



General Assembly

February Session, 2020

**Raised Bill No. 5406**

LCO No. 1831



Referred to Committee on GOVERNMENT ADMINISTRATION  
AND ELECTIONS

Introduced by:  
(GAE)

***AN ACT REVISING CERTAIN CAMPAIGN FINANCE STATUTES.***

Be it enacted by the Senate and House of Representatives in General  
Assembly convened:

1 Section 1. Subdivision (1) of subsection (g) of section 9-607 of the  
2 general statutes is repealed and the following is substituted in lieu  
3 thereof (*Effective from passage*):

4 (g) (1) As used in this subsection, (A) "the lawful purposes of the  
5 committee" means: (i) For a candidate committee or exploratory  
6 committee, the promoting of the nomination or election of the candidate  
7 who established the committee, except that after a political party  
8 nominates candidates for election to the offices of Governor and  
9 Lieutenant Governor, whose names shall be so placed on the ballot in  
10 the election that an elector will cast a single vote for both candidates, as  
11 prescribed in section 9-181, a candidate committee established by either  
12 such candidate may also promote the election of the other such  
13 candidate; (ii) for a political committee, other than an independent  
14 expenditure political committee described in subparagraph (A)(iv) of  
15 this subdivision, the promoting of (I) a political party, including party

16 building activities, (II) the success or defeat of candidates for  
17 nomination [and] or election to public office or position subject to the  
18 requirements of this chapter, or (III) the success or defeat of referendum  
19 questions, provided a political committee formed for a single  
20 referendum question shall not promote the success or defeat of any  
21 candidate, and provided further a legislative leadership committee or a  
22 legislative caucus committee may expend funds to defray costs for  
23 conducting legislative or constituency-related business which are not  
24 reimbursed or paid by the state; [and] (iii) for a party committee, the  
25 promoting of the party, party building activities, the candidates of the  
26 party and continuing operating costs of the party; and (iv) for an  
27 independent expenditure political committee, the promoting of (I) a  
28 political party, (II) the success or defeat of candidates for nomination or  
29 election to public office or position subject to the requirements of this  
30 chapter, or (III) the success or defeat of referendum questions, provided  
31 an independent expenditure political committee shall act entirely  
32 independently of a candidate, candidate committee, party committee or  
33 political committee that is not an independent expenditure political  
34 committee, or any agent of such candidate or committee, and (B)  
35 "immediate family" means a spouse or dependent child of a candidate  
36 who resides in the candidate's household.

37 Sec. 2. Subparagraph (C) of subdivision (1) of subsection (e) of section  
38 9-608 of the general statutes is repealed and the following is substituted  
39 in lieu thereof (*Effective from passage*):

40 (C) (i) Each political committee formed solely to aid or promote the  
41 success or defeat of any referendum question, which does not receive  
42 contributions from a business entity or an organization, shall distribute  
43 its surplus to a party committee, to a political committee organized for  
44 ongoing political activities, to a national committee of a political party,  
45 to all contributors to the committee on a prorated basis of contribution,  
46 to state or municipal governments or agencies or to any organization  
47 which is a tax-exempt organization under Section 501(c)(3) of the  
48 Internal Revenue Code of 1986, or any subsequent corresponding  
49 internal revenue code of the United States, as from time to time

50 amended.

51 (ii) Each political committee formed solely to aid or promote the  
52 success or defeat of any referendum question, which receives  
53 contributions from a business entity or an organization, and each  
54 independent expenditure political committee other than an  
55 independent expenditure political committee formed for ongoing  
56 political activities, shall distribute its surplus to all contributors to the  
57 committee on a prorated basis of contribution, to state or municipal  
58 governments or agencies, or to any organization which is tax-exempt  
59 under said provisions of the Internal Revenue Code. Notwithstanding  
60 the provisions of this subsection, a committee formed for a single  
61 referendum shall not be required to expend its surplus not later than  
62 ninety days after the referendum and may continue in existence if a  
63 substantially similar referendum question on the same issue will be  
64 submitted to the electorate within six months after the first referendum.  
65 If two or more substantially similar referenda on the same issue are  
66 submitted to the electorate, each no more than six months apart, the  
67 committee shall expend such surplus within ninety days following the  
68 date of the last such referendum;

69 Sec. 3. Subsections (a) and (b) of section 9-612 of the general statutes  
70 are repealed and the following is substituted in lieu thereof (*Effective*  
71 *from passage*):

72 (a) (1) No individual shall make a contribution or contributions in any  
73 one calendar year in excess of ten thousand dollars to the state central  
74 committee of any party, or for the benefit of such committee pursuant  
75 to its authorization or request; or two thousand dollars to a town  
76 committee of any political party, or for the benefit of such committee  
77 pursuant to its authorization or request; or two thousand dollars to a  
78 legislative caucus committee or legislative leadership committee; [,] or  
79 one thousand dollars to any other political committee other than [(1)]  
80 (A) a political committee formed solely to aid or promote the success or  
81 defeat of a referendum question, [(2)] (B) an exploratory committee, [(3)]  
82 (C) a political committee established by an organization, or for the

83 benefit of such committee pursuant to its authorization or request, [or  
84 (4)] (D) a political committee formed by a slate of candidates in a  
85 primary for the office of justice of the peace of the same town, or (E) an  
86 independent expenditure political committee.

87 (2) Notwithstanding the provisions of subdivision (1) of this  
88 subsection and unless otherwise restricted or prohibited by law, an  
89 individual may make contributions to an independent expenditure  
90 political committee.

91 (b) (1) No individual shall make a contribution to a political  
92 committee established by an organization which receives its funds from  
93 the organization's treasury. With respect to a political committee  
94 established by an organization which has complied with the provisions  
95 of subsection (b) or (c) of section 9-614, as amended by this act, and has  
96 elected to receive contributions, no individual other than a member of  
97 the organization may make contributions to the committee, in which  
98 case the individual may contribute not more than seven hundred fifty  
99 dollars in any one calendar year to such committee or for the benefit of  
100 such committee pursuant to its authorization or request.

101 (2) Notwithstanding the provisions of subdivision (1) of this  
102 subsection and unless otherwise restricted or prohibited by law, an  
103 individual may make contributions to an independent expenditure  
104 political committee established by an organization.

105 Sec. 4. Section 9-613 of the general statutes is repealed and the  
106 following is substituted in lieu thereof (*Effective from passage*):

107 [No] Except as provided in subsection (g) of this section, a business  
108 entity shall not make any contributions or expenditures (1) to, or for the  
109 benefit of, any candidate's campaign (A) for election to any public office  
110 or position subject to this chapter, or (B) for nomination at a primary for  
111 any such office or position, or (2) to promote the defeat of any candidate  
112 for any such office or position. [No] A business entity shall not make  
113 any other contributions or expenditures to promote the success or defeat  
114 of any political party. ], except as provided in subsection (b) of this

115 section. No] A business entity shall not establish more than one political  
116 committee. A political committee shall be deemed to have been  
117 established by a business entity if the initial disbursement or  
118 contribution to the committee is made under subsection (b) of this  
119 section or by an officer, director, owner, limited or general partner or  
120 holder of stock constituting five per cent or more of the total outstanding  
121 stock of any class of the business entity.

122 (b) A business entity may make reasonable and necessary transfers or  
123 disbursements to or for the benefit of a political committee established  
124 by such business entity, for the administration of, or solicitation of  
125 contributions to, such political committee. Nonmonetary contributions  
126 by a business entity which are incidental in nature and are directly  
127 attributable to the administration of such political committee shall be  
128 exempt from the reporting requirements of this chapter.

129 (c) The provisions of this section shall not preclude a business entity  
130 from making contributions or expenditures to promote the success or  
131 defeat of a referendum question.

132 (d) [A] Except as provided in subsection (g) of this section, a political  
133 committee organized by a business entity shall not make a contribution  
134 or contributions to or for the benefit of any candidate's campaign for  
135 nomination at a primary or any candidate's campaign for election to the  
136 office of: (1) Governor, in excess of five thousand dollars; (2) Lieutenant  
137 Governor, Secretary of the State, Treasurer, Comptroller or Attorney  
138 General, in excess of three thousand dollars; (3) state senator, probate  
139 judge or chief executive officer of a town, city or borough, in excess of  
140 one thousand five hundred dollars; (4) state representative, in excess of  
141 seven hundred fifty dollars; or (5) any other office of a municipality not  
142 included in subdivision (3) of this subsection, in excess of three hundred  
143 seventy-five dollars. The limits imposed by this subsection shall apply  
144 separately to primaries and elections and contributions by any such  
145 committee to candidates designated in this subsection shall not exceed  
146 one hundred thousand dollars in the aggregate for any single election  
147 and primary preliminary thereto. Contributions to such committees

148 shall also be subject to the provisions of section 9-618, as amended by  
149 this act, in the case of committees formed for ongoing political activity  
150 or section 9-619, as amended by this act, in the case of committees  
151 formed for a single election or primary.

152 (e) [No] Except as provided in subsection (g) of this section, a political  
153 committee organized by a business entity shall make a contribution or  
154 contributions to (1) a state central committee of a political party, in  
155 excess of seven thousand five hundred dollars in any calendar year, (2)  
156 a town committee of any political party, in excess of one thousand five  
157 hundred dollars in any calendar year, (3) an exploratory committee in  
158 excess of three hundred seventy-five dollars, or (4) any other kind of  
159 political committee, in excess of two thousand dollars in any calendar  
160 year.

161 (f) As used in this subsection, "investment services" means  
162 investment legal services, investment banking services, investment  
163 advisory services, underwriting services, financial advisory services or  
164 brokerage firm services. No political committee established by a firm  
165 which provides investment services and to which the State Treasurer  
166 pays compensation, expenses or fees or issues a contract shall make a  
167 contribution to, or solicit contributions on behalf of, an exploratory  
168 committee or candidate committee established by a candidate for  
169 nomination or election to the office of State Treasurer during the term of  
170 office of the State Treasurer who does business with such firm.

171 (g) (1) Notwithstanding the provisions of [this section, a corporation,  
172 cooperative association, limited partnership, professional association,  
173 limited liability company or limited liability partnership, whether  
174 formed in this state or any other, acting alone,] subsections (a) to (f),  
175 inclusive, of this section, a business entity may make independent  
176 expenditures.

177 (2) An independent expenditure political committee organized by a  
178 business entity shall not make any contribution unless such contribution  
179 is to another independent expenditure political committee.

180 Sec. 5. Section 9-614 of the general statutes is repealed and the  
181 following is substituted in lieu thereof (*Effective from passage*):

182 (a) An organization may make contributions or expenditures, other  
183 than [those made to promote] for the purposes of promoting the success  
184 or defeat of a referendum question, only by first forming its own  
185 political committee. [The] Unless such political committee is an  
186 independent expenditure political committee, the political committee  
187 shall then be authorized to (1) receive funds (A) exclusively from the  
188 organization's treasury or from voluntary contributions made by its  
189 members, but not both, (B) from another political committee, or [,] (C)  
190 from a candidate committee distributing a surplus, and [(1) to] (2) make  
191 (A) contributions or expenditures to, or for the benefit of, a candidate's  
192 campaign or a political party, or [(2) to make] (B) contributions to  
193 another political committee. [No] An organization shall not form more  
194 than one political committee. A political committee shall be deemed to  
195 have been established by an organization if the initial contribution to the  
196 committee is made by the organization's treasury or an officer or  
197 director of the organization.

198 (b) A political committee established by an organization may elect to  
199 alter the manner in which it is funded if it complies with the  
200 requirements of this subsection. The committee chairperson shall notify  
201 the repository with which the committee's most recent statement of  
202 organization is filed, in writing, of the committee's intent to alter its  
203 manner of funding. [Within] Not later than fifteen days after the date of  
204 receipt of such notification, the treasurer of such political committee  
205 shall return any funds remaining in the account of the committee to the  
206 organization's treasury after payment of each outstanding liability.  
207 [Within] Not later than seven days after the distribution and payments  
208 have been made, the treasurer shall file a statement with the same  
209 repository itemizing each such distribution and payment. Upon such  
210 filing, the treasurer may receive voluntary contributions from any  
211 member of the organization which established such committee subject  
212 to the limitations imposed in subsection (b) of section 9-612, as amended  
213 by this act.

214 (c) The chairperson of each political committee established by an  
215 organization on or after July 1, 1985, shall designate the manner in  
216 which the committee shall be funded in the committee's statement of  
217 organization.

218 (d) Notwithstanding the provisions of [this section, an organization,  
219 acting alone,] subsections (a) to (c), inclusive, of this section, an  
220 organization may make independent expenditures and contributions to  
221 an independent expenditure political committee.

222 Sec. 6. Section 9-615 of the general statutes is repealed and the  
223 following is substituted in lieu thereof (*Effective from passage*):

224 (a) [No] A political committee established by an organization shall  
225 not make a contribution or contributions to, or for the benefit of, any  
226 candidate's campaign for nomination at a primary or for election to the  
227 office of: (1) Governor, in excess of five thousand dollars; (2) Lieutenant  
228 Governor, Secretary of the State, Treasurer, Comptroller or Attorney  
229 General, in excess of three thousand dollars; (3) chief executive officer  
230 of a town, city or borough, in excess of one thousand five hundred  
231 dollars; (4) state senator or probate judge, in excess of one thousand five  
232 hundred dollars; (5) state representative, in excess of seven hundred  
233 fifty dollars; or (6) any other office of a municipality not previously  
234 included in this subsection, in excess of three hundred seventy-five  
235 dollars.

236 (b) [No] Any such committee shall not make a contribution or  
237 contributions to, or for the benefit of, an exploratory committee, in  
238 excess of three hundred seventy-five dollars. Any such committee may  
239 make unlimited contributions to a political committee formed solely to  
240 aid or promote the success or defeat of a referendum question.

241 (c) The limits imposed by subsection (a) of this section shall apply  
242 separately to primaries and elections and no such committee shall make  
243 contributions to the candidates designated in this section which in the  
244 aggregate exceed fifty thousand dollars for any single election and  
245 primary preliminary thereto.



246 (d) [No] Except as provided in subsection (f) of this section, a political  
247 committee established by an organization shall not make contributions  
248 in any one calendar year to, or for the benefit of, (1) the state central  
249 committee of a political party, in excess of seven thousand five hundred  
250 dollars; (2) a town committee, in excess of one thousand five hundred  
251 dollars; or (3) any political committee, other than an exploratory  
252 committee or a committee formed solely to aid or promote the success  
253 or defeat of a referendum question, in excess of two thousand dollars.

254 (e) Contributions to a political committee established by an  
255 organization for the purpose of making contributions shall be subject to  
256 the provisions of section 9-618, as amended by this act, in the case of a  
257 committee formed for ongoing political activity or section 9-619, as  
258 amended by this act, in the case of a committee formed for a single  
259 election or primary.

260 (f) An independent expenditure political committee established by an  
261 organization shall not make any contribution unless such contribution  
262 is to another independent expenditure political committee.

263 Sec. 7. Subsection (a) of section 9-618 of the general statutes is  
264 repealed and the following is substituted in lieu thereof (*Effective from*  
265 *passage*):

266 (a) (1) A political committee organized for ongoing political activities  
267 may make unlimited contributions to, or for the benefit of, any national  
268 committee of a political party [;] or a committee of a candidate for  
269 federal or out-of-state office. Except as provided in subdivision (3) of  
270 subsection (d) of this section, no such political committee shall make a  
271 contribution or contributions in excess of two thousand dollars to  
272 another political committee in any calendar year. No political committee  
273 organized for ongoing political activities shall make a contribution in  
274 excess of three hundred seventy-five dollars to an exploratory  
275 committee. If such an ongoing committee is established by an  
276 organization or a business entity, its contributions shall be subject to the  
277 limits imposed by sections 9-613 to 9-615, inclusive, as amended by this

278 act. A political committee organized for ongoing political activities may  
279 make [contributions] donations to a charitable organization which is a  
280 tax-exempt organization under Section 501(c)(3) of the Internal Revenue  
281 Code, as from time to time amended, or make memorial [contributions]  
282 donations.

283 (2) An independent expenditure political committee organized for  
284 ongoing political activities shall not make any contribution unless such  
285 contribution is to another independent expenditure political committee.

286 Sec. 8. Subsection (a) of section 9-619 of the general statutes is  
287 repealed and the following is substituted in lieu thereof (*Effective from*  
288 *passage*):

289 (a) (1) No political committee established for a single primary or  
290 election shall make contributions to a national committee, or a  
291 committee of a candidate for federal or out-of-state office. If such a  
292 political committee is established by an organization or a business  
293 entity, its contributions shall also be subject to the limitations imposed  
294 by sections 9-613 to 9-615, inclusive, as amended by this act. Except as  
295 provided in subdivision (2) of subsection (d) of this section, no political  
296 committee formed for a single election or primary shall, with respect to  
297 such election or primary make a contribution or contributions in excess  
298 of two thousand dollars to another political committee, provided no  
299 such political committee shall make a contribution in excess of three  
300 hundred seventy-five dollars to an exploratory committee.

301 (2) An independent expenditure political committee established for a  
302 single primary or election shall not make any contribution unless such  
303 contribution is to another independent expenditure political committee.

304 Sec. 9. Section 9-620 of the general statutes is repealed and the  
305 following is substituted in lieu thereof (*Effective from passage*):

306 (a) A political committee formed solely to aid or promote the success  
307 or defeat of a referendum question shall not make contributions to, or  
308 for the benefit of, a party committee, a political committee, a national

309 committee, a committee of a candidate for federal or out-of-state office  
310 or a candidate committee, except in the distribution of a surplus, as  
311 provided in subsection (e) of section 9-608, as amended by this act.

312 (b) A political committee formed solely to aid or promote the success  
313 or defeat of a referendum question shall not receive contributions from  
314 a national committee or from a committee of a candidate for federal or  
315 out-of-state office.

316 (c) [No] A person, other than an individual or a committee, shall not  
317 make a contribution to a political committee formed solely to aid or  
318 promote the success or defeat of a referendum question, or to any other  
319 person, to aid or promote the success or defeat of a referendum question,  
320 in excess of ten cents for each individual residing in the state or political  
321 subdivision thereof in which such referendum question is to be voted  
322 upon, in accordance with the last federal decennial census.

323 (d) Notwithstanding the provisions of subsections (a) to (c), inclusive,  
324 of this section, an independent expenditure political committee formed  
325 solely to aid or promote the success or defeat of a referendum question  
326 shall not make any contribution unless such contribution is made to  
327 another independent expenditure political committee. Unless otherwise  
328 restricted or prohibited by law, an independent expenditure political  
329 committee formed solely to aid or promote the success or defeat of a  
330 referendum question may accept contributions from an entity.

331 Sec. 10. Section 9-601 of the general statutes is amended by adding  
332 subdivision (32) as follows (*Effective from passage*):

333 (NEW) (32) "Independent expenditure political committee" means a  
334 political committee that makes only (A) independent expenditures, and  
335 (B) contributions to other independent expenditure political  
336 committees.

337 Sec. 11. Subdivision (3) of section 9-601 of the general statutes is  
338 repealed and the following is substituted in lieu thereof (*Effective from*  
339 *passage*):

340 (3) "Political committee" means (A) a committee organized by a  
341 business entity or organization, (B) persons other than individuals, or  
342 two or more individuals organized or acting jointly conducting their  
343 activities in or outside the state, (C) an exploratory committee, (D) a  
344 committee established by or on behalf of a slate of candidates in a  
345 primary for the office of justice of the peace, but does not mean a  
346 candidate committee or a party committee, (E) a legislative caucus  
347 committee, [or] (F) a legislative leadership committee, or (G) an  
348 independent expenditure political committee.

349 Sec. 12. Section 9-611 of the general statutes is repealed and the  
350 following is substituted in lieu thereof (*Effective from passage*):

351 (a) No individual shall make a contribution or contributions to, for  
352 the benefit of, or pursuant to the authorization or request of, a candidate  
353 or a committee supporting or opposing any candidate's campaign for  
354 nomination at a primary, or any candidate's campaign for election, to  
355 the office of (1) Governor, in excess of three thousand five hundred  
356 dollars; (2) Lieutenant Governor, Secretary of the State, Treasurer,  
357 Comptroller or Attorney General, in excess of two thousand dollars; (3)  
358 chief executive officer of a town, city or borough, in excess of one  
359 thousand dollars; (4) state senator or probate judge, in excess of one  
360 thousand dollars; or (5) state representative or any other office of a  
361 municipality not previously included in this subsection, in excess of two  
362 hundred fifty dollars. The limits imposed by this subsection shall be  
363 applied separately to primaries and elections.

364 (b) (1) No individual shall make a contribution or contributions to, or  
365 for the benefit of, an exploratory committee, in excess of three hundred  
366 seventy-five dollars, if the candidate establishing the exploratory  
367 committee certifies on the statement of organization for the exploratory  
368 committee pursuant to subsection (c) of section 9-604 that the candidate  
369 will not be a candidate for the office of state representative. No  
370 individual shall make a contribution or contributions to, or for the  
371 benefit of, any exploratory committee, in excess of two hundred fifty  
372 dollars, if the candidate establishing the exploratory committee does not

373 so certify.

374 (2) No individual shall make a contribution or contributions to, or for  
375 the benefit of, a political committee formed by a slate of candidates in a  
376 primary for the office of justice of the peace, in excess of two hundred  
377 fifty dollars.

378 [(c) No individual shall make contributions to such candidates or  
379 committees which in the aggregate exceed thirty thousand dollars for  
380 any single election and primary preliminary to such election.]

381 [(d)] (c) No individual shall make a contribution to any candidate or  
382 committee, other than a contribution in kind, in excess of one hundred  
383 dollars except by personal check or credit card of that individual.

384 [(e)] (d) No individual who is less than eighteen years of age shall  
385 make a contribution or contributions, in excess of thirty dollars to, for  
386 the benefit of, or pursuant to the authorization or request of: (1) A  
387 candidate or a committee supporting or opposing any candidate's  
388 campaign for nomination at a primary to any office; (2) a candidate or a  
389 committee supporting or opposing any candidate's campaign for  
390 election to any office; (3) an exploratory committee; (4) any other  
391 political committee in any calendar year; or (5) a party committee in any  
392 calendar year. Notwithstanding any provision of subdivision (2) of  
393 section 9-7b, any individual who is less than eighteen years of age who  
394 violates any provision of this subsection shall not be subject to the  
395 provisions of subdivision (2) of section 9-7b.

396 Sec. 13. Subsection (a) of section 9-601a of the general statutes is  
397 repealed and the following is substituted in lieu thereof (*Effective from*  
398 *passage*):

399 (a) As used in this chapter and chapter 157, "contribution" means:

400 (1) Any gift, subscription, loan, advance, payment or deposit of  
401 money or anything of value, made to promote the success or defeat of  
402 any [candidate] person seeking the nomination for election, or election

403 or for the purpose of aiding or promoting the success or defeat of any  
404 referendum question or the success or defeat of any political party;

405 (2) A written contract, promise or agreement to make a contribution  
406 for any such purpose;

407 (3) The payment by any person, other than a candidate or treasurer,  
408 of compensation for the personal services of any other person which are  
409 rendered without charge to a committee or candidate for any such  
410 purpose;

411 (4) An expenditure that is not an independent expenditure; or

412 (5) Funds received by a committee which are transferred from  
413 another committee or other source for any such purpose.

414 Sec. 14. Subsections (a) and (b) of section 9-601b of the general statutes  
415 are repealed and the following is substituted in lieu thereof (*Effective*  
416 *from passage*):

417 (a) As used in this chapter and chapter 157, [the term] "expenditure"  
418 means:

419 (1) Any purchase, payment, distribution, loan, advance, deposit or  
420 gift of money or anything of value, when made to promote the success  
421 or defeat of any [candidate] person seeking the nomination for election,  
422 or election, of any person or for the purpose of aiding or promoting the  
423 success or defeat of any referendum question or the success or defeat of  
424 any political party;

425 (2) Any communication that (A) refers to one or more clearly  
426 identified candidates, and (B) (i) is broadcast (I) by radio, television,  
427 other than on a public access channel, or [by] satellite communication,  
428 or via the Internet, or (II) as a paid-for telephone communication, or (ii)  
429 appears in a newspaper [,] or magazine or on a billboard, or (iii) is sent  
430 by mail; or

431 (3) The transfer of funds by a committee to another committee.

432 (b) [The term "expenditure"] "Expenditure" does not mean:

433 (1) A loan of money, made in the ordinary course of business, by a  
434 state or national bank;

435 (2) A communication made by any corporation, organization or  
436 association solely to its members, owners, stockholders, executive or  
437 administrative personnel, or their families;

438 (3) Nonpartisan voter registration and get-out-the-vote campaigns by  
439 any corporation, organization or association aimed at its members,  
440 owners, stockholders, executive or administrative personnel, or their  
441 families;

442 (4) Uncompensated services provided by individuals volunteering  
443 their time on behalf of a party committee, political committee, slate  
444 committee or candidate committee, including any services provided for  
445 the benefit of nonparticipating and participating candidates under the  
446 Citizens' Election Program and any unreimbursed travel expenses made  
447 by an individual who volunteers the individual's personal services to  
448 any such committee. For purposes of this subdivision, an individual is  
449 a volunteer if such individual is not receiving compensation for such  
450 services regardless of whether such individual received compensation  
451 in the past or may receive compensation for similar services that may be  
452 performed in the future;

453 (5) Any news story, commentary or editorial distributed through the  
454 facilities of any broadcasting station, newspaper, magazine or other  
455 periodical, unless such facilities are owned or controlled by any political  
456 party, committee or candidate;

457 (6) The use of real or personal property, a portion or all of the cost of  
458 invitations and the cost of food or beverages, voluntarily provided by  
459 an individual to a candidate, including a nonparticipating or  
460 participating candidate under the Citizens' Election Program, or to a  
461 party, political or slate committee, in rendering voluntary personal  
462 services at the individual's residential premises or a community room

463 in the individual's residence facility, to the extent that the cumulative  
464 value of the invitations, food or beverages provided by an individual on  
465 behalf of any candidate or committee does not exceed four hundred  
466 dollars with respect to any single event or does not exceed eight  
467 hundred dollars for any such event hosted by two or more individuals,  
468 provided at least one such individual owns or resides at the residential  
469 premises, and further provided the cumulative value of the invitations,  
470 food or beverages provided by an individual on behalf of any such  
471 candidate or committee does not exceed eight hundred dollars with  
472 respect to a calendar year or single election, as the case may be;

473 (7) A communication described in subdivision (2) of subsection (a) of  
474 this section that is not made to promote, attack, support or oppose the  
475 nomination or election of any person and that includes speech or  
476 expression [made] (A) made prior to the ninety-day period preceding  
477 the date of a primary or an election at which the clearly identified  
478 candidate or candidates are seeking nomination to public office or  
479 position, [that is] including a communication made for the purpose of  
480 influencing any legislative or administrative action, as defined in section  
481 1-91, or executive action, [or] (B) made during a legislative session for  
482 the purpose of influencing legislative action, or (C) that constitutes a  
483 candidate debate or that solely promotes any such debate and is made  
484 by or on behalf of the person sponsoring the debate;

485 (8) An organization expenditure by a party committee, legislative  
486 caucus committee or legislative leadership committee;

487 (9) A commercial advertisement that refers to an owner, director or  
488 officer of a business entity who is also a candidate and that had  
489 previously been broadcast or appeared when the owner, director or  
490 officer was not a candidate;

491 (10) A communication containing an endorsement on behalf of a  
492 candidate for nomination or election to the office of Governor,  
493 Lieutenant Governor, Secretary of the State, State Treasurer, State  
494 Comptroller, Attorney General, state senator or state representative,



495 from a candidate for the office of Governor, Lieutenant Governor,  
496 Secretary of the State, State Treasurer, State Comptroller, Attorney  
497 General, state senator or state representative, shall not be an  
498 expenditure attributable to the endorsing candidate, if the candidate  
499 making the endorsement is unopposed at the time of the  
500 communication;

501 (11) A communication that is sent by mail to addresses in the district  
502 for which a candidate being endorsed by another candidate pursuant to  
503 the provisions of this subdivision is seeking nomination or election to  
504 the office of state senator or state representative, containing an  
505 endorsement on behalf of such candidate for such nomination or  
506 election, from a candidate for the office of state senator or state  
507 representative, shall not be an expenditure attributable to the endorsing  
508 candidate, if the candidate making the endorsement is not seeking  
509 election to the office of state senator or state representative for a district  
510 that contains any geographical area shared by the district for the office  
511 to which the endorsed candidate is seeking nomination or election;

512 (12) Campaign training events provided to multiple individuals by a  
513 legislative caucus committee and any associated materials, provided the  
514 cumulative value of such events and materials does not exceed six  
515 thousand dollars in the aggregate for a calendar year;

516 (13) A lawful communication by any charitable organization which is  
517 a tax-exempt organization under Section 501(c)(3) of the Internal  
518 Revenue Code of 1986, or any subsequent corresponding internal  
519 revenue code of the United States, as from time to time amended;

520 (14) The use of offices, telephones, computers and similar equipment  
521 provided by a party committee, legislative caucus committee or  
522 legislative leadership committee that serve as headquarters for or are  
523 used by such party committee, legislative caucus committee or  
524 legislative leadership committee; or

525 (15) An expense or expenses incurred by a human being acting alone  
526 in an amount that is two hundred dollars or less, in the aggregate, that

527 benefits a candidate for a single election.

528 Sec. 15. Subdivision (1) of subsection (a) of section 9-608 of the general  
529 statutes is repealed and the following is substituted in lieu thereof  
530 (*Effective from passage*):

531 (a) (1) Each treasurer of a committee, other than a state central  
532 committee, shall file a statement, sworn under penalty of false statement  
533 with the proper authority in accordance with the provisions of section  
534 9-603, (A) on the tenth calendar day in the months of January, April, July  
535 and October, provided, if such tenth calendar day is a Saturday, Sunday  
536 or legal holiday, the statement shall be filed on the next business day,  
537 except that in the case of a candidate or exploratory committee  
538 established for an office to be elected at a special election, statements  
539 pursuant to this subparagraph shall not be required, (B) on the seventh  
540 day preceding each regular state election, except that (i) in the case of a  
541 candidate or exploratory committee established for an office to be  
542 elected at a municipal election, the statement shall be filed on the  
543 seventh day preceding a regular municipal election in lieu of such date,  
544 except if the candidate's name is not eligible to appear on the ballot, in  
545 which case such statement shall not be required, (ii) in the case of a town  
546 committee, the statement shall be filed on the seventh day preceding  
547 each municipal election in addition to such date, (iii) in the case of a  
548 candidate committee in a state election, [that is required to file any  
549 supplemental campaign finance statements pursuant to subdivisions (1)  
550 and (2) of subsection (a) of section 9-712, such] the supplemental  
551 campaign finance statements required pursuant to subsection (a) of  
552 section 9-712, as amended by this act, shall satisfy the filing requirement  
553 under this subdivision, and (iv) in the case of a candidate committee  
554 established by a candidate whose name is not eligible to appear on the  
555 ballot, such statement shall not be required, and (C) if the committee has  
556 made or received a contribution or expenditure in connection with any  
557 other election, a primary or a referendum, on the seventh day preceding  
558 the election, primary or referendum, except that in the case of a  
559 candidate committee in a primary [that is required to file statements  
560 pursuant to subdivisions (1) and (2) of subsection (a) of section 9-712,

561 such] for an office to be voted upon at a state election, the statements  
562 required pursuant to subsection (a) of section 9-712, as amended by this  
563 act, shall satisfy the filing requirement under this subdivision. The  
564 statement shall be complete as of eleven fifty-nine o'clock p.m. of the last  
565 day of the month preceding the month in which the statement is  
566 required to be filed, except that for the statement required to be filed on  
567 the seventh day preceding the election, primary or referendum, the  
568 statement shall be complete as of eleven fifty-nine o'clock p.m. of the  
569 second day immediately preceding the required filing day. The  
570 statement shall cover a period to begin with the first day not included  
571 in the last filed statement. In the case of a candidate committee, the  
572 statement required to be filed in January shall be in lieu of the statement  
573 formerly required to be filed within forty-five days following an  
574 election.

575 Sec. 16. Subsection (a) of section 9-712 of the general statutes is  
576 repealed and the following is substituted in lieu thereof (*Effective from*  
577 *passage*):

578 (a) (1) The treasurer of each candidate committee in a primary  
579 campaign or a general election campaign in which there is at least one  
580 participating candidate shall file weekly supplemental campaign  
581 finance statements with the commission in accordance with the  
582 provisions of subdivision (2) of this subsection. Such weekly statements  
583 shall be in lieu of the campaign finance statements due pursuant to  
584 subparagraphs (B) and (C) of subdivision (1) of subsection (a) of section  
585 9-608, as amended by this act.

586 (2) Each such treasurer shall file weekly supplemental campaign  
587 finance statements with the commission pursuant to the following  
588 schedule: (A) In the case of a primary campaign, on the second  
589 Thursday following the date in July on which treasurers are required to  
590 file campaign finance statements pursuant to subparagraph (A) of  
591 subdivision (1) of subsection (a) of section 9-608, as amended by this act,  
592 and each Thursday thereafter up to and including the Thursday before  
593 the day of the primary, and (B) in the case of a general election

594 campaign, on the second Thursday following the date in October on  
595 which candidates are required to file campaign finance statements  
596 pursuant to subparagraph (A) of subdivision (1) of subsection (a) of  
597 section 9-608, as amended by this act, and each Thursday thereafter up  
598 to and including the Thursday before the day of the election. The  
599 statement shall be complete as of eleven fifty-nine o'clock p.m. of the  
600 second day immediately preceding the required filing day. The  
601 statement shall cover the period beginning with the first day not  
602 included in the last filed statement.

603 (3) Notwithstanding the provisions of subdivisions (1) and (2) of this  
604 subsection, if a participating candidate committee in a primary  
605 campaign or a general election campaign in which there is at least one  
606 participating candidate makes expenditures or incurs an obligation to  
607 make expenditures that, in the aggregate, exceed one hundred per cent  
608 of the applicable expenditure limit for the applicable primary or general  
609 election campaign period, the treasurer of any such candidate  
610 committee shall file a declaration of excess expenditures statement with  
611 the commission, pursuant to the following schedule: (A) If a candidate  
612 committee makes expenditures or incurs an obligation to make such  
613 expenditures more than twenty days before the day of such primary or  
614 election, the treasurer of such candidate shall file such statement with  
615 the commission not later than forty-eight hours after making such  
616 expenditures or incurring an obligation to make such expenditures, and  
617 (B) if a candidate committee makes such expenditures or incurs an  
618 obligation to make such expenditures twenty days or less before the day  
619 of such primary or election, the treasurer of such candidate shall file  
620 such statement with the commission not later than twenty-four hours  
621 after making such expenditures or incurring an obligation to make such  
622 expenditures. The statement shall be complete as of eleven fifty-nine  
623 o'clock p.m. of the first day immediately preceding the required filing  
624 day. The statement shall cover a period beginning with the first day not  
625 included in the last filed statement.

626 (4) Notwithstanding the provisions of this subsection, the statements  
627 required to be filed pursuant to subdivisions (1) and (2) of this

628 subsection shall not be required to be filed by (A) a candidate committee  
629 of a candidate that is exempt from filing campaign finance statements  
630 pursuant to subsection (b) of section 9-608 unless or until such a  
631 candidate committee receives or expends an amount in excess of one  
632 thousand dollars for purposes of the primary or election for which such  
633 committee was formed, (B) a candidate committee of a candidate who is  
634 no longer eligible for a position on the ballot, or (C) a candidate  
635 committee of a participating candidate that is unopposed or a candidate  
636 committee of a nonparticipating candidate that is either unopposed or  
637 opposed only by a nonparticipating candidate or candidates, except that  
638 such candidate committee shall file a supplemental statement on the last  
639 Thursday before the applicable primary or general election. Such  
640 statement shall be complete as of eleven fifty-nine o'clock p.m. of the  
641 second day immediately preceding the required filing day. The  
642 statement shall cover a period beginning with the first day not included  
643 in the last filed statement.

644 (5) Each supplemental statement required under subdivision (1), (2)  
645 or (3) of this subsection for a candidate shall disclose the information  
646 required under subsection (c) of section 9-608. The commission shall  
647 adopt regulations, in accordance with the provisions of chapter 54,  
648 specifying permissible media for the transmission of such statements to  
649 the commission, which shall include electronic filing.

650 Sec. 17. Subsections (a) and (b) of section 9-601d of the general  
651 statutes are repealed and the following is substituted in lieu thereof  
652 (*Effective from passage*):

653 (a) Any person, as defined in section 9-601, as amended by this act,  
654 may, unless otherwise restricted or prohibited by law, including, but not  
655 limited to, any provision of this chapter or chapter 157, make unlimited  
656 independent expenditures, as defined in section 9-601c, and accept  
657 unlimited covered transfers, as defined in [said] section 9-601, as  
658 amended by this act. Except as provided [pursuant to] in this section,  
659 any such person who makes or obligates to make an independent  
660 expenditure or expenditures in excess of one thousand dollars, in the

661 aggregate, shall file statements according to the same schedule and in  
662 the same manner as is required of a treasurer of a [candidate] political  
663 committee pursuant to section 9-608, as amended by this act. Any such  
664 person, other than a committee, shall file with the proper authority, as  
665 provided in section 9-603, as amended by this act, (1) a long-form report  
666 and a short-form report pursuant to subsection (c) of this section for  
667 such independent expenditure or expenditures, and (2) a short-form  
668 report pursuant to subsection (d) of this section for each subsequent  
669 independent expenditure made or obligated to be made.

670 (b) Any person who makes or obligates to make an independent  
671 expenditure or expenditures in an election or primary for the office of  
672 Governor, Lieutenant Governor, Secretary of the State, State Treasurer,  
673 State Comptroller, Attorney General, state senator or state  
674 representative [, which] that exceed one thousand dollars, in the  
675 aggregate, during [a primary campaign or a general election campaign,  
676 as defined in section 9-700, shall file, electronically, a long-form and a  
677 short-form report of such independent expenditure or expenditures  
678 with the State Elections Enforcement Commission pursuant to  
679 subsections (c) and (d) of this section. The person that makes or obligates  
680 to make such independent expenditure or expenditures shall file such  
681 reports] the period beginning on June first in the year of a regular  
682 election, or on the day the Governor issues writs of election pursuant to  
683 section 9-215 in the case of a special election for the office of state senator  
684 or state representative, and ending on the day following the primary or  
685 election for which such person made or obligated to make such  
686 independent expenditure or expenditures, shall electronically file, in the  
687 case of a committee, a report pursuant to section 9-608, as amended by  
688 this act, or, in the case of any person other than a committee, a long-form  
689 report and a short form report pursuant to subsections (c) and (d) of this  
690 section not later than twenty-four hours after (1) making any such  
691 payment, or (2) obligating to make any such payment, with respect to  
692 the primary or election. [If any such person makes or incurs a  
693 subsequent independent expenditure, such person shall report such  
694 expenditure pursuant to subsection (d) of this section. Such reports] In

695 the case of a special election for the office of state senator or state  
696 representative, if any person makes or obligates to make an independent  
697 expenditure or expenditures for such special election that exceeds one  
698 thousand dollars, in the aggregate, prior to the day the Governor issues  
699 writs of election pursuant to section 9-215, such person shall file a report  
700 not later than twenty-four hours after such writs of election are issued.  
701 Any such report shall be filed under penalty of false statement.

702       Sec. 18. Subsections (a) and (b) of section 9-603 of the general statutes  
703 are repealed and the following is substituted in lieu thereof (*Effective*  
704 *from passage*):

705       (a) Statements filed by (1) party committees, (2) political committees  
706 formed to aid or promote the success or defeat of a referendum question  
707 proposing a constitutional convention, constitutional amendment or  
708 revision of the Constitution, (3) individual lobbyists, [and those] (4)  
709 political committees and candidate committees formed to aid or  
710 promote the success or defeat of any candidate for the office of  
711 Governor, Lieutenant Governor, Secretary of the State, State Treasurer,  
712 State Comptroller, Attorney General, judge of probate, [and members of  
713 the General Assembly] state senator or state representative, and (5)  
714 persons making any independent expenditure or expenditures in excess  
715 of one thousand dollars, in the aggregate, to promote the success or  
716 defeat of any such referendum question or candidate pursuant to  
717 section 9-601d, as amended by this act, shall be filed with the State  
718 Elections Enforcement Commission. A political committee formed for a  
719 slate of candidates in a primary for the office of justice of the peace shall  
720 file statements with the town clerk of the municipality in which the  
721 primary is to be held.

722       (b) Statements filed by (1) political committees formed solely to aid  
723 or promote the success or defeat of a referendum question to be voted  
724 upon by the electors of a single municipality, [and those] (2) political  
725 committees or candidate committees formed to aid or promote the  
726 success or defeat of any candidate for public office, other than those  
727 enumerated in subsection (a) of this section, or for the position of town

728 committee member, and (3) persons making any independent  
 729 expenditure or expenditures in excess of one thousand dollars, in the  
 730 aggregate, to promote the success or defeat of any such referendum  
 731 question or candidate pursuant to section 9-601d, as amended by this  
 732 act, shall be filed [only] with the town clerk of the municipality in which  
 733 the election or referendum is to be held. Each unsalaried town clerk shall  
 734 be entitled to receive ten cents from the town for the filing of each such  
 735 statement.

This act shall take effect as follows and shall amend the following sections:		
Section 1	<i>from passage</i>	9-607(g)(1)
Sec. 2	<i>from passage</i>	9-608(e)(1)(C)
Sec. 3	<i>from passage</i>	9-612(a) and (b)
Sec. 4	<i>from passage</i>	9-613
Sec. 5	<i>from passage</i>	9-614
Sec. 6	<i>from passage</i>	9-615
Sec. 7	<i>from passage</i>	9-618(a)
Sec. 8	<i>from passage</i>	9-619(a)
Sec. 9	<i>from passage</i>	9-620
Sec. 10	<i>from passage</i>	9-601
Sec. 11	<i>from passage</i>	9-601(3)
Sec. 12	<i>from passage</i>	9-611
Sec. 13	<i>from passage</i>	9-601a(a)
Sec. 14	<i>from passage</i>	9-601b(a) and (b)
Sec. 15	<i>from passage</i>	9-608(a)(1)
Sec. 16	<i>from passage</i>	9-712(a)
Sec. 17	<i>from passage</i>	9-601d(a) and (b)
Sec. 18	<i>from passage</i>	9-603(a) and (b)

**Statement of Purpose:**

To (1) implement federal court rulings regarding independent expenditure political committees and aggregate contribution limits for individuals, (2) establish disclosure requirement for independent expenditures attacking or supporting incumbents before they form committees, (3) specify that certain communications publicizing candidate debates are not deemed expenditures, (4) align campaign finance statement filing schedules for statewide and legislative office



candidates, (5) specify the period for certain reporting of independent expenditures, and (6) specify the authority with which certain independent expenditure disclosure statements are to be filed.

*[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]*