

General Assembly

January Session, 2019

## Committee Bill No. 691

LCO No. **6436** 

Referred to Committee on JUDICIARY

Introduced by: (JUD)

## AN ACT CONCERNING ERASURE OF CRIMINAL RECORDS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

Section 1. Section 54-142a of the general statutes is repealed and the
 following is substituted in lieu thereof (*Effective October 1, 2019*):

3 (a) Whenever in any criminal case, on or after October 1, 1969, the 4 accused, by a final judgment, is found not guilty of the charge or the 5 charge is dismissed, all police and court records and records of any 6 state's attorney pertaining to such charge shall be erased upon the 7 expiration of the time to file a writ of error or take an appeal, if an 8 appeal is not taken, or upon final determination of the appeal 9 sustaining a finding of not guilty or a dismissal, if an appeal is taken. 10 Nothing in this subsection shall require the erasure of any record 11 pertaining to a charge for which the defendant was found not guilty by 12 reason of mental disease or defect or guilty but not criminally 13 responsible by reason of mental disease or defect.

(b) Whenever in any criminal case prior to October 1, 1969, the
accused, by a final judgment, was found not guilty of the charge or the
charge was dismissed, all police and court records and records of the

17 state's or prosecuting attorney or the prosecuting grand juror 18 pertaining to such charge shall be erased by operation of law and the 19 clerk or any person charged with the retention and control of such 20 records shall not disclose to anyone their existence or any information 21 pertaining to any charge so erased; provided nothing in this subsection 22 shall prohibit the arrested person or any one of his heirs from filing a 23 petition for erasure with the court granting such not guilty judgment 24 or dismissal, or, where the matter had been before a municipal court, a 25 trial justice, the Circuit Court or the Court of Common Pleas with the 26 records center of the Judicial Department and thereupon all police and 27 court records and records of the state's attorney, prosecuting attorney 28 or prosecuting grand juror pertaining to such charge shall be erased. 29 Nothing in this subsection shall require the erasure of any record 30 pertaining to a charge for which the defendant was found not guilty by 31 reason of mental disease or defect.

32 (c) (1) Whenever any charge in a criminal case has been nolled in the 33 Superior Court, or in the Court of Common Pleas, if at least thirteen 34 months have elapsed since such nolle, all police and court records and 35 records of the state's or prosecuting attorney or the prosecuting grand 36 juror pertaining to such charge shall be erased, except that in cases of 37 nolles entered in the Superior Court, Court of Common Pleas, Circuit 38 Court, municipal court or by a justice of the peace prior to April 1, 39 1972, such records shall be deemed erased by operation of law and the 40 clerk or the person charged with the retention and control of such 41 records shall not disclose to anyone their existence or any information 42 pertaining to any charge so erased, provided nothing in this subsection 43 shall prohibit the arrested person or any one of his heirs from filing a 44 petition to the court or to the records center of the Judicial Department, 45 as the case may be, to have such records erased, in which case such 46 records shall be erased.

47 (2) Whenever any charge in a criminal case has been continued at48 the request of the prosecuting attorney, and a period of thirteen49 months has elapsed since the granting of such continuance during

50 which period there has been no prosecution or other disposition of the 51 matter, the charge shall be nolled upon motion of the arrested person 52 and such erasure may thereafter be effected or a petition filed therefor, 53 as the case may be, as provided in this subsection for nolled cases.

54 (d) (1) Whenever prior to October 1, 1974, any person who has been 55 convicted of an offense in any court of this state has received an 56 absolute pardon for such offense, such person or any one of his heirs 57 may, at any time subsequent to such pardon, file a petition with the 58 superior court at the location in which such conviction was effected, or 59 with the superior court at the location having custody of the records of 60 such conviction or with the records center of the Judicial Department if 61 such conviction was in the Court of Common Pleas, Circuit Court, 62 municipal court or by a trial justice court, for an order of erasure, and 63 the Superior Court or records center of the Judicial Department shall 64 direct all police and court records and records of the state's or 65 prosecuting attorney pertaining to such case to be erased.

66 (2) Whenever such absolute pardon was received on or after67 October 1, 1974, such records shall be erased.

(e) (1) For any individual who has ever been convicted of a
 misdemeanor or a felony in any court of this state:

(A) All of such individual's criminal history record information, as
defined in subsection (a) of section 54-142g, shall be erased by
operation of law three years following the completion of any sentence
imposed as a result of such individual's most recent conviction for a
misdemeanor offense or five years following the completion of any
sentence imposed as a result of such individual's most recent
conviction for a felony offense, whichever comes later;

(B) Notice of the erasure shall immediately be sent to all persons,
 agencies, officials or institutions known to have information pertaining
 to the criminal history record information. Reasonable efforts shall be
 made to send notice of the erasure to the individual whose records

81	have been erased not later than thirty calendar days after such erasure;		
82	(C) If an individual has been convicted of an offense in any court in		
83	this state and such offense has been decriminalized subsequent to the		
84	date of such conviction, such conviction shall not be considered when		
85	evaluating such individual's criminal history record information for		
86	the purposes of this subsection; and		
87	(D) Erasure under this subsection shall not be granted (i) to any		
88	individual who has a conviction for a violation of section 53a-45, 53a-		
89	<u>54a, 53a-54b, 53a-54c, 53a-55, 53a-55a, 53a-59, 53a-59a, 53a-60, 53a-60a,</u>		
90	<u>53a-64aa, 53a-70, 53a-70a, 53a-92, 53a-92a, 53a-101, 53a-111, 53a-112,</u>		
91	53a-134, 53a-167c or 53a-181c, or (ii) to any individual who has		
92	pending charges or an open criminal case in any jurisdiction.		
93	(2) Nothing in this subsection shall limit any other procedure for		
94	erasure of criminal history record information or prohibit an		
95	individual from participating in any such procedure, even if such		
96	individual's criminal history record information has been erased under		
97	this subsection.		

98 (3) No fee shall be charged with respect to any erasure under this
 99 subsection.

100 [(e)] (f) (1) The clerk of the court or any person charged with 101 retention and control of such records in the records center of the 102 Judicial Department or any law enforcement agency having 103 information contained in such erased records shall not disclose to 104 anyone, except the subject of the record, upon submission pursuant to 105 guidelines prescribed by the Office of the Chief Court Administrator of 106 satisfactory proof of the subject's identity, information pertaining to 107 any charge erased under any provision of this section and such clerk or 108 person charged with the retention and control of such records shall 109 forward a notice of such erasure to any law enforcement agency to 110 which he knows information concerning the arrest has been disseminated and such disseminated information shall be erased from 111

112 the records of such law enforcement agency. Such clerk or such person, 113 as the case may be, shall provide adequate security measures to 114 safeguard against unauthorized access to or dissemination of such 115 records or upon the request of the accused cause the actual physical 116 destruction of such records, except that such clerk or such person shall 117 not cause the actual physical destruction of such records until three 118 years have elapsed from the date of the final disposition of the criminal 119 case to which such records pertain.

(2) No fee shall be charged in any court with respect to any petitionunder this section.

(3) Any person who shall have been the subject of such an erasure
shall be deemed to have never been arrested within the meaning of the
general statutes with respect to the proceedings so erased and may so
swear under oath.

126 [(f)] (g) Upon motion properly brought, the court or a judge of such 127 court, if such court is not in session, shall order disclosure of such 128 records (1) to a defendant in an action for false arrest arising out of the 129 proceedings so erased, or (2) to the prosecuting attorney and defense 130 counsel in connection with any perjury charges which the prosecutor 131 alleges may have arisen from the testimony elicited during the trial, or 132 any false statement charges, or any proceeding held pursuant to 133 section 53a-40b, or (3) counsel for the petitioner and the respondent in 134 connection with any habeas corpus or other collateral civil action in 135 which evidence pertaining to a nolled or dismissed criminal charge 136 may become relevant. Such disclosure of such records is subject also to 137 any records destruction program pursuant to which the records may 138 have been destroyed. The jury charge in connection with erased 139 offenses may be ordered by the judge for use by the judiciary, 140 provided the names of the accused and the witnesses are omitted 141 therefrom.

142 [(g)] (h) The provisions of this section shall not apply to any police 143 or court records or the records of any state's attorney or prosecuting

144 attorney with respect to any information or indictment containing 145 more than one count (1) while the criminal case is pending, or (2) when 146 the criminal case is disposed of unless and until all counts are entitled 147 to erasure in accordance with the provisions of this section, except that 148 when the criminal case is disposed of, electronic records or portions of 149 electronic records released to the public that reference a charge that 150 would otherwise be entitled to erasure under this section shall be 151 erased in accordance with the provisions of this section. Nothing in 152 this section shall require the erasure of any information contained in 153 the registry of protective orders established pursuant to section 51-5c. 154 For the purposes of this subsection, "electronic record" means any 155 police or court record or the record of any state's attorney or 156 prosecuting attorney that is an electronic record, as defined in section 157 1-267, or a computer printout.

[(h)] (i) For the purposes of this section, "court records" shall not
include a record or transcript of the proceedings made or prepared by
an official court reporter, assistant court reporter or monitor.

161 Sec. 2. Section 54-142d of the general statutes is repealed and the 162 following is substituted in lieu thereof (*Effective October 1, 2019*):

163 Whenever any person has been convicted of an offense in any court 164 in this state and such offense has been decriminalized subsequent to 165 the date of such conviction [, such person may file a petition with the 166 superior court at the location in which such conviction was effected, or 167 with the superior court at the location having custody of the records of 168 such conviction or with the records center of the Judicial Department if 169 such conviction was in the Court of Common Pleas, Circuit Court, 170 municipal court or by a trial justice, for an order of erasure, and] the 171 Superior Court or records center of the Judicial Department shall 172 immediately direct all police and court records and records of the 173 state's or prosecuting attorney pertaining to such case to be physically 174 destroyed.

175 Sec. 3. Section 54-142e of the general statutes is repealed and the

176 following is substituted in lieu thereof (*Effective October 1, 2019*):

177 (a) Notwithstanding the provisions of subsection [(e)] (f) of section 178 54-142a, as amended by this act, and section 54-142c, with respect to 179 any person, including, but not limited to, a consumer reporting agency 180 as defined in subsection (i) of section 31-51i, that purchases criminal 181 matters of public record, as defined in said subsection (i), from the 182 Judicial Department, the department shall make available to such 183 person information concerning such criminal matters of public record 184 that have been erased pursuant to section 54-142a, as amended by this 185 act. Such information may include docket numbers or other 186 information that permits the person to identify and permanently delete 187 records that have been erased pursuant to section 54-142a, as amended 188 by this act.

189 (b) Each person, including, but not limited to, a consumer reporting 190 agency or a background screening provider or similar data-based 191 service or company, that has purchased records of criminal matters of 192 public record from the Judicial Department shall, prior to disclosing 193 such records, (1) purchase from the Judicial Department, on a monthly 194 basis, for on such other schedule as the Judicial Department may 195 establish,] any updated criminal matters of public record or 196 information available for the purpose of complying with this section 197 and section 54-142a, as amended by this act, and (2) update its records 198 of criminal matters of public record to permanently delete such erased 199 records not later than thirty calendar days after receipt of information 200 on the erasure of criminal records pursuant to section 54-142a, as 201 amended by this act. Such person shall not further disclose such erased 202 records.

Sec. 4. Subsection (d) of section 54-142k of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2019*):

206 (d) Nonconviction information shall be available to the subject of 207 the information and to the subject's attorney pursuant to this 208 subsection and subsection (e) of this section. Any person shall, upon 209 satisfactory proof of the person's identity, be entitled to inspect, for 210 purposes of verification and correction, any nonconviction information 211 relating to the person and upon the person's request shall be given a 212 computer printout or photocopy of such information for which a 213 reasonable fee may be charged, provided no erased record may be 214 released except as provided in subsection [(f)] (g) of section 54-142a, as 215 amended by this act. Before releasing any exact reproductions of 216 nonconviction information to the subject of the information, the agency 217 holding such information may remove all personal identifying 218 information from such reproductions.

219 Sec. 5. Subsection (a) of section 54-142s of the general statutes is 220 repealed and the following is substituted in lieu thereof (*Effective* 221 *October 1, 2019*):

222 (a) The Criminal Justice Information System Governing Board shall 223 design and implement a comprehensive, state-wide information 224 technology system to facilitate the immediate, seamless and 225 comprehensive sharing and erasure of information between all state 226 agencies, departments, boards and commissions having any 227 cognizance over matters relating to law enforcement and criminal 228 justice, and organized local police departments and law enforcement 229 officials.

sections:			
Section 1	October 1, 2019	54-142a	
Sec. 2	October 1, 2019	54-142d	
Sec. 3	October 1, 2019	54-142e	
Sec. 4	October 1, 2019	54-142k(d)	
Sec. 5	October 1, 2019	54-142s(a)	

This act shall take offect as follows and shall amond the following

## Statement of Purpose:

To provide for the automatic erasure of criminal records in the case of misdemeanor offenses after three years following the completion of the

sentence for the person's most recent misdemeanor offense, and in the case of certain felony offenses after five years following the completion of the sentence for the person's most recent felony offense.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]

Co-Sponsors: SEN. LOONEY, 11th Dist.; SEN. DUFF, 25th Dist. SEN. MCCRORY, 2nd Dist.; SEN. CASSANO, 4th Dist. SEN. WINFIELD, 10th Dist.; SEN. COHEN, 12th Dist. SEN. ABRAMS, 13th Dist.; SEN. MARONEY, 14th Dist. SEN. MOORE, 22nd Dist.; SEN. MARONEY, 14th Dist. SEN. KUSHNER, 24th Dist.; SEN. HASKELL, 26th Dist. SEN. FLEXER, 29th Dist.; SEN. NEEDLEMAN, 33rd Dist. REP. ROSE, 118th Dist.; REP. STAFSTROM, 129th Dist. REP. ELLIOTT, 88th Dist.; REP. DIMASSA, 116th Dist. REP. ROSARIO, 128th Dist.

<u>S.B. 691</u>