

General Assembly

January Session, 2023

Substitute Bill No. 10



AN ACT PROMOTING ACCESS TO AFFORDABLE PRESCRIPTION DRUGS, HEALTH CARE COVERAGE, TRANSPARENCY IN HEALTH CARE COSTS, HOME AND COMMUNITY-BASED SUPPORT FOR VULNERABLE PERSONS AND RIGHTS REGARDING GENDER IDENTITY AND EXPRESSION.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. Subsection (d) of section 19a-754b of the general statutes is
- 2 repealed and the following is substituted in lieu thereof (Effective July 1,
- 3 2023):
- 4 (d) (1) On or before March 1, 2020, and annually thereafter, the
- 5 executive director of the Office of Health Strategy, in consultation with
- 6 the Comptroller, Commissioner of Social Services and Commissioner of
- 7 Public Health, shall prepare and make public a list of not more than ten
- 8 outpatient prescription drugs that the executive director, in the
- 9 executive director's discretion, determines are (A) provided at
- 10 substantial cost to the state, considering the net cost of such drugs, or
- 11 (B) critical to public health. The list shall include outpatient prescription
- 12 drugs from different therapeutic classes of outpatient prescription
- 13 drugs and at least one generic outpatient prescription drug.
- 14 (2) [The executive director shall not list any outpatient prescription
- drug under subdivision (1) of this subsection unless the wholesale
- 16 acquisition cost of the drug, less all rebates paid to the state for such

drug during the immediately preceding calendar year, (A) increased by at least (i) twenty per cent during the immediately preceding calendar year, or (ii) fifty per cent during the immediately preceding three calendar years, and (B) was not less than sixty dollars for (i) a thirty-day supply of such drug, or (ii) a course of treatment of such drug lasting less than thirty days.] Prior to publishing the annual list of outpatient prescription drugs pursuant to subdivision (1) of this subsection, the executive director shall prepare a preliminary list of those outpatient prescription drugs that the executive director plans to include on the list. The executive director shall make the preliminary list available for public comment for not less than thirty days, during which time any manufacturer of an outpatient prescription drug named on the preliminary list may produce documentation to establish that the wholesale acquisition cost of the drug, less all rebates paid to the state for such drug during the immediately preceding calendar year, does not exceed the limits established in subdivision (3) of this subsection. If such documentation establishes, to the satisfaction of the executive director, that the wholesale acquisition cost, less all rebates paid to the state for such drug during the immediately preceding calendar year, does not exceed the limits established in subdivision (3) of this subsection, the executive director shall remove such drug from the list before publishing the final list. The executive director shall publish a final list pursuant to subdivision (1) of this subsection not later than fifteen days after the closing of the public comment period.

(3) The executive director shall not list any outpatient prescription drug under subdivision (1) or (2) of this subsection unless the wholesale acquisition cost of the drug, less all rebates paid to the state for such drug during the immediately preceding calendar year, (A) increased by at least sixteen per cent cumulatively during the immediately preceding two calendar years, and (B) was not less than forty dollars for a course of therapy.

[(3)] (4) (A) The pharmaceutical manufacturer of an outpatient prescription drug included on a list prepared by the executive director

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- 50 pursuant to subdivision (1) of this subsection shall provide to the office, 51 in a form and manner specified by the executive director, (i) a written, 52 narrative description, suitable for public release, of all factors that 53 caused the increase in the wholesale acquisition cost of the listed 54 outpatient prescription drug, and (ii) aggregate, company-level research 55 and development costs and such other capital expenditures that the 56 executive director, in the executive director's discretion, deems relevant 57 for the most recent year for which final audited data are available.
 - (B) The quality and types of information and data that a pharmaceutical manufacturer submits to the office under this subdivision shall be consistent with the quality and types of information and data that the pharmaceutical manufacturer includes in (i) such pharmaceutical manufacturer's annual consolidated report on Securities and Exchange Commission Form 10-K, or (ii) any other public disclosure.
 - [(4)] (5) The office shall establish a standardized form for reporting information and data pursuant to this subsection after consulting with pharmaceutical manufacturers. The form shall be designed to minimize the administrative burden and cost of reporting on the office and pharmaceutical manufacturers.
- Sec. 2. (NEW) (Effective January 1, 2024, and applicable to contracts entered into, amended or renewed on and after January 1, 2024) (a) For the purposes of this section and sections 3 and 4 of this act:
- (1) "Distributor" means any person or entity, including any wholesaler, who supplies drugs, devices or cosmetics prepared, produced or packaged by manufacturers, to other wholesalers, manufacturers, distributors, hospitals, clinics, practitioners or pharmacies or federal, state and municipal agencies;
- 78 (2) "Manufacturer" means the following:
- 79 (A) Any entity described in 42 USC 1396r-8(k)(5) that is subject to the pricing limitations set forth in 42 USC 256b; and

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84 85 86	(3) "ERISA plan" means an employee welfare benefit plan subject to the Employee Retirement Income Security Act of 1974, as amended from time to time;
87 88 89 90	(4) (A) "Health benefit plan" means any insurance policy or contract offered, delivered, issued for delivery, renewed, amended or continued in the state by a health carrier to provide, deliver, pay for or reimburse any of the costs of health care services;
91	(B) "Health benefit plan" does not include:
92 93 94	(i) Coverage of the type specified in subdivisions (5), (6), (7), (8), (9), (14), (15) and (16) of section 38a-469 of the general statutes or any combination thereof;
95	(ii) Coverage issued as a supplement to liability insurance;
96 97	(iii) Liability insurance, including general liability insurance and automobile liability insurance;
98	(iv) Workers' compensation insurance;
99	(v) Automobile medical payment insurance;
100	(vi) Credit insurance;
101	(vii) Coverage for on-site medical clinics; or
102 103 104 105	(viii) Other similar insurance coverage specified in regulations issued pursuant to the Health Insurance Portability and Accountability Act of 1996, P.L. 104-191, as amended from time to time, under which benefits for health care services are secondary or incidental to other insurance
106	benefits; and

	Substitute Bill No. 10
107 108	(C) "Health benefit plan" does not include the following benefits if such benefits are provided under a separate insurance policy, certificate
109	or contract or are otherwise not an integral part of the plan:
110	(i) Limited scope dental or vision benefits;
111	(ii) Benefits for long-term care, nursing home care, home health care,
112	community-based care or any combination thereof;
113	(iii) Other similar, limited benefits specified in regulations issued
114	pursuant to the Health Insurance Portability and Accountability Act of
115	1996, P.L. 104-191, as amended from time to time;
116	(iv) Other supplemental coverage, similar to coverage of the type
117	specified in subdivisions (9) and (14) of section 38a-469 of the general
118	statutes, provided under a group health plan; or
119	(v) Coverage of the type specified in subdivision (3) or (13) of section
120	38a-469 of the general statutes or other fixed indemnity insurance if (I)
121	such coverage is provided under a separate insurance policy, certificate
122	or contract, (II) there is no coordination between the provision of the
123	benefits and any exclusion of benefits under any group health plan
124	maintained by the same plan sponsor, and (III) the benefits are paid with
125	respect to an event without regard to whether benefits were also
126	provided under any group health plan maintained by the same plan
127	sponsor;
128	(5) "Maximum fair price" means the maximum rate for a prescription
129	drug published by the Secretary of the United States Department of
130	Health and Human Services under Section 1191 of the Inflation
131	Reduction Act of 2022, P.L. 117-169, as amended from time to time.
132	"Maximum fair price" does not include any dispensing fee paid to a
133	pharmacy for dispensing any referenced drug;

(6) "Participating ERISA plan" means any employee welfare benefit

plan subject to the Employee Retirement Income Security Act of 1974, as

amended from time to time, that elects to participate in the requirements

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- pursuant to section 3 or 4 of this act;
- 138 (7) "Price applicability period" has the same meaning as provided in
- 139 Section 1191 of the Inflation Reduction Act of 2022, P.L. 117-169, as
- amended from time to time;
- 141 (8) "Purchaser" means any state entity, health benefit plan or
- 142 participating ERISA plan;
- 143 (9) "Referenced drug" means any prescription drug subject to the
- 144 maximum fair price; and
- 145 (10) "State entity" means any agency of this state, including, any
- agent, vendor, fiscal agent, contractor or other person acting on behalf
- of this state, that purchases a prescription drug on behalf of this state for
- a person who maintains a health insurance policy that is paid for by this
- state, including health insurance coverage offered through local, state or
- 150 federal agencies or through organizations licensed in this state. "State
- entity" does not include the medical assistance program administered
- under Title XIX of the Social Security Act, 42 USC 1396 et seq., as
- amended from time to time.
- 154 Sec. 3. (NEW) (Effective January 1, 2024, and applicable to contracts
- 155 entered into, amended or renewed on and after January 1, 2024) (a) No
- purchaser shall purchase a referenced drug or seek reimbursement for
- a referenced drug to be dispensed, delivered or administered to an
- insured in this state, by hand delivery, mail or by other means, directly
- or through a distributor, for a cost that exceeds the maximum fair price
- during the price applicability period for such drug published pursuant
- to Section 1191 of the Inflation Reduction Act of 2022, P.L. 117-169, as
- amended from time to time.
- 163 (b) Each purchaser shall calculate such purchaser's savings generated
- pursuant to subsection (a) of this section and shall apply such savings
- to reduce prescription drug costs for the purchaser's insureds. Not later
- than January fifteenth of each calendar year, a purchaser shall submit a
- report to the Insurance Department that (1) provides an assessment of

- such purchaser's savings for each referenced drug for the previous calendar year, and (2) identifies how each purchaser applied such savings to (A) reduce prescription drug costs for such purchaser's insureds, and (B) decrease cost disparities.
- (c) An ERISA plan may elect to participate in the requirements of this
 section by notifying the Insurance Department, in writing, not later than
 January first of each calendar year.
- (d) Any violation by a purchaser of subsection (a) of this section shall be subject to a civil penalty of one thousand dollars for each such violation.
- 178 (e) The Insurance Commissioner shall adopt regulations, in 179 accordance with the provisions of chapter 54 of the general statutes, to 180 implement the provisions of this section and section 4 of this act.
- Sec. 4. (NEW) (Effective January 1, 2024, and applicable to contracts entered into, amended or renewed on and after January 1, 2024) (a) No manufacturer or distributor of a referenced drug shall withdraw such referenced drug from sale or distribution in this state to attempt to avoid any loss of revenue resulting from the maximum fair price requirement established in section 3 of this act.
 - (b) Each manufacturer or distributor shall provide not less than one hundred eighty days' written notice to the Insurance Commissioner and Attorney General prior to withdrawing a referenced drug from sale or distribution in this state.
 - (c) If any manufacturer or distributor violates the provisions of subsection (a) or (b) of this section, such manufacturer or distributor shall be subject to a civil penalty of (1) five hundred thousand dollars, or (2) such purchaser's amount of annual savings generated pursuant to subsection (a) of section 3 of this act, as determined by the Insurance Commissioner, whichever is greater.
- 197 (d) It shall be a violation of this section for any manufacturer or

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- distributor of a referenced drug to negotiate with a purchaser or seller of a referenced drug at a price that exceeds the maximum fair price.
- 200 (e) The Attorney General shall have exclusive authority to enforce violations of this section and section 3 of this act.
- Sec. 5. (NEW) (Effective July 1, 2023) (a) As used in this section and section 6 of this act, (1) "federal 340B drug pricing program" means the plan described in Section 340B of the Public Health Service Act, 42 USC 256b, as amended from time to time, (2) "340B covered entity" means a provider participating in the federal 340B drug pricing program, (3) "prescription drug" has the same meaning as provided in section 19a-754b of the general statutes, and (4) "rebate" has the same meaning as provided in section 38a-479000 of the general statutes.
 - (b) Not later than January fifteenth annually, a 340B covered entity shall provide a report to the executive director of the Office of Health Strategy, established pursuant to section 19a-754a of the general statutes, as amended by this act, providing, for the previous calendar year (1) a list of all prescription drugs, identified by the national drug code number, purchased through the federal 340B drug pricing program, (2) the actual purchase price of each such prescription drug after any rebate or discount provided pursuant to the program, (3) the actual payment each such 340B covered entity received from any private or public health insurance plan, except for Medicaid and Medicare, or patient for each such prescription drug, (4) the average percentage savings realized by each 340B covered entity on the cost of prescription drugs under the 340B program, and (5) how the 340B covered entity used prescription drug cost savings under the program. The executive director shall include a link to the report on the office's Internet web site.
 - Sec. 6. (NEW) (*Effective July 1, 2023*) No 340B covered entity shall attempt to collect as medical debt any payment for a prescription drug obtained with a rebate or at a discounted price through the federal 340B drug pricing program that exceeds the cost of such drug paid by such entity.

- Sec. 7. (NEW) (*Effective July 1, 2023*) (a) There is established a Prescription Drug Payment Evaluation Committee to recommend upper payment limits on not fewer than eight prescription drugs to the executive director of the Office of Health Strategy based on evaluation of upper payment limits on such drugs set by other states or foreign jurisdictions.
- (b) Members of the committee shall be as follows:
- 237 (1) Three appointed by the speaker of the House of Representatives, 238 who shall be (A) a representative of a state-wide health care advocacy 239 coalition, (B) a representative of a state-wide advocacy organization for 240 elderly persons, and (C) a representative of a state-wide organization 241 for diverse communities;
- 242 (2) Three appointed by the president pro tempore of the Senate, who 243 shall be (A) a representative of a labor union, (B) a health services 244 researcher, and (C) a consumer who has experienced barriers to 245 obtaining prescription drugs due to the cost of such drugs;
- 246 (3) Two appointed by the majority leader of the House of 247 Representatives, who shall be representatives of 340B covered entities, 248 as defined in section 5 of this act;
- 249 (4) Two appointed by the minority leader of the House of 250 Representatives, who shall be representatives of private insurers;
- (5) Two appointed by the majority leader of the Senate, who shall be representatives of organizations representing health care providers;
- (6) Two appointed by the minority leader of the Senate, who shall be
 (A) a representative of a pharmaceutical company doing business in the
 state, and (B) a representative of an academic institution with expertise
 in health care costs;
- 257 (7) Two appointed by the Governor, who shall be (A) a representative of pharmacists, and (B) a representative of pharmacy benefit managers;

- 259 (8) The Secretary of the Office of Policy and Management, or the secretary's designee;
- 261 (9) The Commissioner of Social Services, or the commissioner's designee;
- 263 (10) The Commissioner of Public Health, or the commissioner's designee;
- 265 (11) The Insurance Commissioner, or the commissioner's designee;
- 266 (12) The Commissioner of Consumer Protection, or the 267 commissioner's designee;
- 268 (13) The executive director of the Office of Health Strategy, or the executive director's designee; and
- 270 (14) The Healthcare Advocate, or the Healthcare Advocate's 271 designee.
- (c) All initial appointments to the committee shall be made not later than August 1, 2023. Any vacancy shall be filled by the appointing authority.
- (d) The speaker of the House of Representatives and the president pro tempore of the Senate shall select the chairpersons of the committee from among the members of the committee. Such chairpersons shall schedule the first meeting of the committee, which shall be held not later than September 1, 2023.
- (e) The administrative staff of the joint standing committee of the General Assembly having cognizance of matters relating to insurance shall serve as administrative staff of the committee.
- (f) Not later than December 1, 2023, and annually thereafter, the committee shall submit a report, in accordance with the provisions of section 11-4a of the general statutes, to the executive director of the Office of Health Strategy and the joint standing committees of the

- General Assembly having cognizance of matters relating to appropriations and the budgets of state agencies, human services, insurance and public health with its recommendations concerning upper payment limits for not fewer than eight prescription drugs.
- Sec. 8. Section 3-112 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):
 - (a) The Comptroller shall: (1) Establish and maintain the accounts of the state government and perform such other duties as are prescribed by the Constitution of the state; (2) register all warrants or orders for the disbursement of the public money; (3) adjust and settle all demands against the state not first adjusted and settled by the General Assembly and give orders on the Treasurer for the balance found and allowed; (4) prescribe the mode of keeping and rendering all public accounts of departments or agencies of the state and of institutions supported by the state or receiving state aid by appropriation from the General Assembly; (5) prepare and issue effective accounting and payroll manuals for use by the various agencies of the state; (6) from time to time, examine and state the amount of all debts and credits of the state; present all claims in favor of the state against any bankrupt, insolvent debtor or deceased person; and institute and maintain suits, in the name of the state, against all persons who have received money or property belonging to the state and have not accounted for it; and (7) administer the Connecticut Retirement Security Program, established pursuant to section 31-418.
 - (b) All moneys recovered, procured or received for the state by the authority of the Comptroller shall be paid to the Treasurer, who shall file a duplicate receipt therefor with the Comptroller. The Comptroller may require reports from any department, agency or institution as aforesaid upon any matter of property or finance at any time and under such regulations as the Comptroller prescribes and shall require special reports upon request of the Governor, and the information contained in such special reports shall be transmitted by him to the Governor. All records, books and papers in any public office shall at all reasonable times be open to inspection by the Comptroller. The Comptroller may

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320	draw his order on the Treasurer for a petty cash fund for any budgeted
321	agency. Expenditures from such petty cash funds shall be subject to such
322	procedures as the Comptroller establishes. In accordance with
323	established procedures, the Comptroller may enter into such contractual
324	agreements as may be necessary for the discharge of his duties. As used
325	in this section, "adjust" means to determine the amount equitably due in
326	respect to each item of each claim or demand.
327	(c) The Comptroller shall establish and administer a prescription
328	drug discount card program available to all residents of the state. The
329	Comptroller may coordinate participation in a multistate prescription
330	drug consortium for the purposes of pooling prescription drug
331	purchasing power to lower costs by negotiating discounts with
332	prescription drug manufacturers and coordinating volume discount
333	contracting.
334	Sec. 9. Section 38a-477g of the general statutes is repealed and the
335	following is substituted in lieu thereof (Effective January 1, 2024):
336	(a) As used in this section: [(1) "Covered person", "facility" and "health
337	carrier" have the same meanings as provided in section 38a-591a, (2)
338	"health care provider" has the same meaning as provided in subsection
339	(a) of section 38a-477aa, and (3) "intermediary", "network", "network
340	plan" and "participating provider" have the same meanings as provided
341	in subsection (a) of section 38a-472f.]
342	(1) "All-or-nothing clause" means a provision in a health care contract
343	that:
344	(A) Requires the health insurance carrier or health plan administrator
345	to include all members of a health care provider in a network plan; or
346	(B) Requires the health insurance carrier or health plan administrator

to enter into any additional contract with an affiliate of the health care provider as a condition to entering into a contract with such health care

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350	(2) "Anti-steering clause" means a provision of a health care contract
351	that restricts the ability of the health insurance carrier or health plan
352	administrator from encouraging an enrollee to obtain a health care
353	service from a competitor of the hospital or health system, including
354	offering incentives to encourage enrollees to utilize specific health care
355	providers.
356	(3) "Anti-tiering clause" means a provision in a health care contract
357	<u>that:</u>
358	(A) Restricts the ability of the health insurance carrier or health plan
359	administrator to introduce and modify a tiered network plan or assign
360	health care providers into tiers; or
361	(B) Requires the health insurance carrier or health plan administrator
362	to place all members of a health care provider in the same tier of a tiered
363	network plan.
364	(4) "Covered person", "facility" and "health carrier" have the same
365	meanings as provided in section 38a-591a.
366	(5) "Health care provider" has the same meaning as provided in
367	subsection (a) of section 38a-477aa.
368	(6) "Health plan administrator" means a third-party administrator
369	who acts on behalf of a plan sponsor to administer a health benefit plan.
370	(7) "Intermediary", "network", "network plan" and "participating
371	provider" have the same meanings as provided in subsection (a) of
372	section 38a-472f.
373	(8) "Tiered network" has the same meaning as provided in section
374	<u>38a-472f.</u>
375	(9) "Value-based care" means a health care coverage model in which
376	providers, including hospitals and physicians, are paid based on patient
377	health outcomes.

- (b) (1) Each contract entered into, renewed or amended on or after January 1, 2017, between a health carrier and a participating provider shall include:
- (A) A hold harmless provision that specifies protections for covered persons. Such provision shall include the following statement or a substantially similar statement: "Provider agrees that in no event, including, but not limited to, nonpayment by the health carrier or intermediary, the insolvency of the health carrier or intermediary, or a breach of this agreement, shall the provider bill, charge, collect a deposit from, seek compensation, remuneration or reimbursement from, or have any recourse against a covered person or a person (other than the health carrier or intermediary) acting on behalf of the covered person for services provided pursuant to this agreement. This agreement does not prohibit the provider from collecting coinsurance, deductibles or copayments, as specifically provided in the evidence of coverage, or fees for uncovered services delivered on a fee-for-service basis to covered persons. Nor does this agreement prohibit a provider (except for a health care provider who is employed full-time on the staff of a health carrier and has agreed to provide services exclusively to that health carrier's covered persons and no others) and a covered person from agreeing to continue services solely at the expense of the covered person, as long as the provider has clearly informed the covered person that the health carrier does not cover or continue to cover a specific service or services. Except as provided herein, this agreement does not prohibit the provider from pursuing any available legal remedy.";
 - (B) A provision that in the event of a health carrier or intermediary insolvency or other cessation of operations, the participating provider's obligation to deliver covered health care services to covered persons without requesting payment from a covered person other than a coinsurance, copayment, deductible or other out-of-pocket expense for such services will continue until the earlier of (i) the termination of the covered person's coverage under the network plan, including any extension of coverage provided under the contract terms or applicable

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- state or federal law for covered persons who are in an active course of treatment, as set forth in subdivision (2) of subsection (g) of section 38a-472f, or are totally disabled, or (ii) the date the contract between the health carrier and the participating provider would have terminated if the health carrier or intermediary had remained in operation, including any extension of coverage required under applicable state or federal law for covered persons who are in an active course of treatment or are totally disabled;
 - (C) (i) A provision that requires the participating provider to make health records available to appropriate state and federal authorities involved in assessing the quality of care provided to, or investigating grievances or complaints of, covered persons, and (ii) a statement that such participating provider shall comply with applicable state and federal laws related to the confidentiality of medical and health records and a covered person's right to view, obtain copies of or amend such covered person's medical and health records; and
 - (D) (i) If such contract is entered into, renewed or amended before July 1, 2022, definitions of what is considered timely notice and a material change for the purposes of subparagraph (A) of subdivision (2) of subsection (c) of this section, or (ii) if such contract is entered into, renewed or amended on or after July 1, 2022, (I) a statement disclosing the ninety-day advance written notice requirement established under subparagraph (B) of subdivision (2) of subsection (c) of this section and what is considered a material change for the purposes of subdivision (2) of subsection (c) of this section, and (II) provisions affording the participating provider a right to appeal any proposed change to the provisions, other documents, provider manuals or policies disclosed pursuant to subdivision (1) of subsection (c) of this section.
 - (2) The contract terms set forth in subparagraphs (A) and (B) of subdivision (1) of this subsection shall (A) be construed in favor of the covered person, (B) survive the termination of the contract regardless of the reason for the termination, including the insolvency of the health carrier, and (C) supersede any oral or written agreement between a

- health care provider and a covered person or a covered person's authorized representative that is contrary to or inconsistent with the requirements set forth in subdivision (1) of this subsection.
- (3) No contract subject to this subsection shall include any provision that conflicts with the provisions contained in the network plan or required under this section, section 38a-472f or section 38a-477h.
- 450 (4) No health carrier or participating provider that is a party to a 451 contract under this subsection shall assign or delegate any right or 452 responsibility required under such contract without the prior written 453 consent of the other party.
- (c) (1) At the time a contract subject to subsection (b) of this section is signed, the health carrier or such health carrier's intermediary shall disclose to a participating provider:
- (A) All provisions and other documents incorporated by reference in such contract; and
- (B) If such contract is entered into, renewed or amended on or after July 1, 2022, all provider manuals and policies incorporated by reference in such contract, if any.
- 462 (2) While such contract is in force, the health carrier shall:
- (A) If such contract is entered into, renewed or amended before July 1, 2022, timely notify a participating provider of any change to the provisions or other documents specified under subparagraph (A) of subdivision (1) of this subsection that will result in a material change to such contract; or
- (B) If such contract is entered into, renewed or amended on or after July 1, 2022, provide to a participating provider at least ninety days' advance written notice of any change to the provisions or other documents specified under subparagraph (A) of subdivision (1) of this subsection, and any change to the provider manuals and policies

- specified under subparagraph (B) of subdivision (1) of this subsection, that will result in a material change to such contract or the procedures that a participating provider must follow pursuant to such contract.
 - (d) (1) (A) Each contract between a health carrier and an intermediary entered into, renewed or amended on or after January 1, 2017, shall satisfy the requirements of this subsection.
- (B) Each intermediary and participating providers with whom such intermediary contracts shall comply with the applicable requirements of this subsection.
 - (2) No health carrier shall assign or delegate to an intermediary such health carrier's responsibilities to monitor the offering of covered benefits to covered persons. To the extent a health carrier assigns or delegates to an intermediary other responsibilities, such health carrier shall retain full responsibility for such intermediary's compliance with the requirements of this section.
 - (3) A health carrier shall have the right to approve or disapprove the participation status of a health care provider or facility in such health carrier's own or a contracted network that is subcontracted for the purpose of providing covered benefits to the health carrier's covered persons.
 - (4) A health carrier shall maintain at its principal place of business in this state copies of all intermediary subcontracts or ensure that such health carrier has access to all such subcontracts. Such health carrier shall have the right, upon twenty days' prior written notice, to make copies of any intermediary subcontracts to facilitate regulatory review.
 - (5) (A) Each intermediary shall, if applicable, (i) transmit to the health carrier documentation of health care services utilization and claims paid, and (ii) maintain at its principal place of business in this state, for a period of time prescribed by the commissioner, the books, records, financial information and documentation of health care services received by covered persons, in a manner that facilitates regulatory

- review, and shall allow the commissioner access to such books, records, financial information and documentation as necessary for the commissioner to determine compliance with this section and section 38a-472f.
- 508 (B) Each health carrier shall monitor the timeliness and 509 appropriateness of payments made by its intermediary to participating 510 providers and of health care services received by covered persons.
 - (6) In the event of the intermediary's insolvency, a health carrier shall have the right to require the assignment to the health carrier of the provisions of a participating provider's contract that address such participating provider's obligation to provide covered benefits. If a health carrier requires such assignment, such health carrier shall remain obligated to pay the participating provider for providing covered benefits under the same terms and conditions as the intermediary prior to the insolvency.
 - (e) The commissioner shall not act to arbitrate, mediate or settle (1) disputes regarding a health carrier's decision not to include a health care provider or facility in such health carrier's network or network plan, or (2) any other dispute between a health carrier, such health carrier's intermediary or one or more participating providers, that arises under or by reason of a participating provider contract or the termination of such contract.
 - (f) On and after January 1, 2024, no health insurance carrier, health care provider, health plan administrator or any agent or other entity that contracts on behalf of a health care provider, health insurance carrier or health plan administrator may offer, solicit, request, amend, renew or enter into a health care contract that would directly or indirectly include any of the following provisions:
- 532 (1) An all-or-nothing clause;
- 533 (2) An anti-steering clause;

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534	(3) An anti-tiering	g	clause; or

- 535 (4) Any other clause that results or intends to result in anticompetitive effects.
- (g) On and after January 1, 2024, any contract, written policy, written
 procedure or agreement that contains a clause contrary to the provisions
 set forth in subsection (f) of this section shall be null and void. All
 remaining clauses of the contract shall remain in effect for the duration
 of the contract term.
- 542 (h) Nothing in this section shall be construed to prohibit value-based care.
- 544 (i) The Insurance Commissioner may adopt regulations, in 545 accordance with chapter 54, to implement the provisions of subsection 546 (f) of this section.
- Sec. 10. Subsection (a) of section 17b-242 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1*, 2023):
 - (a) The Department of Social Services shall determine the rates to be paid to home health care agencies and home health aide agencies by the state or any town in the state for persons aided or cared for by the state or any such town. The Commissioner of Social Services shall establish a fee schedule for home health services to be effective on and after July 1, 1994. The commissioner may annually modify such fee schedule if such modification is needed to ensure that the conversion to an administrative services organization is cost neutral to home health care agencies and home health aide agencies in the aggregate and ensures patient access. Utilization may be a factor in determining cost neutrality. The commissioner shall increase the fee schedule for home health services provided under the Connecticut home-care program for the elderly established under section 17b-342, effective July 1, 2000, by two per cent over the fee schedule for home health services for the previous year. The commissioner shall include in the fee schedule not less than

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two licensed clinical social worker visits to each individual enrolled in the Connecticut home-care program for the elderly or any home and community-based Medicaid waiver program administered by the Department of Social Services. The commissioner may increase any fee payable to a home health care agency or home health aide agency upon the application of such an agency evidencing extraordinary costs related to (1) serving persons with AIDS; (2) high-risk maternal and child health care; (3) escort services; or (4) extended hour services. In no case shall any rate or fee exceed the charge to the general public for similar services. A home health care agency or home health aide agency which, due to any material change in circumstances, is aggrieved by a rate determined pursuant to this subsection may, within ten days of receipt of written notice of such rate from the Commissioner of Social Services, request in writing a hearing on all items of aggrievement. The commissioner shall, upon the receipt of all documentation necessary to evaluate the request, determine whether there has been such a change in circumstances and shall conduct a hearing if appropriate. The Commissioner of Social Services shall adopt regulations, in accordance with chapter 54, to implement the provisions of this subsection. The commissioner may implement policies and procedures to carry out the provisions of this subsection while in the process of adopting regulations, provided notice of intent to adopt the regulations is published in the Connecticut Law Journal not later than twenty days after the date of implementing the policies and procedures. Such policies and procedures shall be valid for not longer than nine months.

Sec. 11. (NEW) (Effective from passage) (a) For purposes of this section, "certified community health worker" has the same meaning as provided in section 20-195ttt of the general statutes. The Commissioner of Social Services shall design and implement a program to provide Medicaid reimbursement to certified community health workers for services provided to HUSKY Health program members, including, but not limited to: (1) Coordination of medical, oral and behavioral health care services and social supports; (2) connection to and navigation of health systems and services; (3) prenatal, birth, lactation and postpartum

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- supports; and (4) health promotion, coaching and self-management education.
- (b) The commissioner shall provide reimbursement for the services of certified community health workers in a manner and at a rate conducive to workforce growth.
 - (c) The commissioner and the commissioner's designees shall consult with certified community health workers and others throughout the design and implementation of the certified community health worker reimbursement program in a manner that (1) is inclusive of community-based and clinic-based certified community health workers; (2) is representative of medical assistance program member demographics; and (3) helps shape the reimbursement program's design and implementation.
- 612 (d) The Department of Social Services shall coordinate with the Office 613 of Health Strategy to identify opportunities for the integration of certified community health workers into the medical assistance 614 615 program. Not later than January 1, 2024, and annually thereafter until 616 the reimbursement program is fully implemented, the Department of 617 Social Services shall submit a report, in accordance with the provisions 618 of section 11-4a of the general statutes, to the joint standing committee 619 of the General Assembly having cognizance of matters relating to 620 human services and the Council on Medical Assistance Program 621 Oversight. Such report shall contain an update on the certified 622 community health worker reimbursement program and an evaluation 623 of its impact on health outcomes and health equity.
- Sec. 12. Subsection (b) of section 19a-754a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- 627 (b) The Office of Health Strategy shall be responsible for the 628 following:
- (1) Developing and implementing a comprehensive and cohesive

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- health care vision for the state, including, but not limited to, a coordinated state health care cost containment strategy;
- (2) Promoting effective health planning and the provision of quality health care in the state in a manner that ensures access for all state residents to cost-effective health care services, avoids the duplication of such services and improves the availability and financial stability of such services throughout the state;
- 637 (3) Directing and overseeing the State Innovation Model Initiative 638 and related successor initiatives;
- 639 (4) (A) Coordinating the state's health information technology 640 initiatives, (B) seeking funding for and overseeing the planning, 641 implementation and development of policies and procedures for the 642 administration of the all-payer claims database program established 643 under section 19a-775a, (C) establishing and maintaining a consumer 644 health information Internet web site under section 19a-755b, and (D) 645 designating an unclassified individual from the office to perform the 646 duties of a health information technology officer as set forth in sections 647 17b-59f and 17b-59g;
- (5) Directing and overseeing the Health Systems Planning Unit established under section 19a-612 and all of its duties and responsibilities as set forth in chapter 368z;
- 651 (6) Convening forums and meetings with state government and 652 external stakeholders, including, but not limited to, the Connecticut 653 Health Insurance Exchange, to discuss health care issues designed to 654 develop effective health care cost and quality strategies;
- (7) Consulting with the Commissioner of Social Services, Insurance
 Commissioner and Connecticut Health Insurance Exchange on the
 Covered Connecticut program described in section 19a-754c; [and]
- (8) (A) Setting an annual health care cost growth benchmark and primary care spending target pursuant to section 19a-754g, (B)

- 660 developing and adopting health care quality benchmarks pursuant to 661 section 19a-754g, (C) developing strategies, in consultation with 662 stakeholders, to meet such benchmarks and targets developed pursuant 663 to section 19a-754g, (D) enhancing the transparency of provider entities, 664 as defined in subdivision (13) of section 19a-754f, (E) monitoring the 665 development of accountable care organizations and patient-centered 666 medical homes in the state, and (F) monitoring the adoption of 667 alternative payment methodologies in the state; and
- 668 (9) Convening forums and meetings with Access Health Connecticut, 669 the Department of Public Health, the birth-to-three program, as defined 670 in section 17a-248, state home visiting programs, community action 671 agencies, hospitals, community health centers and other state 672 government and external stakeholders to align community health 673 worker programs funded by the state medical assistance program, block grants, health care providers, private insurance carriers and other 674 675 external stakeholders.
- Sec. 13. Section 17b-312 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (a) The Commissioner of Social Services shall seek, in accordance with the provisions of section 17b-8 and in consultation with the Insurance Commissioner and the Office of Health Strategy established under section 19a-754a, as amended by this act, a waiver under Section 1115 of the Social Security Act, as amended from time to time, to [seek] obtain federal funds to support the Covered Connecticut program established under section 19a-754c. Upon approval by the Centers for Medicare and Medicaid Services, the Commissioner of Social Services shall implement the waiver.
 - (b) Not later than thirty days after the effective date of this section, the commissioner shall amend the waiver submitted in accordance with subsection (a) of this section, to the extent permissible under federal law and in accordance with section 17b-8, to provide coverage through the Covered Connecticut program to persons otherwise qualified for the

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- 692 program whose income does not exceed two hundred per cent of the
- 693 <u>federal poverty level.</u> The commissioner shall consult with the
- 694 Insurance Commissioner and the executive director of the Office of
- 695 <u>Health Strategy in submitting the waiver amendment.</u>
 - Sec. 14. (NEW) (*Effective from passage*) (a) Not later than sixty days after the effective date of this section, the Commissioner of Social Services, in consultation with the Insurance Commissioner and the executive director of the Office of Health Strategy established under section 19a-754a of the general statutes, as amended by this act, shall develop a plan for a second tier of the Covered Connecticut program established pursuant to section 19a-754c of the general statutes. The plan shall provide state-assisted health care coverage for persons otherwise qualified for the program whose income exceeds two hundred per cent of the federal poverty level but does not exceed three hundred per cent of the federal poverty level.
 - (b) The plan developed pursuant to subsection (a) of this section may include (1) reduced benefits from the Covered Connecticut program, provided such benefits are in accordance with the requirements of the Patient Protection and Affordable Care Act, P.L. 111-148, as amended by the Health Care and Education Reconciliation Act, P.L. 111-152, as both may be amended from time to time, and regulations adopted thereunder, and (2) income-based copayments by enrollees.
 - (c) The Commissioner of Social Services shall submit the plan developed in accordance with this section to the joint standing committees of the General Assembly having cognizance of matters relating to appropriations and the budgets of state agencies, human services and insurance. Not later than thirty days after the date of their receipt of such plan, the joint standing committees shall hold a public hearing on the plan. At the conclusion of a public hearing held in accordance with the provisions of this section, the joint standing committees shall advise the commissioner of their approval, denial or modifications, if any, of the commissioner's plan. If the joint standing committees advise the commissioner of their denial of approval, the

725 commissioner shall not implement the plan. If such committees do not 726 concur, the committee chairpersons shall appoint a committee of 727 conference which shall be composed of three members from each joint 728 standing committee. At least one member appointed from each joint 729 standing committee shall be a member of the minority party. The report 730 of the committee of conference shall be made to each joint standing 731 committee, which shall vote to accept or reject the report. The report of 732 the committee of conference may not be amended. If a joint standing 733 committee rejects the report of the committee of conference, that joint 734 standing committee shall notify the commissioner of the rejection and the commissioner's plan shall be deemed approved. If the joint standing 735 736 committees accept the report, the committee having cognizance of 737 matters relating to appropriations and the budgets of state agencies 738 shall advise the commissioner of their approval, denial or modifications, 739 if any, of the commissioner's plan. If the joint standing committees do 740 not so advise the commissioner during the thirty-day period, the plan 741 shall be deemed denied. Any implementation of the plan developed 742 pursuant to this section shall be in accordance with the approval or 743 modifications, if any, of the joint standing committees of the General 744 Assembly having cognizance of matters relating to appropriations and 745 the budgets of state agencies, human services and insurance.

- (d) To the extent permissible under federal law, the commissioner may seek approval of a Medicaid waiver in accordance with section 17b-8 of the general statutes to obtain federal financial participation for the plan developed pursuant to this section.
- Sec. 15. Section 38a-1084 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- 752 The exchange shall:
- 753 (1) Administer the exchange for both qualified individuals and 754 qualified employers;
- 755 (2) Commission surveys of individuals, small employers and health

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- care providers on issues related to health care and health care coverage;
- (3) Implement procedures for the certification, recertification and decertification, consistent with guidelines developed by the Secretary under Section 1311(c) of the Affordable Care Act, and section 38a-1086, of health benefit plans as qualified health plans;
- 761 (4) Provide for the operation of a toll-free telephone hotline to respond to requests for assistance;
 - (5) Provide for enrollment periods, as provided under Section 1311(c)(6) of the Affordable Care Act;
 - (6) Maintain an Internet web site through which enrollees and prospective enrollees of qualified health plans may obtain standardized comparative information on such plans including, but not limited to, the enrollee satisfaction survey information under Section 1311(c)(4) of the Affordable Care Act and any other information or tools to assist enrollees and prospective enrollees evaluate qualified health plans offered through the exchange;
 - (7) Publish the average costs of licensing, regulatory fees and any other payments required by the exchange and the administrative costs of the exchange, including information on moneys lost to waste, fraud and abuse, on an Internet web site to educate individuals on such costs;
 - (8) On or before the open enrollment period for plan year 2017, assign a rating to each qualified health plan offered through the exchange in accordance with the criteria developed by the Secretary under Section 1311(c)(3) of the Affordable Care Act, and determine each qualified health plan's level of coverage in accordance with regulations issued by the Secretary under Section 1302(d)(2)(A) of the Affordable Care Act;
 - (9) Use a standardized format for presenting health benefit options in the exchange, including the use of the uniform outline of coverage established under Section 2715 of the Public Health Service Act, 42 USC 300gg-15, as amended from time to time;

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- (10) Inform individuals, in accordance with Section 1413 of the Affordable Care Act, of eligibility requirements for the Medicaid program under Title XIX of the Social Security Act, as amended from time to time, the Children's Health Insurance Program (CHIP) under Title XXI of the Social Security Act, as amended from time to time, or any applicable state or local public program, and enroll an individual in such program if the exchange determines, through screening of the application by the exchange, that such individual is eligible for any such program;
- (11) Collaborate with the Department of Social Services, to the extent possible, to allow an enrollee who loses premium tax credit eligibility under Section 36B of the Internal Revenue Code and is eligible for HUSKY A or any other state or local public program, to remain enrolled in a qualified health plan;
- (12) Establish and make available by electronic means a calculator to determine the actual cost of coverage after application of any premium tax credit under Section 36B of the Internal Revenue Code and any cost-sharing reduction under Section 1402 of the Affordable Care Act;
- (13) Establish a program for small employers through which qualified employers may access coverage for their employees and that shall enable any qualified employer to specify a level of coverage so that any of its employees may enroll in any qualified health plan offered through the exchange at the specified level of coverage;
- (14) Offer enrollees and small employers the option of having the exchange collect and administer premiums, including through allocation of premiums among the various insurers and qualified health plans chosen by individual employers;
- (15) Grant a certification, subject to Section 1411 of the Affordable Care Act, attesting that, for purposes of the individual responsibility penalty under Section 5000A of the Internal Revenue Code, an individual is exempt from the individual responsibility requirement or

817	from the penalty imposed by said Section 5000A because:
818 819	(A) There is no affordable qualified health plan available through the exchange, or the individual's employer, covering the individual; or
820 821	(B) The individual meets the requirements for any other such exemption from the individual responsibility requirement or penalty;
822 823	(16) Provide to the Secretary of the Treasury of the United States the following:
824 825 826	(A) A list of the individuals granted a certification under subdivision (15) of this section, including the name and taxpayer identification number of each individual;
827 828 829 830	(B) The name and taxpayer identification number of each individual who was an employee of an employer but who was determined to be eligible for the premium tax credit under Section 36B of the Internal Revenue Code because:
831 832	(i) The employer did not provide minimum essential health benefits coverage; or
833 834 835 836	(ii) The employer provided the minimum essential coverage but it was determined under Section $36B(c)(2)(C)$ of the Internal Revenue Code to be unaffordable to the employee or not provide the required minimum actuarial value; and
837	(C) The name and taxpayer identification number of:
838 839 840	(i) Each individual who notifies the exchange under Section 1411(b)(4) of the Affordable Care Act that such individual has changed employers; and
841 842	(ii) Each individual who ceases coverage under a qualified health plan during a plan year and the effective date of that cessation;
843	(17) Provide to each employer the name of each employee, as

- 844 described in subparagraph (B) of subdivision (16) of this section, of the 845 employer who ceases coverage under a qualified health plan during a 846 plan year and the effective date of the cessation; 847 (18) Perform duties required of, or delegated to, the exchange by the 848 Secretary or the Secretary of the Treasury of the United States related to 849 determining eligibility for premium tax credits, reduced cost-sharing or 850 individual responsibility requirement exemptions; 851 (19) Select entities qualified to serve as Navigators in accordance with 852 Section 1311(i) of the Affordable Care Act and award grants to enable 853 Navigators to:
- 854 (A) Conduct public education activities to raise awareness of the 855 availability of qualified health plans;
 - (B) Distribute fair and impartial information concerning enrollment in qualified health plans and the availability of premium tax credits under Section 36B of the Internal Revenue Code and cost-sharing reductions under Section 1402 of the Affordable Care Act;
 - (C) Facilitate enrollment in qualified health plans;
- (D) Provide referrals to the Office of the Healthcare Advocate or health insurance ombudsman established under Section 2793 of the Public Health Service Act, 42 USC 300gg-93, as amended from time to time, or any other appropriate state agency or agencies, for any enrollee with a grievance, complaint or question regarding the enrollee's health benefit plan, coverage or a determination under that plan or coverage; and
- 868 (E) Provide information in a manner that is culturally and 869 linguistically appropriate to the needs of the population being served by 870 the exchange;
- 871 (20) Review the rate of premium growth within and outside the 872 exchange and consider such information in developing

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873	recommendations on whether to continue limiting qualified employer
874	status to small employers;
875	(21) Credit the amount, in accordance with Section 10108 of the
876	Affordable Care Act, of any free choice voucher to the monthly
877	premium of the plan in which a qualified employee is enrolled and
878	collect the amount credited from the offering employer;
879	(22) Consult with stakeholders relevant to carrying out the activities
880	required under sections 38a-1080 to 38a-1090, inclusive, including, but
881	not limited to:
882	(A) Individuals who are knowledgeable about the health care system,
883	have background or experience in making informed decisions regarding
884	health, medical and scientific matters and are enrollees in qualified
885	health plans;
886	(B) Individuals and entities with experience in facilitating enrollment
887	in qualified health plans;
888	(C) Representatives of small employers and self-employed
889	individuals;
890	(D) The Department of Social Services; and
891	(E) Advocates for enrolling hard-to-reach populations;
892	(23) Meet the following financial integrity requirements:
893	(A) Keep an accurate accounting of all activities, receipts and
894	expenditures and annually submit to the Secretary, the Governor, the
895	Insurance Commissioner and the General Assembly a report concerning
896	such accountings;
897	(B) Fully cooperate with any investigation conducted by the Secretary
898	pursuant to the Secretary's authority under the Affordable Care Act and
899	allow the Secretary, in coordination with the Inspector General of the
900	United States Department of Health and Human Services, to:

- 901 (i) Investigate the affairs of the exchange;
- 902 (ii) Examine the properties and records of the exchange; and
- 903 (iii) Require periodic reports in relation to the activities undertaken 904 by the exchange; and
 - (C) Not use any funds in carrying out its activities under sections 38a-1080 to 38a-1089, inclusive, that are intended for the administrative and operational expenses of the exchange, for staff retreats, promotional giveaways, excessive executive compensation or promotion of federal or state legislative and regulatory modifications;
 - (24) (A) Seek to include the most comprehensive health benefit plans that offer high quality benefits at the most affordable price in the exchange, (B) encourage health carriers to offer tiered health care provider network plans that have different cost-sharing rates for different health care provider tiers and reward enrollees for choosing low-cost, high-quality health care providers by offering lower copayments, deductibles or other out-of-pocket expenses, and (C) offer any such tiered health care provider network plans through the exchange;
 - (25) Report at least annually to the General Assembly on the effect of adverse selection on the operations of the exchange and make legislative recommendations, if necessary, to reduce the negative impact from any such adverse selection on the sustainability of the exchange, including recommendations to ensure that regulation of insurers and health benefit plans are similar for qualified health plans offered through the exchange and health benefit plans offered outside the exchange. The exchange shall evaluate whether adverse selection is occurring with respect to health benefit plans that are grandfathered under the Affordable Care Act, self-insured plans, plans sold through the exchange and plans sold outside the exchange; [and]
 - (26) Consult with the Commissioner of Social Services, Insurance Commissioner and Office of Health Strategy, established under section

- 932 19a-754a, as amended by this act, for the purposes set forth in section 19a-754c; and
- 934 (27) (A) Notwithstanding the provisions of section 12-15, the 935 exchange shall make a written request to the Commissioner of Revenue Services, for return or return information, as such terms are defined in 936 section 12-15, for use in conducting targeted outreach to uninsured 937 938 residents of this state. If the Commissioner of Revenue Services deems 939 such return or return information to be relevant to the targeted outreach 940 to uninsured residents, said commissioner may disclose such information to the exchange. To effectuate the disclosure of such 941 information, the Commissioner of Revenue Services and the exchange 942 943 shall enter into a memorandum of understanding that sets forth the 944 specific information to be disclosed and contains the terms and 945 conditions under which said commissioner will disclose such information to the exchange. Any return or return information disclosed 946 947 by the Commissioner of Revenue Services shall not be redisclosed by the recipient to a third party without permission from the commissioner 948 and shall only be used by the exchange in the manner prescribed in the 949 950 memorandum of understanding. Any person who violates the 951 provisions of this subparagraph shall be fined not more than five 952 thousand dollars.
 - (B) To assist the exchange in conducting targeted outreach to uninsured residents of this state, the Commissioner of Revenue Services shall revise the tax return form prescribed under chapter 229 to include space on the tax return for residents to authorize the exchange to contact such residents regarding enrollment through the exchange. The Commissioner of Revenue Services and the exchange shall develop language to be included on the tax return form and shall include in the instructions accompanying the tax return a description of how the authorization provided will be relayed to the exchange.
- Sec. 16. Section 19a-42 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):

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- (a) To protect the integrity and accuracy of vital records, a certificate registered under chapter 93 may be amended only in accordance with sections 19a-41 to 19a-45, inclusive, chapter 93, regulations adopted by the Commissioner of Public Health pursuant to chapter 54 and uniform procedures prescribed by the commissioner. Only the commissioner may amend birth certificates to reflect changes concerning parentage or the legal name of a parent or birth or marriage certificates to reflect changes concerning gender. [change.] Amendments related to parentage, [or] gender change or the legally changed name of a parent shall result in the creation of a replacement certificate that supersedes the original, and shall in no way reveal the original language changed by the amendment. Any amendment to a vital record made by the registrar of vital statistics of the town in which the vital event occurred or by the commissioner shall be in accordance with such regulations and uniform procedures.
- (b) The commissioner and the registrar of vital statistics shall maintain sufficient documentation, as prescribed by the commissioner, to support amendments and shall ensure the confidentiality of such documentation as required by law. The date of amendment and a summary description of the evidence submitted in support of the amendment shall be endorsed on or made part of the record and the original certificate shall be marked "Amended", except for amendments [due to] concerning parentage, [or] gender change or the legally changed name of a parent. When the registrar of the town in which the vital event occurred amends a certificate, such registrar shall, within ten days of making such amendment, forward an amended certificate to the commissioner and to any registrar having a copy of the certificate. When the commissioner amends a birth certificate, including changes [due to] concerning parentage, [or] gender change or the legally changed name of a parent, the commissioner shall forward an amended certificate to the registrars of vital statistics affected and their records shall be amended accordingly.
 - (c) An amended certificate shall supersede the original certificate that

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has been changed and shall be marked "Amended", except for amendments [due to] concerning parentage, [or] gender change or the legally changed name of a parent. The original certificate in the case of amendments concerning parentage, [or] gender change or the legally changed name of a parent shall be physically or electronically sealed and kept in a confidential file by the department and the registrar of any town in which the birth was recorded, and may be unsealed for issuance only as provided in section 7-53 with regard to an original birth certificate or upon a written order of a court of competent jurisdiction. The amended certificate shall become the official record.

(d) (1) Upon receipt of (A) an acknowledgment of parentage executed in accordance with the provisions of sections 46b-476 to 46b-487, inclusive, by both parents of a child, or (B) a certified copy of an order of a court of competent jurisdiction establishing the parentage of a child, the commissioner shall include on or amend, as appropriate, such child's birth certificate to show such parentage if parentage is not already shown on such birth certificate and to change the name of the child under eighteen years of age if so indicated on the acknowledgment of parentage form or within the certified court order as part of the parentage action. If a person who is the subject of a voluntary acknowledgment of parentage, as described in this subdivision, is eighteen years of age or older, the commissioner shall obtain a notarized affidavit from such person affirming that such person agrees to the commissioner's amendment of such person's birth certificate as such amendment relates to the acknowledgment of parentage. The commissioner shall amend the birth certificate for an adult child to change the child's name only pursuant to a court order.

(2) If the birth certificate lists the information of a parent other than the parent who gave birth, the commissioner shall not remove or replace the parent's information unless presented with a certified court order that meets the requirements specified in section 7-50, or upon the proper filing of a rescission, in accordance with the provisions of section 46b-570. The commissioner shall thereafter amend such child's birth

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- certificate to remove or change the name of the parent other than the person who gave birth and, if relevant, to change the name of the child, as requested at the time of the filing of a rescission, in accordance with the provisions of section 46b-570. Birth certificates amended under this subsection shall not be marked "Amended".
- (e) When the parent or parents of a child request the amendment of the child's birth certificate to reflect a new name of the parent who gave birth because the name on the original certificate is fictitious, such parent or parents shall obtain an order of a court of competent jurisdiction declaring the person who gave birth to be the child's parent. Upon receipt of a certified copy of such order, the department shall amend the child's birth certificate to reflect the parent's true name.
- (f) Upon receipt of a certified copy of an order of a court of competent jurisdiction changing the name of a person born in this state and upon request of such person or such person's parents, guardian, or legal representative, the commissioner or the registrar of vital statistics of the town in which the vital event occurred shall amend the birth certificate to show the new name by a method prescribed by the department.
- (g) When an applicant submits the documentation required by the regulations to amend a vital record, the commissioner shall hold a hearing, in accordance with chapter 54, if the commissioner has reasonable cause to doubt the validity or adequacy of such documentation.
- (h) When an amendment under this section involves the changing of existing language on a death certificate due to an error pertaining to the cause of death, the death certificate shall be amended in such a manner that the original language is still visible. A copy of the death certificate shall be made. The original death certificate shall be sealed and kept in a confidential file at the department and only the commissioner may order it unsealed. The copy shall be amended in such a manner that the language to be changed is no longer visible. The copy shall be a public document.

(i) The commissioner shall issue a new birth certificate to reflect a gender change upon receipt of the following documents submitted in the form and manner prescribed by the commissioner: (1) A written request from the applicant, signed under penalty of law, for a replacement birth certificate to reflect that the applicant's gender differs from the sex designated on the original birth certificate; (2) a notarized affidavit by a physician licensed pursuant to chapter 370 or holding a current license in good standing in another state, a physician assistant licensed pursuant to chapter 370 or holding a current license in good standing in another state, an advanced practice registered nurse licensed pursuant to chapter 378 or holding a current license in good standing in another state, or a psychologist licensed pursuant to chapter 383 or holding a current license in good standing in another state, stating that the applicant has undergone surgical, hormonal or other treatment clinically appropriate for the applicant for the purpose of gender transition; and (3) if an applicant is also requesting a change of name listed on the original birth certificate, proof of a legal name change. The new birth certificate shall reflect the new gender identity by way of a change in the sex designation on the original birth certificate and, if applicable, the legal name change.

(j) The commissioner shall issue a new birth certificate to reflect the legally changed name of a parent of the child who is the subject of such birth certificate upon receipt of the following documents, submitted in a form and manner prescribed by the commissioner: (1) A written request from the parent, signed under penalty of law, for a replacement birth certificate to reflect that the parent's legal name differs from the name designated on the original birth certificate, and (2) proof of such parent's legal name change.

[(j)] (k) The commissioner shall issue a new marriage certificate to reflect a gender change upon receipt of the following documents, submitted in a form and manner prescribed by the commissioner: (1) A written request from the applicant, signed under penalty of law, for a replacement marriage certificate to reflect that the applicant's gender

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1095 differs from the sex designated on the original marriage certificate, 1096 along with an affirmation that the marriage is still legally intact; (2) a 1097 notarized statement from the spouse named on the marriage certificate 1098 to be amended, consenting to the amendment; (3) (A) a United States 1099 passport or amended birth certificate or court order reflecting the 1100 applicant's gender as of the date of the request, or (B) a notarized 1101 affidavit by a physician licensed pursuant to chapter 370 or holding a 1102 current license in good standing in another state, physician assistant 1103 licensed pursuant to chapter 370 or holding a current license in good 1104 standing in another state, an advanced practice registered nurse 1105 licensed pursuant to chapter 378 or holding a current license in good 1106 standing in another state or a psychologist licensed pursuant to chapter 1107 383 or holding a current license in good standing in another state stating 1108 that the applicant has undergone surgical, hormonal or other treatment 1109 clinically appropriate for the applicant for the purpose of gender 1110 transition; and (4) if an applicant is also requesting a change of name 1111 listed on the original marriage certificate, proof of a legal name change. 1112 The new marriage certificate shall reflect the new gender identity by 1113 way of a change in the sex designation on the original marriage 1114 certificate and, if applicable, the legal name change.

- Sec. 17. (NEW) (*Effective from passage*) (a) For purposes of this section, "inmate" and "prisoner" have the same meanings as provided in section 18-84 of the general statutes.
- (b) Not later than thirty days after the written request of any inmate or prisoner whose name has been ordered changed pursuant to section 45a-99 or section 52-11 of the general statutes, the Commissioner of Correction shall change such inmate or prisoner's name in the records of the Department of Correction in accordance with such order. Any such written request shall be accompanied by a certified copy of such order.
- Sec. 18. Section 18-81ii of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):

Any inmate of a correctional institution, as described in section 18-78, who has a gender identity that differs from the inmate's assigned sex at birth and has a diagnosis of gender dysphoria, as set forth in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders" or gender incongruence, as defined in the 11th revision of the "International Statistical Classification" of Diseases and Related Health Problems", shall: (1) Be addressed by correctional staff in a manner that is consistent with the inmate's gender identity, (2) have access to commissary items, clothing, personal property, programming and educational materials that are consistent with the inmate's gender identity, and (3) have the right to be searched by a correctional staff member of the same gender identity, unless the inmate requests otherwise or under exigent circumstances. An inmate who has a birth certificate, passport or driver's license that reflects his or her gender identity or who can meet established standards for obtaining such a document to confirm the inmate's gender identity shall presumptively be placed in a correctional institution with inmates of the gender consistent with the inmate's gender identity. Such presumptive placement may be overcome by a demonstration by the Commissioner of Correction, or the commissioner's designee, that the placement would present significant safety, management or security problems. In making determinations pursuant to this section, the inmate's views with respect to his or her safety shall be given serious consideration by the Commissioner of Correction, or the commissioner's designee.

- Sec. 19. Section 52-571m of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):
- 1153 (a) As used in this section:
 - (1) "Reproductive health care services" includes all medical, surgical, counseling or referral services relating to the human reproductive system, including, but not limited to, services relating to pregnancy, contraception or the termination of a pregnancy and all medical care relating to treatment of gender dysphoria as set forth in the most recent edition of the American Psychiatric Association's "Diagnostic and

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- Statistical Manual of Mental Disorders" and gender incongruence, as
 defined in the 11th revision of the "International Statistical Classification
 of Diseases and Related Health Problems"; and
 - (2) "Person" includes an individual, a partnership, an association, a limited liability company or a corporation.
 - (b) When any person has had a judgment entered against such person, in any state, where liability, in whole or in part, is based on the alleged provision, receipt, assistance in receipt or provision, material support for, or any theory of vicarious, joint, several or conspiracy liability derived therefrom, for reproductive health care services that are permitted under the laws of this state, such person may recover damages from any party that brought the action leading to that judgment or has sought to enforce that judgment. Recoverable damages shall include: (1) Just damages created by the action that led to that judgment, including, but not limited to, money damages in the amount of the judgment in that other state and costs, expenses and reasonable attorney's fees spent in defending the action that resulted in the entry of a judgment in another state; and (2) costs, expenses and reasonable attorney's fees incurred in bringing an action under this section as may be allowed by the court.
 - (c) The provisions of this section shall not apply to a judgment entered in another state that is based on: (1) An action founded in tort, contract or statute, and for which a similar claim would exist under the laws of this state, brought by the patient who received the reproductive health care services upon which the original lawsuit was based or the patient's authorized legal representative, for damages suffered by the patient or damages derived from an individual's loss of consortium of the patient; (2) an action founded in contract, and for which a similar claim would exist under the laws of this state, brought or sought to be enforced by a party with a contractual relationship with the person that is the subject of the judgment entered in another state; or (3) an action where no part of the acts that formed the basis for liability occurred in this state.

- Sec. 20. Section 52-571n of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):
- 1195 (a) As used in this section:

- (1) "Gender-affirming health care services" means all medical care relating to the treatment of gender dysphoria <u>as set forth in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders" and gender incongruence, as defined in the 11th revision of the "International Statistical Classification of Diseases and Related Health Problems";</u>
 - (2) "Reproductive health care services" includes all medical, surgical, counseling or referral services relating to the human reproductive system, including, but not limited to, services relating to pregnancy, contraception or the termination of a pregnancy; and
 - (3) "Person" includes an individual, a partnership, an association, a limited liability company or a corporation.
 - (b) When any person has had a judgment entered against such person, in any state, where liability, in whole or in part, is based on the alleged provision, receipt, assistance in receipt or provision, material support for, or any theory of vicarious, joint, several or conspiracy liability derived therefrom, for reproductive health care services and gender-affirming health care services that are permitted under the laws of this state, such person may recover damages from any party that brought the action leading to that judgment or has sought to enforce that judgment. Recoverable damages shall include: (1) Just damages created by the action that led to that judgment, including, but not limited to, money damages in the amount of the judgment in that other state and costs, expenses and reasonable attorney's fees spent in defending the action that resulted in the entry of a judgment in another state; and (2) costs, expenses and reasonable attorney's fees incurred in bringing an action under this section as may be allowed by the court.
- 1223 (c) The provisions of this section shall not apply to a judgment

- 1224 entered in another state that is based on: (1) An action founded in tort, 1225 contract or statute, and for which a similar claim would exist under the 1226 laws of this state, brought by the patient who received the reproductive 1227 health care services or gender-affirming health care services upon which 1228 the original lawsuit was based or the patient's authorized legal 1229 representative, for damages suffered by the patient or damages derived from an individual's loss of consortium of the patient; (2) an action 1230 1231 founded in contract, and for which a similar claim would exist under 1232 the laws of this state, brought or sought to be enforced by a party with 1233 a contractual relationship with the person that is the subject of the 1234 judgment entered in another state; or (3) an action where no part of the 1235 acts that formed the basis for liability occurred in this state.
- Sec. 21. Subsection (b) of section 45a-106a of the general statutes, as amended by section 52 of public act 22-26, is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):
- 1239 (b) The fee to file each of the following motions, petitions or 1240 applications in a Probate Court is two hundred fifty dollars:
 - (1) With respect to a minor child: (A) Appoint a temporary guardian, temporary custodian, guardian, coguardian, permanent guardian or statutory parent, (B) remove a guardian, including the appointment of another guardian, (C) reinstate a parent as guardian, (D) terminate parental rights, including the appointment of a guardian or statutory parent, (E) grant visitation, (F) make findings regarding special immigrant juvenile status, (G) approve placement of a child for adoption outside this state, (H) approve an adoption, (I) validate a foreign adoption, (I) review, modify or enforce a cooperative postadoption agreement, (K) review an order concerning contact between an adopted child and his or her siblings, (L) resolve a dispute concerning a standby guardian, (M) approve a plan for voluntary services provided by the Department of Children and Families, (N) determine whether the termination of voluntary services provided by the Department of Children and Families is in accordance with applicable regulations, (O) conduct an in-court review to modify an

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- order, (P) grant emancipation, (Q) grant approval to marry, (R) transfer
- 1258 funds to a custodian under sections 45a-557 to 45a-560b, inclusive, (S)
- 1259 appoint a successor custodian under section 45a-559c, (T) resolve a
- dispute concerning custodianship under sections 45a-557 to 45a-560b,
- inclusive, and (U) grant authority to purchase real estate;
- 1262 (2) Determine parentage;
- 1263 (3) Validate a genetic surrogacy agreement;
- 1264 (4) Determine the age and date of birth of an adopted person born
- 1265 outside the United States;
- 1266 (5) With respect to adoption records: (A) Appoint a guardian ad litem
- 1267 for a biological relative who cannot be located or appears to be
- incompetent, (B) appeal the refusal of an agency to release information,
- 1269 (C) release medical information when required for treatment, and (D)
- 1270 grant access to an original birth certificate;
- 1271 (6) Approve an adult adoption;
- 1272 (7) With respect to a conservatorship: (A) Appoint a temporary
- 1273 conservator, conservator or special limited conservator, (B) change
- 1274 residence, terminate a tenancy or lease, sell or dispose household
- 1275 furnishings, or place in a long-term care facility, (C) determine
- 1276 competency to vote, (D) approve a support allowance for a spouse, (E)
- 1277 grant authority to elect the spousal share, (F) grant authority to purchase
- real estate, (G) give instructions regarding administration of a joint asset
- 1279 or liability, (H) distribute gifts, (I) grant authority to consent to
- 1280 involuntary medication, (J) determine whether informed consent has
- 1281 been given for voluntary admission to a hospital for psychiatric
- 1282 disabilities, (K) determine life-sustaining medical treatment, (L) transfer
- 1283 to or from another state, (M) modify the conservatorship in connection
- 1284 with a periodic review, (N) excuse accounts under rules of procedure
- approved by the Supreme Court under section 45a-78, (O) terminate the
- 1286 conservatorship, and (P) grant a writ of habeas corpus;

- 1287 (8) With respect to a power of attorney: (A) Compel an account by an agent, (B) review the conduct of an agent, (C) construe the power of attorney, and (D) mandate acceptance of the power of attorney;
- (9) Resolve a dispute concerning advance directives or life-sustaining
 medical treatment when the individual does not have a conservator or
 guardian;
- (10) With respect to an elderly person, as defined in section 17b-450:

 (A) Enjoin an individual from interfering with the provision of protective services to such elderly person, and (B) authorize the Commissioner of Social Services to enter the premises of such elderly person to determine whether such elderly person needs protective services;
 - (11) With respect to an adult with intellectual disability: (A) Appoint a temporary limited guardian, guardian or standby guardian, (B) grant visitation, (C) determine competency to vote, (D) modify the guardianship in connection with a periodic review, (E) determine lifesustaining medical treatment, (F) approve an involuntary placement, (G) review an involuntary placement, (H) authorize a guardian to manage the finances of such adult, and (I) grant a writ of habeas corpus;
 - (12) With respect to psychiatric disability: (A) Commit an individual for treatment, (B) issue a warrant for examination of an individual at a general hospital, (C) determine whether there is probable cause to continue an involuntary confinement, (D) review an involuntary confinement for possible release, (E) authorize shock therapy, (F) authorize medication for treatment of psychiatric disability, (G) review the status of an individual under the age of sixteen as a voluntary patient, and (H) recommit an individual under the age of sixteen for further treatment;
- 1315 (13) With respect to drug or alcohol dependency: (A) Commit an individual for treatment, (B) recommit an individual for further treatment, and (C) terminate an involuntary confinement;

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1318 1319 1320	(14) With respect to tuberculosis: (A) Commit an individual for treatment, (B) issue a warrant to enforce an examination order, and (C) terminate an involuntary confinement;
1321 1322 1323	(15) Compel an account by the trustee of an inter vivos trust, custodian under sections 45a-557 to 45a-560b, inclusive, or treasurer of an ecclesiastical society or cemetery association;
1324 1325 1326 1327 1328	(16) With respect to a testamentary or inter vivos trust: (A) Construe, validate, divide, combine, reform, modify or terminate the trust, (B) enforce the provisions of a pet trust, (C) excuse a final account under rules of procedure approved by the Supreme Court under section 45a-78, and (D) assume jurisdiction of an out-of-state trust;
1329	(17) Authorize a fiduciary to establish a trust;
1330	(18) Appoint a trustee for a missing person;
1331	[(19) Change a person's name;]
1332 1333	[(20)] (19) Issue an order to amend the birth certificate of an individual born in another state to reflect a gender change;
1334 1335	[(21)] (20) Require the Department of Public Health to issue a delayed birth certificate;
1336 1337	[(22)] (21) Compel the board of a cemetery association to disclose the minutes of the annual meeting;
1338	[(23)] (22) Issue an order to protect a grave marker;
1339	[(24)] (23) Restore rights to purchase, possess and transport firearms;
1340	[(25)] (24) Issue an order permitting sterilization of an individual;
1341 1342	[(26)] (25) Approve the transfer of structured settlement payment rights; and
1343	[(27)] (26) With respect to any case in a Probate Court other than a

- decedent's estate: (A) Compel or approve an action by the fiduciary, (B) 1344 1345 give instruction to the fiduciary, (C) authorize a fiduciary to 1346 compromise a claim, (D) list, sell or mortgage real property, (E) 1347 determine title to property, (F) resolve a dispute between cofiduciaries or among fiduciaries, (G) remove a fiduciary, (H) appoint a successor 1348 1349 fiduciary or fill a vacancy in the office of fiduciary, (I) approve fiduciary 1350 or attorney's fees, (J) apply the doctrine of cy pres or approximation, (K) 1351 reconsider, modify or revoke an order, and (L) decide an action on a 1352 probate bond.
- 1353 Sec. 22. (Effective from passage) (a) As used in this section, "gender-1354 affirming procedure" means a medical procedure or treatment to alter the physical characteristics of a person diagnosed with (1) gender 1355 dysphoria, as described in the most recent edition of the American 1357 Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders", or (2) gender incongruence, as defined in the 11th revision of 1359 the "International Statistical Classification of Diseases and Related 1360 Health Problems", in a manner consistent with such person's gender identity.
 - (b) The Commissioner of Social Services shall establish a working group to seek input on amendments to the department's genderaffirming procedures guidelines not later than one hundred twenty days before amending such guidelines. The working group shall consist of (1) six health care providers who treat persons seeking genderaffirming procedures or persons who have had such procedures, (2) two HUSKY Health program members who have had such procedures, and (3) the commissioner or the commissioner's designee. All appointments to the working group shall be made by the commissioner. The commissioner, or the commissioner's designee, shall serve as cochairperson of the working group with a member chosen by the majority of working group members to serve as cochairperson.
 - (c) The commissioner, or the commissioner's designee, shall convene the working group not later than ninety days before any amendments planned for the gender-affirming procedures guidelines. The group

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shall meet not less than two times monthly.

(d) The commissioner shall file a report, in accordance with the provisions of section 11-4a of the general statutes, to the joint standing committees of the General Assembly having cognizance of matters relating to human services and public health not later than thirty days before any amendments the commissioner has proposed for the genderaffirming procedure guidelines. The report shall include, but not be limited to, (1) the proposed amendments, and (2) the working group's recommendations concerning such amendments. The working group shall terminate on the date such report is issued.

(e) The provisions of this section shall not apply to any changes required to be made to the gender-affirming procedure guidelines to comply with federal law or regulations concerning reimbursement for such procedures under Title XIX or Title XXI of the Social Security Act.

This act shall take effect as follows and shall amend the following				
sections:				
Section 1	July 1, 2023	19a-754b(d)		
Sec. 2	January 1, 2024, and	New section		
	applicable to contracts			
	entered into, amended or			
	renewed on and after			
	January 1, 2024			
Sec. 3	January 1, 2024, and	New section		
	applicable to contracts			
	entered into, amended or			
	renewed on and after			
	January 1, 2024			
Sec. 4	January 1, 2024, and	New section		
	applicable to contracts			
	entered into, amended or			
	renewed on and after			
	January 1, 2024			
Sec. 5	July 1, 2023	New section		
Sec. 6	July 1, 2023	New section		
Sec. 7	July 1, 2023	New section		

Sec. 8	July 1, 2023	3-112
Sec. 9	January 1, 2024	38a-477g
Sec. 10	July 1, 2023	17b-242(a)
Sec. 11	from passage	New section
Sec. 12	from passage	19a-754a(b)
Sec. 13	from passage	17b-312
Sec. 14	from passage	New section
Sec. 15	from passage	38a-1084
Sec. 16	July 1, 2023	19a-42
Sec. 17	from passage	New section
Sec. 18	July 1, 2023	18-81ii
Sec. 19	July 1, 2023	52-571m
Sec. 20	July 1, 2023	52-571n
Sec. 21	July 1, 2023	45a-106a(b)
Sec. 22	from passage	New section

Statement of Legislative Commissioners:

In Section 1(d)(3), "wholesale acquisition cost of the drug" was changed to "wholesale acquisition cost of the drug, less all rebates paid to the state for such drug during the immediately preceding calendar year," for consistency; Section 6 was redrafted for clarity; in Section 7(c), "thirty days after the effective date of this section" was changed to "August 1, 2023" for clarity; in Section 7(d), "sixty days after the effective date of this section" was changed to "September 1, 2023" for clarity; in Section 9(b)(1), "[2017] 2024" was changed to "2017" for clarity; in Sections 9(f) and 9(g) "On and after January, 1 2024," was added for clarity; in Section 16(c), "in the case of parentage" was changed to "in the case of amendments concerning parentage" for accuracy; in Sections 18 to 20, inclusive, "11th edition of the "International Statistical Classification of Diseases and Related Health Problems"" was changed to "11th revision of the "International Statistical Classification of Diseases and Related Health Problems"" for accuracy; and in Section 22, "(NEW)" was removed for accuracy, "11th edition of the "International Statistical Classification of Diseases and Related Health Problems" was changed to "11th revision of the "International Statistical Classification of Diseases and Related Health Problems"" for accuracy, and the first sentence of Section 22(b) was redrafted for clarity.

HS Joint Favorable C/R

APP

APP Joint Favorable Subst.-LCO