

General Assembly

January Session, 2023

## Raised Bill No. 6864

Referred to Committee on GOVERNMENT ADMINISTRATION AND ELECTIONS

Introduced by: (GAE)

## AN ACT CONCERNING MUNICIPAL CAMPAIGN FINANCE FILINGS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

Section 1. Section 9-603 of the general statutes is repealed and the
 following is substituted in lieu thereof (*Effective January 1, 2027*):

3 (a) Statements filed by party committees, political committees formed 4 to aid or promote the success or defeat of a referendum question, 5 [proposing a constitutional convention, constitutional amendment or 6 revision of the Constitution, individual lobbyists,] and those political 7 committees and candidate committees formed to aid or promote the 8 success or defeat of any candidate for [the office of Governor, Lieutenant 9 Governor, Secretary of the State, State Treasurer, State Comptroller, 10 Attorney General, judge of probate and members of the General 11 Assembly] public office or the position of town committee member, 12 shall be filed with the State Elections Enforcement Commission. A 13 political committee formed for a slate of candidates in a primary for the 14 office of justice of the peace shall file statements with the [town clerk of 15 the municipality in which the primary is to be held] State Elections

## 16 <u>Enforcement Commission</u>.

17 [(b) Statements filed by political committees formed solely to aid or 18 promote the success or defeat of a referendum question to be voted 19 upon by the electors of a single municipality and those political 20 committees or candidate committees formed to aid or promote the 21 success or defeat of any candidate for public office, other than those 22 enumerated in subsection (a) of this section, or the position of town 23 committee member shall be filed only with the town clerk of the 24 municipality in which the election or referendum is to be held. Each 25 unsalaried town clerk shall be entitled to receive ten cents from the town 26 for the filing of each such statement.]

27 [(c)] (b) A certification of a candidate who is exempt from the 28 requirement of subsection (a) of section 9-604 to form a candidate 29 committee shall be filed with the State Elections Enforcement 30 Commission. [if the candidate seeks an office enumerated in subsection 31 (a) of this section, or with the town clerk of the municipality in which 32 the election is to be held if the candidate seeks an office other than those 33 enumerated. A certification of a group of individuals who have joined 34 solely to aid or promote a referendum question and who are exempt 35 from the requirement to form a political committee under section 9-605 36 shall be filed with the town clerk of each municipality in which the 37 referendum is to be held.]

[(d)] (c) On December 31, 2006, the duties of the Secretary of the State concerning the administration of campaign finance reporting under this chapter shall be transferred to the State Elections Enforcement Commission.

Sec. 2. Subsection (d) of section 9-604 of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective January*1, 2027):

(d) A slate of candidates in a primary for the office of justice of the
peace shall designate a chairperson to form a single political committee
to comply with the requirements of section 9-605, except if the

individuals on the slate unanimously consent to have their campaign
financed solely by a town committee and such committee consents to
such financing by filing a statement of consent with the [town clerk of
the municipality in which the primary is to be held] <u>State Elections</u>
<u>Enforcement Commission</u>.

Sec. 3. Subsection (d) of section 9-608 of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective January*1, 2027):

56 (d) At the time of filing statements required under this section, the 57 treasurer of each candidate committee shall send to the candidate a 58 duplicate statement and the treasurer of each party committee and each 59 political committee other than an exploratory committee shall send to 60 the chairman of the committee a duplicate statement. Each statement 61 required to be filed [with the commission] under this section, section 9-62 601d, section 9-706 or section 9-712 shall be deemed to be filed in a 63 timely manner if: (1) For a statement filed as a hard copy, including, but 64 not limited to, a statement delivered by the United States Postal Service, 65 courier service, parcel service or hand delivery, the statement is received 66 by the commission by five o'clock p.m. on the day the statement is 67 required to be filed, (2) for a statement authorized by the commission to 68 be filed electronically, including, but not limited to, a statement filed via 69 dedicated electronic mail, facsimile machine, a web-based program 70 created by the commission or other electronic means, the statement is 71 transmitted to the commission not later than eleven fifty-nine o'clock 72 p.m. on the day the statement is required to be filed, or (3) for a 73 statement required to be filed pursuant to section 9-601d, section 9-706 74 or section 9-712, by the deadline specified in each such section. [Any 75 other filing required to be filed with a town clerk pursuant to this section 76 shall be deemed to be filed in a timely manner if it is delivered by hand 77 to the office of the town clerk in accordance with the provisions of 78 section 9-603 before four-thirty o'clock p.m. or postmarked by the 79 United States Postal Service before midnight on the required filing day.] 80 If the day for any filing falls on a Saturday, Sunday or legal holiday, the 81 statement shall be filed on the next business day thereafter. The State

82 Elections Enforcement Commission shall not levy a penalty upon a 83 treasurer for failure to file a hard copy of a statement in a timely manner 84 in accordance with the provisions of this section if such treasurer has a 85 copy of the statement time stamped by the State Elections Enforcement 86 Commission that shows timely receipt of the statement or the treasurer 87 has a return receipt from the United States Postal Service or a similar 88 receipt from a commercial delivery service confirming timely delivery 89 of such statement was made or should have been made to said 90 commission.

91 Sec. 4. Subdivision (2) of subsection (f) of section 9-610 of the general
92 statutes is repealed and the following is substituted in lieu thereof
93 (*Effective January 1, 2027*):

94 (2) A political committee established for ongoing political activities, 95 [and required pursuant to subsection (a) of section 9-603 to file 96 statements with the commission] other than a committee established 97 solely for the purpose of aiding or promoting any candidate or 98 candidates for municipal office or the success or defeat of a referendum question, shall be subject to the prohibition on making contributions 99 100 under subsection (e) of this section unless the treasurer of the committee 101 has filed a registration statement as described in subsection (b) of section 102 9-605 with the commission, on forms prescribed by the commission, on 103 or before November 15, 2012, for all such political committees in 104 existence on such date, or, if the committee is not in existence on such 105 date, not later than ten days after the organization of the committee 106 pursuant to subsection (a) of section 9-605, and on or before November 107 fifteenth of each even-numbered year thereafter. Such statements shall 108 be filed even if there are no changes, additions or deletions to the 109 registration statement previously filed with the commission. 110 Notwithstanding the provisions of this subdivision, if an officer of the 111 committee has changed since the last registration statement filed with the commission, such registration statement shall be filed by the 112 113 chairperson of the committee.

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Sec. 5. Subsection (b) of section 9-623 of the general statutes is

115 repealed and the following is substituted in lieu thereof (*Effective January*116 *1*, 2027):

117 (b) (1) If any treasurer fails to file any statement required by section 118 9-608, as amended by this act, or if any candidate fails to file either (A) 119 a statement for the formation of a candidate committee as required by 120 section 9-604, as amended by this act, or (B) a certification pursuant to 121 section 9-603, as amended by this act, that the candidate is exempt from 122 forming a candidate committee as required by section 9-604, as 123 amended by this act, within the time required, the treasurer or 124 candidate, as the case may be, shall pay a late filing fee of one hundred 125 dollars.

126 (2) In the case of any such statement or certification that is required 127 to be filed with the State Elections Enforcement Commission, the 128 commission shall, not later than ten days after the filing deadline is, or 129 should be, known to have passed, notify by certified mail, return receipt 130 requested, the person required to file that, if such statement or 131 certification is not filed not later than twenty-one days after such notice, 132 the person is in violation of section 9-603, as amended by this act, 9-604, 133 as amended by this act, or 9-608, as amended by this act.

134 [(3) In the case of any such statement or certification that is required 135 to be filed with a town clerk, the town clerk shall forthwith after the 136 filing deadline is, or should be, known to have passed, notify by certified 137 mail, return receipt requested, the person required to file that, if such 138 statement or certification is not filed not later than seven days after the 139 town clerk mails such notice, the town clerk shall notify the State 140 Elections Enforcement Commission that the person is in violation of 141 section 9-603, 9-604 or 9-608.]

[(4)] (3) The penalty for any violation of section 9-603, as amended by
this act, 9-604, as amended by this act, or 9-608, as amended by this act,
shall be a fine of not less than two hundred dollars or more than two
thousand dollars or imprisonment for not more than one year, or both.

146 Sec. 6. Section 9-624 of the general statutes is repealed and the

147 following is substituted in lieu thereof (*Effective January 1, 2027*):

[(a)] The State Elections Enforcement Commission shall prepare and
print the forms required for compliance with this chapter and distribute
them upon request to candidates and treasurers.

151 [(b) The State Elections Enforcement Commission shall, at the 152 expense of the state, prepare and print all forms for statements required 153 to be returned under the provisions of this chapter and shall furnish to 154 each town clerk a sufficient supply of each of such blank forms as are 155 required to be filed with or returned to the town clerk. The town clerk 156 of each town shall, upon request, distribute to treasurers the forms 157 required for compliance with this chapter and, if not salaried, shall be 158 entitled to receive from the town the sum of ten cents for each copy.]

Sec. 7. Section 9-675 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective January 1, 2027*):

161 (a) The State Elections Enforcement Commission shall (1) create a 162 web-based program for the preparation and electronic submission of 163 registration and financial disclosure statements required by chapters 164 155 to 157, inclusive, and (2) prescribe the standard reporting format 165 and specifications for any software program created by a vendor for 166 such purpose. No software program created by a vendor may be used 167 for the electronic submission of such financial disclosure statements 168 unless the commission determines that the software program provides 169 for the standard reporting format and complies with the specifications 170 prescribed under subdivision (2) of this subsection for any such 171 software program. The commission shall provide training in the use of 172 the web-based program created by the commission.

(b) [On and after July 1, 2017, the] <u>The</u> following shall file all financial
disclosure statements required by chapters 155 to 157, inclusive, by
electronic submission pursuant to subsection (a) of this section: (1) The
treasurer of the candidate committee or exploratory committee for each
candidate for nomination or election to [the office of Governor,
Lieutenant Governor, Attorney General, State Comptroller, State

179 Treasurer, Secretary of the State, state senator, state representative or judge of probate that] public office or the position of town committee 180 181 member, which candidate committee or exploratory committee raises or spends one thousand dollars or more, (2) the treasurer of any state 182 183 central committee, legislative caucus committee or legislative leadership 184 committee, (3) the treasurer of any other political committee or town 185 committee [required to be registered with the commission] that (A) 186 raises or spends one thousand dollars or more during the current 187 calendar year, or (B) raised or spent one thousand dollars or more in the 188 preceding regular election cycle, and (4) the treasurer of any committee, 189 or any other person, who makes or obligates to make any independent 190 expenditure and who is required to file a financial disclosure statement 191 of any such independent expenditure [with the State Elections 192 Enforcement Commission] in accordance with the provisions of section 193 9-601d. Once any such candidate committee or exploratory committee 194 has raised or spent one thousand dollars or more during an election 195 campaign, all previously filed statements required by chapters 155 to 196 157, inclusive, which were not filed by electronic submission shall be 197 refiled in such manner not later than the date on which the treasurer of 198 such committee is required to file its next financial disclosure statement.

199 (c) (1) The treasurer of the candidate committee for any other 200 candidate, as defined in section 9-601, that neither raises nor spends one 201 thousand dollars or more who is required to file the financial disclosure 202 statements required by chapters 155 to 157, inclusive, [with the 203 commission,] and (2) the treasurer of any other political committee or 204 town committee that neither raises nor spends one thousand dollars or 205 more who is required to file the financial disclosure statements required 206 by chapters 155 to 157, inclusive, [with the State Elections Enforcement 207 Commission] may file any such financial disclosure statements by 208 electronic submission pursuant to subsection (a) of this section.

209 (d) All registration statements required by chapters 155 to 157,
 210 inclusive, shall be filed by electronic submission pursuant to
 211 subdivision (1) of subsection (a) of this section.

212 [(d)] (e) Notwithstanding the provisions of this section, upon the 213 written request of [a treasurer or any other] any person responsible for 214 filing any financial disclosure statement described in subdivisions (1) to 215 (4), inclusive, of subsection (b) of this section, or any registration 216 statement described in subsection (d) of this section, the commission 217 may waive the requirement to file by electronic submission pursuant to 218 subsection (a) of this section if such [treasurer or other] person 219 demonstrates good cause.

Sec. 8. Subsection (a) of section 9-703 of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective January*1, 2027):

(a) Each candidate for nomination or election to the office of state 223 224 senator or state representative in 2008, or thereafter, or the office of 225 Governor, Lieutenant Governor, Attorney General, State Comptroller, 226 Secretary of the State or State Treasurer in 2010, or thereafter, shall file 227 an affidavit with the State Elections Enforcement Commission. The 228 affidavit shall include a written certification that the candidate either 229 intends to abide by the expenditure limits under the Citizens' Election 230 Program set forth in subsection (c) of section 9-702, or does not intend 231 to abide by said limits. If the candidate intends to abide by said limits, 232 the affidavit shall also include written certifications (1) that the treasurer 233 of the candidate committee for said candidate shall expend any moneys 234 received from the Citizens' Election Fund in accordance with the 235 provisions of subsection (g) of section 9-607 and regulations adopted by 236 the State Elections Enforcement Commission under subsection (e) of 237 section 9-706, (2) that the candidate shall repay to the fund any such 238 moneys that are not expended in accordance with subsection (g) of 239 section 9-607 and said regulations, (3) that the candidate and the 240 treasurer shall comply with the provisions of subdivision (1) of 241 subsection (a) of section 9-711, and (4) stating the candidate's status as a 242 major party, minor party or petitioning party candidate and, in the case 243 of a major party or minor party candidate, the name of such party. The 244 written certification described in subdivision (3) of this subsection shall 245 be made by both the candidate and the treasurer of the candidate

246 committee for said candidate. A candidate for nomination or election to 247 any such office shall file such affidavit not later than four o'clock p.m. 248 on the twenty-fifth day before the day of a primary, if applicable, or on the fortieth day before the day of the election for such office, except that 249 250 in the case of a special election for the office of state senator or state 251 representative, the candidate shall file such affidavit not later than four 252 o'clock p.m. on the twenty-fifth day before the day of such special 253 election. Notwithstanding the provisions of this subsection, a candidate 254 who is not required to form a candidate committee pursuant to 255 subdivision (3) or (4) of subsection (b) of section 9-604, files a 256 certification with the commission pursuant to subsection [(c)] (b) of 257 section 9-603, as amended by this act, and does not intend to participate in the Citizens' Election Program shall not be required to file such 258 259 affidavit of intent not to abide by the expenditure limits of said program. 260 Any such candidate shall be referred to as a nonparticipating candidate, 261 in accordance with subsection (b) of this section.

This act shall take effect as follows and shall amend the following sections:		
Section 1	January 1, 2027	9-603
Sec. 2	January 1, 2027	9-604(d)
Sec. 3	January 1, 2027	9-608(d)
Sec. 4	January 1, 2027	9-610(f)(2)
Sec. 5	January 1, 2027	9-623(b)
Sec. 6	January 1, 2027	9-624
Sec. 7	January 1, 2027	9-675
Sec. 8	January 1, 2027	9-703(a)

## Statement of Purpose:

To require that all municipal campaign registration and financial disclosure statements be filed with the State Elections Enforcement Commission, instead of with town clerks.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]