

General Assembly

February Session, 2024

## Substitute Bill No. 220

## AN ACT CONCERNING CLARIFYING THE APPEALS PROCESS UNDER THE PAID FAMILY AND MEDICAL LEAVE STATUTES.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

Section 1. Section 31-49p of the general statutes is repealed and the
 following is substituted in lieu thereof (*Effective from passage*):

(a) Any covered employee aggrieved by a denial of compensation
under the <u>Paid</u> Family and Medical Leave Insurance Program or any
person aggrieved by the imposition of a penalty imposed pursuant to
section 31-49r may file an appeal with the Labor Commissioner not
more than twenty-one calendar days after issuance of the denial or
penalty decision, unless good cause exists for the late filing.

9 (b) Upon receipt of any such appeal, the commissioner, or the 10 commissioner's designee, shall decide the appeal based upon the file 11 record, except that the commissioner, or <u>the commissioner's</u> designee, 12 may do one or both of the following: (1) Supplement the file record, or 13 (2) conduct a hearing. The commissioner, or the commissioner's 14 designee, may require the attendance of witnesses and the production 15 of documents in connection with the appeal, and may issue subpoenas. 16 For purposes of this section, "file record" means any documents 17 submitted to the Paid Family and Medical Leave Insurance Authority or 18 to the private plan administrator, any documents relied upon by the

19 authority or the private plan administrator in making its determination, 20 and any other documents the commissioner, or the commissioner's 21 designee, deems necessary to dispose of the appeal. [The commissioner 22 or designee may require the attendance of witnesses and the production 23 of documents in connection with the appeal, and may issue subpoenas. 24 The Labor Department shall adopt regulations, in accordance with the 25 provisions of chapter 54, concerning the rules of procedure for the 26 disposition of appeals filed under the provisions of this section.]

27 (c) After determination of the appeal, the commissioner, or the 28 commissioner's designee, shall send each party a written copy of the 29 decision. The commissioner, or the commissioner's designee, may 30 award the covered employee or person all appropriate relief, including, 31 but not limited to, any compensation or benefits to which the employee 32 otherwise would have been eligible if such denial had not occurred. 33 [Any party aggrieved by the decision of the commissioner or designee 34 may appeal the decision to the superior court for the judicial district of 35 Hartford or for the judicial district in which the appellant resides, not 36 later than thirty days after issuance of the decision.]

37 (d) (1) Any decision of the commissioner, or the commissioner's 38 designee, in the absence of a timely appeal from a party aggrieved by 39 such decision shall become final on the thirty-first calendar day after the 40 date on which a written copy of the decision is sent to each party. At any time prior to when the decision of the commissioner, or the 41 42 commissioner's designee, becomes final, any party aggrieved by the 43 decision may appeal such decision to the superior court for the judicial 44 district of Hartford or for the judicial district wherein the appealing 45 party resides. The appealing party shall file the original appeal with the commissioner, or the commissioner's designee, and shall state the 46 47 grounds on which review is sought. The commissioner, or the 48 commissioner's designee, shall, on or before the fourteenth calendar day 49 thereafter, cause the original appeal to be mailed to the clerk of the 50 Superior Court and a copy sent to each party listed in the decision being 51 appealed by mail or electronically through the Connecticut Labor 52 Department Leave Complaint and Appeal Portal. The clerk shall docket

53 <u>such appeal as returned to the next return day after the receipt of such</u>
54 <u>appeal.</u>

55 (2) In any appeal to the Superior Court taken pursuant to this 56 subsection, the commissioner, or the commissioner's designee, shall 57 certify the record to the court. The record shall consist of the following: (A) The notice of appeal to the commissioner, or the commissioner's 58 59 designee, (B) the file record, (C) the findings of fact and any decision of the commissioner, or the commissioner's designee, and (D) any 60 documents submitted to the commissioner, or the commissioner's 61 designee, prior to the filing of the appeal. Upon request of the court, the 62 63 commissioner, or the commissioner's designee, shall, in cases in which 64 a hearing was conducted before the commissioner, or the 65 commissioner's designee, prepare and verify to the court a transcript of such hearing before the commissioner, or the commissioner's designee. 66 67 (3) Any appeal to the Superior Court taken under this subsection shall 68 be claimed by the party taking such appeal for the short calendar unless 69 the court orders the appeal placed on the trial list. It shall not be 70 necessary in any judicial proceeding under this section that exceptions 71 to the rulings of the commissioner, or the commissioner's designee, shall 72 have been made or entered and no bond shall be required for entering 73 an appeal to the Superior Court. In any appeal in which one of the 74 parties is not represented by counsel and in which the party taking the 75 appeal does not claim the case for the short calendar or trial within a 76 reasonable time after the return day, the court may, of its own motion, 77 dismiss the appeal, or the party ready to proceed may move for nonsuit 78 or default as appropriate. 79 (4) Any appeal to the Superior Court taken under this subsection shall

be heard by the court upon the certified copy of the record filed by the
commissioner, or the commissioner's designee. The court shall not retry
the facts or hear any evidence other than such record certified to the
court by the commissioner, or the commissioner's designee. Any review
by the court shall be limited to determining (A) whether the findings of
the commissioner, or the commissioner's designee, should be corrected,

or (B) whether there is any evidence in the record to support in law the 86 87 conclusions reached. The court shall not substitute its judgment for that 88 of the commissioner, or the commissioner's designee, as to the weight of 89 the evidence on questions of fact. The court may only determine 90 whether the decision of the commissioner, or the commissioner's 91 designee, incorrectly applied the law to the facts found or if such 92 decision is clearly erroneous and could not have reasonably or logically followed from the evidence in the record certified to the court by the 93 94 commissioner, or the commissioner's designee. In any such appeal, 95 corrections of the commissioner's, or the commissioner's designee's, 96 findings, by the court shall only be made upon (i) refusal by the 97 commissioner, or the commissioner's designee, to find a material fact 98 that was an admitted or undisputed fact, (ii) the commissioner's, or the commissioner's designee's, finding of a fact in language of doubtful 99 100 meaning so that its real significance may not clearly appear, or (iii) the 101 finding of a material fact by the commissioner, or the commissioner's 102 designee, without evidence.

103 (5) The appealing party may request that any finding of the commissioner, or the commissioner's designee, be corrected on appeal 104 105 by filing a motion for the correction of such finding with the 106 commissioner, or the commissioner's designee, within fourteen calendar 107 days after the record has been filed in the Superior Court, unless the 108 time is extended for cause by the commissioner, or the commissioner's designee. Such motion shall include portions of the evidence the 109 110 appealing party deems relevant and material to the corrections requested. The commissioner, or the commissioner's designee, shall file 111 112 such motion along with the commissioner's, or the commissioner's 113 designee's, decision with the court within a reasonable time upon receiving such motion. If the court denies such motion in whole or in 114 115 part and such denial is appealed, the commissioner, or the commissioner's designee, shall, within a reasonable time thereafter, file 116 117 copies of evidence filed by the appealing party, together with such additional evidence as may have been taken before the commissioner. 118 119 or the commissioner's designee.

(6) In any appeal taken under this subsection, unless the court shall 120 121 otherwise order after motion and hearing, the final decision of the court 122 shall be the decision as to all parties to the original proceeding before the commissioner, or the commissioner's designee. When an appeal is 123 124 taken to the Superior Court, the clerk thereof shall, in writing, notify the 125 commissioner, or the commissioner's designee, of any action of the court 126 thereon and of the disposition of such appeal whether by judgment, remand, withdrawal or otherwise and shall, upon the decision on the 127 128 appeal, furnish the commissioner, or the commissioner's designee, with 129 a copy of such decision. 130 (7) The court may remand the case to the commissioner, or the 131 commissioner's designee, for proceedings de novo, or for further

132 proceedings on the record, or for such limited purposes as the court may

133 prescribe. The court may retain jurisdiction by ordering a return to the

134 <u>court of the proceedings conducted in accordance with the order of the</u>

135 <u>court or the court may order final disposition. A party aggrieved by a</u>

136 <u>final disposition made in compliance with an order of the Superior</u>

137 <u>Court, by the filing of an appropriate motion, may request the court to</u>

138 <u>review the disposition of the case.</u>

139 (e) Any party aggrieved by a decision of the Superior Court may

140 appeal such decision to the Appellate Court in the same manner as is

- 141 provided in section 51-197b.
- 142 (f) The Labor Department shall adopt regulations, in accordance with
- 143 the provisions of chapter 54, concerning the rules of procedure for the
- 144 <u>disposition of appeals filed under the provisions of this section.</u>

This act shall take effect as follows and shall amend the following sections:

| Section 1 | from passage | 31-49p |
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LAB Joint Favorable Subst.

JUD Joint Favorable

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