P2, L6, K3 5lr1197 CF 5lr1445

By: Senators Montgomery, Benson, Feldman, Guzzone, Kelley, Lee, Madaleno, Manno, Nathan–Pulliam, Peters, Pinsky, Pugh, Ramirez, Raskin, and Young Introduced and read first time: February 4, 2015
Assigned to: Education, Health, and Environmental Affairs

## A BILL ENTITLED

1 AN ACT concerning

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## Procurement – Public Work Contracts – Contractor Occupational Safety and Health Requirements

FOR the purpose of requiring a prospective bidder or offeror when submitting a bid or offer for a public work contract to submit to the public body a certain contractor safety and health plan and a certain attestation; requiring that a contract safety and health plan include certain information; requiring a prospective bidder or offeror to provide a copy of the contractor safety and health plan to the Commissioner of Labor and Industry on request; requiring the Commissioner to develop a certain safety and health calculation worksheet and specified safety and health rating system; requiring each contractor and subcontractor working under a public work contractor to complete a certain safety and health calculation worksheet within a certain number of days after entering into the public work contract and implement certain additional safety and health measures; requiring the Commissioner to adopt regulations to carry out this Act; authorizing the Commissioner to require by regulation that a prospective bidder or offeror, a contractor, or a subcontractor subject to this Act maintain certain records; requiring the Commissioner to investigate as necessary to determine whether certain persons are complying with this Act; authorizing the Commissioner to enter a place of business or a work site for certain purposes; requiring the Commissioner to issue a certain citation and proposed order to a prospective bidder or offeror, a contractor, or a subcontractor under certain circumstances; requiring the citation and proposed order to be sent by certified mail and contain certain information; authorizing a certain prospective bidder, contractor, or subcontractor to request a certain hearing within a certain number of days after receiving the citation and proposed order; requiring the Commissioner to schedule a hearing on receipt of a request for a hearing; providing that a certain proposed order becomes a final order under certain circumstances; providing that a certain decision of the Commissioner is final except under certain circumstances; requiring the Commissioner to assess certain civil penalties under certain circumstances; requiring the Commissioner to consider certain factors in



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13 14 determining the amount of a penalty to be assessed; authorizing the Commissioner, under certain circumstances, to recommend to a public body that a prospective bidder or offeror, contractor, or subcontractor be debarred from entering into a certain public work contract for a certain period of time; prohibiting a prospective bidder or offeror, contractor, or subcontractor from taking certain action against an employee for certain reasons; authorizing a certain employee to submit to the Commissioner a certain written complaint; requiring that an employee submit a certain complaint within a certain time period; requiring the Commissioner to investigate a certain complaint; requiring the Commissioner, under certain circumstances, to refer a certain matter to the Officer of Administrative Hearings for findings of fact and a proposed decision under certain provisions of law; providing for the application of a certain provision of this Act; defining certain terms; and generally relating to contractor occupational safety and health requirements on public work contracts.

- 15 BY adding to
- 16 Article State Finance and Procurement
- Section 17–801 through 17–807 to be under the new subtitle "Subtitle 8. Contractor
- 18 Occupational Safety and Health Requirements"
- 19 Annotated Code of Maryland
- 20 (2009 Replacement Volume and 2014 Supplement)
- 21 SECTION 1. BE IT ENACTED BY THE GENERAL ASSEMBLY OF MARYLAND,
- 22 That the Laws of Maryland read as follows:
- 23 Article State Finance and Procurement
- 24 SUBTITLE 8. CONTRACTOR OCCUPATIONAL SAFETY AND HEALTH REQUIREMENTS.
- 26 **17–801**.
- 27 (A) IN THIS SUBTITLE THE FOLLOWING WORDS HAVE THE MEANINGS 28 INDICATED.
- 29 (B) "COMMISSIONER" MEANS THE COMMISSIONER OF LABOR AND 30 INDUSTRY.
- 31 (C) "CONSTRUCTION" INCLUDES ALL:
- 32 (1) BUILDING;
- 33 (2) RECONSTRUCTING;
- 34 (3) IMPROVING;

| 1                    |   | (4)                      | ENLA   | ARGING;  |  |
|----------------------|---|--------------------------|--------|--|--|
| 2                    |   | (5)                      | PAIN   | TING AND DECORATING;   |  |
| 3                    |   | (6)                      | ALTE   | CRING;   |  |
| 4                    |   | (7)                      | MAIN   | TAINING; AND   |  |
| 5                    |   | (8)                      | REPA   | AIRING.  |  |
| 6<br>7<br>8          | (D) "CONTRACTOR SAFETY AND HEALTH PLAN" MEANS THE WRITTEN CONTRACTOR SAFETY AND HEALTH PLAN REQUIRED UNDER § 17–802 OF THIS SUBTITLE.   |                          |        |  |  |
| 9                    | <b>(E)</b>  | "Pro                     | OSPEC  | TIVE BIDDER OR OFFEROR" INCLUDES A SUBCONTRACTOR.  |  |
| 10                   | <b>(F)</b>  | (F) "PUBLIC BODY" MEANS: |        |  |  |
| 11                   |   | (1)                      | THE    | STATE;   |  |
| 12                   |   | (2)                      | A PO   | LITICAL SUBDIVISION; OR  |  |
| 13<br>14             | SUBDIVISIO  | (3)<br>ON.               | A UN   | IT OR AN INSTRUMENTALITY OF THE STATE OR A POLITICAL   |  |
| 15<br>16<br>17       | (G) (1) SUBJECT TO PARAGRAPH (2) OF THIS SUBSECTION, "PUBLIC WORK" MEANS A STRUCTURE OR WORK, INCLUDING A BRIDGE, A BUILDING, A DITCH, A ROAD, AN ALLEY, A WATERWORK, OR A SEWAGE DISPOSAL PLANT, THAT: |                          |        |  |  |
| 18                   |   |                          | (I)    | IS CONSTRUCTED FOR PUBLIC USE OR BENEFIT; OR   |  |
| 19                   |   |                          | (II)   | IS PAID FOR WHOLLY OR PARTLY BY PUBLIC MONEY.  |  |
| 20<br>21<br>22<br>23 | SERVICE O   | COMPA                    | R WORI | BLIC WORK" DOES NOT INCLUDE, UNLESS LET TO CONTRACT, K, THE CONSTRUCTION OF WHICH IS PERFORMED BY A PUBLIC NDER ORDER OF THE PUBLIC SERVICE COMMISSION OR RITY, REGARDLESS OF: |  |
| 24                   |   |                          | (I)    | PUBLIC SUPERVISION OR DIRECTION; OR  |  |
| 25                   |   |                          | (II)   | PAYMENT WHOLLY OR PARTLY FROM PUBLIC MONEY.  |  |

- 1 (H) "PUBLIC WORK CONTRACT" MEANS A CONTRACT FOR CONSTRUCTION 2 OF A PUBLIC WORK.
- 3 (I) "SAFETY AND HEALTH CALCULATION WORKSHEET" MEANS THE SAFETY
- 4 AND HEALTH CALCULATION WORKSHEET DEVELOPED UNDER § 17–803(B) OF THIS
- 5 SUBTITLE.
- 6 (J) "SAFETY AND HEALTH RATING SYSTEM" MEANS THE SAFETY AND 7 HEALTH RATING SYSTEM DEVELOPED UNDER § 17–803(B) OF THIS SUBTITLE.
- 8 **17–802.**
- 9 (A) WHEN SUBMITTING A BID OR OFFER FOR A PUBLIC WORK CONTRACT, A
  10 PROSPECTIVE BIDDER OR OFFEROR SHALL SUBMIT TO THE PUBLIC BODY:
- 11 (1) A CONTRACTOR SAFETY AND HEALTH PLAN; AND
- 12 (2) AN ATTESTATION THAT:
- 13 (I) THE CONTRACTOR SAFETY AND HEALTH PLAN MEETS THE
- 14 REQUIREMENTS OF SUBSECTION (B) OF THIS SECTION; AND
- 15 (II) THE PROSPECTIVE BIDDER OR OFFEROR WILL IMPLEMENT
- 16 THE CONTRACTOR SAFETY AND HEALTH PLAN WHEN PERFORMING WORK UNDER
- 17 THE PUBLIC WORK CONTRACT.
- 18 (B) THE CONTRACT SAFETY AND HEALTH PLAN THAT A PROSPECTIVE
- 19 BIDDER OR OFFEROR IS REQUIRED TO SUBMIT UNDER SUBSECTION (A) OF THIS
- 20 SECTION SHALL INCLUDE:
- 21 (1) A STATEMENT OF THE COMMITMENT OF THE PROSPECTIVE
- 22 BIDDER OR OFFEROR TO OCCUPATIONAL SAFETY AND HEALTH ON THE PROJECT;
- 23 (2) THE NAME OF A REPRESENTATIVE OF THE PROSPECTIVE BIDDER
- 24 OR OFFEROR WHO WILL BE RESPONSIBLE FOR OCCUPATIONAL SAFETY AND HEALTH
- 25 ON THE PROJECT;
- 26 (3) METHODS THAT WILL BE USED TO IDENTIFY, ASSESS, AND
- 27 DOCUMENT POTENTIAL OCCUPATIONAL SAFETY AND HEALTH HAZARDS ON THE
- 28 PROJECT:
- 29 (4) METHODS THAT WILL BE USED TO PREVENT AND CONTROL
- 30 OCCUPATIONAL SAFETY AND HEALTH HAZARDS ON THE PROJECT;

- 1 (5) METHODS THAT WILL BE USED TO COMMUNICATE INFORMATION
- 2 TO AND TRAIN EMPLOYEES IN ISSUES RELATED TO OCCUPATIONAL SAFETY AND
- 3 HEALTH HAZARDS ON THE PROJECT;
- 4 (6) METHODS THAT WILL BE USED TO INVOLVE EMPLOYEES IN
- 5 IDENTIFYING AND RESOLVING OCCUPATIONAL SAFETY AND HEALTH ISSUES ON THE
- 6 PROJECT; AND
- 7 (7) METHODS THAT WILL BE USED TO CONTINUALLY EVALUATE AND
- 8 ASSESS OCCUPATIONAL SAFETY AND HEALTH HAZARDS ON THE PROJECT AND
- 9 PROVIDE FOR MODIFICATION OF THE CONTRACTOR SAFETY AND HEALTH PLAN
- 10 BASED ON THE CONTINUOUS EVALUATION AND ASSESSMENT.
- 11 (C) ON REQUEST, THE PROSPECTIVE BIDDER OR OFFEROR SHALL PROVIDE
- 12 A COPY OF THE CONTRACTOR SAFETY AND HEALTH PLAN TO THE COMMISSIONER.
- 13 **17–803.**
- 14 (A) THIS SECTION APPLIES TO PUBLIC WORK CONTRACTS VALUED AT
- 15 **\$100,000** OR MORE.
- 16 (B) THE COMMISSIONER SHALL DEVELOP:
- 17 (1) A SAFETY AND HEALTH CALCULATION WORKSHEET TO EVALUATE
- 18 THE SAFETY AND HEALTH PERFORMANCE INDICATORS OF CONTRACTORS AND
- 19 SUBCONTRACTORS THAT PERFORM WORK UNDER A PUBLIC WORK CONTRACT; AND
- 20 (2) A SAFETY AND HEALTH RATING SYSTEM TO SPECIFY ADDITIONAL
- 21 SAFETY AND HEALTH MEASURES THAT A CONTRACTOR OR SUBCONTRACTOR IS
- 22 REQUIRED TO IMPLEMENT BASED ON THE SCORE THE CONTRACTOR OR
- 23 SUBCONTRACTOR RECEIVES ON THE SAFETY CALCULATION WORKSHEET.
- 24 (C) EACH CONTRACTOR AND SUBCONTRACTOR WORKING UNDER A PUBLIC
- 25 WORK CONTRACT SHALL:
- 26 (1) WITHIN 7 DAYS AFTER ENTERING INTO THE PUBLIC WORK
- 27 CONTRACT, COMPLETE A SAFETY AND HEALTH CALCULATION WORKSHEET; AND
- 28 (2) IMPLEMENT ANY ADDITIONAL SAFETY AND HEALTH MEASURES
- 29 REQUIRED TO BE IMPLEMENTED BASED ON THE SAFETY AND HEALTH RATING
- 30 SYSTEM.

- 1 **17–804.**
- 2 (A) THE COMMISSIONER SHALL ADOPT REGULATIONS TO CARRY OUT THIS
- 3 SUBTITLE.
- 4 (B) THE COMMISSIONER MAY REQUIRE BY REGULATION THAT A
- 5 PROSPECTIVE BIDDER OR OFFEROR, A CONTRACTOR, OR A SUBCONTRACTOR
- 6 SUBJECT TO THIS SUBTITLE MAINTAIN ANY RECORDS NECESSARY FOR THE
- 7 IMPLEMENTATION OF THIS SUBTITLE.
- 8 **17–805**.
- 9 (A) THE COMMISSIONER SHALL INVESTIGATE AS NECESSARY TO
- 10 DETERMINE WHETHER A PROSPECTIVE BIDDER OR OFFEROR, A CONTRACTOR, OR A
- 11 SUBCONTRACTOR IS COMPLYING WITH THIS SUBTITLE.
- 12 (B) THE COMMISSIONER MAY ENTER A PLACE OF BUSINESS OR A WORK SITE
- 13 **TO:**
- 14 (1) OBSERVE THE SAFETY AND HEALTH MEASURES IN PLACE ON THE
- 15 WORK SITE;
- 16 (2) INTERVIEW INDIVIDUALS ON THE WORK SITE REGARDING SAFETY
- 17 AND HEALTH MEASURES IN PLACE ON THE WORK SITE; AND
- 18 (3) REVIEW AND COPY RECORDS NECESSARY FOR DETERMINING
- 19 COMPLIANCE WITH THIS SUBTITLE.
- 20 (C) (I) IF, AFTER AN INVESTIGATION, THE COMMISSIONER DETERMINES
- 21 THAT A PROSPECTIVE BIDDER OR OFFEROR, A CONTRACTOR, OR A SUBCONTRACTOR
- 22 HAS VIOLATED THIS SUBTITLE, THE COMMISSIONER SHALL ISSUE A CITATION AND
- 23 PROPOSED ORDER TO THE PROSPECTIVE BIDDER OR OFFEROR, CONTRACTOR, OR
- 24 SUBCONTRACTOR.

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- 25 (2) THE CITATION AND PROPOSED ORDER ISSUED UNDER
- 26 PARAGRAPH (1) OF THIS SUBSECTION SHALL:
- 27 (I) BE SENT TO THE PROSPECTIVE BIDDER OR OFFEROR,
- 28 CONTRACTOR, OR SUBCONTRACTOR BY CERTIFIED MAIL;
  - (II) DESCRIBE THE NATURE OF THE VIOLATION;

- 1 (III) CITE THE PROVISION OF LAW THAT THE PROSPECTIVE 2 BIDDER OR OFFEROR, CONTRACTOR, OR SUBCONTRACTOR VIOLATED;
- 3 (IV) STATE THE PENALTY THAT THE COMMISSIONER PROPOSES
- 4 TO ASSESS; AND
- 5 (V) PROVIDE NOTICE OF THE OPPORTUNITY TO REQUEST A
- 6 HEARING.
- 7 (D) (1) WITHIN 30 DAYS AFTER A PROSPECTIVE BIDDER OR OFFEROR, A
- 8 CONTRACTOR, OR A SUBCONTRACTOR RECEIVES THE CITATION AND PROPOSED
- 9 ORDER, THE PROSPECTIVE BIDDER OR OFFEROR, CONTRACTOR, OR
- 10 SUBCONTRACTOR MAY REQUEST A DE NOVO ADMINISTRATIVE HEARING UNDER THE
- 11 ADMINISTRATIVE PROCEDURE ACT.
- 12 (2) ON RECEIPT OF A REQUEST FOR A HEARING UNDER PARAGRAPH
- 13 (1) OF THIS SUBSECTION, THE COMMISSIONER SHALL SCHEDULE A HEARING.
- 14 (3) IF A HEARING IS NOT REQUESTED UNDER PARAGRAPH (1) OF THIS
- 15 SUBSECTION, THE PROPOSED ORDER SHALL BECOME A FINAL ORDER.
- 16 (4) If A HEARING IS REQUESTED UNDER PARAGRAPH (1) OF THIS
- 17 SUBSECTION, THE DECISION OF THE COMMISSIONER THAT IS ISSUED AFTER THE
- 18 HEARING SHALL BECOME FINAL UNLESS THE PROSPECTIVE BIDDER OR OFFEROR,
- 19 CONTRACTOR, OR SUBCONTRACTOR SEEKS JUDICIAL REVIEW UNDER THE
- 20 ADMINISTRATIVE PROCEDURE ACT WITHIN 30 DAYS AFTER THE DECISION IS
- 21 ISSUED.
- 22 **17–806**.
- 23 (A) (1) IF THE COMMISSIONER DETERMINES THAT A PROSPECTIVE
- 24 BIDDER OR OFFEROR, A CONTRACTOR, OR A SUBCONTRACTOR VIOLATED THIS
- 25 SUBTITLE, THE COMMISSIONER SHALL ASSESS:
- 26 (I) FOR A FIRST VIOLATION, A CIVIL PENALTY NOT TO EXCEED
- 27 **\$5,000**; AND
- 28 (II) FOR EACH SUBSEQUENT VIOLATION, A CIVIL PENALTY NOT
- 29 TO EXCEED \$10,000.
- 30 (2) IN DETERMINING THE AMOUNT OF PENALTY TO BE ASSESSED
- 31 UNDER PARAGRAPH (1) OF THIS SUBSECTION, A COMMISSIONER SHALL CONSIDER:

## 1 (I) THE NATURE OF THE VIOLATION; AND

- 2 (II) WHETHER THE PROSPECTIVE BIDDER OR OFFEROR,
- 3 CONTRACTOR, OR SUBCONTRACTOR MADE GOOD FAITH EFFORTS AT COMPLYING
- 4 WITH THE REQUIREMENTS OF THIS SUBTITLE.
- 5 (B) IN ADDITION TO ANY CIVIL PENALTY ASSESSED UNDER SUBSECTION (A)
- 6 OF THIS SECTION, IF A PROSPECTIVE BIDDER OR OFFER, A CONTRACTOR, OR A
- 7 SUBCONTRACTOR KNOWINGLY OR RECKLESSLY VIOLATES THIS SUBTITLE, THE
- 8 COMMISSIONER MAY RECOMMEND TO A PUBLIC BODY THAT THE PROSPECTIVE
- 9 BIDDER OR OFFEROR, CONTRACTOR, OR SUBCONTRACTOR BE DEBARRED FROM
- 10 ENTERING INTO A PUBLIC WORK CONTRACT WITH THE PUBLIC BODY FOR A PERIOD
- 11 **OF 2 YEARS.**
- 12 **17–807.**
- 13 (A) A PROSPECTIVE BIDDER OR OFFEROR, A CONTRACTOR, OR A
- 14 SUBCONTRACTOR MAY NOT DISCHARGE OR OTHERWISE DISCRIMINATE AGAINST AN
- 15 EMPLOYEE BECAUSE THE EMPLOYEE:
- 16 (1) FILES IN GOOD FAITH A COMPLAINT RELATED TO A VIOLATION OF
- 17 THIS SUBTITLE WITH THE COMMISSIONER; OR
- 18 (2) HAS TESTIFIED OR WILL TESTIFY IN A PROCEEDING RELATED TO
- 19 A VIOLATION OF THIS SUBTITLE.
- 20 (B) (1) IF AN EMPLOYEE BELIEVES IN GOOD FAITH THAT A PROSPECTIVE
- 21 BIDDER OR OFFEROR, A CONTRACTOR, OR A SUBCONTRACTOR HAS TAKEN ACTION
- 22 AGAINST THE EMPLOYEE IN VIOLATION OF SUBSECTION (A) OF THIS SECTION, THE
- 23 EMPLOYEE MAY SUBMIT TO THE COMMISSIONER A WRITTEN COMPLAINT THAT
- 24 ALLEGES THE VIOLATION AND INCLUDES THE SIGNATURE OF THE EMPLOYEE.
- 25 (2) AN EMPLOYEE SHALL SUBMIT A COMPLAINT UNDER PARAGRAPH
- 26 (1) OF THIS SUBSECTION WITHIN 60 DAYS AFTER THE ALLEGED VIOLATION
- 27 OCCURRED.
- 28 (C) THE COMMISSIONER SHALL INVESTIGATE A COMPLAINT SUBMITTED
- 29 UNDER SUBSECTION (B) OF THIS SECTION.
- 30 (D) IF, AFTER AN INVESTIGATION, THE COMMISSIONER DETERMINES THAT
- 31 THERE IS PROBABLE CAUSE TO BELIEVE THAT A PROSPECTIVE BIDDER OR
- 32 OFFEROR, A CONTRACTOR, OR A SUBCONTRACTOR VIOLATED THIS SECTION, THE
- 33 COMMISSIONER SHALL REFER THE MATTER TO THE OFFICE OF ADMINISTRATIVE

- 1 HEARINGS FOR FINDINGS OF FACT AND A PROPOSED DECISION UNDER THE
- 2 ADMINISTRATIVE PROCEDURE ACT.
- 3 SECTION 2. AND BE IT FURTHER ENACTED, That this Act shall take effect July
- 4 1, 2015.