

General Assembly

February Session, 2022

Raised Bill No. 5457

LCO No. **2871**

Referred to Committee on ADMINISTRATION AND ELECTIONS

GOVERNMENT

Introduced by: (GAE)

AN ACT CONCERNING MUNICIPAL CAMPAIGN FINANCE FILINGS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. Section 9-603 of the general statutes is repealed and the 2 following is substituted in lieu thereof (*Effective January 1, 2025*):

3 (a) Statements filed by (1) party committees, (2) political committees 4 formed to aid or promote the success or defeat of a referendum question, 5 [proposing a constitutional convention, constitutional amendment or 6 revision of the Constitution, individual lobbyists, and those] (3) political 7 committees and candidate committees formed to aid or promote the 8 success or defeat of any candidate for [the office of Governor, Lieutenant 9 Governor, Secretary of the State, State Treasurer, State Comptroller, 10 Attorney General, judge of probate and members of the General 11 Assembly, shall be filed with the State Elections Enforcement 12 Commission. A political committee] public office or the position of town 13 committee member, and (4) political committees formed for a slate of 14 candidates in a primary for the office of justice of the peace, shall [file 15 statements with the town clerk of the municipality in which the primary

16 is to be held] <u>be filed with the State Elections Enforcement Commission</u>.

17 [(b) Statements filed by political committees formed solely to aid or 18 promote the success or defeat of a referendum question to be voted 19 upon by the electors of a single municipality and those political 20 committees or candidate committees formed to aid or promote the 21 success or defeat of any candidate for public office, other than those 22 enumerated in subsection (a) of this section, or the position of town 23 committee member shall be filed only with the town clerk of the 24 municipality in which the election or referendum is to be held. Each 25 unsalaried town clerk shall be entitled to receive ten cents from the town 26 for the filing of each such statement.]

27 [(c)] (b) A certification of a candidate who is exempt from the 28 requirement of subsection (a) of section 9-604 to form a candidate 29 committee shall be filed with the State Elections Enforcement 30 Commission. [if the candidate seeks an office enumerated in subsection 31 (a) of this section, or with the town clerk of the municipality in which 32 the election is to be held if the candidate seeks an office other than those 33 enumerated. A certification of a group of individuals who have joined 34 solely to aid or promote a referendum question and who are exempt 35 from the requirement to form a political committee under section 9-605 36 shall be filed with the town clerk of each municipality in which the referendum is to be held.] 37

[(d)] (c) On December 31, 2006, the duties of the Secretary of the State concerning the administration of campaign finance reporting under this chapter shall be transferred to the State Elections Enforcement Commission.

Sec. 2. Subsection (d) of section 9-604 of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective January*1, 2025):

(d) A slate of candidates in a primary for the office of justice of thepeace shall designate a chairperson to form a single political committee

to comply with the requirements of section 9-605, except if the
individuals on the slate unanimously consent to have their campaign
financed solely by a town committee and such committee consents to
such financing by filing a statement of consent with the [town clerk of
the municipality in which the primary is to be held] <u>State Elections</u>
<u>Enforcement Commission</u>.

53 Sec. 3. Subsection (d) of section 9-608 of the general statutes is 54 repealed and the following is substituted in lieu thereof (*Effective January* 55 *1*, 2025):

56 (d) At the time of filing statements required under this section, the 57 treasurer of each candidate committee shall send to the candidate a 58 duplicate statement and the treasurer of each party committee and each 59 political committee other than an exploratory committee shall send to 60 the chairman of the committee a duplicate statement. Each statement 61 required to be filed [with the commission] under this section, section 9-62 601d, section 9-706 or section 9-712 shall be deemed to be filed in a 63 timely manner if: (1) For a statement filed as a hard copy, including, but 64 not limited to, a statement delivered by the United States Postal Service, 65 courier service, parcel service or hand delivery, the statement is received 66 by the commission by five o'clock p.m. on the day the statement is 67 required to be filed, (2) for a statement authorized by the commission to 68 be filed electronically, including, but not limited to, a statement filed via 69 dedicated electronic mail, facsimile machine, a web-based program 70 created by the commission or other electronic means, the statement is 71 transmitted to the commission not later than eleven fifty-nine o'clock 72 p.m. on the day the statement is required to be filed, or (3) for a 73 statement required to be filed pursuant to section 9-601d, section 9-706 74 or section 9-712, by the deadline specified in each such section. [Any 75 other filing required to be filed with a town clerk pursuant to this section 76 shall be deemed to be filed in a timely manner if it is delivered by hand 77 to the office of the town clerk in accordance with the provisions of 78 section 9-603 before four-thirty o'clock p.m. or postmarked by the 79 United States Postal Service before midnight on the required filing day.]

If the day for any filing falls on a Saturday, Sunday or legal holiday, the 80 81 statement shall be filed on the next business day thereafter. The State 82 Elections Enforcement Commission shall not levy a penalty upon a 83 treasurer for failure to file a hard copy of a statement in a timely manner 84 in accordance with the provisions of this section if such treasurer has a 85 copy of the statement time stamped by the State Elections Enforcement 86 Commission that shows timely receipt of the statement or the treasurer 87 has a return receipt from the United States Postal Service or a similar 88 receipt from a commercial delivery service confirming timely delivery 89 of such statement was made or should have been made to said 90 commission.

91 Sec. 4. Subdivision (2) of subsection (f) of section 9-610 of the general
92 statutes is repealed and the following is substituted in lieu thereof
93 (*Effective January 1, 2025*):

94 (2) A political committee established for ongoing political activities, 95 [and required pursuant to subsection (a) of section 9-603 to file 96 statements with the commission] other than a committee established 97 solely for the purpose of aiding or promoting any candidate or 98 candidates for municipal office or the success or defeat of a referendum 99 question, shall be subject to the prohibition on making contributions under subsection (e) of this section unless the treasurer of the committee 100 101 has filed a registration statement as described in subsection (b) of section 102 9-605 with the commission, on forms prescribed by the commission, on 103 or before November 15, 2012, for all such political committees in 104 existence on such date, or, if the committee is not in existence on such 105 date, not later than ten days after the organization of the committee 106 pursuant to subsection (a) of section 9-605, and on or before November 107 fifteenth of each even-numbered year thereafter. Such statements shall 108 be filed even if there are no changes, additions or deletions to the 109 registration statement previously filed with the commission. 110 Notwithstanding the provisions of this subdivision, if an officer of the 111 committee has changed since the last registration statement filed with 112 the commission, such registration statement shall be filed by the

113 chairperson of the committee.

Sec. 5. Subsection (b) of section 9-623 of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective January 1*, 2025):

117 (b) (1) If any treasurer fails to file any statement required by section 118 9-608, as amended by this act, or if any candidate fails to file either (A) 119 a statement for the formation of a candidate committee as required by 120 section 9-604, as amended by this act, or (B) a certification pursuant to 121 section 9-603, as amended by this act, that the candidate is exempt from 122 forming a candidate committee as required by section 9-604, as 123 amended by this act, within the time required, the treasurer or 124 candidate, as the case may be, shall pay a late filing fee of one hundred 125 dollars.

126 (2) In the case of any such statement or certification that is required 127 to be filed with the State Elections Enforcement Commission, the 128 commission shall, not later than ten days after the filing deadline is, or 129 should be, known to have passed, notify by certified mail, return receipt 130 requested, the person required to file that, if such statement or 131 certification is not filed not later than twenty-one days after such notice, 132 the person is in violation of section 9-603, as amended by this act, 9-604, 133 as amended by this act, or 9-608, as amended by this act.

134 [(3) In the case of any such statement or certification that is required 135 to be filed with a town clerk, the town clerk shall forthwith after the 136 filing deadline is, or should be, known to have passed, notify by certified 137 mail, return receipt requested, the person required to file that, if such 138 statement or certification is not filed not later than seven days after the 139 town clerk mails such notice, the town clerk shall notify the State 140 Elections Enforcement Commission that the person is in violation of 141 section 9-603, 9-604 or 9-608.]

[(4)] (3) The penalty for any violation of section 9-603, <u>as amended by</u>
this act, 9-604, <u>as amended by this act</u>, or 9-608, <u>as amended by this act</u>,

shall be a fine of not less than two hundred dollars or more than twothousand dollars or imprisonment for not more than one year, or both.

146 Sec. 6. Section 9-624 of the general statutes is repealed and the 147 following is substituted in lieu thereof (*Effective January 1, 2025*):

[(a)] The State Elections Enforcement Commission shall prepare and
print the forms required for compliance with this chapter and distribute
them upon request to candidates and treasurers.

151 (b) The State Elections Enforcement Commission shall, at the 152 expense of the state, prepare and print all forms for statements required 153 to be returned under the provisions of this chapter and shall furnish to 154 each town clerk a sufficient supply of each of such blank forms as are 155 required to be filed with or returned to the town clerk. The town clerk 156 of each town shall, upon request, distribute to treasurers the forms 157 required for compliance with this chapter and, if not salaried, shall be 158 entitled to receive from the town the sum of ten cents for each copy.]

Sec. 7. Section 9-675 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective January 1, 2025*):

161 (a) The State Elections Enforcement Commission shall (1) create a 162 web-based program for the preparation and electronic submission of 163 registration and financial disclosure statements required by chapters 164 155 to 157, inclusive, and (2) prescribe the standard reporting format 165 and specifications for any software program created by a vendor for 166 such purpose. No software program created by a vendor may be used 167 for the electronic submission of such registration and financial disclosure statements unless the commission determines that the 168 169 software program provides for the standard reporting format and 170 complies with the specifications prescribed under subdivision (2) of this 171 subsection for any such software program. The commission shall 172 provide training in the use of the web-based program created by the 173 commission.

174 (b) On and after [July 1, 2017] January 1, 2025, the following shall file

175 all financial disclosure statements required by chapters 155 to 157, 176 inclusive, by electronic submission pursuant to subsection (a) of this 177 section: (1) The treasurer of the candidate committee or exploratory 178 committee for each candidate for nomination or election to [the office of 179 Governor, Lieutenant Governor, Attorney General, State Comptroller, 180 State Treasurer, Secretary of the State, state senator, state representative 181 or judge of probate] public office or the position of town committee 182 member that raises or spends one thousand dollars or more, (2) the 183 treasurer of any state central committee, legislative caucus committee or 184 legislative leadership committee, (3) the treasurer of any other political 185 committee or town committee [required to be registered with the 186 commission] that (A) raises or spends one thousand dollars or more 187 during the current calendar year, or (B) raised or spent one thousand 188 dollars or more in the preceding regular election cycle, and (4) the 189 treasurer of any committee, or any other person, who makes or obligates 190 to make any independent expenditure and who is required to file a 191 financial disclosure statement of any such independent expenditure 192 [with the State Elections Enforcement Commission] in accordance with 193 the provisions of section 9-601d. Once any such candidate committee or 194 exploratory committee has raised or spent one thousand dollars or more during an election campaign, all previously filed statements required by 195 196 chapters 155 to 157, inclusive, which were not filed by electronic 197 submission shall be refiled in such manner not later than the date on 198 which the treasurer of such committee is required to file its next 199 financial disclosure statement.

200 (c) [(1)] The treasurer of [the candidate committee for any other 201 candidate, as defined in section 9-601, that neither raises nor spends one 202 thousand dollars or more who is required to file the financial disclosure 203 statements required by chapters 155 to 157, inclusive, with the 204 commission, and (2) the treasurer of any other] any candidate 205 committee, political committee or town committee that neither raises 206 nor spends one thousand dollars or more who is required to file the 207 financial disclosure statements required by chapters 155 to 157, 208 inclusive, [with the State Elections Enforcement Commission] may file any such financial disclosure statements by electronic submissionpursuant to subsection (a) of this section.

(d) On and after January 1, 2025, the chairperson or treasurer, as
 applicable, of each political committee shall file all registration
 statements required by chapters 155 to 157, inclusive, by electronic
 submission pursuant to subsection (a) of this section.

[(d)] (e) Notwithstanding the provisions of this section, upon the written request of a treasurer or any other person described in subdivisions (1) to (4), inclusive, of subsection (b) of this section, or of a chairperson or treasurer described in subsection (d) of this section, the commission may waive the requirement to file by electronic submission pursuant to subsection (a) of this section if such <u>chairperson</u>, treasurer or other person, as applicable, demonstrates good cause.

Sec. 8. Subsection (a) of section 9-703 of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective January*1, 2025):

225 (a) Each candidate for nomination or election to the office of state 226 senator or state representative in 2008, or thereafter, or the office of 227 Governor, Lieutenant Governor, Attorney General, State Comptroller, 228 Secretary of the State or State Treasurer in 2010, or thereafter, shall file 229 an affidavit with the State Elections Enforcement Commission. The 230 affidavit shall include a written certification that the candidate either 231 intends to abide by the expenditure limits under the Citizens' Election 232 Program set forth in subsection (c) of section 9-702, or does not intend 233 to abide by said limits. If the candidate intends to abide by said limits, 234 the affidavit shall also include written certifications (1) that the treasurer 235 of the candidate committee for said candidate shall expend any moneys 236 received from the Citizens' Election Fund in accordance with the 237 provisions of subsection (g) of section 9-607 and regulations adopted by 238 the State Elections Enforcement Commission under subsection (e) of 239 section 9-706, (2) that the candidate shall repay to the fund any such 240 moneys that are not expended in accordance with subsection (g) of 241 section 9-607 and said regulations, (3) that the candidate and the 242 treasurer shall comply with the provisions of subdivision (1) of 243 subsection (a) of section 9-711, and (4) stating the candidate's status as a 244 major party, minor party or petitioning party candidate and, in the case 245 of a major party or minor party candidate, the name of such party. The 246 written certification described in subdivision (3) of this subsection shall 247 be made by both the candidate and the treasurer of the candidate 248 committee for said candidate. A candidate for nomination or election to 249 any such office shall file such affidavit not later than four o'clock p.m. 250 on the twenty-fifth day before the day of a primary, if applicable, or on 251 the fortieth day before the day of the election for such office, except that 252 in the case of a special election for the office of state senator or state 253 representative, the candidate shall file such affidavit not later than four 254 o'clock p.m. on the twenty-fifth day before the day of such special 255 election. Notwithstanding the provisions of this subsection, a candidate 256 who is not required to form a candidate committee pursuant to 257 subdivision (3) or (4) of subsection (b) of section 9-604, files a 258 certification with the commission pursuant to subsection [(c)] (b) of 259 section 9-603, as amended by this act, and does not intend to participate 260 in the Citizens' Election Program shall not be required to file such 261 affidavit of intent not to abide by the expenditure limits of said program. 262 Any such candidate shall be referred to as a nonparticipating candidate, 263 in accordance with subsection (b) of this section.

This act shall take effect as follows and shall amend the following sections:		
Section 1	January 1, 2025	9-603
Sec. 2	January 1, 2025	9-604(d)
Sec. 3	January 1, 2025	9-608(d)
Sec. 4	January 1, 2025	9-610(f)(2)
Sec. 5	January 1, 2025	9-623(b)
Sec. 6	January 1, 2025	9-624
Sec. 7	January 1, 2025	9-675
Sec. 8	January 1, 2025	9-703(a)

GAE Joint Favorable