1

AN ACT relating to underground facility protection.

2 Be it enacted by the General Assembly of the Commonwealth of Kentucky:

3

→ Section 1. KRS 367.4903 is amended to read as follows:

4 As used in KRS 367.4903 to 367.4917:

5 (1) "Underground facility" means an underground line or system used for producing,
6 storing, conveying, transmitting, or distributing telecommunications, electricity,
7 gas, petroleum, petroleum products, cable television, hazardous liquids, water,
8 steam, or sewerage, including storm drainage;

9 (2) "Damage" means weakening of structural or lateral support or penetration of a 10 facility coating, housing, or other protective device. It also means the partial or 11 complete dislocation or severance of underground facilities or rendering any 12 underground facility permanently inaccessible by the placement of a permanent 13 structure having one (1) or more stories;

14 (3) "Demolition" means any operation by which a structure or mass of material is
15 wrecked, razed, moved, or removed by means of mechanized equipment, or
16 discharge of explosives;

17 (4) "Excavator" means any entity or individual, other than those exempted by KRS
18 367.4915, engaged in excavation, demolition, or timber harvesting using
19 mechanized equipment;

- (5) "Operator" means any entity or individual owning or operating underground
 facilities to serve the public, *but does not include any entity or individual owning or operating underground storage tanks that are subject to Subchapter 60 of KRS*
- 23 *Chapter 224*:

(6) "Excavation" means any activity that results in the movement, placement, probing,
boring, or removal of earth, rock, or other material in or on the ground by the use of
any tools or equipment, by the discharge of explosives, or by the harvesting of
timber using mechanized equipment. Forms of excavating include but are not

limited to auguring, backfilling, digging, ditching, drilling, driving, grading, piling,
 pulling-in, ripping, scraping, trenching, and tunneling. Driving wooden stakes by
 use of hand tools to a depth of six (6) inches or less below existing grade shall not
 constitute excavation;

5 (7) "Emergency" means there exists substantial likelihood that loss of life or property,
6 the inability to restore interrupted utility service, an imminent danger to health or
7 the environment, or the blockage of public transportation facilities will result before
8 procedures required under KRS 367.4909 to 367.4913 can be completed;

9 (8) "Protection notification center" means an operator-provided notification center
10 through which an excavator can contact the operator to enable the operator to
11 provide the excavator with the approximate location of underground facilities;

(9) "Kentucky Contact Center" means Kentucky Underground Protection, Inc.,
organized as a nonprofit corporation and a multimember protection notification
center providing a single telephone contact number and designated by the Kentucky
Public Service Commission to be the sole recipient of 811 dialed calls through
which an excavator may contact all Kentucky Contact Center members and all
affected <u>member</u> operators may receive information to enable them to provide the
excavator with the approximate location of underground facilities;

(10) "Routine road maintenance" means preservation, including road repairs and
resurfacing, and the replacement of signs, posts, and guardrails at the exact same
location when no additional penetration of existing grade is necessary, but does not
include road construction, installation of signs, posts, and guardrails, or any activity
that requires penetration of existing grade;

24 (11) "Approximate location," when referring to an underground facility, means:

(a) For underground metallic facilities and underground nonmetallic facilities
 with metallic tracer wire, a distance not to exceed the combined width of the
 underground facility plus *twenty-four (24)*[eighteen (18)] inches measured

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1		from the outer edge of each side of the underground facility; or
2		(b) For <u>unmapped or untonable facilities</u> [nonmetallic facilities without metallic
3		tracer wire], the underground facility shall be located as accurately as possible
4		from field location records and shall require notification from the operator of
5		the inability to accurately locate the facility;
6	(12)	"Working day" means every day, except Saturday, Sunday, and holidays
7		established by federal or state statute. For purposes of measuring any period of
8		time prescribed or allowed under the Underground Facility Damage Prevention
9		Act of 1994, a working day shall commence at 12:01 a.m. eastern time and end at
10		12 midnight eastern time excluding the day the locate request was made [a twenty-
11		four (24) hour period commencing from the time of receipt of the notification by the
12		Kentucky Contact Center except Saturday, Sunday, and holidays established by
13		federal or state statute];
14	(13)	"Nonintrusive excavating" means excavation using hand tools or equipment that
15		uses air or water pressure as the direct means to break up soil for removal by hand
16		tools or vacuum excavation;
17	(14)	"Mechanized equipment" means mechanical power equipment, including trenchers,
18		bulldozers, power shovels, augers, backhoes, scrapers, drills, cable and pipe plows,
19		skidders, and yarders;
20	(15)	"Normal excavation locate request" means a notification made to a protection
21		notification center where a request for locating utility facilities is processed;
22	(16)	"Emergency locate request" means a notification made to a protection notification
23		center by an excavator to alert facility owners or operators of the need to begin
24		immediate excavation in response to an emergency;
25	(17)	"Design information request" means a notification made to a protection notification
26		center by a person providing professional services and making a request in
27		preparation for bidding, preconstruction engineering, or other advance planning

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1		efforts. A design information request may not be used for excavation purposes;
2	(18)	"Large project <i>request</i> " means an area of excavation occurring on or after July 1,
3		2016, measuring more than two thousand (2,000) feet in length. Multiple
4		excavation notifications in an area may be considered together in determining if the
5		excavations are part of a large project; [and]
6	(19)	"Commission" means the Kentucky Public Service Commission:
7	<u>(20)</u>	"Person" means an individual, an entity, a foreign entity, or other legal or
8		<u>commercial entity;</u>
9	<u>(21)</u>	"Positive response" means an automated or written communication system
10		provided by each protection notification center for all locate requests the center
11		receives pursuant to Section 2 of this Act that allows excavators, locators,
12		operators, and other interested parties to determine the status of locating an
13		underground facility and requires response and verification by operators and
14		excavators to comply with their respective requirements of the Underground
15		Facility Damage Prevention Act of 1994;
16	<u>(22)</u>	"Unique identification number" or "locate request number" means a unique
17		number that any protection notification center or operator pursuant to Section 4
18		of this Act has assigned to a locate request for excavation;
19	<u>(23)</u>	"Locator" means any entity or individual that locates lines or facilities for an
20		<u>operator;</u>
21	<u>(24)</u>	"Second notice" means a notice that is made by an excavator to a notification
22		center when an operator has failed to comply with the positive response
23		requirements under subsection (5) of Section 2 of this Act;
24	<u>(25)</u>	"Tolerance zone" means a strip of land at least four (4) feet wide but not wider
25		than the width of the underground facility plus two (2) feet on either side of the
26		outer limits of the facility;
27	<u>(26)</u>	"Untonable facility" means an underground facility that cannot be located from

1		the surface using locating methods which meet industry standards and that
2		requires additional efforts and extended time;
3	(27)	"Work site contact" means an individual that will be present at the excavation
4		site when the excavation will occur; and
5	(28)	"Fiber-to-the-premises" means a service that provides network connectivity
6		between a location and a subscriber using fiber.
7		→ Section 2. KRS 367.4909 is amended to read as follows:
8	(1)	Each operator shall provide protection notification center access to excavators.
9	(2)	Voluntary operator membership in the Kentucky Contact Center shall satisfy the
10		requirement of subsection (1) of this section.
11	(3)	Each operator member of the Kentucky Contact Center shall provide and update as
12		needed to the Kentucky Contact Center the general location of its underground
13		facilities, the operator identity and business address, and emergency notification
14		telephone numbers.
15	(4)	Each operator shall report to the commission excavation damage to an underground
16		facility used in the transportation of gas or hazardous liquid within thirty (30)
17		calendar days of being informed of the damage. Each report of excavation damage
18		shall be made by electronic mail or as otherwise prescribed by the commission.
19	(5)	An operator shall respond to facility locate requests <i>and provide a positive response</i>
20		as follows:
21		(a) To a normal excavation locate request, within two (2) working days after
22		receiving notification from an excavator or any time prior to the scheduled
23		excavation start date if agreed upon as provided in subsection (7) of Section
24		<u>6 of this Act, excluding large project requests, design information requests,</u>
25		emergency locate requests, and unmapped or untonable facilities [,
26		excluding large projects];
27		(b) To an emergency locate request as quickly as possible but not to exceed forty-

1		eight (48) hours after receiving notification from an excavator;
2		(c) To a design information request, within ten (10) working days after receiving
3		notification from the person making the request; [and]
4		(d) To a large project request, within two (2) working days the operator shall
5		notify the excavator that an excavation area has been determined to be a
6		large project, and the operator shall respond to the request within five (5)
7		working days from the later of receiving notification from an excavator or
8		prior to the scheduled excavation start date for that location if agreed upon as
9		provided in subsection (7) of Section 6 of this Act;
10		(e) To an unmapped or untonable facility request, within two (2) working days
11		the operator shall notify the excavator that an excavation area has been
12		determined to be an unmapped or untonable project, and the operator shall
13		respond to the request within five (5) working days for a normal locate
14		request or eight (8) working days for a large project request from the later
15		of receiving notification from an excavator or prior to the scheduled
16		excavation start date if agreed upon as provided in subsection (7) of Section
17		6 of this Act; and
18		(f) To a fiber-to-the-premises broadband deployment excavation request, in
19		locations not already served by fiber-to-the-premises, within four (4)
20		working days.
21	(6)	Within one (1) working day after receiving a second notice request from an
22		excavator pursuant to subsection (12) of Section 3 of this Act, an operator shall
23		locate its facility and update the positive response system.
24	<u>(7)</u>	An operator shall, <u>after</u> [upon] receiving an emergency locate request.[or] a normal
25		excavation locate request, an unmapped or untonable locate request, or a large
26		project request as provided in subsection (5) of this section:
27		(a) Inform the excavator of the approximate location and description of any of the

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1		operator's <i>underground</i> facilities that may be damaged or pose a safety
2		concern because of excavation or demolition;
3	(b)	[Inform the excavator of any other information that would assist in locating
4		and avoiding contact with or damage to underground facilities;
5	(c)	
6		to inform the excavator of the ownership and approximate location of the
7		underground facility; and
8	<u>(c)</u> [((d)] Provide a positive response to the requesting party[Notify the
9		requesting party if underground facilities are not in conflict with the
10		excavation or demolition].
11	<u>(8)</u> [(7)]	Upon receiving a design information request, an operator shall contact the
12	pers	on making the request within the time period specified in subsection (5) of this
13	sect	ion. The operator shall:
14	(a)	Designate with temporary underground facility markers the location of all
15		underground facilities owned by the operator within the area of the design
16		information request as defined in KRS 367.4903;
17	(b)	Provide to the person making the design information request a description of
18		all underground facilities owned by the operator in the area of the design
19		information request and the location of the facilities, which may include
20		drawings marked with a scale, dimensions, and reference points for
21		underground utilities already built in the area or other facility records that are
22		maintained by the operator; or
23	(c)	Allow the person making the design information request or an authorized
24		person to inspect the drawings or other records for all underground facilities
25		with the proposed area of excavation at a location that is acceptable to the
26		operator.
27	<u>(9)</u> [(8)]	An operator may reject a design information request and not be held in

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1	<u>viola</u>	ntion of subsection (6) of this section based upon	security considerations or if
2	prod	ucing the information will place the operator at	a competitive disadvantage,
3	penc	ling the operator obtaining additional information	confirming the legitimacy of
4	the	notice. The operator shall notify the person ma	king the design information
5	requ	est and may request additional information.	
6	<u>(10)</u> [(9)]	Temporary underground facility markers shall c	consist of paint, chalk, flags,
7	stake	es, or any combination thereof and shall conform	to the following standards of
8	the A	American Public Works Association uniform color	code:
9	(a)	Electric power distribution and transmission	Safety Red
10	(b)	Municipal electric systems	Safety Red
11	(c)	Gas distribution and transmission	High visibility safety yellow
12	(d)	Oil distribution and transmission	High visibility safety yellow
13	(e)	Dangerous materials, product lines	High visibility safety yellow
14	(f)	Telecommunication systems and cable television	Safety alert orange
15	(g)	Temporary survey markings	Safety pink
16	(h)	Police and fire communications	Safety alert orange
17	(i)	Water systems	Safety precaution blue
18	(j)	Sewer and storm drainage systems	Safety green
19	(k)	Proposed excavation or construction boundaries	White
20	(1)	Reclaimed water, slurry, and irrigation facilities	Purple
21	<u>(m)</u>	Fiber optic and critical telecommunication	Safety alert orange
22	<u>(11)</u> [(10)]	If extraordinary circumstances exist, an operator	shall notify the excavator of
23	the	operator's inability to comply with this section	on. <u>Notification under this</u>
24	subs	ection shall temporarily relieve the operator of	complying with subsections
25	<u>(5) a</u>	and (6) of this section until the operator can rec	over from the extraordinary
26	<u>circı</u>	umstances. Extraordinary circumstances includ	le <u>weather that makes it</u>
27	impo	ossible for any combination of facility markers id	entified in subsection (10) of

1		this section to be used, extreme weather conditions, force majeure, disasters, or
2		civil unrest that make timely response difficult or impossible.
3	<u>(12)</u>	[(11)] All underground facilities installed after January 1, 2013, shall include a
4		means to accurately identify and locate the underground facilities from the surface.
5		This subsection does not apply to the repair of existing facilities.
6		→ Section 3. KRS 367.4911 is amended to read as follows:
7		(1) (a) Each excavator, or person responsible for an excavation, planning
8		excavation, or demolition work shall, not less than two (2) full working days
9		nor more than ten (10) full working days prior to commencing work, unless a
10		future start date is agreed upon as provided in subsection (7) of Section 6 of
11		this Act, notify each affected operator's designated protection notification
12		<u>center</u> [operator] of the excavator's intended work and work schedule[.
13		Contacting the applicable protection notification centers shall satisfy this
14		requirement].
15		(b) [An excavator may commence work before]The two (2) full working days
16		provided for in paragraph (a) of this subsection have elapsed if all affected
17		operators have notified the person [that the location of all the affected
18		operators' facilities have been marked or that they have no facilities in the area
19		of the proposed excavation, demolition, or timber harvesting].
20	(2)	Locate requests are valid for twenty-one (21) calendar days from the day of the
21		initial request.
22	(3)	Each excavator shall provide each applicable protection notification center with
23		adequate information regarding:
24		(a) <u>Name and phone number of the excavator or person requesting the</u>
25		underground facility locate;
26		(b) Approximate location and type of work being performed by the excavator,
27		including if the request involves a fiber-to-the-premises broadband

1		deployment excavation;
2		(c) Name and phone number of work site contact;
3		(d) Estimated start date and start time of excavation; and [The name of the
4		individual making the notification;
5		(b) The excavator's name, address, and a telephone number;
6		(c) The excavation or demolition site location or locations, each of which
7		shall not exceed <i>five thousand</i> (5,000)[two thousand (2,000)] feet in length
8		unless the excavator and operator agree to a larger area, the city or
9		community, county and street address, including the nearest cross street [;
10		(d) The type and extent of excavation or demolition to be performed;
11		(e) A contact name and telephone number of the person responsible for the work
12		to be performed].
13	(4)	If more than one (1) excavator will operate at the same site, each excavator shall
14		notify the protection notification centers individually. Notification by an excavator
15		will serve as notification for any of that excavator's employees. Failure by an
16		excavator to notify the protection notification center does not relieve individual
17		employees of responsibility.
18	(5)	The excavator shall inform and provide to excavation or demolition site employees:
19		(a) The underground facility location provided by each operator;
20		(b) Any related safety information provided by each operator; and
21		(c) The locate request identification number assigned by each protection
22		notification center.
23	(6)	The excavator shall protect and preserve temporary underground facility markers
24		until the scheduled excavation or demolition is completed.
25	(7)	If, after the <u>response time[two (2) day period]</u> provided by KRS 367.4909(5)[(a)],
26		the excavator finds evidence of an unmarked underground facility at the site, he
27		shall immediately notify <u>a</u> [the] protection notification center. When an excavator

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1		has	complied with subsection (1) of this section and evidence of an unmarked			
2		und	underground facility is uncovered, the operator shall have six (6) business hours			
3		<u>to id</u>	entify the underground facility.			
4	(8)	The	excavator shall contact the protection notification center to request remarking			
5		two	(2) working days in advance of the expiration of each twenty-one (21) day			
6		perio	od while excavation or demolition continues or if:			
7		(a)	The markings of any underground facility have been removed or are no longer			
8			visible; or			
9		(b)	The excavator has changed the work plan or location previously filed.			
10	(9)	(a)	Each excavator who conducts or is responsible for any excavation or			
11			demolition that results in underground facility damage shall cease excavation			
12			or demolition activities and notify all affected operators of the location and			
13			nature of the underground facility damage <i>immediately upon discovery of the</i>			
14			damage.			
15		(b)	Any entity or individual that is otherwise exempt from the requirements of			
16			KRS 367.4901 to 367.4917 under Section 5 of this Act, who conducts or is			
17			responsible for any excavation or demolition that damages an underground			
18			facility that results in the escape of any flammable, toxic, or corrosive gas or			
19			liquid shall cease excavation or demolition activities and notify all affected			
20			operators of the location and nature of the underground facility damage.			
21		<u>(c)</u>	If the underground facility damage causes concern for public or workplace			
22			safety, the excavator shall notify appropriate public safety agencies of the			
23			location and nature of the safety concern.			
24		<u>(d)</u> {((c)] If the underground facility damage results in the escape, <i>or suspected</i>			
25			escape of any flammable, toxic, or corrosive gas or liquid, the excavator shall			
26			cease excavation or demolition activities and immediately report to the			
27			appropriate authorities by calling the 911 emergency telephone number.			

1	(10)	When excavation or demolition is necessary within the <i>tolerance zone</i> approximate
2		location of the underground facility], the excavator shall hand-dig or use
3		nonintrusive means to avoid damage to the underground facility, except that
4		mechanized equipment may be used:
5		(a) To remove the pavement or other manmade hard surface if used during the
6		initial penetration only to the depth necessary and if an individual other
7		than the equipment operator visually monitors the excavation activity;
8		(b) To remove indigenous rock if used during the initial penetration only to the
9		extent necessary, if an individual other than the equipment operator
10		visually monitors the excavation activity, and if the excavation is planned to
11		avoid damage to the underground facility. However, if the underground
12		facility contains flammable, toxic, corrosive, or hazardous products, the
13		excavator shall notify the facility owner of the excavator's intent prior to
14		removing indigenous rock;
15		(c) To remove materials that are more than twelve (12) inches in any direction
16		from the outer edge of the located facility if the excavator visually identifies
17		the precise location of the underground facility or visually confirms that no
18		facility is present within the depth of the excavation, if an individual other
19		than the equipment operator visually monitors the excavation activity, and
20		if the excavation is planned to avoid damage to the underground facility;
21		and
22		(d) To place shores into an existing excavation or remove shores from an
23		existing excavation.
24	(11)	Upon request by an operator or when the proposed excavation location cannot be
25		accurately identified, an excavator shall mark the boundaries of the location to be
26		excavated using the procedure set forth in subsection (10)(k) of Section 2 of this
27		<u>Act[KRS 367.4909(9)(k)]</u> . After marking the boundaries, the excavator shall

1		contact the protection notification center or centers. The requirements of
2		subsections (5) to (11) of Section 2 of this Act[KRS 367.4909(5) to (10)] are
3		reestablished upon the operator receiving notification of this marking from the
4		protection notification center or centers. This marking shall not alter, or relieve the
5		excavator from complying with, the requirements of KRS 367.4905 to 367.4917.
6	<u>(12)</u>	If an operator has failed to give a positive response within the timeframes
7		provided in subsection (5) of Section 2 of this Act, the excavator shall submit a
8		second notice to the protection notification center. If one (1) working day after
9		receiving a second notice request as provided in subsection (6) of Section 2 of this
10		Act, the operator has still failed to give a positive response, an excavator that has
11		fully complied with this section shall not be deemed liable for any damages to an
12		underground facility that would have been located if the operator had complied
13		with the operator's duties under Section 2 of this Act, except for damages to a
14		person or an underground facility due to negligence or intentional misconduct of
15		an excavator. This subsection shall not apply to any underground facility used to
16		transport gas or hazardous liquid subject to the federal pipeline safety laws, 49
17		<u>U.S.C. secs. 60101 et seq.</u>
18		→ Section 4. KRS 367.4913 is amended to read as follows:
19	(1)	<u>All[Each]</u> protection notification <u>centers</u> [center] shall:
20		(a) <u>Provide locate request services during working days and provide an</u>
21		emergency contact number for incidents occurring outside the working
22		<u>day</u> [Operate the protection notification center during all working days];
23		(b) <u>Provide a positive response system for excavators, locators, operators, and</u>
24		other interested parties to determine the status of locating an underground
25		<u>facility;</u>
26		(c) Provide any excavation request with an identification number and the
27		names of the facility owners or operators who will be notified for each

1	locate request [Provide a locate request identification number to the excavator
2	for each excavation or demolition location request];
3	(\underline{d}) [(c)] Promptly after receiving an excavation or demolition work notification
4	from an excavator, provide to each of its affected operator members the
5	excavator information required by KRS 367.4911(3);
6	(e)[(d)] Maintain a list of all its operator members[member's identities], their
7	business addresses[address] and their business and emergency telephone
8	numbers and <i>provide</i> [record] this information in accordance with KRS 64.012
9	with the county clerk of each county where the operator member has
10	underground facilities. The county clerk shall provide this information upon
11	request for the actual cost of providing a copy, to be paid by the requesting
12	party to the county clerk. The county clerk shall assume no liability associated
13	with the receipt of this information from the protection notification center or
14	for subsequent provision of this same information to the requesting party;
15	(\underline{f}) [(e)] Make the operator members information list available to any person for
16	inspection at its place of business without charge or provide a copy of the list
17	to any person for any county upon request for a fee not to exceed the actual
18	cost of providing a copy;
19	(\underline{g}) [(f)] Define and adopt policies and procedures for processing design
20	information requests; [and]
21	(\underline{h}) [(g)] Provide the person making a design information request a list of
22	identified operators that will receive notification and notify those operators:
23	(i) Maintain the following information provided by excavators for all requests
24	to locate facilities for at least five (5) years from the date of the request:
25	1. Name and phone number of the excavator or person requesting the
26	underground facility locate;
27	2. Location and type of work being performed by the excavator;

1		3. Name and phone number of work site contact;
2		4. Name, address, and phone number of underground facility operators;
3		<u>and</u>
4		5. Estimated start date and start time of excavation;
5		(j) Provide contact information for the protection notification center on its
6		Web site or pursuant to paragraph (e) of this subsection; and
7		(k) Provide public awareness education and damage prevention programs in
8		the manner and amount determined by each protection notification center.
9	(2)	The Kentucky Contact Center shall be governed by a board of directors [composed
10		of representatives of member operators]who are elected by the membership. Board
11		seats shall be composed of no more than twenty-one (21) voting members and six
12		(6) nonvoting members and may be filled by representatives of the following:
13		(a) A natural gas provider;
14		(b) An electric provider;
15		(c) A telecommunications provider;
16		(d) A water/sewer provider;
17		(e) An interstate pipeline operator;
18		(f) A municipal utility operator; [and]
19		(g) <u>A commercial excavator;</u>
20		(h) An oil and gas operator; and
21		(j) At least one (1) but not more than six (6) advisory, nonvoting members
22		representing the following:
23		1. Public Service Commission;
24		2. Kentucky Transportation Cabinet;
25		3. Home Builders Association of Kentucky;
26		4. National Electric Contractors Association;
27		5. Associated General Contractors of Kentucky; or

 representative of one (1) of the following: 1. Home Builders Association of Kentucky; 2. National Electrical Contractors Association; 3. Associated General Contractors of Kentucky; or 4. Kentucky Association of Plumbing, Heating Cooling Contractors]. (3) Nonvoting members shall be elected by a majority of the voting members shall serve for one (1) year terms which expire on December 31. Nonvol members are eligible for reappointment by a majority of the voting members. 	
 2. National Electrical Contractors Association; 3. Associated General Contractors of Kentucky; or 4. Kentucky Association of Plumbing, Heating Cooling Contractors]. 7 (3) Nonvoting members shall be elected by a majority of the voting members 8 shall serve for one (1) year terms which expire on December 31. Nonvol 	
5 3. Associated General Contractors of Kentucky; or 6 4. Kentucky Association of Plumbing, Heating Cooling Contractors]. 7 (3) Nonvoting members shall be elected by a majority of the voting members 8 shall serve for one (1) year terms which expire on December 31. Nonvol	
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	ting
9 <i>members are eligible for reappointment by a majority of the voting members.</i>	
10 (4) The Kentucky Contact Center's board of directors shall establish the method	d to
11 calculate the cost of service provided by the center.	
12 (5) [(4)] The Kentucky Contact Center shall serve all Kentucky counties.	
13 \rightarrow Section 5. KRS 367.4915 is amended to read as follows:	
14 Except for the provisions of subsection (9) of Section 3 of this Act, the	The]
requirements of KRS 367.4905 to 367.4917 shall not apply to the following:	
16 (1) Excavation by an operator on its own easement except where that easeme	nt is
17 crossed by another operator's facilities;	
18 (2) Routine road maintenance or railroad maintenance or repairs;	
19 (3) Tilling of soil for agricultural purposes;	
20 (4) Excavators excavating on private property, using nonmechanized equipmer	t, if
21 there is no encroachment on any operator's right-of-way or easement;	
22 (5) The opening of a grave in a cemetery;	
23 (6) A solid waste disposal site which is properly permitted;	
24 (7) Coal mining operations which are currently regulated under KRS Chapter 350;	
25 (8) A utility operator or utility operator subcontractor performing emergency wor	k as
26 defined in KRS 367.4903;	
27 (9) Leak migration testing using metal probes inserted by hand by an author	

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1		representative of the operator; [or]
2	(10)	Any nonintrusive excavating performed by an operator or his subcontractor to
3		locate the operator's underground facilities in response to a notice of excavation
4		from the notification center, if all reasonable precautions have been taken to protect
5		the underground facilities <u>; or</u>
6	<u>(11)</u>	Nonintrusive excavating to inspect or perform maintenance for an existing utility
7		<u>pole</u> .
8		→Section 6. KRS 367.4917 is amended to read as follows:
9	(1)	An excavator who fails to comply with any provision of KRS 367.4911, or an
10		operator who fails to comply with any provision of KRS 367.4909[, shall be guilty
11		of endangering underground facilities and] may be subject to a civil penalty [fine] of
12		two hundred[<u>and</u>] fifty dollars (\$250) for the first <u>violation</u> [offense], no more than
13		one thousand dollars (\$1,000) for the second <i>violation</i> [offense within one (1) year,]
14		and no more than three thousand dollars (\$3,000) for the third and any subsequent
15		violation. A violation shall be considered a first violation under this subsection if
16		more than three hundred sixty-five (365) days have elapsed since the last incident
17		attributable to a person in violation of Section 2 or Section 3 of this Act. If a
18		person commits a violation in the course and scope of employment, the penalties
19		<u>shall be imposed on the employer[offense]</u> .
20	(2)	A protection notification center that fails to comply with any provision of KRS
21		367.4913 shall be subject to a <u>civil penalty</u> [fine] of one thousand dollars (\$1,000)
22		for each <u>violation</u> [offense].
23	(3)	A person that knowingly provides false notice to a utility notification center of an
24		emergency as defined in KRS 367.4903 shall be subject to a <i>civil penalty</i> [fine] of
25		one thousand dollars (\$1,000) for each <i>violation</i> [offense].
26	(4)	Any person who violates any provision of the Underground Facility Damage
27		Prevention Act of 1994, KRS 367.4901 to 367.4917, that involves damage to a

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facility containing any flammable, toxic, corrosive, or hazardous material or results in the release of any flammable, toxic, corrosive, or hazardous material shall be subject to a *civil penalty, in addition to the civil penalty in subsection (1) of this* <u>section,[fine]</u> not to exceed one thousand dollars (\$1,000) for each <u>violation[offense]</u>. The penalties of this subsection are not in conflict with and are in addition to civil damages for personal injury or property damage.

- 7 (5) (a) Except as provided in subsection (6) of this section, all <u>civil penalties</u>[fines]
 8 recovered for a violation of this section shall be paid to the general fund of the
 9 state, county, city, or fire protection agency which issued the citation.
- 10 (b) In the event that more than one (1) government agency was involved, the court
 11 shall direct an apportionment of the *civil penalties*[fines].
- (c) Failure to comply with the provisions of the Underground Facility Damage
 Prevention Act of 1994, KRS 367.4901 to 367.4917, may be determined at the
 conclusion of an investigation and shall be based on evidence available to
 state, county, or city officials, law enforcement, or fire protection agencies
 which issue the citation.

17 The commission shall have statewide authority to enforce and assess civil penalties (6)18 provided for in this section and to seek injunctive relief for any violation that results 19 in damage to an underground facility used to transport gas or hazardous liquid 20 subject to the federal pipeline safety laws, 49 U.S.C. secs. 60101 et seq. Once the 21 commission initiates an investigation or undertakes an enforcement action against a 22 person for an alleged violation, no other state, county, city, or fire protection agency 23 shall initiate or continue any enforcement action against the person for the same 24 alleged violation. Any action to recover penalties assessed pursuant to this 25 subsection shall be brought in the Franklin Circuit Court. All penalties recovered by 26 the commission shall be paid into the State Treasury and credited to the account of 27 the commission.

1	(7)	The commission shall make available on its Web site a written agreement form
2		for an operator and an excavator to agree to a date or series of dates by which
3		time the locate request must be completed if different from those dates established
4		in Section 2 of this Act. The form shall contain but is not limited to the parties'
5		names, the locate request number, the date requested, and the location. The
6		parties shall make the executed agreement form available upon request of the
7		<u>commission.</u>
8	<u>(8)</u>	The commission may promulgate administrative regulations in accordance with
9		KRS Chapter 13A to enforce the Underground Facility Damage Prevention Act of
10		1994. The commission shall exercise its authority under the Underground Facility
11		Damage Prevention Act of 1994 in accordance with the rules and procedures set
12		forth in KRS Chapter 278 and all applicable administrative regulations promulgated
13		by the commission.
14		→ Section 7. This Act takes effect January 1, 2022.