03/06/19 **REVISOR** ACS/JU 19-4395 as introduced

SENATE STATE OF MINNESOTA **NINETY-FIRST SESSION**

S.F. No. 2409

(SENATE AUTHORS: HOFFMAN and Abeler)

DATE 03/13/2019 D-PG

1.1

OFFICIAL STATUS

Introduction and first reading
Referred to Human Services Reform Finance and Policy

A bill for an act

1.2	relating to human services; modifying policy provisions governing housing and
1.3	chemical and mental health; amending Minnesota Statutes 2018, sections 245G.01,
1.4	subdivisions 8, 21, by adding subdivisions; 245G.04; 245G.05; 245G.06,
1.5	subdivisions 1, 2, 4; 245G.07; 245G.08, subdivision 3; 245G.10, subdivision 4;
1.6 1.7	245G.11, subdivisions 7, 8; 245G.12; 245G.13, subdivision 1; 245G.15, subdivisions 1, 2; 245G.18, subdivisions 3, 5; 245G.22, subdivisions 1, 2, 3, 4, 6,
1.8	7, 15, 16, 17, 19; 254B.04, by adding a subdivision; 254B.05, subdivisions 1, 5;
1.9	256B.0941, subdivisions 1, 3; 256I.03, subdivisions 8, 15; 256I.04, subdivisions
1.10	1, 2a, 2b, by adding subdivisions; 256I.05, subdivision 1c; repealing Minnesota
1.11	Statutes 2018, section 256I.05, subdivision 3.
1.12	BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF MINNESOTA:
1.13	ARTICLE 1
1.14	HOUSING
1.15	Section 1. Minnesota Statutes 2018, section 256I.03, subdivision 8, is amended to read:
1.16	Subd. 8. Supplementary services. "Supplementary services" means housing support
1.17	services provided to individuals in addition to room and board including, but not limited
1.18	to, oversight and up to 24-hour supervision, medication reminders, assistance with
1.19	transportation, arranging for meetings and appointments, and arranging for medical and
1.20	social services, and services identified in section 256I.03, subdivision 12.
	,
1.01	See 2 Minneagte Statutes 2019, section 256L02 subdivision 15 is amonded to made
1.21	Sec. 2. Minnesota Statutes 2018, section 256I.03, subdivision 15, is amended to read:
1.22	Subd. 15. Supportive housing. "Supportive housing" means housing with support
1.23	services according to the continuum of care coordinated assessment system established
1.24	under Code of Federal Regulations, title 24, section 578.3 that is not time-limited and
1.25	provides or coordinates services necessary for a resident to maintain housing stability.

Article 1 Sec. 2. 1

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Sec. 3. Minnesota Statutes 2018, section 256I.04, subdivision 1, is amended to read:

Subdivision 1. **Individual eligibility requirements.** An individual is eligible for and entitled to a housing support payment to be made on the individual's behalf if the agency has approved the setting where the individual will receive housing support and the individual meets the requirements in paragraph (a), (b), or (c).

- (a) The individual is aged, blind, or is over 18 years of age with a disability as determined under the criteria used by the title II program of the Social Security Act, and meets the resource restrictions and standards of section 256P.02, and the individual's countable income after deducting the (1) exclusions and disregards of the SSI program, (2) the medical assistance personal needs allowance under section 256B.35, and (3) an amount equal to the income actually made available to a community spouse by an elderly waiver participant under the provisions of sections 256B.0575, paragraph (a), clause (4), and 256B.058, subdivision 2, is less than the monthly rate specified in the agency's agreement with the provider of housing support in which the individual resides.
- (b) The individual meets a category of eligibility under section 256D.05, subdivision 1, paragraph (a), clauses (1), (3), (4) to (8), and (13), and paragraph (b), if applicable, and the individual's resources are less than the standards specified by section 256P.02, and the individual's countable income as determined under section 256P.06, less the medical assistance personal needs allowance under section 256B.35 is less than the monthly rate specified in the agency's agreement with the provider of housing support in which the individual resides.
- (c) The individual receives licensed residential crisis stabilization services under section 256B.0624, subdivision 7, and is receiving medical assistance. The individual may receive concurrent housing support payments if receiving licensed residential crisis stabilization services under section 256B.0624, subdivision 7.
- (d) An individual who receives ongoing rental subsidies is not eligible for housing support payments under paragraph (a) or (b).
- Sec. 4. Minnesota Statutes 2018, section 256I.04, subdivision 2a, is amended to read:
- Subd. 2a. **License required; staffing qualifications.** (a) Except as provided in paragraph (b), an agency may not enter into an agreement with an establishment to provide housing support unless:
- 2.32 (1) the establishment is licensed by the Department of Health as a hotel and restaurant; a board and lodging establishment; a boarding care home before March 1, 1985; or a

supervised living facility, and the service provider for residents of the facility is licensed under chapter 245A. However, an establishment licensed by the Department of Health to provide lodging need not also be licensed to provide board if meals are being supplied to residents under a contract with a food vendor who is licensed by the Department of Health;

- (2) the residence is: (i) licensed by the commissioner of human services under Minnesota Rules, parts 9555.5050 to 9555.6265; (ii) certified by a county human services agency prior to July 1, 1992, using the standards under Minnesota Rules, parts 9555.5050 to 9555.6265; (iii) licensed by the commissioner under Minnesota Rules, parts 2960.0010 to 2960.0120, with a variance under section 245A.04, subdivision 9; or (iv) licensed under section 245D.02, subdivision 4a, as a community residential setting by the commissioner of human services; or
 - (3) the establishment is registered under chapter 144D and provides three meals a day.
- (b) The requirements under paragraph (a) do not apply to establishments exempt from state licensure because they are:
- (1) located on Indian reservations and subject to tribal health and safety requirements; or
 - (2) a supportive housing establishment that has an approved habitability inspection and an individual lease agreement and that serves people who have experienced long-term homelessness and were referred through a coordinated assessment in section 256I.03, subdivision 15 supportive housing establishments where an individual has an approved habitability inspection and an individual lease agreement.
 - (c) Supportive housing establishments that serve individuals who have experienced long-term homelessness and emergency shelters must participate in the homeless management information system and a coordinated assessment system as defined by the commissioner.
 - (d) Effective July 1, 2016, an agency shall not have an agreement with a provider of housing support unless all staff members who have direct contact with recipients:
 - (1) have skills and knowledge acquired through one or more of the following:
- 3.28 (i) a course of study in a health- or human services-related field leading to a bachelor of arts, bachelor of science, or associate's degree;
 - (ii) one year of experience with the target population served;
- (iii) experience as a mental health certified peer specialist according to section 256B.0615;or

Article 1 Sec. 4.

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- 4.1 (iv) meeting the requirements for unlicensed personnel under sections 144A.43 to 144A.483;
- 4.3 (2) hold a current driver's license appropriate to the vehicle driven if transporting recipients;
- 4.5 (3) complete training on vulnerable adults mandated reporting and child maltreatment4.6 mandated reporting, where applicable; and
- 4.7 (4) complete housing support orientation training offered by the commissioner.
- Sec. 5. Minnesota Statutes 2018, section 256I.04, subdivision 2b, is amended to read:
 - Subd. 2b. **Housing support agreements.** (a) Agreements between agencies and providers of housing support must be in writing on a form developed and approved by the commissioner and must specify the name and address under which the establishment subject to the agreement does business and under which the establishment, or service provider, if different from the group residential housing establishment, is licensed by the Department of Health or the Department of Human Services; the specific license or registration from the Department of Health or the Department of Human Services held by the provider and the number of beds subject to that license; the address of the location or locations at which group residential housing is provided under this agreement; the per diem and monthly rates that are to be paid from housing support funds for each eligible resident at each location; the number of beds at each location which are subject to the agreement; whether the license holder is a not-for-profit corporation under section 501(c)(3) of the Internal Revenue Code; and a statement that the agreement is subject to the provisions of sections 2561.01 to 256I.06 and subject to any changes to those sections.
 - (b) Providers are required to verify the following minimum requirements in the agreement:
- 4.25 (1) current license or registration, including authorization if managing or monitoring medications;
- 4.27 (2) all staff who have direct contact with recipients meet the staff qualifications;
- 4.28 (3) the provision of housing support;
- 4.29 (4) the provision of supplementary services, if applicable;
- 4.30 (5) reports of adverse events, including recipient death or serious injury; and
- 4.31 (6) submission of residency requirements that could result in recipient eviction-; and

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(7) that the provider complies with the prohibition on limiting or restricting the nur	nber
of hours an applicant or recipient is employed, as specified in subdivision 5.	

- (c) Agreements may be terminated with or without cause by the commissioner, the agency, or the provider with two calendar months prior notice. The commissioner may immediately terminate an agreement under subdivision 2d.
- Sec. 6. Minnesota Statutes 2018, section 256I.04, is amended by adding a subdivision to 5.6 read: 5.7
 - Subd. 2h. Required supplementary services. A provider of supplementary services shall ensure that a recipient has, at a minimum, assistance with services as identified in the recipient's professional statement of need under section 256I.03, subdivision 12. A provider of supplementary services shall maintain case notes with the date and description of services provided to each recipient.
- Sec. 7. Minnesota Statutes 2018, section 256I.04, is amended by adding a subdivision to 5.13 read: 5.14
- Subd. 5. **Employment.** A provider is prohibited from limiting or restricting the number 5.15 of hours an applicant or recipient is employed. 5.16
- Sec. 8. Minnesota Statutes 2018, section 256I.05, subdivision 1c, is amended to read: 5.17
- Subd. 1c. Rate increases. An agency may not increase the rates negotiated for housing 5.18 support above those in effect on June 30, 1993, except as provided in paragraphs (a) to (f). 5.19
 - (a) An agency may increase the rates for room and board to the MSA equivalent rate for those settings whose current rate is below the MSA equivalent rate.
 - (b) An agency may increase the rates for residents in adult foster care whose difficulty of care has increased. The total housing support rate for these residents must not exceed the maximum rate specified in subdivisions 1 and 1a. Agencies must not include nor increase difficulty of care rates for adults in foster care whose difficulty of care is eligible for funding by home and community-based waiver programs under title XIX of the Social Security Act.
 - (c) The room and board rates will be increased each year when the MSA equivalent rate is adjusted for SSI cost-of-living increases by the amount of the annual SSI increase, less the amount of the increase in the medical assistance personal needs allowance under section 256B.35.

- (d) When housing support pays for an individual's room and board, or other costs necessary to provide room and board, the rate payable to the residence must continue for up to 18 calendar days per incident that the person is temporarily absent from the residence, not to exceed 60 days in a calendar year, if the absence or absences have received the prior approval of are reported in advance to the county agency's social service staff. Prior approval Advance reporting is not required for emergency absences due to crisis, illness, or injury.
- (e) For facilities meeting substantial change criteria within the prior year. Substantial change criteria exists if the establishment experiences a 25 percent increase or decrease in the total number of its beds, if the net cost of capital additions or improvements is in excess of 15 percent of the current market value of the residence, or if the residence physically moves, or changes its licensure, and incurs a resulting increase in operation and property costs.
- (f) Until June 30, 1994, an agency may increase by up to five percent the total rate paid for recipients of assistance under sections 256D.01 to 256D.21 or 256D.33 to 256D.54 who reside in residences that are licensed by the commissioner of health as a boarding care home, but are not certified for the purposes of the medical assistance program. However, an increase under this clause must not exceed an amount equivalent to 65 percent of the 1991 medical assistance reimbursement rate for nursing home resident class A, in the geographic grouping in which the facility is located, as established under Minnesota Rules, parts 9549.0051 to 9549.0058.

Sec. 9. **REPEALER.**

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Minnesota Statutes 2018, section 256I.05, subdivision 3, is repealed.

6.23 ARTICLE 2 6.24 CHEMICAL AND MENTAL HEALTH

Section 1. Minnesota Statutes 2018, section 245G.01, subdivision 8, is amended to read:

Subd. 8. **Client.** "Client" means an individual accepted by a license holder for assessment or treatment of a substance use disorder. An individual remains a client until the license holder no longer provides or intends to provide the individual with treatment service. <u>Client also includes the meaning of patient under section 144.651</u>, subdivision 2.

7.1	Sec. 2. Minnesota Statutes 2018, section 245G.01, is amended by adding a subdivision to
7.2	read:
7.3	Subd. 10a. Day of service initiation. "Day of service initiation" means the day the

- Subd. 10a. **Day of service initiation.** "Day of service initiation" means the day the license holder begins the provision of a treatment service identified in section 245G.07.
- 7.5 Sec. 3. Minnesota Statutes 2018, section 245G.01, is amended by adding a subdivision to read:
- Subd. 20a. Person-centered. "Person-centered" means a client actively participates in
 the client's treatment planning of services. This includes a client making meaningful and
 informed choices about the client's own goals, objectives, and the services the client receives
 in collaboration with the client's identified natural supports.
- 7.11 Sec. 4. Minnesota Statutes 2018, section 245G.01, is amended by adding a subdivision to read:
- Subd. 20b. Staff or staff member. "Staff" or "staff member" means an individual who
 works under the direction of the license holder regardless of the individual's employment
 status including but not limited to an intern, consultant, individual who works part time, or
 individual who does not provide direct care services.
- 7.17 Sec. 5. Minnesota Statutes 2018, section 245G.01, subdivision 21, is amended to read:
- 7.18 Subd. 21. **Student intern.** "Student intern" means an individual who is <u>enrolled in a</u>
 7.19 <u>program specializing in alcohol and drug counseling or mental health counseling at an</u>
 7.20 <u>accredited educational institution and is authorized by a licensing board to provide services</u>
 7.21 under supervision of a licensed professional.
- Sec. 6. Minnesota Statutes 2018, section 245G.01, is amended by adding a subdivision to read:
- 7.24 Subd. 28. Treatment week. "Treatment week" means the seven-day period that the
 7.25 program identified in the program's policy and procedure manual as the day of the week
 7.26 that the treatment program week starts and ends for the purpose of identifying the nature
 7.27 and number of treatment services an individual receives weekly.

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8.1	Sec. 7. Minnesota Statutes 2018, section 245G.01, is amended by adding a subdivision to
8.2	read:

- Subd. 29. **Volunteer.** "Volunteer" means an individual who, under the direction of the license holder, provides services or an activity to a client without compensation.
- Sec. 8. Minnesota Statutes 2018, section 245G.04, is amended to read:

245G.04 INITIAL SERVICES PLAN SERVICE INITIATION.

Subdivision 1. Initial services plan. (a) The license holder must complete an initial services plan on within 24 hours of the day of service initiation. The plan must be person-centered and client-specific, address the client's immediate health and safety concerns, and identify the treatment needs of the client to be addressed in the first treatment session, and make treatment suggestions for the client during the time between intake the day of service initiation and completion development of the individual treatment plan.

Subd. 2. Vulnerable adult status. (b) The initial services plan must include a determination of (a) Within 24 hours of the day of service initiation, a nonresidential program must determine whether a client is a vulnerable adult as defined in section 626.5572, subdivision 21. An adult client of a residential program is a vulnerable adult.

(b) An individual abuse prevention plan, according to sections 245A.65, subdivision 2, paragraph (b), and 626.557, subdivision 14, paragraph (b), is required for a client who meets the definition of vulnerable adult.

Sec. 9. Minnesota Statutes 2018, section 245G.05, is amended to read:

245G.05 COMPREHENSIVE ASSESSMENT AND ASSESSMENT SUMMARY.

Subdivision 1. **Comprehensive assessment.** (a) A comprehensive assessment of the client's substance use disorder must be administered face-to-face by an alcohol and drug counselor within three calendar days <u>after from the day of service initiation for a residential program or during the initial session for all other programs within three sessions of the day of service initiation for a client in a nonresidential program. If the comprehensive assessment is not completed during the initial session, within the required time frame, the elient-centered person-centered reason for the delay and the planned completion date must be documented in the client's file and the planned completion date. The comprehensive assessment is complete upon a qualified staff member's dated signature. If the client received a comprehensive assessment that authorized the treatment service, an alcohol and drug counselor may use the comprehensive assessment for requirements of this subdivision but</u>

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must document a review the of the comprehensive assessment and update the comprehensive 9.1 assessment as necessary to determine ensure compliance with this subdivision, including 9.2 9.3 within applicable timelines. If available, the alcohol and drug counselor may use current information provided by a referring agency or other source as a supplement. Information 9.4 gathered more than 45 days before the date of admission is not considered current. The 9.5 comprehensive assessment must include sufficient information to complete the assessment 9.6 summary according to subdivision 2 and the individual treatment plan according to section 9.7 245G.06. The comprehensive assessment must include information about the client's needs 9.8 that relate to substance use and personal strengths that support recovery, including: 9.9

- (1) age, sex, cultural background, sexual orientation, living situation, economic status, and level of education;
 - (2) a description of the circumstances on the day of service initiation;
- (3) <u>a list of previous</u> attempts at treatment for substance misuse or substance use disorder, compulsive gambling, or mental illness;
- (4) <u>a list of substance</u> use history including amounts and types of substances used, frequency and duration of use, periods of abstinence, and circumstances of relapse, if any. For each substance used within the previous 30 days, the information must include the date of the most recent use and address the absence or presence of previous withdrawal symptoms;
- (5) specific problem behaviors exhibited by the client when under the influence of substances;
- (6) family status the client's desire for family involvement in the treatment program, family history of substance use and misuse, including history or presence of physical or sexual abuse, and level of family support, and substance misuse or substance use disorder of a family member or significant other;
- (7) physical <u>and medical</u> concerns or diagnoses, the severity of the concerns, and <u>current</u> medical treatment needed or being received related to the diagnoses, and whether the concerns are being addressed by a <u>need to be referred to an appropriate</u> health care professional;
- (8) mental health history and psychiatric status, including symptoms, disability, and the effect on the client's ability to function; current mental health treatment supports,; and psychotropic medication needed to maintain stability;. The assessment must utilize screening tools approved by the commissioner pursuant to section 245.4863 to identify whether the client screens positive for co-occurring disorders;

- (9) arrests and legal interventions related to substance use; 10.1 (10) a description of how the client's use affected the client's ability to function 10.2 appropriately in work and educational settings; 103 (11) ability to understand written treatment materials, including rules and the client's 10.4 10.5 rights; (12) a description of any risk-taking behavior, including behavior that puts the client at 10.6 10.7 risk of exposure to blood-borne or sexually transmitted diseases; (13) social network in relation to expected support for recovery and; 10.8 10.9 (14) leisure time activities that are associated with substance use; (14) (15) whether the client is pregnant and, if so, the health of the unborn child and the 10.10 10.11 client's current involvement in prenatal care; (15) (16) whether the client recognizes problems needs related to substance use and is 10.12 willing to follow treatment recommendations; and 10.13 (16) collateral (17) information from a collateral contact may be included, but is not 10.14 required. If the assessor gathered sufficient information from the referral source or the client 10.15 to apply the criteria in Minnesota Rules, parts 9530.6620 and 9530.6622, a collateral contact 10.16 is not required. 10.17 (b) If the client is identified as having opioid use disorder or seeking treatment for opioid 10.18 use disorder, the program must provide educational information to the client concerning: 10.19 (1) risks for opioid use disorder and dependence; 10.20 10.21 (2) treatment options, including the use of a medication for opioid use disorder; (3) the risk of and recognizing opioid overdose; and 10.22 10.23 (4) the use, availability, and administration of naloxone to respond to opioid overdose. (c) The commissioner shall develop educational materials that are supported by research 10.24 10.25 and updated periodically. The license holder must use the educational materials that are approved by the commissioner to comply with this requirement. 10.26 (d) If the comprehensive assessment is completed to authorize treatment service for the 10.27 client, at the earliest opportunity during the assessment interview the assessor shall determine 10.28
 - (1) the client is in severe withdrawal and likely to be a danger to self or others;

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- (2) the client has severe medical problems that require immediate attention; or
- (3) the client has severe emotional or behavioral symptoms that place the client or others at risk of harm.
- If one or more of the conditions in clauses (1) to (3) are present, the assessor must end the assessment interview and follow the procedures in the program's medical services plan under section 245G.08, subdivision 2, to help the client obtain the appropriate services. The assessment interview may resume when the condition is resolved.
- Subd. 2. Assessment summary. (a) An alcohol and drug counselor must complete an assessment summary within three calendar days after from the day of service initiation for a residential program and within three sessions for all other programs from the day of service initiation for a client in a nonresidential program. The comprehensive assessment summary is complete upon a qualified staff member's dated signature. If the comprehensive assessment is used to authorize the treatment service, the alcohol and drug counselor must prepare an assessment summary on the same date the comprehensive assessment is completed. If the comprehensive assessment and assessment summary are to authorize treatment services, the assessor must determine appropriate services for the client using the dimensions in Minnesota Rules, part 9530.6622, and document the recommendations.
 - (b) An assessment summary must include:
- (1) a risk description according to section 245G.05 for each dimension listed in paragraph 11.19 (c); 11.20
 - (2) a narrative summary supporting the risk descriptions; and
- (3) a determination of whether the client has a substance use disorder. 11.22
- (c) An assessment summary must contain information relevant to treatment service 11.23 planning and recorded in the dimensions in clauses (1) to (6). The license holder must 11.24 consider: 11.25
 - (1) Dimension 1, acute intoxication/withdrawal potential; the client's ability to cope with withdrawal symptoms and current state of intoxication;
 - (2) Dimension 2, biomedical conditions and complications; the degree to which any physical disorder of the client would interfere with treatment for substance use, and the client's ability to tolerate any related discomfort. The license holder must determine the impact of continued chemical substance use on the unborn child, if the client is pregnant;

- (3) Dimension 3, emotional, behavioral, and cognitive conditions and complications; the degree to which any condition or complication is likely to interfere with treatment for substance use or with functioning in significant life areas and the likelihood of harm to self or others;
- (4) Dimension 4, readiness for change; the support necessary to keep the client involved in treatment service;
- (5) Dimension 5, relapse, continued use, and continued problem potential; the degree to which the client recognizes relapse issues and has the skills to prevent relapse of either substance use or mental health problems; and
- (6) Dimension 6, recovery environment; whether the areas of the client's life are supportive of or antagonistic to treatment participation and recovery.
- Sec. 10. Minnesota Statutes 2018, section 245G.06, subdivision 1, is amended to read:
 - Subdivision 1. **General.** Each client must have an a person-centered individual treatment plan developed by an alcohol and drug counselor within seven ten days from the day of service initiation for a residential program and within three five sessions for all other programs from the day of service initiation for a client in a nonresidential program. Opioid treatment programs must complete the individual treatment plan within 21 days from the day of service initiation. The client must have active, direct involvement in selecting the anticipated outcomes of the treatment process and developing the treatment plan. The individual treatment plan must be signed by the client and the alcohol and drug counselor and document the client's involvement in the development of the plan. The plan may be a continuation of the initial services plan required in section 245G.04. The individual treatment plan is developed upon the qualified staff member's dated signature. Treatment planning must include ongoing assessment of client needs. An individual treatment plan must be updated based on new information gathered about the client's condition, the client's level of participation, and on whether methods identified have the intended effect. A change to the plan must be signed by the client and the alcohol and drug counselor. The plan must provide for the involvement of the client's family and people selected by the client as important to the success of treatment at the earliest opportunity, consistent with the client's treatment needs and written consent. If the client chooses to have family or others involved in treatment, the client's individual treatment plan must include goals and methods identifying how the family or others will be involved in the client's treatment.

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- Sec. 11. Minnesota Statutes 2018, section 245G.06, subdivision 2, is amended to read:
- Subd. 2. **Plan contents.** An individual treatment plan must be recorded in the six dimensions listed in section 245G.05, subdivision 2, paragraph (c), must address each issue identified in the assessment summary, prioritized according to the client's needs and focus, and must include:
- (1) specific goals and methods to address each identified need in the comprehensive assessment summary, including amount, frequency, and anticipated duration of treatment service. The methods must be appropriate to the client's language, reading skills, cultural background, and strengths;
- (2) resources to refer the client to when the client's needs are to be addressed concurrently by another provider and identification of whether the client has an assessed need of peer support services and, if available, how peer support services are made available to the client with an assessed need; and
- 13.14 (3) goals the client must reach to complete treatment and terminate services.
- Sec. 12. Minnesota Statutes 2018, section 245G.06, subdivision 4, is amended to read: 13.15
- Subd. 4. Service discharge summary. (a) An alcohol and drug counselor must write a 13.16 service discharge summary for each client. The service discharge summary must be 13.17 completed within five days of the client's service termination or within five days from the 13.18 elient's or program's decision to terminate services, whichever is earlier. The client's file 13.19 must include verification that the client was provided a copy of the client's service discharge 13.20 summary. If the program is unable to provide a copy of the client's service discharge summary 13.21 directly to the client, the program must document the reason. 13.22
 - (b) The service discharge summary must be recorded in the six dimensions listed in section 245G.05, subdivision 2, paragraph (c), and include the following information:
- (1) the client's issues, strengths, and needs while participating in treatment, including 13.25 services provided; 13.26
- (2) the client's progress toward achieving each goal identified in the individual treatment 13.27 plan; 13.28
- (3) a risk description according to section 245G.05; and 13.29
- (4) the reasons for and circumstances of service termination. If a program discharges a 13.30 client at staff request, the reason for discharge and the procedure followed for the decision 13.31 to discharge must be documented and comply with the program's policies on staff-initiated 13.32

client discharge. If a client is discharged at staff request, the program must give the client 14.1 crisis and other referrals appropriate for the client's needs and offer assistance to the client 14.2 to access the services. requirements in section 245G.14, subdivision 3, clause (3); 14.3 (c) For a client who successfully completes treatment, the summary must also include: 14.4 14.5 (1) (5) the client's living arrangements at service termination; (2) (6) continuing care recommendations, including transitions between more or less 14.6 14.7 intense services, or more frequent to less frequent services, and referrals made with specific attention to continuity of care for mental health, as needed; and 14.8 (3) (7) service termination diagnosis; and. 14.9 14.10 (4) the client's prognosis. Sec. 13. Minnesota Statutes 2018, section 245G.07, is amended to read: 14.11 14.12 245G.07 TREATMENT SERVICE. Subdivision 1. **Treatment service.** (a) A license holder licensed residential treatment 14.13 program must offer provide the following treatment services in clauses (1) to (5) to each 14.14 client, unless clinically inappropriate and the justifying clinical rationale is documented: 14.15 14.16 A nonresidential treatment program must offer all treatment services in clauses (1) to (5) and document in the individual treatment plan the specific services for which a client has 14.17 an assessed need and the plan to provide the services: 14.18 (1) individual and group counseling to help the client identify and address needs related 14.19 to substance use and develop strategies to avoid harmful substance use after discharge and 14.20 to help the client obtain the services necessary to establish a lifestyle free of the harmful 14.21 effects of substance use disorder. Notwithstanding subdivision 3, individual and group 14.22 counseling services must be provided by an individual who meets the staff qualifications 14.23 of an alcohol and drug counselor in section 245G.11, subdivision 5; 14.24 (2) client education strategies to avoid inappropriate substance use and health problems 14.25 related to substance use and the necessary lifestyle changes to regain and maintain health. 14.26 Client education must include information on tuberculosis education on a form approved 14.27 by the commissioner, the human immunodeficiency virus according to section 245A.19, 14.28 other sexually transmitted diseases, drug and alcohol use during pregnancy, and hepatitis. 14.29 A licensed alcohol and drug counselor must be present during an educational group; 14.30 (3) a service to help the client integrate gains made during treatment into daily living 14.31 and to reduce the client's reliance on a staff member for support; 14.32

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(4) a service to address issues related to co-occurring disorders, including client education
on symptoms of mental illness, the possibility of comorbidity, and the need for continued
medication compliance while recovering from substance use disorder. A group must address
co-occurring disorders, as needed. When treatment for mental health problems is indicated,
the treatment must be integrated into the client's individual treatment plan; and

- (5) on July 1, 2018, or upon federal approval, whichever is later, peer recovery support services provided one-to-one by an individual in recovery. Peer support services include education, advocacy, mentoring through self-disclosure of personal recovery experiences, attending recovery and other support groups with a client, accompanying the client to appointments that support recovery, assistance accessing resources to obtain housing, employment, education, and advocacy services, and nonclinical recovery support to assist the transition from treatment into the recovery community; and
- (6) on July 1, 2018, or upon federal approval, whichever is later, care (5) treatment coordination provided one-to-one by an individual who meets the staff qualifications in section 245G.11, subdivision 7, or an alcohol and drug counselor under section 245G.11, subdivision 5. Care Treatment coordination services include:
- (i) assistance in coordination with significant others to help in the treatment planning 15.17 process whenever possible; 15.18
 - (ii) assistance in coordination with and follow up for medical services as identified in the treatment plan;
 - (iii) facilitation of referrals to substance use disorder services as indicated by a client's medical provider, comprehensive assessment, or treatment plan;
 - (iv) facilitation of referrals to mental health services as identified by a client's comprehensive assessment or treatment plan;
 - (v) assistance with referrals to economic assistance, social services, housing resources, and prenatal care according to the client's needs;
- 15.27 (vi) life skills advocacy and support accessing treatment follow-up, disease management, and education services, including referral and linkages to long-term services and supports 15.28 as needed; and 15.29
- (vii) documentation of the provision of eare treatment coordination services in the client's 15.30 file. 15.31
- (b) A treatment service provided to a client must be provided according to the individual 15.32 treatment plan and must consider cultural differences and special needs of a client. 15.33

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- Subd. 2. Additional treatment service. A license holder may provide or arrange the following additional treatment service as a part of the client's individual treatment plan:
- (1) relationship counseling provided by a qualified professional to help the client identify the impact of the client's substance use disorder on others and to help the client and persons in the client's support structure identify and change behaviors that contribute to the client's substance use disorder;
- (2) therapeutic recreation to allow the client to participate in recreational activities without the use of mood-altering chemicals and to plan and select leisure activities that do not involve the inappropriate use of chemicals;
- (3) stress management and physical well-being to help the client reach and maintain an appropriate level of health, physical fitness, and well-being;
- 16.12 (4) living skills development to help the client learn basic skills necessary for independent 16.13 living;
 - (5) employment or educational services to help the client become financially independent;
 - (6) socialization skills development to help the client live and interact with others in a positive and productive manner; and
 - (7) room, board, and supervision at the treatment site to provide the client with a safe and appropriate environment to gain and practice new skills-; and
 - (8) peer recovery support services provided one-to-one by an individual in recovery. Peer support services include education; advocacy; mentoring through self-disclosure of personal recovery experiences; attending recovery and other support groups with a client; accompanying the client to appointments that support recovery; assistance accessing resources to obtain housing, employment, education, and advocacy services; and nonclinical recovery support to assist the transition from treatment into the recovery community.
 - Subd. 3. Counselors. A treatment service, including therapeutic recreation, must be provided by an alcohol and drug counselor according to section 245G.11, unless the individual providing the service is specifically qualified according to the accepted credential required to provide the service. Therapeutic recreation does not include planned leisure activities. The commissioner shall maintain a current list of professionals qualified to provide treatment services, notwithstanding the staff qualification requirements in section 245G.11, subdivision 4.
 - Subd. 4. Location of service provision. The license holder may provide services at any of the license holder's licensed locations or at another suitable location including a school,

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government building, medical or behavioral health facility, or social service organization, 17.1 upon notification and approval of the commissioner. If services are provided off site from 17.2 the licensed site, the reason for the provision of services remotely must be documented. 17.3 The license holder may provide additional services under subdivision 2, clauses (2) to (5), 17.4 off-site if the license holder includes a policy and procedure detailing the off-site location 17.5 as a part of the treatment service description and the program abuse prevention plan. 17.6

- Sec. 14. Minnesota Statutes 2018, section 245G.08, subdivision 3, is amended to read:
- Subd. 3. **Standing order protocol.** A license holder that maintains a supply of naloxone available for emergency treatment of opioid overdose must have a written standing order protocol by a physician who is licensed under chapter 147, that permits the license holder to maintain a supply of naloxone on site, and. A license holder must require staff to undergo specific training in administration of naloxone the specific mode of administration used at the program, which may include intranasal administration, intramuscular injection, or both.
- 17.14 Sec. 15. Minnesota Statutes 2018, section 245G.10, subdivision 4, is amended to read:
- Subd. 4. **Staff requirement.** It is the responsibility of the license holder to determine 17.15 an acceptable group size based on each client's needs except that treatment services provided 17.16 in a group shall not exceed 16 clients. A counselor in an opioid treatment program must not 17.17 supervise more than 50 clients. The license holder must maintain a record that documents 17.18 compliance with this subdivision. 17.19
- 17.20 Sec. 16. Minnesota Statutes 2018, section 245G.11, subdivision 7, is amended to read:
- Subd. 7. Care Treatment coordination provider qualifications. (a) Care Treatment 17.21 coordination must be provided by qualified staff. An individual is qualified to provide eare 17.22 treatment coordination if the individual: meets the qualifications of an alcohol and drug 17.23 17.24 counselor under subdivision 5. An individual who does not meet the qualifications of an alcohol and drug counselor under subdivision 5 is qualified to provide treatment coordination 17.25 if the individual: 17.26
 - (1) is skilled in the process of identifying and assessing a wide range of client needs;
- (2) is knowledgeable about local community resources and how to use those resources 17.28 for the benefit of the client; 17.29
- (3) has successfully completed 30 hours of classroom instruction on eare treatment 17.30 coordination for an individual with substance use disorder; 17.31

18.1 **(4)** has either:

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- (i) a bachelor's degree in one of the behavioral sciences or related fields; or
- 18.3 (ii) current certification as an alcohol and drug counselor, level I, by the Upper Midwest
 18.4 Indian Council on Addictive Disorders; and
 - (5) has at least 2,000 hours of supervised experience working with individuals with substance use disorder.
- 18.7 (b) A <u>care treatment</u> coordinator must receive at least one hour of supervision regarding individual service delivery from an alcohol and drug counselor weekly.
- Sec. 17. Minnesota Statutes 2018, section 245G.11, subdivision 8, is amended to read:
- Subd. 8. **Recovery peer qualifications.** A recovery peer must:
- (1) have a high school diploma or its equivalent;
 - (2) have a minimum of one year in recovery from substance use disorder;
- (3) hold a current credential from a certification body approved by the commissioner
 that demonstrates the Minnesota Certification Board, the Upper Midwest Indian Council
 on Addictive Disorders, or the National Association for Alcoholism and Drug Abuse
 Counselors. An individual may also receive a credential from a tribal nation when providing
 peer recovery support services in a tribally licensed program. The credential must demonstrate
 skills and training in the domains of ethics and boundaries, advocacy, mentoring and
 education, and recovery and wellness support; and
 - (4) receive ongoing supervision in areas specific to the domains of the recovery peer's role by an alcohol and drug counselor or an individual with a certification approved by the commissioner.
 - Sec. 18. Minnesota Statutes 2018, section 245G.12, is amended to read:

18.24 **245G.12 PROVIDER POLICIES AND PROCEDURES.**

A license holder must develop a written policies and procedures manual, indexed according to section 245A.04, subdivision 14, paragraph (c), that provides staff members immediate access to all policies and procedures and provides a client and other authorized parties access to all policies and procedures. The manual must contain the following materials:

19.1	(1) assessment and treatment planning policies, including screening for mental health				
19.2	concerns and treatment objectives related to the client's identified mental health concerns				
19.3	in the client's treatment plan;				
19.4	(2) policies and procedures regarding HIV according to section 245A.19;				
19.5	(3) the license holder's methods and resources to provide information on tuberculosis				
19.6	and tuberculosis screening to each client and to report a known tuberculosis infection				
19.7	according to section 144.4804;				
19.8	(4) personnel policies according to section 245G.13;				
19.9	(5) policies and procedures that protect a client's rights according to section 245G.15;				
19.10	(6) a medical services plan according to section 245G.08;				
19.11	(7) emergency procedures according to section 245G.16;				
19.12	(8) policies and procedures for maintaining client records according to section 245G.09				
19.13	(9) procedures for reporting the maltreatment of minors according to section 626.556,				
19.14	and vulnerable adults according to sections 245A.65, 626.557, and 626.5572;				
19.15	(10) a description of treatment services, including the amount and type of services				
19.16	provided and the program's treatment week;				
19.17	(11) the methods used to achieve desired client outcomes;				
19.18	(12) the hours of operation; and				
19.19	(13) the target population served.				
19.20	Sec. 19. Minnesota Statutes 2018, section 245G.13, subdivision 1, is amended to read:				
19.21	Subdivision 1. Personnel policy requirements. A license holder must have written				
19.22	personnel policies that are available to each staff member. The personnel policies must:				
19.23	(1) ensure that staff member retention, promotion, job assignment, or pay are not affected				
19.24	by a good faith communication between a staff member and the department, the Department				
19.25	of Health, the ombudsman for mental health and developmental disabilities, law enforcement,				
19.26	or a local agency for the investigation of a complaint regarding a client's rights, health, or				
19.27	safety;				

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(2) contain a job description for each staff member position specifying responsibilities,

degree of authority to execute job responsibilities, and qualification requirements;

- (3) provide for a job performance evaluation based on standards of job performance conducted on a regular and continuing basis, including a written annual review;
- (4) describe behavior that constitutes grounds for disciplinary action, suspension, or dismissal, including policies that address staff member problematic substance use and the requirements of section 245G.11, subdivision 1, policies prohibiting personal involvement with a client in violation of chapter 604, and policies prohibiting client abuse described in sections 245A.65, 626.556, 626.557, and 626.5572;
- (5) identify how the program will identify whether behaviors or incidents are problematic substance use, including a description of how the facility must address:
- (i) receiving treatment for substance use within the period specified for the position in the staff qualification requirements, including medication-assisted treatment;
 - (ii) substance use that negatively impacts the staff member's job performance;
- 20.13 (iii) <u>ehemical substance</u> use that affects the credibility of treatment services with a client, 20.14 referral source, or other member of the community;
- 20.15 (iv) symptoms of intoxication or withdrawal on the job; and
- (v) the circumstances under which an individual who participates in monitoring by the health professional services program for a substance use or mental health disorder is able to provide services to the program's clients;
 - (6) include a chart or description of the organizational structure indicating lines of authority and responsibilities;
 - (7) include orientation within 24 working hours of starting for each new staff member based on a written plan that, at a minimum, must provide training related to the staff member's specific job responsibilities, policies and procedures, client confidentiality, HIV minimum standards, and client needs; and
- 20.25 (8) include policies outlining the license holder's response to a staff member with a behavior problem that interferes with the provision of treatment service.
- Sec. 20. Minnesota Statutes 2018, section 245G.15, subdivision 1, is amended to read:
- Subdivision 1. **Explanation.** A client has the rights identified in sections 144.651,
- 20.29 148F.165, and 253B.03, as applicable. The license holder must give each client at on the
- 20.30 day of service initiation a written statement of the client's rights and responsibilities. A staff
- 20.31 member must review the statement with a client at that time.

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Sec. 21. Minnesota Statutes 2018, section 245G.15, subdivision 2, is amended to read:

- Subd. 2. **Grievance procedure.** At On the day of service initiation, the license holder must explain the grievance procedure to the client or the client's representative. The grievance procedure must be posted in a place visible to clients, and made available upon a client's or former client's request. The grievance procedure must require that:
- (1) a staff member helps the client develop and process a grievance;
 - (2) current telephone numbers and addresses of the Department of Human Services, Licensing Division; the Office of Ombudsman for Mental Health and Developmental Disabilities; the Department of Health Office of Health Facilities Complaints; and the Board of Behavioral Health and Therapy, when applicable, be made available to a client; and
- 21.11 (3) a license holder responds to the client's grievance within three days of a staff member's receipt of the grievance, and the client may bring the grievance to the highest level of authority in the program if not resolved by another staff member.
- Sec. 22. Minnesota Statutes 2018, section 245G.18, subdivision 3, is amended to read:
- Subd. 3. **Staff ratios.** At least 25 percent of a counselor's scheduled work hours must be allocated to indirect services, including documentation of client services, coordination of services with others, treatment team meetings, and other duties. A counseling group consisting entirely of adolescents must not exceed 16 adolescents. It is the responsibility of the license holder to determine an acceptable group size based on the needs of the clients.
- Sec. 23. Minnesota Statutes 2018, section 245G.18, subdivision 5, is amended to read:
- Subd. 5. **Program requirements.** In addition to the requirements specified in the client's treatment plan under section 245G.06, programs serving an adolescent must include:
- 21.23 (1) coordination with the school system to address the client's academic needs;
- 21.24 (2) when appropriate, a plan that addresses the client's leisure activities without chemical substance use; and
- 21.26 (3) a plan that addresses family involvement in the adolescent's treatment.
- Sec. 24. Minnesota Statutes 2018, section 245G.22, subdivision 1, is amended to read:
- Subdivision 1. **Additional requirements.** (a) An opioid treatment program licensed under this chapter must also: (1) comply with the requirements of this section and Code of Federal Regulations, title 42, part 8. When federal guidance or interpretations are issued on

federal standards or requirements also required under this section, the federal guidance or interpretations shall apply.; (2) be registered as a narcotic treatment program with the Drug Enforcement Administration; (3) be accredited through an accreditation body approved by the Division of Pharmacologic Therapy of the Center for Substance Abuse Treatment; (4) be certified through the Division of Pharmacologic Therapy of the Center for Substance Abuse Treatment; and (5) hold a license from the Minnesota Board of Pharmacy or equivalent agency.

- (b) Where a standard in this section differs from a standard in an otherwise applicable administrative rule or statute, the standard of this section applies.
- Sec. 25. Minnesota Statutes 2018, section 245G.22, subdivision 2, is amended to read:
- Subd. 2. **Definitions.** (a) For purposes of this section, the terms defined in this subdivision have the meanings given them.
- (b) "Diversion" means the use of a medication for the treatment of opioid addiction being diverted from intended use of the medication.
 - (c) "Guest dose" means administration of a medication used for the treatment of opioid addiction to a person who is not a client of the program that is administering or dispensing the medication.
 - (d) "Medical director" means a <u>physician practitioner</u> licensed to practice medicine in the jurisdiction that the opioid treatment program is located who assumes responsibility for administering all medical services performed by the program, either by performing the services directly or by delegating specific responsibility to (1) authorized program physicians; (2) advanced practice registered nurses, when approved by variance by the State Opioid Treatment Authority under section 254A.03 and the federal Substance Abuse and Mental Health Services Administration; or (3) health care professionals functioning under the medical director's direct supervision a practitioner of the opioid treatment program.
- 22.26 (e) "Medication used for the treatment of opioid use disorder" means a medication
 22.27 approved by the Food and Drug Administration for the treatment of opioid use disorder.
 - (f) "Minnesota health care programs" has the meaning given in section 256B.0636.
- 22.29 (g) "Opioid treatment program" has the meaning given in Code of Federal Regulations, 22.30 title 42, section 8.12, and includes programs licensed under this chapter.
- (h) "Placing authority" has the meaning given in Minnesota Rules, part 9530.6605, subpart 21a.

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23.1	(i) "Practitioner" means a staff member holding a current, unrestricted license to practice				
23.2	medicine issued by the Board of Medical Practice or nursing issued by the Board of Nursing				
23.3	and is currently registered with the Drug Enforcement Administration to order or dispense				
23.4	controlled substances in Schedules II to V under the Controlled Substances Act, United				
23.5	States Code, title 21, part B, section 821. Practitioner includes an advanced practice registered				
23.6	nurse and physician assistant if the staff member receives a variance by the state opioid				
23.7	treatment authority under section 254A.03 and the federal Substance Abuse and Mental				
23.8	Health Services Administration.				
23.9	(i) (j) "Unsupervised use" means the use of a medication for the treatment of opioid use				
23.10	disorder dispensed for use by a client outside of the program setting.				
23.11	Sec. 26. Minnesota Statutes 2018, section 245G.22, subdivision 3, is amended to read:				
23.12	Subd. 3. Medication orders. Before the program may administer or dispense a medication				
23.13	used for the treatment of opioid use disorder:				
23.14	(1) a client-specific order must be received from an appropriately credentialed physician				
23.15	practitioner who is enrolled as a Minnesota health care programs provider and meets all				
23.16	applicable provider standards;				
23.17	(2) the signed order must be documented in the client's record; and				
23.18	(3) if the physician practitioner that issued the order is not able to sign the order when				
23.19	issued, the unsigned order must be entered in the client record at the time it was received,				
23.20	and the physician practitioner must review the documentation and sign the order in the				
23.21	client's record within 72 hours of the medication being ordered. The license holder must				
23.22	report to the commissioner any medication error that endangers a client's health, as				
23.23	determined by the medical director.				
23.24	Sec. 27. Minnesota Statutes 2018, section 245G.22, subdivision 4, is amended to read:				
23.25	Subd. 4. High dose requirements. A client being administered or dispensed a dose				
23.26	beyond that set forth in subdivision 6, paragraph (a), elause (1), that exceeds 150 milligrams				
23.27	of methadone or 24 milligrams of buprenorphine daily, and for each subsequent increase,				
23.28	must meet face-to-face with a prescribing physician practitioner. The meeting must occur				
23.29	before the administration or dispensing of the increased medication dose.				

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Sec. 28. Minnesota	Statutes 2018	section 245G 22	subdivision 6	is amended to read
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- Subd. 6. Criteria for unsupervised use. (a) To limit the potential for diversion of medication used for the treatment of opioid use disorder to the illicit market, medication dispensed to a client for unsupervised use shall be subject to the following requirements: of this subdivision.
- (1) Any client in an opioid treatment program may receive a single unsupervised use dose for a day that the clinic is closed for business, including Sundays and state and federal holidays; and.
- (2) other treatment program decisions on dispensing medications used for the treatment of opioid use disorder to a client for unsupervised use shall be determined by the medical 24.10 director.
 - (b) In determining whether a client may be permitted unsupervised use of medications, a physician A practitioner with authority to prescribe must consider review and document the criteria in this paragraph. The criteria in this paragraph must also be considered (c) when determining whether dispensing medication for a client's unsupervised use is appropriate to implement, increase, or to extend the amount of time between visits to the program. The criteria are:
- (1) absence of recent abuse of drugs including but not limited to opioids, non-narcotics, 24.18 and alcohol; 24.19
- (2) regularity of program attendance; 24.20
- (3) absence of serious behavioral problems at the program; 24.21
- (4) absence of known recent criminal activity such as drug dealing; 24.22
- (5) stability of the client's home environment and social relationships; 24.23
- 24.24 (6) length of time in comprehensive maintenance treatment;
- (7) reasonable assurance that unsupervised use medication will be safely stored within 24.25 24.26 the client's home; and
- (8) whether the rehabilitative benefit the client derived from decreasing the frequency 24.27 of program attendance outweighs the potential risks of diversion or unsupervised use. 24.28
- (c) The determination, including the basis of the determination must be documented in 24.29 the client's medical record. 24.30

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Sec. 29. Minnesota Statutes 2018, section 245G.22, subdivision 7, is amended to read:

- Subd. 7. Restrictions for unsupervised use of methadone hydrochloride. (a) If a physician with authority to prescribe medical director or prescribing practitioner assesses and determines that a client meets the criteria in subdivision 6 and may be dispensed a medication used for the treatment of opioid addiction, the restrictions in this subdivision must be followed when the medication to be dispensed is methadone hydrochloride. The results of the assessment must be contained in the client file.
- (b) During the first 90 days of treatment, the unsupervised use medication supply must be limited to a maximum of a single dose each week and the client shall ingest all other doses under direct supervision.
- (c) In the second 90 days of treatment, the unsupervised use medication supply must be 25.11 limited to two doses per week. 25.12
- (d) In the third 90 days of treatment, the unsupervised use medication supply must not 25.13 exceed three doses per week. 25.14
- (e) In the remaining months of the first year, a client may be given a maximum six-day 25.15 unsupervised use medication supply. 25.16
- (f) After one year of continuous treatment, a client may be given a maximum two-week 25.17 unsupervised use medication supply. 25.18
- (g) After two years of continuous treatment, a client may be given a maximum one-month 25.19 unsupervised use medication supply, but must make monthly visits to the program. 25.20
- Sec. 30. Minnesota Statutes 2018, section 245G.22, subdivision 15, is amended to read: 25.21
 - Subd. 15. Nonmedication treatment services; documentation. (a) The program must offer at least 50 consecutive minutes of individual or group therapy treatment services as defined in section 245G.07, subdivision 1, paragraph (a), clause (1), per week, for the first ten weeks following admission, and at least 50 consecutive minutes per month thereafter. As clinically appropriate, the program may offer these services cumulatively and not consecutively in increments of no less than 15 minutes over the required time period, and for a total of 60 minutes of treatment services over the time period, and must document the reason for providing services cumulatively in the client's record. The program may offer additional levels of service when deemed clinically necessary.
- 25.31 (b) Notwithstanding the requirements of comprehensive assessments in section 245G.05, the assessment must be completed within 21 days from the day of service initiation. 25.32

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- (c) Notwithstanding the requirements of individual treatment plans set forth in section 245G.06:
- (1) treatment plan contents for a maintenance client are not required to include goals the client must reach to complete treatment and have services terminated;
- (2) treatment plans for a client in a taper or detox status must include goals the client must reach to complete treatment and have services terminated;
- (3) for the initial ten weeks after admission for all new admissions, readmissions, and transfers, progress notes a weekly treatment plan review must be entered in a client's file at least weekly and be recorded in each of the six dimensions upon the development of the treatment plan and thereafter documented upon the completion of the treatment plan. Prior to the completion of the treatment plan, all services must be documented according to section 245G.06, subdivision 3. Subsequently, the counselor must document progress treatment plan reviews in the six dimensions at least once monthly after the initial ten weeks or, when clinical need warrants, more frequently; and.
- (4) upon the development of the treatment plan and thereafter, treatment plan reviews must occur weekly, or after each treatment service, whichever is less frequent, for the first ten weeks after the treatment plan is developed. Following the first ten weeks of treatment plan reviews, reviews may occur monthly, unless the client's needs warrant more frequent revisions or documentation.
- Sec. 31. Minnesota Statutes 2018, section 245G.22, subdivision 16, is amended to read:
- Subd. 16. **Prescription monitoring program.** (a) The program must develop and maintain a policy and procedure that requires the ongoing monitoring of the data from the prescription monitoring program (PMP) for each client. The policy and procedure must include how the program meets the requirements in paragraph (b).
 - (b) <u>If When</u> a medication used for the treatment of substance use disorder is administered or dispensed to a client, the license holder shall be is subject to the following requirements:
 - (1) upon admission to a methadone clinic outpatient an opioid treatment program, a client must be notified in writing that the commissioner of human services and the medical director must monitor the PMP to review the prescribed controlled drugs a client received;
 - (2) the medical director or the medical director's delegate must review the data from the PMP described in section 152.126 before the client is ordered any controlled substance, as defined under section 152.126, subdivision 1, paragraph (c), including medications used

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for the treatment of opioid addiction, and the medical director's or the medical director's delegate's subsequent reviews of the PMP data must occur at least every 90 days;

- (3) a copy of the PMP data reviewed must be maintained in the client's file along with the licensed practitioner's decision for frequency of ongoing PMP checks;
- (4) when the PMP data contains a recent history of multiple prescribers or multiple prescriptions for controlled substances, the physician's review of the data and subsequent actions must be documented in the client's file within 72 hours and must contain the medical director's determination of whether or not the prescriptions place the client at risk of harm and the actions to be taken in response to the PMP findings. The provider must conduct subsequent reviews of the PMP on a monthly basis; and
- (5) if at any time the medical director licensed practitioner believes the use of the controlled substances places the client at risk of harm, the program must seek the client's consent to discuss the client's opioid treatment with other prescribers and must seek the client's consent for the other prescriber to disclose to the opioid treatment program's medical director the client's condition that formed the basis of the other prescriptions. If the information is not obtained within seven days, the medical director must document whether or not changes to the client's medication dose or number of unsupervised use doses are necessary until the information is obtained.
- (c) The commissioner shall collaborate with the Minnesota Board of Pharmacy to develop and implement an electronic system for the commissioner to routinely access the PMP data to determine whether any client enrolled in an opioid addiction treatment program licensed according to this section was prescribed or dispensed a controlled substance in addition to that administered or dispensed by the opioid addiction treatment program. When the commissioner determines there have been multiple prescribers or multiple prescriptions of controlled substances for a client, the commissioner shall:
- (1) inform the medical director of the opioid treatment program only that the commissioner determined the existence of multiple prescribers or multiple prescriptions of controlled substances; and
- (2) direct the medical director of the opioid treatment program to access the data directly, review the effect of the multiple prescribers or multiple prescriptions, and document the review.
- (d) If determined necessary, the commissioner shall seek a federal waiver of, or exception 27.32 to, any applicable provision of Code of Federal Regulations, title 42, section 2.34 (c), before 27.33 implementing this subdivision. 27.34

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Sec. 32. Minnesota Statutes 2018, section 245G.22, subdivision 17, is amended to read:

- Subd. 17. Policies and procedures. (a) A license holder must develop and maintain the policies and procedures required in this subdivision.
- (b) For a program that is not open every day of the year, the license holder must maintain a policy and procedure that permits a client to receive a single covers requirements under section 245G.22, subdivisions 6 and 7. Unsupervised use of medication used for the treatment of opioid use disorder for days that the program is closed for business, including, but not limited to, Sundays and state and federal holidays as required under subdivision 6, paragraph (a), clause (1), must meet the requirements under section 245G.22, subdivisions 6 and 7.
- (c) The license holder must maintain a policy and procedure that includes specific measures to reduce the possibility of diversion. The policy and procedure must:
- (1) specifically identify and define the responsibilities of the medical and administrative staff for performing diversion control measures; and
- (2) include a process for contacting no less than five percent of clients who have unsupervised use of medication, excluding clients approved solely under subdivision 6, paragraph (a), elause (1), to require clients to physically return to the program each month. The system must require clients to return to the program within a stipulated time frame and turn in all unused medication containers related to opioid use disorder treatment. The license holder must document all related contacts on a central log and the outcome of the contact for each client in the client's record. The medical director must be informed of each outcome that results in a situation in which a possible diversion issue was identified.
- (d) Medication used for the treatment of opioid use disorder must be ordered, administered, and dispensed according to applicable state and federal regulations and the standards set by applicable accreditation entities. If a medication order requires assessment by the person administering or dispensing the medication to determine the amount to be administered or dispensed, the assessment must be completed by an individual whose professional scope of practice permits an assessment. For the purposes of enforcement of this paragraph, the commissioner has the authority to monitor the person administering or dispensing the medication for compliance with state and federal regulations and the relevant standards of the license holder's accreditation agency and may issue licensing actions according to sections 245A.05, 245A.06, and 245A.07, based on the commissioner's determination of noncompliance.
 - (e) A counselor in an opioid treatment program must not supervise more than 50 clients.

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Sec. 33. Minnesota Statutes 2018, section 245G.22, subdivision 19, is amended to read:

Subd. 19. Placing authorities. A program must provide certain notification and client-specific updates to placing authorities for a client who is enrolled in Minnesota health care programs. At the request of the placing authority, the program must provide client-specific updates, including but not limited to informing the placing authority of positive drug sereenings testings and changes in medications used for the treatment of opioid use disorder ordered for the client.

Sec. 34. Minnesota Statutes 2018, section 254B.04, is amended by adding a subdivision to read:

Subd. 2c. Eligibility to receive peer recovery support and treatment service **coordination.** Notwithstanding Minnesota Rules, part 9530.6620, subpart 6, a placing authority may authorize peer recovery support and treatment service coordination for a person who scores a severity of one or more in dimension 4, 5, or 6, under Minnesota Rules, part 9530.6622. Authorization for peer recovery support and treatment service coordination under this subdivision does not need to be provided in conjunction with treatment services under Minnesota Rules, part 9530.6622, subpart 4, 5, or 6.

- Sec. 35. Minnesota Statutes 2018, section 254B.05, subdivision 1, is amended to read: 29.17
 - Subdivision 1. Licensure required. (a) Programs licensed by the commissioner are eligible vendors. Hospitals may apply for and receive licenses to be eligible vendors, notwithstanding the provisions of section 245A.03. American Indian programs that provide substance use disorder treatment, extended care, transitional residence, or outpatient treatment services, and are licensed by tribal government are eligible vendors.
 - (b) On July 1, 2018, or upon federal approval, whichever is later, a licensed professional in private practice who meets the requirements of section 245G.11, subdivisions 1 and 4, is an eligible vendor of a comprehensive assessment and assessment summary provided according to section 245G.05, and treatment services provided according to sections 245G.06 and 245G.07, subdivision 1, paragraphs (a), clauses (1) to (5) (4), and (b); and subdivision 2.
 - (c) On July 1, 2018, or upon federal approval, whichever is later, a county is an eligible vendor for a comprehensive assessment and assessment summary when provided by an individual who meets the staffing credentials of section 245G.11, subdivisions 1 and 4, and completed according to the requirements of section 245G.05. A county is an eligible vendor of care coordination services when provided by an individual who meets the staffing

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credentials of section 245G.11, subdivisions 1 and 7, and provided according to the
requirements of section 245G.07, subdivision 1, paragraph (a), clause (7) (5).

- (d) On July 1, 2018, or upon federal approval, whichever is later, a recovery community organization that meets certification requirements identified by the commissioner is an eligible vendor of peer support services.
- (e) Detoxification programs licensed under Minnesota Rules, parts 9530.6510 to 9530.6590, are not eligible vendors. Programs that are not licensed as a residential or nonresidential substance use disorder treatment or withdrawal management program by the commissioner or by tribal government or do not meet the requirements of subdivisions 1a and 1b are not eligible vendors.
- Sec. 36. Minnesota Statutes 2018, section 254B.05, subdivision 5, is amended to read: 30.11
- Subd. 5. Rate requirements. (a) The commissioner shall establish rates for substance 30.12 use disorder services and service enhancements funded under this chapter. 30.13
- (b) Eligible substance use disorder treatment services include: 30.14
- 30.15 (1) outpatient treatment services that are licensed according to sections 245G.01 to 245G.17, or applicable tribal license; 30.16
- (2) on July 1, 2018, or upon federal approval, whichever is later, comprehensive 30.17 assessments provided according to sections 245.4863, paragraph (a), and 245G.05, and 30.18 Minnesota Rules, part 9530.6422; 30.19
- (3) on July 1, 2018, or upon federal approval, whichever is later, care coordination 30.20 services provided according to section 245G.07, subdivision 1, paragraph (a), clause (6) 30.21 (5);30.22
- (4) on July 1, 2018, or upon federal approval, whichever is later, peer recovery support 30.23 services provided according to section 245G.07, subdivision 1, paragraph (a) 2, clause (5) 30.24 (8);30.25
- (5) on July 1, 2019, or upon federal approval, whichever is later, withdrawal management 30.26 services provided according to chapter 245F; 30.27
- (6) medication-assisted therapy services that are licensed according to sections 245G.01 30.28 to 245G.17 and 245G.22, or applicable tribal license; 30.29
- (7) medication-assisted therapy plus enhanced treatment services that meet the 30.30 requirements of clause (6) and provide nine hours of clinical services each week; 30.31

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- (8) high, medium, and low intensity residential treatment services that are licensed according to sections 245G.01 to 245G.17 and 245G.21 or applicable tribal license which provide, respectively, 30, 15, and five hours of clinical services each week;
- (9) hospital-based treatment services that are licensed according to sections 245G.01 to 31.4 245G.17 or applicable tribal license and licensed as a hospital under sections 144.50 to 31.5 144.56; 31.6
 - (10) adolescent treatment programs that are licensed as outpatient treatment programs according to sections 245G.01 to 245G.18 or as residential treatment programs according to Minnesota Rules, parts 2960.0010 to 2960.0220, and 2960.0430 to 2960.0490, or applicable tribal license;
 - (11) high-intensity residential treatment services that are licensed according to sections 245G.01 to 245G.17 and 245G.21 or applicable tribal license, which provide 30 hours of clinical services each week provided by a state-operated vendor or to clients who have been civilly committed to the commissioner, present the most complex and difficult care needs, and are a potential threat to the community; and
- (12) room and board facilities that meet the requirements of subdivision 1a. 31.16
- (c) The commissioner shall establish higher rates for programs that meet the requirements 31.17 of paragraph (b) and one of the following additional requirements: 31.18
- (1) programs that serve parents with their children if the program: 31.19
- (i) provides on-site child care during the hours of treatment activity that: 31.20
- (A) is licensed under chapter 245A as a child care center under Minnesota Rules, chapter 31.21 9503; or 31.22
- (B) meets the licensure exclusion criteria of section 245A.03, subdivision 2, paragraph 31.23 (a), clause (6), and meets the requirements under section 245G.19, subdivision 4; or 31.24
- (ii) arranges for off-site child care during hours of treatment activity at a facility that is 31.25 licensed under chapter 245A as: 31.26
- (A) a child care center under Minnesota Rules, chapter 9503; or 31.27
- (B) a family child care home under Minnesota Rules, chapter 9502; 31.28
- (2) culturally specific programs as defined in section 254B.01, subdivision 4a, or 31.29 programs or subprograms serving special populations, if the program or subprogram meets 31.30 the following requirements: 31.31

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(i) is designed to address the unique needs of individuals who share a common language, racial, ethnic, or social background;

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- (ii) is governed with significant input from individuals of that specific background; and
- (iii) employs individuals to provide individual or group therapy, at least 50 percent of whom are of that specific background, except when the common social background of the individuals served is a traumatic brain injury or cognitive disability and the program employs treatment staff who have the necessary professional training, as approved by the commissioner, to serve clients with the specific disabilities that the program is designed to serve;
- (3) programs that offer medical services delivered by appropriately credentialed health care staff in an amount equal to two hours per client per week if the medical needs of the client and the nature and provision of any medical services provided are documented in the client file; and
- (4) programs that offer services to individuals with co-occurring mental health and chemical dependency problems if:
 - (i) the program meets the co-occurring requirements in section 245G.20;
- (ii) 25 percent of the counseling staff are licensed mental health professionals, as defined in section 245.462, subdivision 18, clauses (1) to (6), or are students or licensing candidates under the supervision of a licensed alcohol and drug counselor supervisor and licensed mental health professional, except that no more than 50 percent of the mental health staff may be students or licensing candidates with time documented to be directly related to provisions of co-occurring services;
- (iii) clients scoring positive on a standardized mental health screen receive a mental health diagnostic assessment within ten days of admission;
- (iv) the program has standards for multidisciplinary case review that include a monthly review for each client that, at a minimum, includes a licensed mental health professional and licensed alcohol and drug counselor, and their involvement in the review is documented;
- (v) family education is offered that addresses mental health and substance abuse disorders and the interaction between the two; and
- (vi) co-occurring counseling staff shall receive eight hours of co-occurring disorder 32.30 32.31 training annually.

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- (d) In order to be eligible for a higher rate under paragraph (c), clause (1), a program that provides arrangements for off-site child care must maintain current documentation at the chemical dependency facility of the child care provider's current licensure to provide child care services. Programs that provide child care according to paragraph (c), clause (1), must be deemed in compliance with the licensing requirements in section 245G.19.
- (e) Adolescent residential programs that meet the requirements of Minnesota Rules, parts 2960.0430 to 2960.0490 and 2960.0580 to 2960.0690, are exempt from the requirements in paragraph (c), clause (4), items (i) to (iv).
- (f) Subject to federal approval, chemical dependency services that are otherwise covered as direct face-to-face services may be provided via two-way interactive video. The use of two-way interactive video must be medically appropriate to the condition and needs of the person being served. Reimbursement shall be at the same rates and under the same conditions that would otherwise apply to direct face-to-face services. The interactive video equipment and connection must comply with Medicare standards in effect at the time the service is provided.
- Sec. 37. Minnesota Statutes 2018, section 256B.0941, subdivision 1, is amended to read:
- Subdivision 1. **Eligibility.** (a) An individual who is eligible for mental health treatment services in a psychiatric residential treatment facility must meet all of the following criteria:
 - (1) before admission, services are determined to be medically necessary by the state's medical review agent according to Code of Federal Regulations, title 42, section 441.152;
 - (2) is younger than 21 years of age at the time of admission. Services may continue until the individual meets criteria for discharge or reaches 22 years of age, whichever occurs first;
 - (3) has a mental health diagnosis as defined in the most recent edition of the Diagnostic and Statistical Manual for Mental Disorders, as well as clinical evidence of severe aggression, or a finding that the individual is a risk to self or others;
 - (4) has functional impairment and a history of difficulty in functioning safely and successfully in the community, school, home, or job; an inability to adequately care for one's physical needs; or caregivers, guardians, or family members are unable to safely fulfill the individual's needs;
- 33.31 (5) requires psychiatric residential treatment under the direction of a physician to improve the individual's condition or prevent further regression so that services will no longer be needed;

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evidence indicates that such services cannot provide the level of care needed; and

- (7) was referred for treatment in a psychiatric residential treatment facility by a qualified mental health professional licensed as defined in section 245.4871, subdivision 27, clauses (1) to (6).
- (b) A mental health professional making a referral shall submit documentation to the state's medical review agent containing all information necessary to determine medical necessity, including a standard diagnostic assessment completed within 180 days of the individual's admission. Documentation shall include evidence of family participation in the individual's treatment planning and signed consent for services.
- Sec. 38. Minnesota Statutes 2018, section 256B.0941, subdivision 3, is amended to read:
- Subd. 3. Per diem rate. (a) The commissioner shall establish a statewide per diem rate for psychiatric residential treatment facility services for individuals 21 years of age or younger. The rate for a provider must not exceed the rate charged by that provider for the same service to other payers. Payment must not be made to more than one entity for each individual for services provided under this section on a given day. The commissioner shall set rates prospectively for the annual rate period. The commissioner shall require providers to submit annual cost reports on a uniform cost reporting form and shall use submitted cost reports to inform the rate-setting process. The cost reporting shall be done according to federal requirements for Medicare cost reports.
 - (b) The following are included in the rate:
- (1) costs necessary for licensure and accreditation, meeting all staffing standards for participation, meeting all service standards for participation, meeting all requirements for active treatment, maintaining medical records, conducting utilization review, meeting inspection of care, and discharge planning. The direct services costs must be determined using the actual cost of salaries, benefits, payroll taxes, and training of direct services staff and service-related transportation; and
- (2) payment for room and board provided by facilities meeting all accreditation and licensing requirements for participation.
- (c) A facility may submit a claim for payment outside of the per diem for professional services arranged by and provided at the facility by an appropriately licensed professional who is enrolled as a provider with Minnesota health care programs. Arranged services must be billed by the facility on a separate claim, and the facility shall be responsible for payment

to the provider may be billed by either the facility or the licensed professional. These services must be included in the individual plan of care and are subject to prior authorization by the state's medical review agent.

- (d) Medicaid shall reimburse for concurrent services as approved by the commissioner to support continuity of care and successful discharge from the facility. "Concurrent services" means services provided by another entity or provider while the individual is admitted to a psychiatric residential treatment facility. Payment for concurrent services may be limited and these services are subject to prior authorization by the state's medical review agent. Concurrent services may include targeted case management, assertive community treatment, clinical care consultation, team consultation, and treatment planning.
- 35.11 (e) Payment rates under this subdivision shall not include the costs of providing the following services:
- 35.13 (1) educational services;

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- 35.14 (2) acute medical care or specialty services for other medical conditions;
- 35.15 (3) dental services; and
- 35.16 (4) pharmacy drug costs.
- (f) For purposes of this section, "actual cost" means costs that are allowable, allocable, reasonable, and consistent with federal reimbursement requirements in Code of Federal Regulations, title 48, chapter 1, part 31, relating to for-profit entities, and the Office of Management and Budget Circular Number A-122, relating to nonprofit entities.

APPENDIX

Repealed Minnesota Statutes: 19-4395

256I.05 MONTHLY RATES.

Subd. 3. **Limits on rates.** When a group residential housing rate is used to pay for an individual's room and board, the rate payable to the residence must not exceed the rate paid by an individual not receiving a group residential housing rate under this chapter.