

General Assembly

Raised Bill No. 5313

February Session, 2024

LCO No. 2100



Referred to Committee on GOVERNMENT ADMINISTRATION AND ELECTIONS

Introduced by: (GAE)

AN ACT REESTABLISHING THE LEGISLATIVE PROGRAM REVIEW AND INVESTIGATIONS COMMITTEE.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- Section 1. (NEW) (*Effective July 1, 2024*) (a) As used in this section and sections 2 and 3 of this act:
- 3 (1) "Program review" means an examination of programs 4 administered by state departments and agencies to ascertain whether 5 such programs are effective, continue to serve their intended purposes, 6 are conducted in an efficient and effective manner or require 7 modification or elimination; and
- 8 (2) "Investigation" means the investigation of any matter which is 9 referred to the Legislative Program Review and Investigations 10 Committee, as provided in section 2 of this act.
- 11 (b) There is hereby reestablished a Legislative Program Review and 12 Investigations Committee, which shall be a permanent standing 13 committee of the General Assembly, consisting of (1) six members of the

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- 14 Senate, three appointed by the president pro tempore and three
- appointed by the minority leader of the Senate, and (2) six members of
- 16 the House of Representatives, three appointed by the speaker of the
- 17 House of Representatives and three appointed by the minority leader of
- 18 the House of Representatives. Members shall serve for a term of two
- 19 years from the date of appointment.
- 20 (c) The initial appointments of the members shall be made not later
- 21 than January 8, 2025, and thereafter appointments of the members shall
- 22 be made at the beginning of each regular session of the General
- 23 Assembly in the odd-numbered year. The terms of all members
- 24 appointed to the committee shall end with the termination of each
- 25 member's term or holding of office, whichever occurs first. Vacancies
- 26 shall be filled in the same manner as the original appointments. The
- 27 committee shall select cochairpersons and such other officers as it may
- 28 deem necessary from among its membership.
- 29 (d) A majority of the membership shall constitute a quorum and all
- 30 actions of the committee shall require the affirmative vote of a majority
- 31 of the full committee membership. The cochairpersons and ranking
- 32 minority members of the joint standing committee requesting an
- 33 investigation shall serve as nonvoting, ex-officio members of the
- 34 Legislative Program Review and Investigations Committee during the
- 35 course of such investigation.
- 36 Sec. 2. (NEW) (Effective July 1, 2024) (a) The Legislative Program
- 37 Review and Investigations Committee shall:
- 38 (1) Direct its staff and other legislative staff available to the committee
- 39 to conduct program reviews and investigations to assist the General
- 40 Assembly in the proper discharge of its duties;
- 41 (2) Establish policies and procedures regarding the printing,
- 42 reproduction and distribution of its reports;
- 43 (3) Review staff reports submitted to the committee and, when
- 44 necessary, confer with representatives of the state departments and

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agencies reviewed in order to obtain full and complete information in regard to programs, other activities and operations of the state, and may request and shall be given access to and copies of, by all public officers, departments, agencies and authorities of the state and its political subdivisions, such public records, data and other information and given such assistance as the committee determines it needs to fulfill its duties;

(4) Act on staff reports and recommend in its report, or propose, in the form of a raised bill, such legislation as may be necessary to modify current operations and agency practices;

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- (5) Consider and act on requests by members of the General Assembly, legislative committees, elected officials of state government and state department and agency heads for program reviews. The request shall be submitted, in writing, to the Program Review and Investigations Committee and shall state reasons to support the request. The decision of the committee to grant or deny any such request shall be final;
- (6) Conduct investigations requested by joint resolution of the General Assembly, or, when the General Assembly is not in session, (A) requested by a joint standing committee of the General Assembly or initiated by a majority vote of the Program Review and Investigations Committee and approved by the Joint Committee on Legislative Management, or (B) requested by the Joint Committee on Legislative Management. In the event two or more investigations are requested, the order of priority shall be determined by the Legislative Program Review and Investigations Committee;
- 70 (7) Retain, within available appropriations, the services of 71 consultants, technical assistants, researchers and other personnel 72 necessary to assist in the conduct of program reviews and 73 investigations;
 - (8) Originate, and report to the General Assembly, any bill it deems necessary concerning a program, department or other matter under review or investigation by the committee, in the same manner as is

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77 prescribed by rule for joint standing committees of the General 78 Assembly;

- (9) Review audit reports after issuance by the Auditors of Public Accounts, evaluate and sponsor new or revised legislation based on audit findings, provide means to determine compliance with audit recommendations and receive facts concerning any unauthorized, illegal, irregular or unsafe handling or expenditures of state funds under the provisions of section 2-90 of the general statutes, as amended by this act;
- 86 (10) Meet as often as may be necessary, during legislative sessions 87 and during the periods between sessions, to perform its duties and 88 functions; and
- (11) Report annually to the General Assembly, in accordance with the provisions of section 11-4a of the general statutes, on or before February fifteenth.

- (b) The committee may, at any time, study any matter within the scope of a completed or partially completed staff report then being conducted or may, at its discretion, study and consider any matter relative to program activities of state departments and agencies.
- (c) The identity of any public employee providing information to the committee shall not be disclosed. In the course of an investigation, all information, records of interviews, reports, statements, notes, memoranda or other data in the custody of the, or obtained or prepared by, the Legislative Program Review and Investigations Committee or its staff shall not be subject to the provisions of section 1-210 of the general statutes until the investigation is completed. Any statutory requirements of confidentiality regarding any records, data and other information submitted under subdivision (3) of subsection (a) of this section, including penalties for violating such requirements, shall apply to the committee, its staff and its other authorized representatives in the same manner and to the same extent as such requirements and penalties apply to any public officer, department, agency or authority of the state

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109 or its political subdivisions.

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- 110 Sec. 3. (NEW) (Effective July 1, 2024) (a) In any instance in which a 111 program review cites inadequate operating or administrative system 112 controls or procedures, inaccuracies, waste, extravagance, unauthorized 113 or unintended activities or programs, or other deficiencies, the 114 department head of or agency head of or the appropriate program 115 officer or official to which the report pertained shall take the necessary 116 corrective actions and, when the committee deems the action taken to 117 be not suitable, the committee shall report the matter to the General 118 Assembly together with its recommendations.
- 119 (b) The committee shall report the results of each investigation 120 together with its recommendations for any further action to the General 121 Assembly electronically, in accordance with the provisions of section 11-122 4a of the general statutes.
- Sec. 4. Section 1-122 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2024*):
 - (a) In accordance with the provisions of section 2-90, as amended by this act, the Auditors of Public Accounts shall biennially conduct a compliance audit of each quasi-public agency's activities during the agency's two fiscal years preceding each such audit or contract with a person, firm or corporation for any such audit or audits. Each such audit shall determine whether the quasi-public agency has complied with its regulations concerning affirmative action, personnel practices, the purchase of goods and services, the use of surplus funds and the distribution of loans, grants and other financial assistance. Each audit shall include a review of all or a representative sample of the agency's activities in such areas during the relevant fiscal years. Each quasi-public agency shall pay the cost of conducting such biennial compliance audit of the agency.
 - (b) The Auditors of Public Accounts shall submit each audit report to the Governor [. Each quasi-public agency shall pay the cost of conducting such biennial compliance audit of the agency] and the

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- 141 <u>Legislative Program Review and Investigations Committee. Not later</u>
- 142 than thirty days after receiving copies of an audit report from the
- 143 Auditors of Public Accounts, the Legislative Program Review and
- 144 <u>Investigations Committee shall prepare an assessment of whether the</u>
- audit report complies with the requirements of this section and shall
- 146 <u>submit the assessment and a copy of the audit report to the joint</u>
- 147 <u>standing committee of the General Assembly having cognizance of</u>
- 148 matters relating to the quasi-public agency.
- Sec. 5. Subsection (a) of section 1-123 of the general statutes is
- repealed and the following is substituted in lieu thereof (*Effective July 1*,
- 151 2024):
- 152 (a) The board of directors of each quasi-public agency shall annually
- submit a report to the Governor and the Auditors of Public Accounts
- and the Legislative Program Review and Investigations Committee.
- 155 Such report shall include, but need not be limited to, the following: (1)
- 156 A list of all bond issues for the preceding fiscal year, including, for each
- such issue, the financial advisor and underwriters, whether the issue
- 158 was competitive, negotiated or privately placed, and the issue's face
- value and net proceeds; (2) a list of all projects other than those
- 160 pertaining to owner-occupied housing or student loans receiving
- 161 financial assistance during the preceding fiscal year, including each
- project's purpose, location, and the amount of funds provided by the
- agency; (3) a list of all outside individuals and firms receiving in excess
- of five thousand dollars in the form of loans, grants or payments for
- services, except for individuals receiving loans for owner-occupied
- housing and education; (4) a complete set of financial statements; (5) the
- cumulative value of all bonds issued, the value of outstanding bonds,
- and the amount of the state's contingent liability; (6) the affirmative
- action policy statement, a description of the composition of the agency's
- work force by race, sex, and occupation and a description of the agency's
- affirmative action efforts; and (7) a description of planned activities for the current fiscal year. Not later than thirty days after receiving such
- the current fiscal year. <u>Not later than thirty days after receiving such</u> report from the board of a quasi-public agency, the Legislative Program
- 174 Review and Investigations Committee shall prepare an assessment of

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- whether the report complies with the requirements of this section and
- shall submit the assessment and a copy of the report to the joint standing
- 177 <u>committee of the General Assembly having cognizance of matters</u>
- 178 <u>relating to the quasi-public agency.</u>

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- Sec. 6. Section 2-46 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1*, 2024):
- 181 (a) The president of the Senate, the speaker of the House of 182 Representatives, or a [chairman] chairperson of the whole, or of any 183 committee of either house, of the General Assembly, or either of the 184 chairpersons of the Legislative Program Review and Investigations 185 Committee, shall have the power to compel the attendance and 186 testimony of witnesses by subpoena and capias issued by any of them, 187 require the production of any necessary books, papers or other 188 documents and administer oaths to witnesses in any case under their 189 examination, including any program review or investigation, as defined 190 in section 1 of this act. Any person, summoned as a witness by the 191 authority of either house of the General Assembly or the Legislative 192 Program Review and Investigations Committee to give testimony or to 193 produce books, papers or other documents upon any matter under 194 inquiry before either house, [or] any committee of either house, of the 195 General Assembly, [or] a joint committee of both houses, or by the 196 Legislative Program Review and Investigations Committee, who 197 wilfully makes default or, having appeared, refuses to be sworn or to 198 answer any question pertinent to the question under inquiry, shall be 199 guilty of a class A misdemeanor.
 - (b) Any individual who is subpoenaed to appear and testify before a committee of the General Assembly or by the Legislative Program Review and Investigations Committee shall have the right to review a copy of the transcript of his or her testimony and a reasonable amount of time to question its accuracy prior to the public release of such transcript or its permanent filing.
- Sec. 7. Section 2-47 of the general statutes is repealed and the

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following is substituted in lieu thereof (*Effective July 1, 2024*):

208 No witness shall be privileged to refuse to testify to any fact, or to 209 produce any paper, respecting which [he] such witness is examined by 210 either house of the General Assembly, or by any committee of either 211 house or any joint committee of both houses, or by the Legislative 212 Program Review and Investigations Committee in any program review 213 or investigation, as defined in section 1 of this act, upon the ground that 214 [his] such witness' testimony to such fact or [his] production of such 215 paper may tend to disgrace [him] such witness or otherwise render 216 [him] such witness infamous.

Sec. 8. Subsections (c) to (e), inclusive, of section 2-90 of the general statutes are repealed and the following is substituted in lieu thereof (*Effective July 1*, 2024):

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(c) Said auditors shall audit, on a biennial basis if deemed most economical and efficient, or as frequently as they deem necessary, the books and accounts, records of operations and activities, systems and data of each officer, department, commission, board and court of the state government, all institutions supported by the state and all public and quasi-public bodies, politic and corporate, created by public or special act of the General Assembly and not required to be audited or subject to reporting requirements, under the provisions of chapter 111. Each such audit may include an examination of any relevant information concerning the department, commission, board or court of state government being audited that is in the possession or control of a private entity that has a contract with such department, commission, board or court, and such information shall be provided upon demand in a format prescribed by the auditors at no cost to the auditors or the department, commission, board or court. Each such audit may include an examination of performance in order to determine effectiveness in achieving expressed legislative purposes. The auditors shall report their findings and recommendations to the Governor, the State Comptroller, [and] the joint standing committee of the General Assembly having cognizance of matters relating to appropriations and the budgets of state

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agencies <u>and the Legislative Program Review and Investigations</u> Committee.

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(d) The Auditors of Public Accounts may enter into such contractual agreements as may be necessary for the discharge of their duties. Any audit or report which is prepared by a person, firm or corporation pursuant to any contract with the Auditors of Public Accounts shall bear the signature of the person primarily responsible for the preparation of such audit or report. As used in this subsection, the term "person" means a natural person.

(e) (1) If the Auditors of Public Accounts discover, or if it should come to their knowledge, that any unauthorized, illegal, irregular or unsafe handling or expenditure of state funds or quasi-public agency funds or any breakdown in the safekeeping of any resources of the state or a quasi-public agency has occurred or is contemplated, they shall forthwith report the facts to the Governor, the State Comptroller, the clerk of each house of the General Assembly, the Legislative Program Review and Investigations Committee and the Attorney General, except that if a matter reported to the Auditors of Public Accounts pursuant to section 4-33a is still under investigation by a state or quasi-public agency, the Auditors of Public Accounts may give the agency a reasonable amount of time to conduct such investigation prior to the auditors reporting the matter to said officials. (2) If the Auditors of Public Accounts decide to delay reporting such matter in accordance with subdivision (1) of this subsection, the auditors shall immediately notify the Attorney General of such decision. (3) Any Auditor of Public Accounts neglecting to make the report required under subdivision (1) of this subsection, or any agent of the auditors neglecting to report to the Auditors of Public Accounts any such matter discovered by such agent or coming to such agent's knowledge, shall be fined not more than one hundred dollars or imprisoned not more than six months, or both.

Sec. 9. Subdivision (11) of subsection (g) of section 17a-28 of the 2024 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2024*):

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(11) The Governor, when requested in writing in the course of the Governor's official functions, the Legislative Program Review and Investigations Committee, the joint standing committee of the General Assembly having cognizance of matters relating to human services, the joint standing committee of the General Assembly having cognizance of matters relating to the judiciary or the joint standing committee of the General Assembly having cognizance of matters relating to children, when requested in writing by any of such committees in the course of such committee's official functions, and upon a majority vote of such committee, provided no name or other identifying information is disclosed unless such information is essential to the gubernatorial or legislative purpose;

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Sec. 10. Section 51-51*l* of the 2024 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective July* 1, 2024):

(a) Except as provided in subsection (d) of this section, the Judicial Review Council shall investigate every written complaint brought before it alleging conduct under section 51-51i, and may initiate an investigation of any judge, administrative law judge or family support magistrate if (1) the council has reason to believe conduct under section 51-51i has occurred or (2) previous complaints indicate a pattern of behavior which would lead to a reasonable belief that conduct under section 51-51i has occurred. The council shall, not later than five days after such initiation of an investigation or receipt of such complaint, notify by registered or certified mail any judge, administrative law judge or family support magistrate under investigation or against whom such complaint is filed. A copy of any such complaint shall accompany such notice. The council shall also notify the complainant of its receipt of such complaint not later than five days thereafter. Any investigation to determine whether or not there is probable cause that conduct under section 51-51i has occurred shall be confidential and any individual called by the council for the purpose of providing information shall not disclose his knowledge of such investigation to a third party prior to the decision of the council on whether probable

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cause exists, unless the respondent requests that such investigation and disclosure be open, provided information known or obtained independently of any such investigation shall not be confidential. The judge, administrative law judge or family support magistrate shall have the right to appear and be heard and to offer any information which may tend to clear him of probable cause to believe he is guilty of conduct under section 51-51i. The judge, administrative law judge or family support magistrate shall also have the right to be represented by legal counsel and examine and cross-examine witnesses. In conducting its investigation under this subsection, the council may request that a court furnish to the council a record or transcript of court proceedings, including records and transcripts of juvenile matters pursuant to section 46b-124 and records and transcripts of cases involving youthful offenders pursuant to section 54-76l, made or prepared by a court reporter, assistant court reporter or monitor and the court shall, upon such request, furnish such record or transcript.

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(b) The Judicial Review Council shall, not later than three business days after the termination of such investigation, notify the complainant, if any, and the judge, administrative law judge or family support magistrate that the investigation has been terminated and the results thereof. If the council finds that conduct under section 51-51i has not occurred, but the judge, administrative law judge or family support magistrate has acted in a manner which gives the appearance of impropriety or constitutes an unfavorable judicial or magisterial practice, the council may issue an admonishment to the judge, administrative law judge or family support magistrate recommending a change in judicial or magisterial conduct or practice. If an admonishment is issued, the council shall (1) notify the joint standing committee of the General Assembly having cognizance of matters relating to the judiciary that an admonishment was issued and provide said committee with the substance of the admonishment, including copies of the complaint file, (2) notify the Chief Court Administrator that an admonishment was issued and provide the Chief Court Administrator with the substance of the admonishment, including

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copies of the complaint file, and (3) inform the complainant, if any, that an admonishment was issued if the admonishment is the result of misconduct alleged in the complaint. Except as provided in this subsection, the substance of the admonishment shall not be disclosed to any person or organization.

- (c) If a preliminary investigation indicates that probable cause exists that the judge, administrative law judge or family support magistrate is guilty of conduct under section 51-51i, the council shall hold a hearing concerning the conduct or complaint. All hearings held pursuant to this subsection shall be open. A judge, an administrative law judge or a family support magistrate appearing before such a hearing shall be entitled to counsel, to present evidence and to cross-examine witnesses. The council shall make a record of all proceedings pursuant to this subsection. The council shall not later than thirty days after the close of such hearing publish its findings together with a memorandum of its reasons therefor.
- (d) No complaint against a judge, an administrative law judge or a family support magistrate alleging conduct under section 51-51i shall be brought under this section but within one year from the date the alleged conduct occurred or was discovered or in the exercise of reasonable care should have been discovered, except that no such complaint may be brought more than three years from the date the alleged conduct occurred.
- (e) Notwithstanding the provisions of subsections (a) and (b) of this section, the council shall disclose any information concerning complaints received by the council on and after January 1, 1978, and investigations and disposition of such complaints to the Legislative Program Review and Investigations Committee when requested by the committee in the course of its functions, in writing, and upon a majority vote of the committee, provided no names or other identifying information shall be disclosed.
- [(e)] (f) On and after December 19, 1991, any judge, administrative

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law judge or family support magistrate who has been the subject of an investigation by the Judicial Review Council as a result of a complaint brought before such council may request that such complaint, investigation and the disposition of such complaint be open to public inspection.

[(f)] (g) Whenever a complaint against a judge, an administrative law judge or a family support magistrate is pending before the Judicial Review Council within the final year of the term of office of such judge, administrative law judge or family support magistrate, the Judicial Review Council shall designate such complaint as privileged and shall conduct an expedited investigation and hearing so that its duties with respect to such complaint are completed in sufficient time to enable the Judicial Review Council to make its recommendation concerning any such judge to the Judicial Selection Commission and the Governor under section 51-51q in a timely manner.

Sec. 11. Subsection (a) of section 2-53m of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2024*):

(a) The joint standing committee of the General Assembly having cognizance of matters relating to children, in consultation with the Office of Fiscal Analysis, the Office of Legislative Research and the Commission on Women, Children and Seniors, shall maintain an annual report card that evaluates the progress of state policies and programs in promoting the result that all Connecticut children grow up in a stable living environment, safe, healthy and ready to lead successful lives. Progress shall be measured by primary indicators of progress, including, but not limited to, indicators established in the [final] report of the [former] Legislative Program Review and Investigations Committee prepared pursuant to the provisions of section 1 of public act 09-166, of state-wide rates of child abuse, child poverty, low birth weight, third grade reading proficiency, and the annual social health index developed pursuant to section 46a-131a. For each indicator, the data shall also be presented according to ethnicity or race, gender,

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geography, disability and, where appropriate, age and other relevant characteristics. The joint standing committee of the General Assembly having cognizance of matters relating to children shall prepare the report card on or before January 15, 2018, and annually thereafter. On or before January 15, 2018, and annually thereafter, said committee shall make the report card available to the public on the Internet and on the web site of the General Assembly and shall transmit the report card electronically to (1) members of the joint standing committees of the General Assembly having cognizance of matters relating to appropriations and the budgets of state agencies and human services, (2) the Commissioners of Children and Families, Education and Public Health, (3) the Child Advocate, (4) the Secretary of the Office of Policy and Management, and (5) the Chief Court Administrator.

This act shall take effect as follows and shall amend the following sections:		
Section 1	July 1, 2024	New section
Sec. 2	July 1, 2024	New section
Sec. 3	July 1, 2024	New section
Sec. 4	July 1, 2024	1-122
Sec. 5	July 1, 2024	1-123(a)
Sec. 6	July 1, 2024	2-46
Sec. 7	July 1, 2024	2-47
Sec. 8	July 1, 2024	2-90(c) to (e)
Sec. 9	July 1, 2024	17a-28(g)(11)
Sec. 10	July 1, 2024	51-51 <i>l</i>
Sec. 11	July 1, 2024	2-53m(a)

Statement of Purpose:

To reestablish the Legislative Program Review and Investigations Committee.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]

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