	144.56;
5.28	(10) adolescent treatment programs that are licensed as outpatient treatment programs
5.29	according to sections 245G.01 to 245G.18 or as residential treatment programs according
5.30	to Minnesota Rules, parts 2960.0010 to 2960.0220, and 2960.0430 to 2960.0490, or
5.31	applicable tribal license;

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(11) high-intensity residential treatment services that are licensed according to sections 245G.01 to 245G.17 and 245G.21 or applicable tribal license, which provide 30 hours of clinical services each week provided by a state-operated vendor or to clients who have been civilly committed to the commissioner, present the most complex and difficult care needs, and are a potential threat to the community; and

- (12) room and board facilities that meet the requirements of subdivision 1a.
- (c) The commissioner shall establish higher rates for programs that meet the requirements of paragraph (b) and one of the following additional requirements:
  - (1) programs that serve parents with their children if the program:

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- (i) provides on-site child care during the hours of treatment activity that:
- 6.11 (A) is licensed under chapter 245A as a child care center under Minnesota Rules, chapter 9503; or
- (B) meets the licensure exclusion criteria of section 245A.03, subdivision 2, paragraph (a), clause (6), and meets the requirements under section 245G.19, subdivision 4; or
  - (ii) arranges for off-site child care during hours of treatment activity at a facility that is licensed under chapter 245A as:
- (A) a child care center under Minnesota Rules, chapter 9503; or
  - (B) a family child care home under Minnesota Rules, chapter 9502;
- (2) culturally specific programs as defined in section 254B.01, subdivision 4a, or
   programs or subprograms serving special populations, if the program or subprogram meets
   the following requirements:
- (i) is designed to address the unique needs of individuals who share a common language,
   racial, ethnic, or social background;
  - (ii) is governed with significant input from individuals of that specific background; and
  - (iii) employs individuals to provide individual or group therapy, at least 50 percent of whom are of that specific background, except when the common social background of the individuals served is a traumatic brain injury or cognitive disability and the program employs treatment staff who have the necessary professional training, as approved by the commissioner, to serve clients with the specific disabilities that the program is designed to serve;

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(3) programs that offer medical services delivered by appropriately credentialed health care staff in an amount equal to two hours per client per week if the medical needs of the client and the nature and provision of any medical services provided are documented in the client file; and

- (4) programs that offer services to individuals with co-occurring mental health and chemical dependency problems if:
  - (i) the program meets the co-occurring requirements in section 245G.20;

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- (ii) 25 percent of the counseling staff are licensed mental health professionals, as defined in section 245.462, subdivision 18, clauses (1) to (6), or are students or licensing candidates under the supervision of a licensed alcohol and drug counselor supervisor and licensed mental health professional, except that no more than 50 percent of the mental health staff may be students or licensing candidates with time documented to be directly related to provisions of co-occurring services;
- (iii) clients scoring positive on a standardized mental health screen receive a mental health diagnostic assessment within ten days of admission;
- (iv) the program has standards for multidisciplinary case review that include a monthly review for each client that, at a minimum, includes a licensed mental health professional and licensed alcohol and drug counselor, and their involvement in the review is documented;
- (v) family education is offered that addresses mental health and substance abuse disorders and the interaction between the two; and
- (vi) co-occurring counseling staff shall receive eight hours of co-occurring disorder training annually.
- (d) In order to be eligible for a higher rate under paragraph (c), clause (1), a program that provides arrangements for off-site child care must maintain current documentation at the chemical dependency facility of the child care provider's current licensure to provide child care services. Programs that provide child care according to paragraph (c), clause (1), must be deemed in compliance with the licensing requirements in section 245G.19.
- (e) Adolescent residential programs that meet the requirements of Minnesota Rules, parts 2960.0430 to 2960.0490 and 2960.0580 to 2960.0690, are exempt from the requirements in paragraph (c), clause (4), items (i) to (iv).
- (f) Subject to federal approval, chemical dependency services that are otherwise covered as direct face-to-face services may be provided via two-way interactive video or telephone communication. The use of two-way interactive video or telephone communication must

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be medically appropriate to the condition and needs of the person being served.

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Reimbursement shall be at the same rates and under the same conditions that would otherwise apply to <u>direct in-person</u>, face-to-face services. The interactive <u>audio and video</u> equipment and connection must comply with Medicare standards in effect at the time the service is provided.

- (g) For the purpose of reimbursement under this section, substance use disorder treatment services provided in a group setting without a group participant maximum or maximum client to staff ratio under chapter 245G shall not exceed a client to staff ratio of 48 to one. At least one of the attending staff must meet the qualifications as established under this chapter for the type of treatment service provided. A recovery peer may not be included as part of the staff ratio.
- 8.12 **EFFECTIVE DATE.** This section is effective January 1, 2022, or upon federal approval,
  whichever is later. The commissioner of human services shall notify the revisor of statutes
  when federal approval is obtained.
- 8.15 Sec. 7. Minnesota Statutes 2020, section 256B.0625, subdivision 3b, is amended to read:
  - Subd. 3b. **Telemedicine services.** (a) Medical assistance covers medically necessary services and consultations delivered by a licensed health care provider via telemedicine in the same manner as if the service or consultation was delivered in person. Coverage is limited to three telemedicine services per enrollee per calendar week, except as provided in paragraph (f). Telemedicine services shall be paid at the full allowable rate.
  - (b) The commissioner shall establish criteria that a health care provider must attest to in order to demonstrate the safety or efficacy of delivering a particular service via telemedicine. The attestation may include that the health care provider:
  - (1) has identified the categories or types of services the health care provider will provide via telemedicine;
  - (2) has written policies and procedures specific to telemedicine services that are regularly reviewed and updated;
  - (3) has policies and procedures that adequately address patient safety before, during, and after the telemedicine service is rendered;
  - (4) has established protocols addressing how and when to discontinue telemedicine services; and
    - (5) has an established quality assurance process related to telemedicine services.

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(c) As a condition of payment, a licensed health care provider must document each occurrence of a health service provided by telemedicine to a medical assistance enrollee. Health care service records for services provided by telemedicine must meet the requirements set forth in Minnesota Rules, part 9505.2175, subparts 1 and 2, and must document:

(1) the type of service provided by telemedicine;

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- (2) the time the service began and the time the service ended, including an a.m. and p.m. designation;
- (3) the licensed health care provider's basis for determining that telemedicine is an appropriate and effective means for delivering the service to the enrollee;
- (4) the mode of transmission of the telemedicine service and records evidencing that a particular mode of transmission was utilized;
  - (5) the location of the originating site and the distant site;
- (6) if the claim for payment is based on a physician's telemedicine consultation with another physician, the written opinion from the consulting physician providing the telemedicine consultation; and
- (7) compliance with the criteria attested to by the health care provider in accordance with paragraph (b).
- (d) For purposes of this subdivision, unless otherwise covered under this chapter, "telemedicine" is defined as the delivery of health care services or consultations while the patient is at an originating site and the licensed health care provider is at a distant site. A communication between licensed health care providers, or a licensed health care provider and a patient that consists solely of a telephone conversation, e-mail, or facsimile transmission does not constitute telemedicine consultations or services. Telemedicine may be provided by means of real-time two-way, interactive audio and visual communications, including the application of secure video conferencing or store-and-forward technology to provide or support health care delivery, which facilitate the assessment, diagnosis, consultation, treatment, education, and care management of a patient's health care.
- (e) For purposes of this section, "licensed health care provider" means a licensed health care provider under section 62A.671, subdivision 6, a community paramedic as defined under section 144E.001, subdivision 5f, or a mental health practitioner defined under section 245.462, subdivision 17, or 245.4871, subdivision 26, working under the general supervision of a mental health professional, an alcohol and drug counselor qualified under section 245G.11, subdivision 5, an individual with a temporary permit from the Board of Behavioral

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10.1	Health and Therapy providing services under section 245G.11, subdivision 11, a recovery
10.2	peer qualified under section 245G.11, subdivision 8, working under the supervision of an
10.3	alcohol and drug counselor, a substance use disorder treatment student intern providing
10.4	services under section 245G.11, subdivision 10, and a community health worker who meets
10.5	the criteria under subdivision 49, paragraph (a); "health care provider" is defined under
10.6	section 62A.671, subdivision 3; and "originating site" is defined under section 62A.671,
10.7	subdivision 7, and includes the client's or patient's residence.
10.8	(f) The limit on coverage of three telemedicine services per enrollee per calendar week
10.9	does not apply if:
10.10	(1) the telemedicine services provided by the licensed health care provider are for the
10.11	treatment and control of tuberculosis; and
10.12	(2) the services are provided in a manner consistent with the recommendations and best
10.13	practices specified by the Centers for Disease Control and Prevention and the commissioner
10.14	of health.
10.15	<b>EFFECTIVE DATE.</b> This section is effective January 1, 2022, or upon federal approval,
10.16	whichever is later. The commissioner of human services shall notify the revisor of statutes
10.17	when federal approval is obtained.
10.18	Sec. 8. Minnesota Statutes 2020, section 256B.0625, subdivision 46, is amended to read:
10.19	Subd. 46. Mental health telemedicine. Effective January 1, 2006, and subject to federal
10.20	approval, mental health services that are otherwise covered by medical assistance as direct
10.21	face-to-face services may be provided via telephone or two-way interactive video. Use of
10.22	telephone or two-way interactive video must be medically appropriate to the condition and
10.23	needs of the person being served. Reimbursement is at the same rates and under the same
10.24	conditions that would otherwise apply to the service. The telephone and interactive video
10.25	equipment and connection must comply with Medicare standards in effect at the time the
10.26	service is provided.
10.27	<b>EFFECTIVE DATE.</b> This section is effective January 1, 2022, or upon federal approval,
10.28	whichever is later. The commissioner of human services shall notify the revisor of statutes
10.29	when federal approval is obtained.
10 30	Sec. 9. REPEALER.

Minnesota Statutes 2020, section 245G.22, subdivision 13, is repealed.

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