

General Assembly

February Session, 2024

Raised Bill No. 252

LCO No. **1905**

Referred to Committee on GOVERNMENT ADMINISTRATION AND ELECTIONS

Introduced by: (GAE)

AN ACT CONCERNING REFERENDA, INDEPENDENT EXPENDITURES AND OTHER CAMPAIGN FINANCE CHANGES.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

Section 1. Section 9-601 of the 2024 supplement to the general statutes
 is amended by adding subdivisions (33) to (35), inclusive, as follows
 (*Effective from passage*):

4 (NEW) (33) "Independent expenditure political committee" means a 5 political committee that makes only (A) independent expenditures (i) to 6 promote the success or defeat of any candidate seeking (I) the 7 nomination for election, or (II) election, or (ii) for the purpose of aiding 8 or promoting the success or defeat of any (I) referendum question, or 9 (II) political party, and (B) contributions to other independent 10 expenditure political committees.

(NEW) (34) "Consultant" means any person (A) that provides (i)
campaign strategy, (ii) design or management of campaign
communications, literature or advertising, or (iii) fundraising or
management services, or (B) with duties that include identifying, hiring

15 or paying subvendors for goods or services on behalf of a committee or

16 person required to file a report pursuant to section 9-601d, as amended

17 by this act, or 9-608, as amended by this act, as applicable.

(NEW) (35) (A) "Subvendor" means any person that provides goods
or services to a consultant or that contracts with a consultant or other
subvendor to provide goods or services to a committee or person
required to file a report pursuant to section 9-601d, as amended by this
act, or 9-608, as amended by this act, as applicable.

(B) "Subvendor" does not include a person who is an employee of a
consultant if such person has been an employee of such consultant for
three or more consecutive months prior to any month in which a
committee or person is required to file a report accounting for any
expenditure to such consultant or any subvendor for such consultant.

Sec. 2. Subdivision (3) of section 9-601 of the 2024 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

31 (3) "Political committee" means (A) a committee organized by a 32 business entity or organization, (B) persons other than individuals, or 33 two or more individuals organized or acting jointly conducting their 34 activities in or outside the state, (C) an exploratory committee, (D) a 35 committee established by or on behalf of a slate of candidates in a 36 primary for the office of justice of the peace, but does not mean a 37 candidate committee or a party committee, (E) a legislative caucus 38 committee, [or] (F) a legislative leadership committee, or (G) an 39 independent expenditure political committee.

Sec. 3. Section 9-601c of the general statutes is amended by adding
subsection (e) as follows (*Effective from passage*):

(NEW) (e) Notwithstanding the provisions of subsections (a) to (d),
inclusive, of this section, an independent expenditure political
committee may coordinate with one or more other independent
expenditure political committees for the purpose of making one or more

46 independent expenditures.

47 Sec. 4. Subsections (a) to (i), inclusive, of section 9-601d of the general
48 statutes are repealed and the following is substituted in lieu thereof
49 (*Effective from passage*):

50 (a) Any person, as defined in section 9-601, as amended by this act, 51 may, unless otherwise restricted or prohibited by law, including, but not 52 limited to, any provision of this chapter or chapter 157, make unlimited 53 independent expenditures, as defined in section 9-601c, as amended by 54 this act, and accept unlimited covered transfers, as defined in [said] 55 section 9-601, as amended by this act. Except as provided [pursuant to] 56 in this section, any such person who makes or obligates to make an 57 independent expenditure or expenditures in excess of one thousand 58 dollars, in the aggregate, shall file statements according to the same 59 schedule and in the same manner as is required of a treasurer of a 60 [candidate] political committee pursuant to section 9-608, as amended 61 by this act. Any such person, other than a committee, shall file with the 62 proper authority, as provided in section 9-603 (1) a long-form report and 63 a short-form report pursuant to subsection (c) of this section for such 64 independent expenditure or expenditures, or (2) a short-form report 65 pursuant to subsection (d) of this section from each subsequent 66 independent expenditure made or obligated to be made.

67 (b) Any person who makes or obligates to make an independent 68 expenditure or expenditures in an election or primary for the office of 69 Governor, Lieutenant Governor, Secretary of the State, State Treasurer, 70 Attorney General, state senator State Comptroller, or state representative, [which] or to promote the success or defeat of a 71 72 referendum question proposing a constitutional convention, 73 constitutional amendment or revision of the Constitution, that exceed 74 one thousand dollars, in the aggregate, during [a primary campaign or 75 a general election campaign, as defined in section 9-700, shall file, 76 electronically, a long-form and a short-form report of such independent 77 expenditure or expenditures with the State Elections Enforcement 78 Commission pursuant to subsections (c) and (d) of this section. The

79 person that makes or obligates to make such independent expenditure 80 or expenditures shall file such reports] the period beginning on June first in the year of a regular election, or on the day the Governor issues writs 81 of election pursuant to section 9-215 in the case of a special election for 82 the office of state senator or state representative, and ending on the day 83 following the primary or election for which such person made or 84 85 obligated to make such independent expenditure or expenditures, shall electronically file, in the case of a committee, a report pursuant to section 86 87 9-608, as amended by this act, or, in the case of any person other than a committee, a long-form report and a short-form report pursuant to 88 89 subsections (c) and (d) of this section not later than twenty-four hours 90 after (1) making any such payment, or (2) obligating to make any such payment, with respect to the primary, [or] election [. If any such person 91 92 makes or incurs a subsequent independent expenditure, such person 93 shall report such expenditure pursuant to subsection (d) of this section 94 or referendum. In the case of a special election for the office of state 95 senator or state representative, if any person makes or obligates to make an independent expenditure or expenditures for such special election 96 97 that exceed one thousand dollars, in the aggregate, prior to the day the 98 Governor issues writs of election pursuant to section 9-215, such person 99 shall file a report not later than twenty-four hours after such writs of 100 election are issued. Such reports shall be filed under penalty of false 101 statement.

102 (c) The independent expenditure long-form report shall identify: (1) 103 The name of the person making or obligating to make such independent 104 expenditure or expenditures and, in the case of a person other than an 105 individual, provide the name of a human being who had direct, 106 extensive and substantive decision-making authority over such 107 independent expenditure or expenditures; (2) the tax exempt status of 108 such person and, if [applicable] such person files a report with the 109 Federal Election Commission, the Internal Revenue Service or any 110 similar out-of-state agency, provide identifying information under which any such filing is made; (3) the mailing address, and street 111 112 address if different, of such person; (4) the principal business address of 113 the person, if different from either the mailing address or street address; 114 (5) the mailing address, and street address if different, telephone 115 number and electronic mail address of the agent for service of process in this state of such person and of the human being described in 116 117 subparagraph (A) of subdivision (1) of this subsection; (6) the date of the 118 primary, [or] election or referendum for which [the] such independent 119 expenditure or expenditures were made or obligated to be made; (7) the 120 name of any candidate who, or the text of any referendum question that, 121 was the subject of [any] such independent expenditure or expenditures 122 and whether [the] such independent expenditure or expenditures were 123 in support of or in opposition to such candidate or referendum question; 124 and (8) the name, telephone number and electronic mail address for the 125 individual filing such report. Such individual filing such report shall, 126 under penalty of false statement, affirm that the expenditure reported is 127 an independent expenditure. [under penalty of false statement.]

128 (d) As part of any filing made pursuant to subsection (c) of this 129 section and for each subsequent independent expenditure made or 130 obligated to be made by a person with respect to the primary, [or] 131 election or referendum for which a long-form report pursuant to 132 subsection (c) of this section has been filed on behalf of such person, an 133 individual shall file [, electronically,] a short-form report for each such 134 independent expenditure. [, not later than twenty-four hours after such 135 person makes a payment for an independent expenditure or obligates 136 to make such an independent expenditure.] Such short-form report shall 137 identify: (1) The name of the person making or obligating to make such 138 independent expenditure; (2) the amount of the independent 139 expenditure; (3) whether the independent expenditure was in support 140 of or in opposition to a candidate or referendum question and the name of such candidate or text of such referendum question; (4) a brief 141 142 description of the <u>independent</u> expenditure made, including the type of 143 communication, based on categories determined by the State Elections 144 Enforcement Commission, and the allocation of such independent 145 expenditure in support of or in opposition to each such candidate or 146 referendum question, if such independent expenditure was made in 147 support of or in opposition to more than one candidate <u>or referendum</u> 148 <u>question</u>; and (5) the name, telephone number and electronic mail 149 address for the individual filing such report. Such individual filing such 150 report shall, <u>under penalty of false statement</u>, affirm that the 151 expenditure reported is an independent expenditure. [under penalty of 152 false statement.]

(e) No person reporting an independent expenditure pursuant to the
provisions of subsection (c) or (d) of this section shall be required to file
a statement pursuant to section 9-608, as amended by this act, for such
independent expenditure.

157 (f) (1) Except as provided in subdivision (2) of this subsection, as part 158 of any statement filed pursuant to this section, if a person who makes or 159 obligates to make an independent expenditure (A) has received a 160 covered transfer during the twelve-month period prior to (i) a primary 161 or election, as applicable to the reported expenditure, for an office that 162 a candidate described in subdivision (7) of subsection (c) of this section 163 is seeking, or (ii) a referendum on a question proposing a constitutional 164 convention, constitutional amendment or revision of the Constitution, 165 and (B) such independent expenditure is made or obligated to be made 166 on or after the date that is one hundred eighty days prior to such primary, [or] election or referendum, such person shall disclose the 167 168 source and the amount of any such covered transfer such person 169 received that is in an amount that is five thousand dollars or more, in 170 the aggregate, during the twelve-month period prior to such primary, 171 [or] election or referendum, as applicable to the reported expenditure.

172 (2) The provisions of subdivision (1) of this subsection shall not apply 173 to any person who discloses the source and amount of a covered transfer 174 described in subdivision (1) of this subsection as part of any report to 175 the Federal Election Commission, [or] the Internal Revenue Service or 176 any similar out-of-state agency, provided such person includes a copy 177 of, or information sufficient to find, any such report as part of the report 178 of each applicable independent expenditure <u>filed</u> pursuant to this 179 section. If a source and amount of a covered transfer is not included as

part of any such report, the maker of the <u>independent</u> expenditure shall
disclose the source and amount of such covered transfer pursuant to
subdivision (1) of this subsection, if applicable.

183 (g) (1) A person may, unless otherwise restricted or prohibited by law, including, but not limited to, any provision of this chapter or 184 185 chapter 157, establish a dedicated independent expenditure account [, 186 for the purpose of engaging in] that may be used to make independent 187 expenditures, [that] provided such account is segregated from all other 188 accounts controlled by such person. Such dedicated independent 189 expenditure account may receive covered transfers directly from 190 persons other than the person establishing the dedicated account and 191 may not receive transfers from another account controlled by the person 192 establishing the dedicated account, except as provided in subdivision 193 (2) of this subsection. If an independent expenditure is made from such 194 segregated account, any report required pursuant to this section or 195 disclaimer required pursuant to section 9-621, as amended by this act, 196 [may include only] shall include those persons who made covered 197 transfers directly to the dedicated independent expenditure account.

198 (2) If a person who has made a covered transfer to another account 199 controlled by the person establishing a dedicated independent 200 expenditure account requests that such covered transfer be used for the 201 purposes of making an independent expenditure from the dedicated 202 independent expenditure account, the amount of such covered transfer 203 may be transferred to the dedicated independent expenditure account 204 and shall be treated as a covered transfer directly to the dedicated 205 independent expenditure account.

(h) Any person may file a complaint with the commission upon the
belief that (1) any such independent expenditure report or statement is
false, or (2) any person who is required to file an independent
expenditure report under this subsection has failed to do so. The
commission shall make a prompt determination on such a complaint.

211 (i) (1) [If] Notwithstanding the provisions of section 9-623, if (A) a

212 person fails to file a report in accordance with the provisions of this 213 section or section 9-608, as amended by this act, for an independent 214 expenditure or expenditures made or obligated to be made more than 215 ninety days before the day of a primary, [or election, the] election or 216 referendum, such person shall be subject to a civil penalty, imposed by 217 the State Elections Enforcement Commission, of not more than ten thousand dollars, [. If] and (B) a person fails to file a report required in 218 accordance with the provisions of this section for an independent 219 220 expenditure or expenditures made or obligated to be made ninety days 221 or less before the day of a primary, [or] election or referendum, such 222 person shall be subject to a civil penalty, imposed by the State Elections 223 Enforcement Commission, of not more than twenty thousand dollars or 224 twice the amount of such independent expenditure or expenditures, 225 whichever is greater.

226 (2) [If] Notwithstanding the provisions of section 9-623, if the State 227 Elections Enforcement Commission finds that any such failure is 228 knowing and wilful, the person responsible for [the] such failure shall 229 [also be fined] be subject to an additional civil penalty, imposed by the 230 commission, of not more than fifty thousand dollars or ten times the 231 amount of such independent expenditure or expenditures, whichever is 232 greater, and the commission may refer the matter to the office of the 233 Chief State's Attorney.

234 (3) If the State Elections Enforcement Commission finds that a person 235 is subject to a civil penalty under this subsection, (A) in the case of a 236 committee, (i) the chairperson, and (ii) any officer, or (B) in the case of a 237 person other than a committee, (i) the chief executive or chief financial officer, or equivalent, (ii) any other officer, and (iii) any manager who 238 239 had direct, extensive and substantive decision-making authority over 240 the independent expenditure or expenditures made or obligated to be 241 made by such person, shall be liable for paying any amount of such civil penalty imposed that is not paid by such person within one year after 242 243 the latter of (I) the date on which the commission imposed such civil 244 penalty, or (II) the date of the final judgment following any judicial 245 review of the commission's action.

Sec. 5. Subsection (b) of section 9-605 of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective from passage*):

249 (b) The registration statement shall include: (1) The name and address 250 of the committee; (2) a statement of the purpose of the committee; (3) the 251 name and address of its treasurer, and deputy treasurer if applicable; (4) 252 the name, address and position of its [chairman] chairperson, and other 253 principal officers if applicable; (5) the name and address of the 254 depository institution for its funds; (6) the name of each person, other 255 than an individual, that is a member of the committee; (7) the name and 256 party affiliation of each candidate whom the committee is supporting 257 and the office or position sought by each candidate; (8) if the committee 258 is supporting the entire ticket of any party, a statement to that effect and 259 the name of the party; (9) if the committee is supporting or opposing 260 any referendum question, a brief statement identifying the substance of 261 the question; (10) if the committee is established or controlled by a 262 [business entity or organization] person or an individual acting as the agent of a person, the name of [the entity or organization] such person 263 264 and, if the committee is established or controlled by a person other than a human being, the name of its chief executive officer or equivalent; (11) 265 266 if the committee is established by an organization, a statement of 267 whether it will receive its funds from the organization's treasury or from 268 voluntary contributions; (12) if the committee files reports with the 269 Federal Elections Commission, the Internal Revenue Service or any 270 similar out-of-state agency, a statement to that effect including the name 271 of the agency and identifying information under which any such filings 272 are made; (13) a statement indicating whether the committee is 273 established for a single primary, election or referendum or for ongoing 274 political activities; (14) if the committee is established or controlled by a 275 lobbyist, a statement to that effect and the name of the lobbyist; (15) the 276 name and address of the person making the initial contribution or 277 disbursement, if any, to the committee; and (16) any information that 278 the State Elections Enforcement Commission requires to facilitate 279 compliance with the provisions of this chapter or chapter 157. If no such initial contribution or disbursement, as described in subdivision (15) of
this subsection, has been made at the time of the filing of such statement,
the treasurer of the committee shall, not later than forty-eight hours after
receipt of such contribution or disbursement, file a report with the State
Elections Enforcement Commission. The report shall be in the same
form as statements filed under section 9-608, as amended by this act.

Sec. 6. Subdivision (1) of subsection (g) of section 9-607 of the general
statutes is repealed and the following is substituted in lieu thereof
(*Effective from passage*):

(g) (1) As used in this subsection, (A) "the lawful purposes of the 289 290 committee" means: (i) For a candidate committee or exploratory 291 committee, the promoting of the nomination or election of the candidate 292 who established the committee, except that after a political party 293 nominates candidates for election to the offices of Governor and 294 Lieutenant Governor, whose names shall be so placed on the ballot in 295 the election that an elector will cast a single vote for both candidates, as 296 prescribed in section 9-181, a candidate committee established by either 297 such candidate may also promote the election of the other such 298 candidate; (ii) for a political committee, other than an independent 299 expenditure political committee described in subparagraph (A)(iv) of 300 this subdivision, the promoting of a political party, including party 301 building activities, the success or defeat of candidates for nomination 302 and election to public office or position subject to the requirements of 303 this chapter [,] or the success or defeat of referendum questions, 304 provided [a political committee formed for a single referendum 305 question shall not promote the success or defeat of any candidate, and 306 provided further] a legislative leadership committee or a legislative 307 caucus committee may expend funds to defray costs for conducting 308 legislative or constituency-related business which are not reimbursed or 309 paid by the state; [and] (iii) for a party committee, the promoting of the 310 party, including party building activities, the candidates of the party or the success or defeat of referendum questions, and continuing operating 311 312 costs of the party; and (iv) for an independent expenditure political 313 committee, the promoting of a political party, the success or defeat of 314 candidates for nomination or election to public office or position subject
 315 to the requirements of this chapter or the success or defeat of
 316 referendum questions, and (B) "immediate family" means a spouse or
 317 dependent child of a candidate who resides in the candidate's
 318 household.

Sec. 7. Subparagraph (C) of subdivision (1) of subsection (e) of section
9-608 of the general statutes is repealed and the following is substituted
in lieu thereof (*Effective from passage*):

322 (C) [(i) Each political committee formed solely to aid or promote the 323 success or defeat of any referendum question, which does not receive 324 contributions from a business entity or an organization, shall distribute 325 its surplus to a party committee, to a political committee organized for 326 ongoing political activities, to a national committee of a political party, 327 to all contributors to the committee on a prorated basis of contribution, 328 to state or municipal governments or agencies or to any organization 329 which is a tax-exempt organization under Section 501(c)(3) of the 330 Internal Revenue Code of 1986, or any subsequent corresponding 331 internal revenue code of the United States, as from time to time 332 amended. (ii) Each political committee formed solely to aid or promote 333 the success or defeat of any referendum question, which receives 334 contributions from a business entity or an organization] An 335 independent expenditure political committee, other than an 336 independent expenditure political committee formed for ongoing 337 political activities, shall distribute its surplus to all contributors to the 338 committee on a prorated basis of contribution, to state or municipal 339 governments or agencies, or to any organization which is tax-exempt 340 under [said provisions] Sections 501(c)(3) and 501(c)(19) of the Internal 341 Revenue Code, as amended from time to time. Notwithstanding the 342 provisions of this subsection, a committee formed for a single 343 referendum shall not be required to expend its surplus [not later than] 344 within ninety days after the referendum and may continue in existence 345 if a substantially similar referendum question on the same issue will be 346 submitted to the electorate within six months after the first referendum. 347 If two or more substantially similar referenda on the same issue are

submitted to the electorate, each no more than six months apart, the
committee shall expend such surplus within ninety days following the
date of the last such referendum;

Sec. 8. Section 9-611 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

353 (a) No individual shall make a contribution or contributions to, for 354 the benefit of, or pursuant to the authorization or request of, a candidate 355 or a committee supporting or opposing any candidate's campaign for 356 nomination at a primary, or any candidate's campaign for election, to 357 the office of (1) Governor, in excess of three thousand five hundred 358 dollars; (2) Lieutenant Governor, Secretary of the State, Treasurer, 359 Comptroller or Attorney General, in excess of two thousand dollars; (3) 360 chief executive officer of a town, city or borough, in excess of one 361 thousand dollars; (4) state senator or probate judge, in excess of one 362 thousand dollars; or (5) state representative or any other office of a 363 municipality not previously included in this subsection, in excess of two 364 hundred fifty dollars. The limits imposed by this subsection shall be 365 applied separately to primaries and elections.

366 (b) (1) No individual shall make a contribution or contributions to, or 367 for the benefit of, an exploratory committee, in excess of three hundred 368 seventy-five dollars, if the candidate establishing the exploratory 369 committee certifies on the statement of organization for the exploratory 370 committee pursuant to subsection (c) of section 9-604 that the candidate 371 will not be a candidate for the office of state representative. No 372 individual shall make a contribution or contributions to, or for the 373 benefit of, any exploratory committee, in excess of two hundred fifty 374 dollars, if the candidate establishing the exploratory committee does not 375 so certify.

(2) No individual shall make a contribution or contributions to, or for
the benefit of, a political committee formed by a slate of candidates in a
primary for the office of justice of the peace, in excess of two hundred
fifty dollars.

[(c) No individual shall make contributions to such candidates or
committees which in the aggregate exceed thirty thousand dollars for
any single election and primary preliminary to such election.]

[(d)] (c) No individual shall make a contribution to any candidate or
committee, other than a contribution in kind, in excess of one hundred
dollars except by personal check or credit card of that individual.

386 [(e)] (d) No individual who is less than eighteen years of age shall 387 make a contribution or contributions, in excess of thirty dollars to, for 388 the benefit of, or pursuant to the authorization or request of: (1) A 389 candidate or a committee supporting or opposing any candidate's 390 campaign for nomination at a primary to any office; (2) a candidate or a 391 committee supporting or opposing any candidate's campaign for 392 election to any office; (3) an exploratory committee; (4) any other 393 political committee in any calendar year; or (5) a party committee in any 394 calendar year. Notwithstanding any provision of subdivision (2) of 395 section 9-7b, any individual who is less than eighteen years of age who 396 violates any provision of this subsection shall not be subject to the 397 provisions of subdivision (2) of section 9-7b.

Sec. 9. Subsections (a) and (b) of section 9-612 of the 2024 supplement
to the general statutes are repealed and the following is substituted in
lieu thereof (*Effective from passage*):

401 (a) (1) No individual shall make a contribution or contributions in any 402 one calendar year in excess of fifteen thousand dollars to the state central 403 committee of any party, or for the benefit of such committee pursuant 404 to its authorization or request; or two thousand dollars to a town 405 committee of any political party, or for the benefit of such committee 406 pursuant to its authorization or request; or two thousand dollars to a 407 legislative caucus committee or legislative leadership committee; [,] or 408 one thousand dollars to any other political committee [other than (1)] 409 except (A) a political committee formed solely to aid or promote the 410 success or defeat of a referendum question, [(2)] (B) an exploratory 411 committee, [(3)] (C) a political committee established by an 412 organization, or for the benefit of such committee pursuant to its 413 authorization or request, or [(4)] (D) a political committee formed by a 414 slate of candidates in a primary for the office of justice of the peace of 415 the same town.

416 (2) Notwithstanding the provisions of subdivision (1) of this
417 subsection and unless otherwise restricted or prohibited by law, an
418 individual may make contributions to an independent expenditure
419 political committee, including a political committee formed solely to aid
420 or promote the success or defeat of any referendum question.

421 (b) (1) No individual shall make a contribution to a political 422 committee established by an organization which receives its funds from 423 the organization's treasury. With respect to a political committee 424 established by an organization which has complied with the provisions 425 of subsection (b) or (c) of section 9-614, as amended by this act, and has 426 elected to receive contributions, no individual other than a member of 427 the organization may make contributions to the committee, in which 428 case the individual may contribute not more than seven hundred fifty 429 dollars in any one calendar year to such committee or for the benefit of 430 such committee pursuant to its authorization or request.

431 (2) Notwithstanding the provisions of subdivision (1) of this
432 subsection and unless otherwise restricted or prohibited by law, an
433 individual may make contributions to an independent expenditure
434 political committee established by an organization.

435 Sec. 10. Section 9-613 of the general statutes is repealed and the 436 following is substituted in lieu thereof (*Effective from passage*):

(a) [No] Except as provided in subsection (f) of this section, a business
entity shall <u>not</u> make any contributions or expenditures (1) to, or for the
benefit of, any candidate's campaign for election to any public office or
position subject to this chapter or for nomination at a primary for any
such office or position, or (2) to promote the defeat of any candidate for
any such office or position. [No] <u>A</u> business entity shall <u>not</u> make any
other contributions or expenditures to promote the success or defeat of

any political party. [, except as provided in subsection (b) of this section. 444 445 No] A business entity shall not establish more than one political 446 committee. A political committee shall be deemed to have been 447 established by a business entity if the initial disbursement or 448 contribution to the committee is made under subsection (b) of this 449 section or by an officer, director, owner, limited or general partner or 450 holder of stock constituting five per cent or more of the total outstanding 451 stock of any class of the business entity.

(b) A business entity may make reasonable and necessary transfers or disbursements to or for the benefit of a political committee established by such business entity, for the administration of, or solicitation of contributions to, such political committee. Nonmonetary contributions by a business entity which are incidental in nature and are directly attributable to the administration of such political committee shall be exempt from the reporting requirements of this chapter.

459 [(c) The provisions of this section shall not preclude a business entity
460 from making contributions or expenditures to promote the success or
461 defeat of a referendum question.]

462 [(d)] (c) [A] Except as provided in subsection (f) of this section, a 463 political committee organized by a business entity shall not make a 464 contribution or contributions to or for the benefit of any candidate's 465 campaign for nomination at a primary or any candidate's campaign for 466 election to the office of: (1) Governor, in excess of five thousand dollars; 467 (2) Lieutenant Governor, Secretary of the State, Treasurer, Comptroller 468 or Attorney General, in excess of three thousand dollars; (3) state 469 senator, probate judge or chief executive officer of a town, city or 470 borough, in excess of one thousand five hundred dollars; (4) state 471 representative, in excess of seven hundred fifty dollars; or (5) any other 472 office of a municipality not included in subdivision (3) of this 473 subsection, in excess of three hundred seventy-five dollars. The limits 474 imposed by this subsection shall apply separately to primaries and 475 elections and contributions by any such committee to candidates 476 designated in this subsection shall not exceed one hundred thousand

dollars in the aggregate for any single election and primary preliminary thereto. Contributions to such committees shall also be subject to the provisions of section 9-618<u>, as amended by this act</u>, in the case of committees formed for ongoing political activity or section 9-619<u>, as</u> <u>amended by this act</u>, in the case of committees formed for a single election or primary.

483 [(e)] (d) [No] Except as provided in subsection (f) of this section, a 484 political committee organized by a business entity shall not make a 485 contribution or contributions to (1) a state central committee of a 486 political party, in excess of seven thousand five hundred dollars in any 487 calendar year, (2) a town committee of any political party, in excess of 488 one thousand five hundred dollars in any calendar year, (3) an 489 exploratory committee in excess of three hundred seventy-five dollars, 490 or (4) any other kind of political committee, in excess of two thousand 491 dollars in any calendar year.

492 [(f)] (e) As used in this subsection, "investment services" means 493 investment legal services, investment banking services, investment 494 advisory services, underwriting services, financial advisory services or 495 brokerage firm services. [No] A political committee established by a 496 firm which provides investment services and to which the State 497 Treasurer pays compensation, expenses or fees or issues a contract shall 498 not make a contribution to, or solicit contributions on behalf of, an 499 exploratory committee or candidate committee established by a 500 candidate for nomination or election to the office of State Treasurer 501 during the term of office of the State Treasurer who does business with 502 such firm.

503 [(g)] (f) (1) Notwithstanding the provisions of this section, a 504 [corporation, cooperative association, limited partnership, professional 505 association, limited liability company or limited liability partnership, 506 whether formed in this state or any other, acting alone,] <u>business entity</u> 507 may make independent expenditures <u>and contributions to an</u> 508 <u>independent expenditure political committee.</u> 509 (2) An independent expenditure political committee organized by a
 510 business entity shall not make any contribution unless such contribution
 511 is to another independent expenditure political committee.

512 Sec. 11. Section 9-614 of the general statutes is repealed and the 513 following is substituted in lieu thereof (*Effective from passage*):

514 (a) An organization may make contributions or expenditures, other 515 than [those made to promote] for the purposes of promoting the success 516 or defeat of a referendum question, only by first forming its own 517 political committee. [The] Unless such political committee is an 518 independent expenditure political committee, the political committee 519 shall then be authorized to (1) receive funds (A) exclusively from the 520 organization's treasury or from voluntary contributions made by its 521 members, but not both, (B) from another political committee, or [,] (C) 522 from a candidate committee distributing a surplus, and [(1) to] (2) make 523 (A) contributions or expenditures to, or for the benefit of, a candidate's 524 campaign or a political party, or [(2) to make] (B) contributions to 525 another political committee. [No] <u>An</u> organization shall <u>not</u> form more 526 than one political committee. A political committee shall be deemed to 527 have been established by an organization if the initial contribution to the 528 committee is made by the organization's treasury or an officer or 529 director of the organization.

530 (b) A political committee established by an organization may elect to 531 alter the manner in which it is funded if it complies with the 532 requirements of this subsection. The committee chairperson shall notify 533 the repository with which the committee's most recent statement of 534 organization is filed, in writing, of the committee's intent to alter its 535 manner of funding. [Within] Not later than fifteen days after the date of 536 receipt of such notification, the treasurer of such political committee 537 shall return any funds remaining in the account of the committee to the 538 organization's treasury after payment of each outstanding liability. 539 [Within] Not later than seven days after the distribution and payments 540 have been made, the treasurer shall file a statement with the same 541 repository itemizing each such distribution and payment. Upon such filing, the treasurer may receive voluntary contributions from any
member of the organization which established such committee subject
to the limitations imposed in subsection (b) of section 9-612, as amended
by this act.

- (c) The chairperson of each political committee established by an
 organization on or after July 1, 1985, shall designate the manner in
 which the committee shall be funded in the committee's statement of
 organization.
- (d) Notwithstanding the provisions of this section, an organization [,
 acting alone,] may make independent expenditures <u>and contributions</u>
 to an independent expenditure political committee.
- 553 Sec. 12. Section 9-615 of the general statutes is repealed and the 554 following is substituted in lieu thereof (*Effective from passage*):
- 555 (a) [No] A political committee established by an organization shall 556 not make a contribution or contributions to, or for the benefit of, any 557 candidate's campaign for nomination at a primary or for election to the 558 office of: (1) Governor, in excess of five thousand dollars; (2) Lieutenant 559 Governor, Secretary of the State, Treasurer, Comptroller or Attorney 560 General, in excess of three thousand dollars; (3) chief executive officer 561 of a town, city or borough, in excess of one thousand five hundred 562 dollars; (4) state senator or probate judge, in excess of one thousand five hundred dollars; (5) state representative, in excess of seven hundred 563 564 fifty dollars; or (6) any other office of a municipality not previously 565 included in this subsection, in excess of three hundred seventy-five 566 dollars.
- (b) [No such] <u>A political</u> committee <u>established by an organization</u>
 shall <u>not</u> make a contribution or contributions to, or for the benefit of,
 an exploratory committee, in excess of three hundred seventy-five
 dollars. [Any such] <u>A political</u> committee <u>established by an organization</u>
 may make unlimited contributions to a political committee formed
 solely to aid or promote the success or defeat of a referendum question.

573 (c) The limits imposed by subsection (a) of this section shall apply 574 separately to primaries and elections and no such committee shall make 575 contributions to the candidates designated in this section which in the 576 aggregate exceed fifty thousand dollars for any single election and 577 primary preliminary thereto.

578 (d) [No] Except as provided in subsection (f) of this section, a political 579 committee established by an organization shall not make contributions in any one calendar year to, or for the benefit of, (1) the state central 580 581 committee of a political party, in excess of seven thousand five hundred 582 dollars; (2) a town committee, in excess of one thousand five hundred 583 dollars; or (3) any political committee, other than an exploratory 584 committee or a committee formed solely to aid or promote the success 585 or defeat of a referendum question, in excess of two thousand dollars.

(e) Contributions to a political committee established by an
organization shall be subject to the provisions of section 9-618, as
<u>amended by this act</u>, in the case of a committee formed for ongoing
political activity or section 9-619, as amended by this act, in the case of
a committee formed for a single election or primary.

591 (f) An independent expenditure political committee established by an
 592 organization shall not make any contribution unless such contribution
 593 is to another independent expenditure political committee.

594 Sec. 13. Subsection (a) of section 9-618 of the 2024 supplement to the 595 general statutes is repealed and the following is substituted in lieu 596 thereof (*Effective from passage*):

597 (a) (1) A political committee organized for ongoing political activities 598 may make unlimited contributions to, or for the benefit of, any national 599 committee of a political party [;] or [a] any committee of a candidate for 600 federal or out-of-state office. Except as provided in subdivision (3) of 601 subsection (d) of this section, no such political committee shall make a 602 contribution or contributions in excess of two thousand dollars to 603 another political committee in any calendar year. No political committee 604 organized for ongoing political activities shall make a contribution in 605 excess of three hundred seventy-five dollars to an exploratory 606 committee. If such an ongoing committee is established by an 607 organization or a business entity, its contributions shall be subject to the 608 limits imposed by sections 9-613 to 9-615, inclusive, as amended by this 609 act. A political committee organized for ongoing political activities may 610 make [contributions] donations to a charitable organization which is a 611 tax-exempt organization under Section 501(c)(3) of the Internal Revenue 612 Code, as from time to time amended, or make memorial [contributions] 613 donations.

614 (2) An independent expenditure political committee organized for
 615 ongoing political activities shall not make any contribution unless such
 616 contribution is to another independent expenditure political committee.

617 Sec. 14. Subsection (a) of section 9-619 of the 2024 supplement to the 618 general statutes is repealed and the following is substituted in lieu 619 thereof (*Effective from passage*):

620 (a) [No] (1) A political committee established for a single primary or 621 election shall not make contributions to a national committee, or a 622 committee of a candidate for federal or out-of-state office. If such a 623 political committee is established by an organization or a business 624 entity, its contributions shall also be subject to the limitations imposed 625 by sections 9-613 to 9-615, inclusive, as amended by this act. Except as 626 provided in subdivision (2) of subsection (d) of this section, [no] a political committee [formed] established for a single election or primary 627 628 shall <u>not</u>, with respect to such election or primary, make a contribution 629 or contributions in excess of two thousand dollars to another political 630 committee, provided [no such] a political committee established for a 631 single election or primary shall not make a contribution in excess of 632 three hundred seventy-five dollars to an exploratory committee.

633 (2) An independent expenditure political committee established for a 634 single primary or election shall not make any contribution unless such

- 635 <u>contribution is to another independent expenditure political committee.</u>
- 636 Sec. 15. Section 9-620 of the general statutes is repealed and the

637 following is substituted in lieu thereof (*Effective from passage*):

638 (a) [A political committee formed solely to aid or promote the success 639 or defeat of a referendum question shall not make contributions to, or 640 for the benefit of, a party committee, a political committee, a national 641 committee, a committee of a candidate for federal or out-of-state office 642 or a candidate committee, except in the distribution of a surplus, as 643 provided in subsection (e) of section 9-608.] Subject to the provisions of 644 this chapter, any person may establish an independent expenditure 645 political committee that may only make expenditures without the 646 consent, coordination or consultation of a candidate or agent of the 647 candidate, candidate committee, party committee or political 648 committee. Subject to the provisions of this chapter, any such 649 independent expenditure political committee may accept contributions 650 from any person.

651 (b) [A political committee formed solely to aid or promote the success 652 or defeat of a referendum question shall not receive contributions from a national committee or from a committee of a candidate for federal or 653 654 out-of-state office] Any person may establish an independent 655 expenditure political committee solely to aid or promote the success or defeat of a single referendum question, or of multiple referendum 656 657 questions submitted to a vote on the same date. Such committee may 658 only make independent expenditures to aid or promote the success or defeat of a single referendum question, or of multiple referendum 659 660 questions submitted to a vote on the same date. Subject to the provisions 661 of this chapter, such committee may accept contributions from any 662 person.

663 (c) [No person, other than an individual or a committee, shall make a 664 contribution to a political committee formed solely to aid or promote the 665 success or defeat of a referendum question, or to any other person, to 666 aid or promote the success or defeat of a referendum question, in excess 667 of ten cents for each individual residing in the state or political 668 subdivision thereof in which such referendum question is to be voted 669 upon, in accordance with the last federal decennial census] <u>Except as</u>

670 provided in this section, an independent expenditure political 671 committee shall not make contributions to, or for the benefit of, a party 672 committee, a political committee, a national committee, a committee of 673 a candidate for federal or out-of-state office or a candidate committee. (d) Notwithstanding the provisions of this section, an independent 674 expenditure political committee may make contributions to another 675 676 independent expenditure political committee, make donations to any organization which is a tax-exempt organization under Sections 677 678 501(c)(3) and 501(c)(19) of the Internal Revenue Code, as amended from

- 679 time to time, and refund contributions to contributors.
- Sec. 16. Subsections (c) and (d) of section 9-621 of the general statutes
 are repealed and the following is substituted in lieu thereof (*Effective from passage*):

683 (c) (1) No business entity, organization, association, committee, or 684 group of two or more individuals who have joined solely to promote the success or defeat of a referendum question shall make or incur any 685 686 expenditure for any written, typed or other printed communication 687 which promotes the success or defeat of any referendum question unless 688 such communication bears upon its face, as a disclaimer, the words 689 "paid for by" and the following: [(1)] (A) In the case of a business entity, 690 organization or association, the name of the business entity, 691 organization or association and the name of its chief executive officer or 692 equivalent, and in the case such communication is made during the ninety-day period immediately prior to the referendum, such 693 694 communication shall also bear on its face the names of the five persons 695 who made the five largest aggregate covered transfers to such business 696 entity, organization or association during the twelve-month period 697 immediately prior to such referendum. The communication shall also 698 state that additional information about the business entity, organization 699 or association making such communication may be found on the State 700 Elections Enforcement Commission's Internet web site; [(2)] (B) in the 701 case of a political committee, the name of the committee and the name 702 of its treasurer; [(3)] (C) in the case of a party committee, the name of the

703 committee; or [(4)] (D) in the case of such a group of two or more 704 individuals, the name of the group and the name and address of its 705 agent. 706 (2) No person shall make or incur an independent expenditure for: 707 (A) A video broadcast by television, satellite or Internet which 708 promotes the success or defeat of any referendum question unless such 709 video is accompanied by the disclaimer described in subdivision (2) of 710 subsection (h) of this section; 711 (B) An audio communication broadcast by radio, satellite or Internet 712 which promotes the success or defeat of any referendum question unless 713 such audio communication is accompanied by the disclaimer described 714 in subdivision (3) of subsection (h) of this section; and 715 (C) Telephone calls which promote the success or defeat of any 716 referendum question unless such telephone calls are accompanied by 717 the disclaimer described in subdivision (4) of subsection (h) of this 718 section.

719 (d) The provisions of subsections (a), (b) and (c) of this section do not 720 apply to (1) any editorial, news story, or commentary published in any 721 newspaper, magazine or journal on its own behalf and upon its own 722 responsibility and for which it does not charge or receive any 723 compensation whatsoever, (2) any banner, (3) political paraphernalia 724 including pins, buttons, badges, emblems, hats, bumper stickers or 725 other similar materials, or (4) signs with a surface area of not more than 726 thirty-two square feet.

Sec. 17. Subdivision (1) of subsection (h) of section 9-621 of the general
statutes is repealed and the following is substituted in lieu thereof
(*Effective from passage*):

(h) (1) No person shall make or incur an independent expenditure for
any written, typed or other printed communication, including on a
billboard, or any web-based, written communication, <u>which promotes</u>

733 the success or defeat of any candidate's campaign for nomination at a 734 primary or election, unless such communication bears upon its face, as 735 a disclaimer, the words "Paid for by" and the name of such person and the following statement: "This message was made independent of any 736 737 candidate or political party.". In the case of a person making or incurring 738 such an independent expenditure during the ninety-day period 739 immediately prior to the primary or election for which the independent 740 expenditure is made, such communication shall also bear upon its face 741 the names of the five persons who made the five largest aggregate 742 covered transfers to the person making such communication during the 743 twelve-month period immediately prior to such primary or election, as 744 applicable. The communication shall also state that additional 745 information about the person making such communication may be found on the State Elections Enforcement Commission's Internet web 746 747 site.

Sec. 18. Subsection (i) of section 9-621 of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective from passage*):

(i) In any [print, television or social media promotion of a slate of]
organization expenditure for a party candidate listing of a candidate or
candidates by a party committee, [the party] legislative caucus
committee or legislative leadership committee, such committee shall use
applicable disclaimers pursuant to the provisions of this section for such
promotion, and no individual candidate disclaimers shall be required.

Sec. 19. Subsection (l) of section 9-621 of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective from passage*):

(l) Notwithstanding the provisions of this section, no person making
an independent expenditure for a communication shall be required to
list as part of any disclaimer pursuant to this section any person whose
covered transfers to the maker of the communication are not in an
aggregate amount of five thousand dollars or more during the twelve-

765 month period immediately prior to the primary_L [or] election <u>or</u> 766 <u>referendum</u>, as applicable, for which such independent expenditure is 767 made.

Sec. 20. Subdivision (1) of subsection (g) of section 9-7a of the general
statutes is repealed and the following is substituted in lieu thereof
(*Effective from passage*):

771 (g) (1) (A) In the case of a written complaint filed with the commission 772 pursuant to section 9-7b, commission staff shall conduct and complete a 773 preliminary examination of such complaint by the fourteenth day following its receipt, at which time such staff shall, at its discretion, [(A)] 774 775 (i) dismiss the complaint for failure to allege any substantial violation of 776 state election law supported by evidence, [(B)] (ii) engage the 777 respondent in discussions in an effort to speedily resolve any matter 778 pertaining to a de minimis violation, or [(C)] (iii) investigate and docket 779 the complaint for a determination by the commission that probable 780 cause or no probable cause exists for any such violation. If commission 781 staff dismisses a complaint pursuant to subparagraph (A)(i) of this 782 subdivision, such staff shall provide a brief written statement concisely 783 setting forth the reasons for such dismissal. If commission staff engages 784 a respondent pursuant to subparagraph [(B)] (A)(ii) of this subdivision but is unable to speedily resolve any such matter described in said 785 786 subparagraph by the forty-fifth day following receipt of the complaint, 787 such staff shall docket such complaint for a determination by the 788 commission that probable cause or no probable cause exists for any 789 violation of state election law. If the commission does not, by the sixtieth 790 day following receipt of the complaint, either issue a decision or render 791 its determination that probable cause or no probable cause exists for any 792 violation of state election laws, the complainant or respondent may 793 apply to the superior court for the judicial district of Hartford for an 794 order to show cause why the commission has not acted upon the 795 complaint and to provide evidence that the commission has 796 unreasonably delayed action.

797 (B) (i) For any complaint received on or after January 1, 2018, but prior

798 to July 1, 2024, if the commission does not, by one year following receipt 799 of such complaint, issue a decision thereon, the commission shall 800 dismiss such complaint, provided the length of time of any delay caused 801 by [(i)] (I) the commission or commission staff granting any extension 802 or continuance to a respondent prior to the issuance of any such 803 decision, [(ii)] (II) any subpoena issued in connection with such 804 complaint, [(iii)] (III) any litigation in state or federal court related to 805 such complaint, or [(iv)] (IV) any investigation by, or consultation of the 806 commission or commission staff with, the Chief State's Attorney, the 807 Attorney General, the United States Department of Justice or the United 808 States Attorney for Connecticut related to such complaint, shall be 809 added to such one year.

810 (ii) For any complaint received on or after July 1, 2023, if the 811 commission does not, by one year following receipt of such complaint, 812 find reason to believe that a violation of state election law has been 813 committed and commence a contested case, as defined in section 4-166, 814 the commission shall dismiss such complaint, provided the length of 815 time of any delay caused by (I) the commission or commission staff 816 granting any extension or continuance to a respondent prior to the issuance of any such decision, (II) any subpoena issued in connection 817 818 with such complaint, (III) any litigation in state or federal court related 819 to such complaint, (IV) any investigation by the commission or 820 commission staff involving a potential violation of section 9-601c, as 821 amended by this act, or 9-601d, as amended by this act, or (V) any 822 investigation by, or consultation of the commission or commission staff 823 with, the Chief State's Attorney, the Attorney General, the United States 824 Department of Justice or the United States Attorney for Connecticut 825 related to such complaint, shall be added to such one year. 826 Sec. 21. Subdivision (18) of section 53a-119 of the general statutes is 827 repealed and the following is substituted in lieu thereof (Effective from

828 passage):

(18) Failure to repay surplus Citizens' Election Fund grant funds. Aperson is guilty of failure to repay surplus Citizens' Election Fund grant

funds when such person fails to return to the Citizens' Election Fund
any surplus funds from a grant made pursuant to sections 9-700 to 9716, inclusive, [not later than ninety days after the primary or election
for which the grant is made] within the time prescribed for the
distribution of surplus under subdivision (1) of subsection (e) of section
9-608, as amended by this act.

Sec. 22. Subsection (a) of section 9-704 of the 2024 supplement to the
general statutes is repealed and the following is substituted in lieu
thereof (*Effective from passage*):

(a) The amount of qualifying contributions that the candidate
committee of a candidate shall be required to receive in order to be
eligible for grants from the Citizens' Election Fund shall be:

843 (1) In the case of a candidate for nomination or election to the office 844 of Governor, contributions from individuals in the aggregate amount of 845 two hundred fifty thousand dollars, [of which] including contributions 846 in the aggregate amount of two hundred twenty-five thousand dollars 847 [or more is contributed by] from at least two thousand two hundred fifty 848 individuals residing in the state, except that in the case of a primary or 849 election held in 2022, or thereafter, the aggregate contribution amounts 850 shall be first adjusted under subdivision (1) of subsection (b) of this 851 section and then rounded to the nearest multiple of one hundred dollars 852 with exactly fifty dollars rounded upward. The provisions of this 853 subdivision shall be subject to the following: (A) Except as provided in 854 subparagraph (C) of this subdivision and subsection (g) of section 9-610, 855 (i) on and after January 1, 2019, the candidate committee shall return the 856 portion of any contribution or contributions from any individual, 857 including said candidate, that exceeds two hundred fifty dollars, and (ii) 858 any such excess portion shall not be considered in calculating the 859 aggregate contribution amounts under this subdivision, (B) all contributions received by (i) an exploratory committee established by 860 861 said candidate, or (ii) an exploratory committee or candidate committee 862 of a candidate for the office of Lieutenant Governor who is deemed to 863 be jointly campaigning with a candidate for nomination or election to

864 the office of Governor under subsection (a) of section 9-709, which meet 865 the criteria for qualifying contributions to candidate committees under 866 this section shall be considered in calculating the aggregate contribution 867 amounts, and (C) in the case of a primary or election held in 2022, or 868 thereafter, the two-hundred-fifty-dollar maximum individual 869 contribution amount provided in subparagraph (A) of this subdivision 870 shall be first adjusted under subdivision (1) of subsection (c) of this 871 section and then rounded to the nearest multiple of ten dollars with 872 exactly five dollars rounded upward, provided such adjusted and rounded amount shall not exceed the applicable contribution limit set 873 874 forth in subsection (a) of section 9-611, as amended by this act.

875 (2) In the case of a candidate for nomination or election to the office 876 of Lieutenant Governor, Attorney General, State Comptroller, State 877 Treasurer or Secretary of the State, contributions from individuals in the 878 aggregate amount of seventy-five thousand dollars, [of which] 879 including contributions in the aggregate amount of sixty-seven thousand five hundred dollars [or more is contributed by] from at least 880 881 six hundred seventy-five individuals residing in the state, except that in 882 the case of a primary or election for Lieutenant Governor held in 2022, or thereafter, the aggregate contribution amounts shall be first adjusted 883 884 under subdivision (1) of subsection (b) of this section and then rounded 885 to the nearest multiple of one hundred dollars with exactly fifty dollars 886 rounded upward and in the case of a primary or election for Attorney 887 General, State Comptroller, State Treasurer or Secretary of the State held 888 in 2018, or thereafter, the aggregate contribution amounts shall be first 889 adjusted under subdivision (2) of subsection (b) of this section and then 890 rounded to the nearest multiple of one hundred dollars with exactly fifty 891 dollars rounded upward. The provisions of this subdivision shall be 892 subject to the following: (A) Except as provided in subparagraph (C) of 893 this subdivision and subsection (g) of section 9-610, (i) on and after 894 January 1, 2019, the candidate committee shall return the portion of any 895 contribution or contributions from any individual, including said 896 candidate, that exceeds two hundred fifty dollars, and (ii) any such 897 excess portion shall not be considered in calculating the aggregate

898 contribution amounts under this subdivision, (B) all contributions 899 received by an exploratory committee established by said candidate that 900 meet the criteria for qualifying contributions to candidate committees 901 under this section shall be considered in calculating the aggregate 902 contribution amounts, and (C) in the case of a primary or election held 903 in 2022, or thereafter, the two-hundred-fifty-dollar maximum individual contribution amount provided in subparagraph (A) of this 904 905 subdivision shall be first adjusted under subdivision (1) of subsection 906 (c) of this section and then rounded to the nearest multiple of ten dollars 907 with exactly five dollars rounded upward, provided such adjusted and 908 rounded amount shall not exceed the applicable contribution limit set 909 forth in subsection (a) of section 9-611, as amended by this act.

910 (3) In the case of a candidate for nomination or election to the office 911 of state senator for a district, contributions from individuals in the 912 aggregate amount of fifteen thousand dollars, including contributions 913 from at least three hundred individuals residing in municipalities 914 included, in whole or in part, in said district, except that in the case of a 915 primary or election held in 2018, or thereafter, the aggregate 916 contribution amount shall be first adjusted under subdivision (3) of subsection (b) of this section and then rounded to the nearest multiple 917 918 of one hundred dollars with exactly fifty dollars rounded upward. The 919 provisions of this subdivision shall be subject to the following: (A) 920 Except as provided in subparagraph (D) of this subdivision and subsection (g) of section 9-610, (i) on and after December 1, 2017, the 921 922 candidate committee shall return the portion of any contribution or 923 contributions from any individual, including said candidate, that 924 exceeds two hundred fifty dollars, and (ii) any such excess portion shall 925 not be considered in calculating the aggregate contribution amount 926 under this subdivision, (B) no contribution shall be counted for the 927 purposes of the requirement under this subdivision for contributions 928 from at least three hundred individuals residing in municipalities 929 included, in whole or in part, in the district unless the contribution is 930 five dollars or more, and (C) all contributions received by an exploratory 931 committee established by said candidate that meet the criteria for

932 qualifying contributions to candidate committees under this section 933 shall be considered in calculating the aggregate contribution amount 934 under this subdivision and all such exploratory committee 935 contributions that also meet the requirement under this subdivision for 936 contributions from at least three hundred individuals residing in 937 municipalities included, in whole or in part, in the district shall be 938 counted for the purposes of said requirement, and (D) in the case of a 939 primary or election held in 2020, or thereafter, the two-hundred-fifty-940 dollar maximum individual contribution amount provided in 941 subparagraph (A) of this subdivision shall be adjusted under 942 subdivision (2) of subsection (c) of this section and then rounded to the 943 nearest multiple of ten dollars with exactly five dollars rounded 944 upward, provided such adjusted and rounded amount shall not exceed the applicable contribution limit set forth in subsection (a) of section 9-945 946 611, as amended by this act.

947 (4) In the case of a candidate for nomination or election to the office 948 of state representative for a district, contributions from individuals in 949 the aggregate amount of five thousand dollars, including contributions 950 from at least one hundred fifty individuals residing in municipalities 951 included, in whole or in part, in said district, except that in the case of a 952 primary or election held in 2018, or thereafter, the aggregate 953 contribution amount shall be first adjusted under subdivision (3) of 954 subsection (b) of this section and then rounded to the nearest multiple 955 of one hundred dollars with exactly fifty dollars rounded upward. The 956 provisions of this subdivision shall be subject to the following: (A) 957 Except as provided in subparagraph (D) of this subdivision and 958 subsection (g) of section 9-610, (i) on and after December 1, 2017, the 959 candidate committee shall return the portion of any contribution or 960 contributions from any individual, including said candidate, that 961 exceeds two hundred fifty dollars, and (ii) any such excess portion shall 962 not be considered in calculating the aggregate contribution amount 963 under this subdivision, (B) no contribution shall be counted for the 964 purposes of the requirement under this subdivision for contributions 965 from at least one hundred fifty individuals residing in municipalities

966 included, in whole or in part, in the district unless the contribution is 967 five dollars or more, (C) all contributions received by an exploratory 968 committee established by said candidate that meet the criteria for 969 qualifying contributions to candidate committees under this section 970 shall be considered in calculating the aggregate contribution amount 971 subdivision and all such exploratory committee under this 972 contributions that also meet the requirement under this subdivision for 973 contributions from at least one hundred fifty individuals residing in 974 municipalities included, in whole or in part, in the district shall be 975 counted for the purposes of said requirement, and (D) in the case of a 976 primary or election held in 2020, or thereafter, the two-hundred-fifty-977 dollar maximum individual contribution amount provided in 978 subparagraph (A) of this subdivision shall be adjusted under 979 subdivision (2) of subsection (c) of this section and then rounded to the 980 nearest multiple of ten dollars with exactly five dollars rounded 981 upward, provided such adjusted and rounded amount shall not exceed 982 the applicable contribution limit set forth in subsection (a) of section 9-983 611, as amended by this act.

984 (5) Notwithstanding the provisions of subdivisions (3) and (4) of this 985 subsection, in the case of a special election for the office of state senator 986 or state representative for a district, (A) the aggregate amount of 987 qualifying contributions that the candidate committee of a candidate for 988 such office shall be required to receive in order to be eligible for a grant 989 from the Citizens' Election Fund shall be seventy-five per cent or more 990 of the corresponding amount required under the applicable said 991 subdivision (3) or (4), as adjusted and rounded pursuant to the 992 applicable provisions of subsection (b) of this section, and (B) the number of contributions required from individuals residing in 993 994 municipalities included, in whole or in part, in said district shall be 995 seventy-five per cent or more of the corresponding number required 996 under the applicable said subdivision (3) or (4).

997 Sec. 23. Section 9-622 of the general statutes is repealed and the 998 following is substituted in lieu thereof (*Effective from passage*): 999 The following persons shall be guilty of illegal practices and shall be 1000 punished in accordance with the provisions of section 9-623:

(1) Any person who, directly or indirectly, individually or by another
person, gives or offers or promises to any person any money, gift,
advantage, preferment, entertainment, aid, emolument or other
valuable thing for the purpose of inducing or procuring any person to
sign a nominating, primary or referendum petition or to vote or refrain
from voting for or against any person or for or against any measure at
any election, caucus, convention, primary or referendum;

(2) Any person who, directly or indirectly, receives, accepts, requests
or solicits from any person, committee, association, organization or
corporation, any money, gift, advantage, preferment, aid, emolument or
other valuable thing for the purpose of inducing or procuring any
person to sign a nominating, primary or referendum petition or to vote
or refrain from voting for or against any person or for or against any
measure at any such election, caucus, primary or referendum;

(3) Any person who, in consideration of any money, gift, advantage,
preferment, aid, emolument or other valuable thing paid, received,
accepted or promised to the person's advantage or any other person's
advantage, votes or refrains from voting for or against any person or for
or against any measure at any such election, caucus, primary or
referendum;

(4) Any person who solicits from any candidate any money, gift,
contribution, emolument or other valuable thing for the purpose of
using the same for the support, assistance, benefit or expenses of any
club, company or organization, or for the purpose of defraying the cost
or expenses of any political campaign, primary, referendum or election;

(5) Any person who, directly or indirectly, pays, gives, contributes or
promises any money or other valuable thing to defray or towards
defraying the cost or expenses of any campaign, primary, referendum
or election to any person, committee, company, club, organization or
association, other than to a treasurer, except that this subdivision shall

not apply to any expenses for postage, telegrams, telephoning,
stationery, express charges, traveling, meals, lodging or photocopying
incurred by any candidate for office or for nomination to office, so far as
may be permitted under the provisions of this chapter;

1035 (6) Any person who, in order to secure or promote the person's own 1036 nomination or election as a candidate, or that of any other person, 1037 directly or indirectly, promises to appoint, or promises to secure or 1038 assist in securing the appointment, nomination or election of any other person to any public position, or to any position of honor, trust or 1039 1040 emolument; but any person may publicly announce the person's own 1041 choice or purpose in relation to any appointment, nomination or 1042 election in which the person may be called to take part, if the person is 1043 nominated for or elected to such office;

1044 (7) Any person who, directly or indirectly, individually or through 1045 another person, makes a payment or promise of payment to a treasurer 1046 in a name other than the person's own, and any treasurer who 1047 knowingly receives a payment or promise of payment, or enters or 1048 causes the same to be entered in the person's accounts in any other name 1049 than that of the person by whom such payment or promise of payment 1050 is made;

1051 (8) Any person who knowingly and wilfully violates any provision1052 of this chapter;

(9) Any person who offers or receives a cash contribution in excess of
one hundred dollars to promote the success or defeat of any political
party, candidate or referendum question;

1056 (10) Any person who solicits, makes or receives a contribution that is1057 otherwise prohibited by any provision of this chapter;

(11) Any department head or deputy department head of a state
department who solicits a contribution on behalf of, or for the benefit of,
any candidate for state, district or municipal office or any political party;

(12) Any municipal employee who solicits a contribution on behalf
of, or for the benefit of, any candidate for state, district or municipal
office, any political committee or any political party, from (A) an
individual under the supervision of such employee, or (B) the spouse or
a dependent child of such individual;

1066 (13) Any person who makes an expenditure, that is not an 1067 independent expenditure, for a candidate without the knowledge of 1068 such candidate. No candidate shall be civilly or criminally liable with 1069 regard to any such expenditure;

1070 (14) Any chief of staff of a legislative caucus who solicits a
1071 contribution on behalf of or for the benefit of any candidate for state,
1072 district or municipal office from an employee of the legislative caucus;

1073 (15) Any chief of staff for a state-wide elected official who solicits a
1074 contribution on behalf of or for the benefit of any candidate for state,
1075 district or municipal office from a member of such official's staff; [or]

(16) Any chief of staff for the Governor or Lieutenant Governor who
solicits a contribution on behalf of or for the benefit of any candidate for
state, district or municipal office from a member of the staff of the
Governor or Lieutenant Governor, or from any commissioner or deputy
commissioner of any state agency;

1081 (17) Any consultant that fails to provide complete information to a 1082 committee or person required to file any disclosure statement or report 1083 pursuant to section 9-601d, as amended by this act, or 9-608, as amended 1084 by this act, as applicable, which complete information is necessary for

1085 such committee or person to file such statement or report; or

1086 <u>(18) Any consultant that (A) except for such consultant's overhead or</u> 1087 normal operating expenses, makes or obligates to make an expenditure, 1088 or directly or indirectly authorizes any subvendor to make or obligate 1089 to make such an expenditure, on behalf of a candidate, committee or 1090 other person, and (B) does so without the knowledge of such candidate,

1091 <u>committee or other person</u>.

Sec. 24. (NEW) (*Effective from passage*) (a) As used in this section, "consultant", "candidate", "committee", "expenditure", "subvendor" and "person" have the same meanings as provided in section 9-601 of the general statutes, as amended by this act.

1096 (b) (1) A consultant that receives or agrees to receive payment from a 1097 candidate or committee and that makes or obligates to make any 1098 expenditure, including any payment to a subvendor, for or on behalf of 1099 a committee or person required to file a report pursuant to section 9-601d of the general statutes, as amended by this act, or 9-608 of the 1100 1101 general statutes, as amended by this act, as applicable, shall, once such 1102 consultant has made or obligated to make any such expenditure to a 1103 subvendor, provide to such committee or person a statement with a 1104 detailed account of such expenditure, including, but not limited to, (A) 1105 the amount and date of such expenditure and the person that received 1106 such payment, (B) the full name and street address of such subvendor, 1107 (C) the purpose of such payment and a description of such purpose, (D) 1108 the name of any candidate or text of any referendum question supported 1109 or opposed by such expenditure, and (E) if applicable, the date of any event with which such payment is associated, including, but not limited 1110 to, any expenditure directly or indirectly made by a consultant to a 1111 1112 subvendor for any (i) written, typed or other printed communication, or 1113 any web-based written communication, that (I) promotes the success or 1114 defeat of any candidate's campaign for nomination or election or any 1115 referendum question, or (II) solicits funds to benefit any candidate or 1116 committee, (ii) advertising time or space, including, but not limited to, 1117 television or Internet video, radio or Internet audio, telephone call or 1118 web-based or social media communication, (iii) wages incurred as a 1119 result of work for any candidate or committee, (iv) survey, poll, 1120 signature gathering or door-to-door solicitation of voters, (v) facilities, 1121 invitations or entertainment for fundraising or other campaign events, 1122 or (vi) printing of mass campaign mailings or postage for such mailings. 1123 Such consultant shall provide the information described in this 1124 subdivision to such committee or person not later than five days after 1125 making or obligating to make such expenditure.

1126 (2) Notwithstanding the provisions of subdivision (1) of this 1127 subsection, if a consultant makes or obligates to make payment for an 1128 expenditure for which a committee or person is required to file a report 1129 pursuant to section 9-601d of the general statutes, as amended by this 1130 act, or 9-608 of the general statutes, as amended by this act, as applicable, 1131 such consultant shall, concomitant with making or obligating to make 1132 such payment, provide to such committee or person complete 1133 information necessary to file such report.

1134 (c) (1) Any committee or person that makes or obligates to make 1135 payment for an expenditure to a consultant, which consultant is 1136 required to provide to such committee or person the information 1137 described in subsection (b) of this section, shall include in any report 1138 required to be filed by such committee or person pursuant to section 9-1139 601d of the general statutes, as amended by this act, or 9-608 of the 1140 general statutes, as amended by this act, as applicable, (A) the full name 1141 and street address of each subvendor to which payment was made or 1142 obligated to be made during the period covered by such filing, (B) the 1143 amount and date of such payment, (C) the purpose of such payment and 1144 a description of such purpose, (D) the name of any candidate or text of 1145 any referendum question supported or opposed by such expenditure, 1146 and (E) if applicable, the date of any event with which such payment is 1147 associated. The contents of such report shall include any other 1148 information that the State Elections Enforcement Commission may 1149 require to facilitate compliance with the provisions of chapters 155 to 1150 157, inclusive, of the general statutes, and shall be submitted on a form 1151 prescribed by the commission.

1152 (2) Except for such consultant's overhead or normal operating 1153 expenses, a consultant shall not make any expenditure for or on behalf 1154 of a candidate or committee, including, but not limited to, any 1155 expenditure described in subdivision (1) of subsection (b) of this section, 1156 unless complete information of such expenditure is provided to the 1157 person required to file a report pursuant to section 9-601d of the general 1158 statutes, as amended by this act, or 9-608 of the general statutes, as 1159 amended by this act, as applicable, or the committee on whose behalf or 1160 for whose benefit such consultant is acting.

1161 (d) Each consultant shall keep a detailed account of each expenditure 1162 made or obligated to be made for or on behalf of any committee or 1163 person required to file a report pursuant to section 9-601d of the general 1164 statutes, as amended by this act, or 9-608 of the general statutes, as 1165 amended by this act, as applicable, and shall retain all records of each 1166 transaction required to be included in any report filed pursuant to 1167 section 9-601d of the general statutes, as amended by this act, or 9-608 1168 of the general statutes, as amended by this act, as applicable, for a period 1169 of four years after the date of the report in which such transaction was 1170 included. Such records shall include, but need not be limited to, any 1171 invoice, receipt, bill, statement, itinerary or other written or 1172 documentary evidence demonstrating the campaign or other lawful 1173 purpose of such expenditure and shall be made available to the State 1174 Elections Enforcement Commission upon request.

(e) If a subvendor makes or obligates to make any payment described
in subsection (b) of this section, such subvendor shall be deemed a
consultant and shall comply with the requirements set forth in this
section for a consultant.

(f) Notwithstanding the provisions of this section, a financial
obligation shall not be made or incurred for or on behalf of a committee
unless authorized by the treasurer of such committee pursuant to
section 9-607 of the general statutes, as amended by this act.

1183 Sec. 25. (NEW) (*Effective from passage*) If the treasurer of the candidate 1184 committee of a participating candidate, as described in section 9-703 of 1185 the general statutes, spends or obligates to spend fifteen per cent or 1186 more, in the aggregate, of the moneys received from the Citizens' 1187 Election Fund on the services of a consultant or other professional 1188 person as provided in subparagraph (P) of subdivision (2) of subsection 1189 (g) of section 9-607 of the general statutes, such consultant or 1190 professional person shall register with the State Elections Enforcement 1191 Commission as such for the candidate committee and file an affidavit

1192	with the commission. The affidavit shall include written certifications
1193	that such consultant or professional person shall (1) comply with the
1194	provisions of chapters 155 and 157 of the general statutes, (2) maintain
1195	and furnish all records required pursuant to said chapters and any
1196	regulation adopted by the commission thereunder, and (3) expend all
1197	moneys for or on behalf of such candidate committee in accordance with
1198	the provisions of subsection (g) of section 9-607 of the general statutes,
1199	as amended by this act, and any regulation adopted by the commission
1200	under subsection (e) of section 9-706 of the general statutes. The
1201	commission shall prepare a list of each consultant or other professional
1202	person for the candidate committee of each participating candidate and
1203	shall make such list available for public inspection. As used in this
1204	section, "treasurer", "candidate committee" and "consultant" have the
1205	same meanings as provided in section 9-601 of the general statutes, as
1206	amended by this act.

This act sha	all take effect as follow	vs and shall amend the following
sections:		
Section 1	from passage	9-601(33) to (35)
Sec. 2	from passage	9-601(3)
Sec. 3	from passage	9-601c(e)
Sec. 4	from passage	9-601d(a) to (i)
Sec. 5	from passage	9-605(b)
Sec. 6	from passage	9-607(g)(1)
Sec. 7	from passage	9-608(e)(1)(C)
Sec. 8	from passage	9-611
Sec. 9	from passage	9-612(a) and (b)
Sec. 10	from passage	9-613
Sec. 11	from passage	9-614
Sec. 12	from passage	9-615
Sec. 13	from passage	9-618(a)
Sec. 14	from passage	9-619(a)
Sec. 15	from passage	9-620
Sec. 16	from passage	9-621(c) and (d)
Sec. 17	from passage	9-621(h)(1)
Sec. 18	from passage	9-621(i)
Sec. 19	from passage	9-621(1)
Sec. 20	from passage	9-7a(g)(1)

Sec. 21	from passage	53a-119(18)
Sec. 22	from passage	9-704(a)
Sec. 23	from passage	9-622
Sec. 24	from passage	New section
Sec. 25	from passage	New section

Statement of Purpose:

To (1) implement federal court rulings regarding independent expenditure political committees and contributions to such committees, (2) reflect federal case law on aggregate contribution limits for individuals, (3) more explicitly recognize referendum spending as a type of independent expenditure and make changes related to reporting and attribution requirements, (4) adjust a disclaimer requirement to address certain organization expenditures, (5) make a conforming change regarding the distribution of surplus funds by candidate committees participating in the Citizens' Election Program, (6) allow the State Elections Enforcement Commission additional time to investigate certain complaints, (7) establish in-state contributor requirements for state-wide candidates participating in said program, (8) specify that individual contributions under said program may not exceed alreadyestablished contribution limits, and (9) provide for increased disclosure with respect to moneys paid to campaign consultants.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]