

General Assembly

February Session, 2022

Substitute Bill No. 307

AN ACT CONCERNING PROSECUTORIAL ACCOUNTABILITY.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. Subsection (h) of section 51-275a of the 2022 supplement to
- the general statutes is repealed and the following is substituted in lieu
 thereof (*Effective October 1, 2022*):
- (h) The commission shall be within the [Division of Criminal Justice.
 Said division shall provide staff] <u>Office of Governmental Accountability</u>
 <u>established under section 1-300, as amended by this act, which shall</u>
 <u>provide administrative</u> support for the commission.
- 8 Sec. 2. Subsection (b) of section 51-278 of the 2022 supplement to the 9 general statutes is repealed and the following is substituted in lieu 10 thereof (*Effective October 1, 2022*):

(b) (1) (A) The Criminal Justice Commission shall appoint (i) two deputy chief state's attorneys as assistant administrative heads of the Division of Criminal Justice, one of whom shall be deputy chief state's attorney for operations and one of whom shall be deputy chief state's attorney for personnel, finance and administration, who shall assist the Chief State's Attorney in his duties, and (ii) one deputy chief state's attorney who shall be appointed by the commission, as provided in

subdivision (8) of this subsection, to serve as Inspector General in 18 19 accordance with section 51-277e, who shall receive his or her 20 prosecutorial powers as a designee of the Chief State's Attorney. The 21 term of office of a deputy chief state's attorney shall be four years from 22 July first in the year of appointment and until the appointment and 23 qualification of a successor unless sooner removed by the Criminal 24 Justice Commission. The Criminal Justice Commission shall designate 25 one deputy chief state's attorney appointed under subparagraph (A)(i)26 of this subsection who shall, in the absence or disqualification of the 27 Chief State's Attorney, exercise the powers and duties of the Chief 28 State's Attorney until such Chief State's Attorney resumes his duties. For 29 the purposes of this subparagraph, the Criminal Justice Commission 30 means the members of the commission other than the Chief State's 31 Attorney. (B) The Criminal Justice Commission shall appoint a state's 32 attorney for each judicial district, who shall act therein as attorney on 33 behalf of the state. The Criminal Justice Commission shall also appoint, 34 from candidates recommended by the appropriate state's attorney and 35 deemed qualified by the commission, as many assistant state's attorneys 36 and deputy assistant state's attorneys on a full-time or part-time basis 37 for each judicial district as the criminal business of the court, in the 38 opinion of the Chief State's Attorney, may require, and the commission 39 shall also appoint, from candidates recommended by the Chief State's 40 Attorney and deemed qualified by the commission, as many assistant 41 state's attorneys and deputy assistant state's attorneys as are necessary, 42 in the opinion of the Chief State's Attorney, to assist the Chief State's 43 Attorney. Assistant state's attorneys and deputy assistant state's 44 attorneys, respectively, shall assist the state's attorneys for the judicial 45 districts and the Chief State's Attorney in all criminal matters and, in the 46 absence from the district or disability of the state's attorney or at his 47 request, shall have and exercise all the powers and perform all the duties 48 of state's attorney. At least three such assistant state's attorneys or 49 deputy assistant state's attorneys shall be designated by the Chief State's 50 Attorney to handle all prosecutions in the state of housing matters 51 deemed to be criminal. Any assistant or deputy assistant state's attorney 52 so designated should have a commitment to the maintenance of decent,

53 safe and sanitary housing and, to the extent practicable, shall handle 54 housing matters on a full-time basis. At least one assistant state's 55 attorney shall be designated by the Chief State's Attorney to handle all 56 prosecutions in the state of environmental matters deemed to be 57 criminal. Any assistant state's attorney so designated should have a 58 commitment to protecting the environment and, to the extent 59 practicable, shall handle environmental matters on a full-time basis. (C) 60 The Chief State's Attorney may promote any assistant state's attorney, 61 or deputy assistant state's attorney who assists him, and the appropriate 62 state's attorney may promote any assistant state's attorney or deputy 63 assistant state's attorney who assists such state's attorney in the judicial 64 district. The Chief State's Attorney shall notify the Criminal Justice 65 Commission of any such promotion.

(2) On and after July 1, 1985, the Chief State's Attorney, deputy chief
state's attorneys, state's attorneys, assistant state's attorneys and deputy
assistant state's attorneys shall receive salaries in accordance with a
compensation plan approved by the Department of Administrative
Services.

71 (3) Each state's attorney who, on June 30, 1973, was included in the 72 provisions of sections 51-49, 51-287 and 51-288 may elect to continue to 73 be so included and, each state's attorney, incumbent on July 1, 1978, who 74 was an assistant state's attorney, chief prosecuting attorney or deputy 75 chief prosecuting attorney on June 30, 1973, may elect to be included in 76 sections 51-49, 51-287 and 51-288, and, in each such case, the 77 Comptroller shall deduct from his salary five per cent thereof as 78 contributions for the purposes of sections 51-49, 51-287 and 51-288, 79 provided any person who has so elected may thereafter elect to 80 participate in chapter 66 and thereupon his past contributions to the 81 State's Attorneys' Retirement Fund shall be transferred to the State 82 Employees Retirement Fund and he shall be credited with all prior 83 service. All other persons appointed under the provisions of this section 84 shall be subject to the provisions of chapter 66.

85 (4) Each Chief State's Attorney, deputy chief state's attorney or state's

attorney who (A) is ineligible to elect under subdivision (3) of this
subsection, (B) is not subject to the provisions of chapter 66, and (C) had
vested under the State Employees Retirement Fund, prior to his
appointment to such office, shall vest under the State's Attorneys'
Retirement Fund upon reappointment to any such office by the Criminal
Justice Commission.

(5) The several state's attorneys shall each hold office for [eight] <u>five</u>
years from July first and until the appointment and qualification of a
successor unless sooner removed for just cause by the Criminal Justice
Commission. <u>Beginning July 1, 2023, the commission shall biennially</u>
<u>evaluate the performance of each state's attorney in accordance with</u>
<u>section 51-280, as amended by this act.</u>

(6) When any vacancy in the office of the Chief State's Attorney or the
office of a state's attorney is to be filled, the commission shall make its
appointment from the various recommendations of the Chief State's
Attorney or the appropriate state's attorney. The commission shall
determine how many recommendations it shall require for each
appointment.

(7) Each deputy chief state's attorney and state's attorney incumbent
on the date of certification by the Secretary of the State of the
constitutional amendment concerning appointment of state's attorneys,
shall serve the term for which he had been appointed prior to said date.

(8) When any appointment of a deputy chief state's attorney to serve
as Inspector General in accordance with section 51-277e is to be made,
the commission shall make such appointment by majority vote. In the
event that there is a tie vote for such appointment, the chairperson of
the commission shall select the nominee from amongst the candidates
in the tie position and said candidate shall be appointed to serve as
Inspector General.

Sec. 3. Subsection (c) of section 51-278b of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1*, 117 2022):

118 (c) The Criminal Justice Commission may discipline for just cause 119 after due notice and hearing by reprimand, demotion or suspension 120 with or without pay from his or her office up to fifteen days, a Chief 121 State's Attorney, deputy chief state's attorney or state's attorney. A 122 recommendation for discipline may be initiated by the Chief State's 123 Attorney. The Chief State's Attorney may discipline any assistant state's 124 attorney or deputy assistant state's attorney who assists [him] the Chief 125 State's Attorney or the appropriate state's attorney may discipline any 126 assistant state's attorney or deputy assistant state's attorney who assists 127 [him] such state's attorney, for just cause after due notice and hearing 128 by reprimand, demotion or suspension with or without pay from his or 129 <u>her</u> office up to fifteen days. 130 Sec. 4. (Effective from passage) (a) The Division of Criminal Justice

131 Advisory Board, established pursuant to section 51-279a of the general 132 statutes, shall develop recommended policies on or before November 1, 133 2023. Such policies shall be for the purpose of increasing efficiency, 134 ensuring fairness and eliminating bias across the judicial districts. Such 135 policies shall include, but are not limited to, those addressing:

136 (1) Preliminary criminal case decisions, including:

137 (A) Initiation of charges;

138 (B) Charging juveniles as adults;

139 (C) The standard of proof required to initiate and maintain charges; 140 and

141 (D) Investigatory and discovery materials to be reviewed before 142 charging;

143 (2) Compliance with and enforcement of the state constitutional 144 rights of victims;

(3) Case management and staffing, including transfer, continuanceand staffing standards;

147 (4) The use of a grand jury for investigations;

(5) Circumstances and procedures for deviating from the policiescreated by the advisory board pursuant to this subsection;

(6) A uniform assessment tool for conducting annual performance
evaluations for all deputy assistant state's attorneys, assistant state's
attorneys, senior assistant state's attorneys, supervisory assistant state's
attorneys and any other prosecuting attorneys;

(7) Uniform prosecutorial ethics standards in addition to the ethicalstandards pertaining to all attorneys, including:

(A) Adoption of a comprehensive code of ethics for state's attorneys,
deputy assistant state's attorneys, assistant state's attorneys, senior
assistant state's attorneys, supervisory assistant state's attorneys and
any other prosecuting attorneys in accordance with national best
practices;

(B) Establishment of a process for tracking oversight of ethical
compliance by prosecuting attorneys within the state's attorney's
judicial district; and

(C) Adoption of a process for tracking, monitoring and correcting
ethical complaints against or ethical violations by prosecuting attorneys
within the state's attorney's judicial district; and

167 (8) Any other issues the advisory board deems necessary.

(b) Not later than February 1, 2024, the Division of Criminal Justice
Advisory Board shall report on its recommended policies developed
pursuant to subsection (a) of this section, in accordance with the
provisions of section 11-4a of the general statutes, to the joint standing
committee of the General Assembly having cognizance of matters

173 relating to the judiciary.

174 Sec. 5. Section 51-279c of the general statutes is repealed and the 175 following is substituted in lieu thereof (*Effective October 1, 2022*):

176 The Chief State's Attorney shall establish a formal training program 177 for all newly-appointed prosecuting attorneys consisting of not less than 178 five days and an ongoing training program for all prosecuting attorneys 179 consisting of not less than two days each year. Such training programs 180 shall commence January 1, 1998. On and after July 1, 2023, each such 181 training program shall include training on (1) racial bias, including 182 implicit bias; (2) systemic collateral consequences of arrest, charging and 183 incarceration; (3) available conviction and sentencing alternatives; (4) 184 victim interview techniques; (5) mental illness and trauma; and (6) 185 reentry strategies for offenders released into the community. At least 186 one day (A) of each training program for newly-appointed prosecuting 187 attorneys, and (B) annually of such ongoing training programs shall be 188 held in a state correctional facility.

Sec. 6. Section 51-280 of the 2022 supplement to the general statutes
is repealed and the following is substituted in lieu thereof (*Effective October 1, 2022*):

192 (a) The Chief State's Attorney and each state's attorney shall 193 biennially prepare a merit and performance rating for each state's 194 attorney, assistant state's attorney and deputy assistant state's attorney 195 and shall submit the biennial merit and performance rating conducted 196 pursuant to the policy of the Division of Criminal Justice for each state's 197 attorney to the Criminal Justice Commission for its consideration at the 198 time for reappointing such attorneys to any new term or terms. As part 199 of any such biennial merit and performance rating process, the 200 commission may call a state's attorney before the commission for 201 questions concerning any issue raised in a biennial merit and 202 performance rating.

203 (b) The Office of Governmental Accountability, established under

section 1-300, as amended by this act, shall biennially prepare a judicial 204 205 district performance report for each state's attorney which shall include, but not be limited to, data collected pursuant to section 51-286j, as 206 207 amended by this act. 208 (c) The Office of Governmental Accountability shall provide the 209 judicial district performance report for the judicial districts of Ansonia-210 Milford, Hartford, Middlesex, New Britain, Stamford-Norwalk and Waterbury to the Criminal Justice Commission on or before July 1, 2023, 211 212 and every two years thereafter. The Office of Governmental Accountability shall provide the judicial district performance report for 213 214 the judicial districts of Danbury, Fairfield, Litchfield, New Haven, New 215 London, Tolland and Windham to the Criminal Justice Commission on 216 or before July 1, 2024, and every two years thereafter. 217 (d) The Criminal Justice Commission shall provide each judicial 218 district performance report to the state's attorney who is the subject of 219 the report and post such report on the Internet web site for the Division 220 of Criminal Justice on the same date that the judicial district 221 performance report is provided to the commission. The commission 222 shall review the performance report for each judicial district and the 223 state's attorney shall be given the opportunity to explain any 224 discrepancies between the judicial districts or between past and present 225 performance. 226 (e) When a state's attorney is being considered for reappointment, the judicial district performance reports created by the Office of 227 228 Governmental Accountability and the performance reviews conducted 229 by the Criminal Justice Commission may be considered by the Criminal 230 Justice Commission. 231 Sec. 7. Section 51-286j of the general statutes is repealed and the 232 following is substituted in lieu thereof (*Effective October 1, 2022*): 233 (a) The Division of Criminal Justice, in consultation with the Judicial 234 Branch, the Department of Correction and the Criminal Justice

Information System Governing Board, established under section 54-142, shall collect for the purposes of section 4-68ff disaggregated, case level data by docket number pertaining to defendants who are eighteen years of age or older at the time of the commission of an alleged offense under each of the categories described in subdivisions (1) to [(13)] (14), inclusive, of this subsection, as follows:

(1) Arrests, including data on citations, summonses, custody arrests,warrants and on-site arrests;

243 (2) Arraignments of individuals in custody;

244 (3) Continuances;

(4) Diversionary programs, including data on program applications,
program diversions, successful completions by defendants of such
programs, failures by defendants to complete such programs and
people in diversion on the first of the month;

(5) Contact between victims and prosecutorial officials, includingdata on cases involving victims;

(6) Dispositions, including data on pending cases and cases disposedof;

(7) Nonjudicial sanctions, including data on nonjudicial sanctions
applied, successful completion of nonjudicial sanctions, failure of
nonjudicial sanctions and persons on nonjudicial sanction status on the
first of the month;

(8) Plea agreements, including data on total plea agreements,
agreements involving probation, agreements involving prison, other
agreements and prosecutor's last best offer;

(9) Cases going to trial, including data on cases added per month,
pending trial cases, plea offers accepted by the court per month, plea
offers rejected by the court per month, disposition by trial, disposition

263 involving probation, disposition involving prison and other264 dispositions;

265 (10) Demographics, including data on race, sex, ethnicity and age;

(11) Court fees or fines, including those imposed by the court at the
disposition of the defendant's case and any outstanding balance the
defendant may have on such fees or fines;

(12) Restitution amounts ordered pursuant to subsection (c) of section
53a-28, including any amount collected by the court and any amount
paid to a victim; [and]

272 (13) The zip code of the defendant's primary residence<u>; and</u>

273 (14) Uses of force investigated by the Office of the Inspector General.

(b) No information collected under this section that personallyidentifies a victim may be disclosed under section 4-68ff.

(c) The information collected under this section shall be compiled and
 reported for each judicial district to the Office of Governmental
 Accountability, established under section 1-300, as amended by this act,
 for preparation of judicial district performance reports pursuant to
 section 51-280, as amended by this act.

Sec. 8. Section 1-300 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2022*):

(a) There is established the Office of Governmental Accountability.
The executive administrator of the office shall serve as the
administrative head of the office, who shall be appointed in accordance
with the provisions of section 1-301, as amended by this act.

(b) The Office of Governmental Accountability shall provide
personnel, payroll, affirmative action and administrative and business
office functions and information technology associated with such
functions for the following: The Judicial Review Council established

291 under section 51-51k, Judicial Selection Commission established under 292 section 51-44a, Board of Firearms Permit Examiners established under 293 section 29-32b, Office of the Child Advocate established under section 294 46a-13k, Office of the Victim Advocate established under section 46a-295 13b, [and] State Contracting Standards Board established under section 296 4e-2 and Criminal Justice Commission established under section 51-297 <u>275a, as amended by this act</u>. The personnel, payroll, affirmative action 298 and administrative and business office functions of said offices, 299 commission, council and boards shall be merged and consolidated 300 within the Office of Governmental Accountability.

301 (c) The executive administrator may employ necessary staff to carry
302 out the administrative functions of the Office of Governmental
303 Accountability, within available appropriations. Such necessary staff of
304 the Office of Governmental Accountability shall be in classified service.

305 (d) The Office of Governmental Accountability shall prepare a
 306 judicial district performance report for each state's attorney pursuant to
 307 the provisions of section 51-280, as amended by this act.

308 [(d)] (e) Nothing in this section shall be construed to affect or limit the 309 independent decision-making authority of the Judicial Review Council, Judicial Selection Commission, Board of Firearms Permit Examiners, 310 311 Office of the Child Advocate, Office of the Victim Advocate or [the] State 312 Contracting Standards Board or Criminal Justice Commission. Such 313 decision-making authority includes, but is not limited to, decisions 314 concerning budgetary issues and concerning the employment of 315 necessary staff to carry out the statutory duties of each such office, 316 commission, council or board.

Sec. 9. Subsection (a) of section 1-301 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October* 1, 2022):

(a) (1) There shall be a Governmental Accountability Commission,within the Office of Governmental Accountability established under

322 section 1-300, as amended by this act, that shall consist of [six] seven 323 members as follows: (A) The executive director of the Judicial Review 324 Council established under section 51-51k, or the executive director's 325 designee; (B) the chairperson of the Judicial Selection Commission 326 established under section 51-44a, or the chairperson's designee; (C) the 327 chairperson of the Board of Firearms Permit Examiners established 328 under section 29-32b, or the chairperson's designee; (D) the Child 329 Advocate appointed under section 46a-13k, or the advocate's designee; 330 (E) the Victim Advocate appointed under section 46a-13b, or the 331 advocate's designee; [and] (F) the chairperson of the State Contracting 332 Standards Board established under section 4e-2, or the chairperson's 333 designee; and (G) the chairperson of the Criminal Justice Commission 334 established under section 51-275a, as amended by this act, or the 335 chairperson's designee, provided no person serving as a designee under 336 this subsection may be a state employee. The Governmental 337 Accountability Commission shall select a chairperson who shall preside 338 at meetings of the commission. Said commission shall meet for the 339 purpose of making recommendations to the Governor for candidates for 340 the executive administrator of the Office of Governmental 341 Accountability pursuant to the provisions of subsection (b) of this section, or for the purpose of terminating the employment of the 342 343 executive administrator.

344 (2) The commission established under subdivision (1) of this
345 subsection shall not be construed to be a board or commission within
346 the meaning of section 4-9a.

This act shall take effect as follows and shall amend the following sections:			
Section 1	October 1, 2022	51-275a(h)	
Sec. 2	October 1, 2022	51-278(b)	
Sec. 3	July 1, 2022	51-278b(c)	
Sec. 4	from passage	New section	
Sec. 5	October 1, 2022	51-279c	
Sec. 6	October 1, 2022	51-280	

Sec. 7	October 1, 2022	51-286j
Sec. 8	October 1, 2022	1-300
Sec. 9	October 1, 2022	1-301(a)

Statement of Legislative Commissioners:

In Section 4(a), subdivisions (4) and (8) were merged to avoid redundancy and in Section 9(a)(1), "six" was changed to "[six] seven" for accuracy.

JUD Joint Favorable Subst.