

General Assembly

February Session, 2024

Substitute Bill No. 5226

AN ACT ESTABLISHING AN EXTENDED PRODUCER RESPONSIBILITY PROGRAM FOR CONSUMER BATTERIES.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. (NEW) (*Effective October 1, 2024*) (a) For purposes of this 2 section:

3 (1) "Department" means the Department of Energy and 4 Environmental Protection.

5 (2) "Battery-containing product" means a product sold, offered for 6 sale, or distributed in or into this state that contains or is packaged with 7 rechargeable or primary batteries that are covered batteries. "Battery-8 containing product" does not include a covered electronic product 9 subject to the requirements of sections 22a-629 to 22a-640, inclusive, of 10 the general statutes.

(3) "Battery stewardship organization" means a producer that directly
implements a battery stewardship plan required under this section or a
nonprofit organization designated by a producer or group of producers
to implement a battery stewardship plan required under this section.

(4) "Collection rate" means a percentage, by weight, that a battery
stewardship organization collects that is calculated by dividing the total
weight of primary and rechargeable batteries collected by the battery

18 stewardship organization during the previous calendar year by the 19 average annual weight of primary and rechargeable batteries that were 20 estimated to have been sold in the state during the previous three 21 calendar years by all producers participating in an approved battery 22 stewardship plan.

23 (5) "Covered battery" means a portable battery, beginning January 1, 24 2026, or a portable or medium format battery, beginning January 1, 2028. 25 "Covered battery" does not include: (A) A battery contained within a 26 medical device, as specified in 21 USC 321(h) as it existed as of the 27 effective date of this section, that is not designed and marketed for sale 28 or resale principally to consumers for personal use; (B) a battery that 29 contains an electrolyte as a free liquid; (C) a lead acid battery weighing 30 greater than eleven pounds; (D) a motor vehicle battery subject to the 31 provisions of section 22a-256h of the general statutes; (E) a battery 32 recalled by the producer for safety reasons; (F) a battery in a battery-33 containing product that is not intended or designed to be easily 34 removable from the battery-containing product; or (G) a battery subject 35 to the provisions of sections 22a-629 to 22a-640, inclusive, of the general 36 statutes.

(6) "Easily removable" means designed by the manufacturer to be
removable by the user of the product with not more than commonly
used household tools.

40 (7) "Environmentally sound management practices" means practices 41 that: (A) Comply with all applicable federal, state and local regulatory 42 requirements to protect workers, public health and the environment; (B) 43 provide for recordkeeping, tracking and documenting of the fate of 44 materials within the state and outside of the state in accordance with the 45 requirements of this section; and (C) include comprehensive liability 46 coverage for the battery stewardship organization, including 47 environmental liability coverage that is commercially practicable.

(8) "Medium format battery" means the following primary orrechargeable covered batteries:

50 (A) For rechargeable batteries, a battery weighing more than eleven 51 pounds but not more than twenty-five pounds or that has a rating of 52 more than three hundred watt hours but not more than two thousand 53 watt hours, or both;

(B) For primary batteries, a battery weighing more than four poundsand six ounces but not more than twenty-five pounds.

56 (9) "Portable battery" means the following primary or rechargeable57 covered batteries:

(A) For rechargeable batteries, a battery weighing not more than
eleven pounds and that has a rating of not more than three hundred
watt hours;

(B) For primary batteries, a battery weighing not more than fourpounds and six ounces.

(10) "Primary battery" means a nonrechargeable battery, including,
but not limited to, alkaline, silver oxide, zinc air, carbon-zinc and
lithium metal batteries.

66 (11) "Producer" means:

67 (A) For covered batteries sold, offered for sale, or distributed in or68 into this state:

(i) If the battery is sold, offered for sale, or distributed in or into this
state under the brand of the battery manufacturer, the producer is the
person that manufactures the battery;

(ii) If the battery is sold, offered for sale, or distributed in or into this
state under a retail brand or under a brand owned by a person other
than the manufacturer, the producer is the brand owner;

(iii) If there is no person to which subparagraph (A)(i) or (A)(ii) of this
subdivision applies, the producer is the person that is the licensee of a
brand or trademark under which the battery is sold, offered for sale, or

distributed in or into this state, whether or not the trademark isregistered in this state;

(iv) If there is no person described in subparagraphs (A)(i) to (A) (iii),
inclusive, of this subdivision within the United States, the producer is
the person who is the importer of record for the battery into the United
States;

(v) If there is no person described in subparagraphs (A)(i) to (A)(iv),
inclusive, of this subdivision with a commercial presence within the
state, the producer is the person who first sells, offers for sale or
distributes the battery in or into this state;

(B) For battery-containing products containing one or more coveredbatteries sold, offered for sale or distributed in or into this state:

90 (i) If the battery-containing product is sold, offered for sale, or
91 distributed in or into this state under the brand of the product
92 manufacturer, the producer is the person that manufactures the
93 product;

94 (ii) If the battery-containing product is sold, offered for sale, or
95 distributed in or into this state under a retail brand or under a brand
96 owned by a person other than the manufacturer, the producer is the
97 brand owner;

(iii) If there is no person to which subparagraph (B)(i) or (B)(ii) of this
subdivision applies, the producer is the person that is the licensee of a
brand or trademark under which the product is used in a commercial
enterprise, sold, offered for sale, or distributed in or into this state,
whether or not the trademark is registered in this state;

(iv) If there is no person described in subparagraphs (B)(i) to (B)(iii),
inclusive, of this subdivision within the United States, the producer is
the person who is the importer of record for the product into the United
States;

107 (v) If there is no person described in subparagraphs (B)(i) to (B)(iv),

inclusive, of this subdivision with a commercial presence within the
state, the producer is the person who first sells, offers for sale or
distributes the product in or into this state;

111 (C) A person is the producer of a covered battery or battery-112 containing product containing one or more covered batteries sold, 113 offered for sale, or distributed in or into this state, as defined in 114 subparagraph (A) of this subdivision, except where another party has 115 contractually accepted responsibility as a responsible producer and has 116 joined a registered battery stewardship organization as the producer for 117 that covered battery or battery-containing product containing one or 118 more covered batteries under this section.

(12) "Program" means a program implemented by a battery
stewardship organization consistent with an approved battery
stewardship plan.

(13) "Rechargeable battery" means a battery that contains one or more
voltaic or galvanic cells, electrically connected to produce electric
energy, that is designed to be recharged.

(14) "Recycling" means recycling, reclamation or reuse as defined in
section 22a-207 of the general statutes. For purposes of this section,
recycling does not include: (A) Combustion; (B) incineration; (C) energy
generation; (D) fuel production; or (E) beneficial reuse in the
construction and operation of a solid waste landfill, including use as
alternative daily cover.

(15) "Recycling efficiency rate" means the ratio of the weight of
covered battery components and materials recycled by a program
operator from covered batteries to the weight of covered batteries as
collected by the program operator.

(16) "Retailer" means a person who sells covered batteries or batterycontaining products containing one or more covered batteries in or into
this state or offers, or otherwise makes available, covered batteries or
battery-containing products containing one or more covered batteries to

139 a customer, including other businesses, in this state.

(17) "Commissioner" means the Commissioner of Energy andEnvironmental Protection.

(b) On or before January 1, 2026, for portable batteries, and on orbefore January 1, 2028, for medium format batteries:

(1) Each producer selling, offering for sale, or distributing covered
batteries or battery-containing products containing one or more covered
batteries in or into the state shall participate in an approved battery
stewardship plan through participation in and the funding of a battery
stewardship organization; and

(2) A producer that does not participate in a battery stewardship
organization and battery stewardship plan may not sell covered
batteries or battery-containing products covered by this section in or
into this state.

(c) (1) On and after July 1, 2026, for portable batteries, and on and
after July 1, 2028, for medium format batteries, no retailer shall sell, offer
for sale, distribute, or otherwise make available for sale a covered
battery or battery-containing product containing one or more covered
batteries unless the producer of the covered battery or batterycontaining product is identified as a participant in a battery stewardship
organization whose plan has been approved by the commissioner.

(2) No retailer shall be in violation of the requirements of subdivision
(1) of this subsection if the web site made available by the department
pursuant to this section lists, as of the date a product is made available
for retail sale, a producer or brand of covered battery or batterycontaining product containing one or more covered batteries sold by the
retailer as being a participant in an approved battery stewardship plan
or the implementer of an approved battery stewardship plan.

167 (3) Retailers of covered batteries or battery-containing products168 containing one or more covered batteries shall not be required to make

retail locations available to serve as collection sites for a battery
stewardship program operated by a battery stewardship organization.
Retailers that serve as a collection site shall comply with the
requirements for collection sites in accordance with the provisions of
this section.

174 (4) No retailer shall sell, offer for sale, distribute, or otherwise make 175 available for sale covered batteries, unless such batteries are marked 176 consistent with the requirements of this section. Each producer of a 177 battery-containing product containing a covered battery shall verify to 178 the retailers of such product that the battery contained in the battery-179 containing product is marked consistent with the requirements of this 180 section. A retailer may rely on such verification for purposes of 181 compliance with this section.

182 (5) Any retailer selling or offering covered batteries or battery-183 containing products containing one or more covered batteries for sale in 184 this state may provide information to consumers that is provided to the 185 retailer by the battery stewardship organization regarding available 186 end-of-life management options for covered batteries collected by the 187 battery stewardship organization. Any such information that a battery 188 stewardship organization makes available to retailers shall include, but 189 not be limited to, in-store signage, written materials and other 190 promotional materials that retailers may use to inform customers of the 191 available end-of-life management options for covered batteries collected 192 by the battery stewardship organization.

(6) No retailer, producer or battery stewardship organization shall
charge a specific point-of-sale fee to consumers to cover the
administrative or operational costs of the battery stewardship
organization or the battery stewardship program.

(d) (1) On or before January 1, 2025, each producer of covered
portable batteries or such producer's designee, including, but not
limited to, a battery stewardship organization, shall notify the
Commissioner of Energy and Environmental Protection, in writing,

whether the producer will act individually or jointly with other 201 202 producers to submit a stewardship plan to the commissioner for the 203 establishment of a state-wide battery stewardship program. On or 204 before January 1, 2027, each producer of covered medium format 205 batteries, or such producer's designee, including, but not limited to, a 206 battery stewardship organization, shall notify the Commissioner of 207 Energy and Environmental Protection, in writing, whether the producer will act individually or jointly with other producers to submit a 208 209 stewardship plan to the commissioner for the establishment of a state-210 wide battery stewardship program.

211 (2) Not later than July 1, 2025, each battery stewardship organization 212 shall submit a plan for covered portable batteries to the commissioner 213 for approval. Not later than July 1, 2027, each battery stewardship 214 organization shall submit a plan for covered medium format batteries to the commissioner for approval. A battery stewardship organization 215 216 may submit a plan at any time to the commissioner for review and 217 approval. The commissioner shall review and may approve a plan based 218 on whether it contains each of the following components:

(A) Contact information for each producer, battery brand andbattery-containing product brand covered in the plan;

(B) A performance goals proposal consistent with this section,
including establishing performance goals for each of the next three
calendar years of program implementation;

(C) A description of how the battery stewardship organization will
make retailers aware of their obligation to sell only covered batteries
and battery-containing products containing one or more covered
batteries of producers participating in an approved battery stewardship
plan;

(D) A description of the education and communications strategy
being implemented to effectively promote participation in the approved
covered battery stewardship program and provide the information
necessary for effective participation of consumers, retailers and others;

(E) A description of how the battery stewardship organization will make available to collection sites, for voluntary use, signage, written materials, and other promotional materials that collection sites may use to inform consumers of the available end-of-life management options for covered batteries collected by the battery stewardship organization;

(F) Promotional activities to be undertaken, and the identification of
consumer awareness goals and strategies that the battery stewardship
program will employ to achieve such goals after the program is
implemented;

(G) Collection site safety training procedures related to covered
battery collection activities at collection sites, including operating
protocols to reduce risks of spills or fires and response protocols in the
event of a spill or fire, and a protocol for safe management of damaged
batteries that are returned to collection sites;

247 (H) A description of the method to establish and administer a means 248for fully funding the program in a manner that equitably distributes the 249 program's costs among the producers that are part of the battery 250 stewardship organization. For producers that elect to meet the 251 requirements of this section individually, without joining a battery 252 stewardship organization, the plan shall describe the proposed method 253 to establish and administer a means for fully funding such battery 254 stewardship program;

255 (I) A description of the financing methods used to implement the 256 battery stewardship plan, consistent with the provisions of this section;

(J) A description of how the battery stewardship program will collect all covered battery brands on a free, continuous, convenient, visible and accessible basis, including a description of how the program will provide for convenient collection for any municipality with a population of one hundred thousand or more people;

262 (K) A description of the criteria to be used in the battery stewardship263 program to determine whether an entity may serve as a collection site

264 for discarded batteries under the program;

(L) Collection goals for each of the first three years of implementation
of the battery stewardship program that are based on the estimated total
weight of primary and rechargeable covered batteries that have been
sold in the state in the previous three calendar years by the producers
participating in the battery stewardship plan;

270 (M) Identification of proposed sorters, transporters, processors and 271 facilities to be used by the battery stewardship program for the final 272 disposition of batteries and how environmentally sound management 273 practices will be applied throughout the management of collected 274 batteries;

(N) Details of how the battery stewardship program will achieve a
recycling efficiency rate, calculated consistent with this section of not
less than sixty per cent for rechargeable batteries and not less than
seventy per cent for primary batteries; and

(O) Goals for increasing public awareness of the program anddescriptions of how the public education and outreach components ofthe battery stewardship program will be implemented.

(3) Each battery stewardship organization shall submit a new plan to
the commissioner for approval upon request of the commissioner. The
commissioner may identify the types of significant changes that require
a new plan to be submitted to the commissioner for approval.

(4) Each battery stewardship organization shall provide planamendments to the commissioner for approval whenever:

(A) Changes are proposed to the performance goals of the batterystewardship program based on performance of such program;

(B) There is a change to the method of financing the battery
stewardship plan implementation, including changes to the fees or fee
structure established in the battery stewardship plan; or

(C) There is an addition or removal of a sorter, processor ortransporter under the stewardship plan.

295 (5) The commissioner shall review a battery stewardship plan or 296 amendment for compliance with this section and shall approve, 297 disapprove or conditionally approve such plan, in writing, not later than 298 one hundred twenty days after receipt of such plan. If the commissioner 299 disapproves a battery stewardship plan submitted by a battery 300 stewardship organization, the commissioner shall explain how the 301 stewardship plan does not comply with this section. The battery 302 stewardship organization shall resubmit to the commissioner a revised 303 stewardship plan not later than sixty days after the date the written 304 notice was issued and the commissioner shall review the revised 305 stewardship plan not later than ninety days after resubmittal. In the 306 event that the commissioner disapproves the plan because it does not 307 meet the requirements of this section, the commissioner shall describe 308 the reasons for the disapproval in a notice of determination that the 309 commissioner shall provide to the producer, or producer's designee. Such producer, or producer's designee, shall revise and resubmit the 310 311 plan to the commissioner not later than forty-five days after receipt of 312 the commissioner's notice of disapproval. Such producer, or producer's 313 designee, may resubmit a revised plan to the commissioner for approval 314 on not more than two occasions. If the producer, or producer's designee, 315 fails to submit a plan that is acceptable to the commissioner because it 316 does not meet the requirements of this section, the commissioner shall 317 modify a submitted plan to make it conform to the requirements of this 318 section and approve it.

(6) Whenever a battery stewardship plan or an amendment that
makes substantive changes to an approved plan is submitted under this
section, the commissioner shall make the proposed plan or amendment
available for public review and comment for not less than thirty days.

323 (7) Each battery stewardship organization shall provide written
324 notification to the commissioner not later than thirty days after a
325 producer begins or ends participation in a battery stewardship

326 organization.

(e) (1) Each battery stewardship plan shall include performance goals
that measure, on an annual basis, the achievements of the battery
stewardship program, including: (A) The collection rate for batteries in
the state; (B) the recycling efficiency rate of the battery stewardship
program; and (C) public awareness of the battery stewardship program.

(2) The performance goals established in each battery stewardshipplan shall include, but need not be limited to:

(A) Target collection rates for primary batteries and for rechargeablebatteries;

(B) Target recycling efficiency rates of not less than sixty per cent for
rechargeable batteries and not less than seventy per cent for primary
batteries; and

(C) Goals for public awareness, convenience and accessibility thatmeet or exceed the minimum requirements established in this section.

(f) (1) Each battery stewardship organization shall ensure adequate
funding is available to fully implement an approved battery
stewardship plan, including the implementation of aspects of the plan
addressing: (A) Battery collection, transporting and processing; (B)
education and outreach; (C) program evaluation; and (D) payment of
the administrative fees to the department in accordance with the
provisions of this section.

348 (2) Each battery stewardship organization that implements a battery
349 stewardship plan on behalf of producers shall develop a system to
350 collect charges from participating producers to cover the costs of the
351 plan's implementation.

(3) (A) Each battery stewardship organization shall be responsible for
all costs of participating covered battery collection, transportation,
processing, education, administration, department reimbursement,
recycling and end-of-life management in accordance with the

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356 requirements of this section.

(B) Each battery stewardship organization shall meet the collectiongoals established in the approved battery stewardship plan.

359 (C) No battery stewardship organization shall reduce or cease 360 collection, education and outreach, or other activities implemented 361 under an approved battery stewardship plan based on achievement of 362 program performance goals.

(4) (A) Each battery stewardship organization shall reimburse local
governments for demonstrable costs incurred as a result of a local
government facility or solid waste handling facility serving as a
collection site for a battery stewardship program, including, but not
limited to, associated labor costs and other costs associated with
accessibility and collection site standards such as storage.

(B) Each battery stewardship organization shall, at a minimum,
provide collection sites with appropriate containers for covered
batteries subject to its battery stewardship program, training, signage,
safety guidance and educational materials, at no cost to the collection
sites.

374 (g) (1) Each battery stewardship organization that implements a 375 battery stewardship plan shall provide for the collection of all covered 376 batteries, including all chemistries and brands of covered batteries, on a 377 free, continuous, convenient, visible and accessible basis to any person, 378 business, government department or nonprofit organization. Except as 379 otherwise provided in this subsection, each battery stewardship plan 380 shall provide for the collection of each chemistry and brand of covered 381 battery from any person, business, government department or nonprofit 382 organization at each collection site that counts toward the satisfaction of 383 the collection site criteria described in this section.

(2) (A) For each collection site utilized by a battery stewardship
program, each battery stewardship organization shall provide suitable
collection containers for covered batteries that are segregated from other

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387 solid waste or make mutually agreeable alternative arrangements for 388 the collection of batteries at the site. The location of collection containers 389 at each collection site used by the battery stewardship program shall be 390 within view of a responsible person and shall be accompanied by 391 signage made available to the collection site by the battery stewardship 392 organization that informs customers regarding the end-of-life 393 management options for batteries provided by the collection site under 394 this section. Each collection site shall meet applicable federal, state and 395 local regulatory requirements and adhere to the operations manual and 396 other safety information provided to the collection site by the battery 397 stewardship organization.

(B) Medium format batteries may only be collected at household
hazardous waste collection sites or other staffed collection sites that
meet applicable federal, state and local regulatory requirements to
manage medium format batteries.

402 (C) (i) Damaged and defective batteries shall be collected at collection
403 sites, other than retail locations, that are staffed by persons trained to
404 handle and ship such batteries.

(ii) Each battery stewardship organization shall provide for collection
of damaged and defective batteries at each permanent household
hazardous waste facility in the state, at each household hazardous waste
collection event and at any participating permitted transfer stations that
are staffed by persons trained to handle and ship such batteries.

410 (iii) As used in this subparagraph, "damaged and defective batteries" 411 means batteries that have been damaged or identified by the 412 manufacturer as being defective for safety reasons and that have the 413 potential of producing a dangerous evolution of heat, fire or short 414 circuit, as referred to in 49 CFR 173.185(f) as of January 1, 2023, or as 415 updated by the department by regulations adopted in accordance with 416 the provisions of chapter 54 of the general statutes to maintain 417 consistency with federal standards.

418 (3) Each battery stewardship organization that implements a battery

419 stewardship plan shall ensure state-wide collection opportunities for all 420 covered batteries. Battery stewardship organizations shall coordinate 421 activities with other program operators, including covered battery 422 collection and recycle programs and electronic waste recyclers, with 423 regard to the proper management or recycling of collected covered 424 batteries, for purposes of providing the efficient delivery of services and 425 avoiding unnecessary duplication of effort and expense. State-wide 426 collection opportunities shall be determined by geographic information 427 modeling that considers permanent collection sites. A battery stewardship program may rely, in part, on collection events to 428 429 supplement the permanent collection services required in this 430 subsection, provided only permanent collection services specified in 431 this subsection shall qualify toward the satisfaction of the requirements 432 of this subsection.

(4) (A) Each battery stewardship program shall use existing public
and private waste collection services and facilities, including battery
collection sites that are established through other battery collection
services, transporters, consolidators, processors and retailers, where
such use is cost effective, mutually agreeable and otherwise practicable.

438 (B) (i) Any municipality, solid waste management facility or 439 household hazardous waste facility that meets the criteria for collection 440 sites in the approved stewardship plan shall be included in the program 441 upon the submission of a request to the battery stewardship 442 organization to serve as a collection site. Each battery stewardship 443 program shall use as a collection site for covered batteries any retailer 444 or wholesaler that meets the criteria for collection sites in the approved 445 battery stewardship plan up to the minimum number of sites required 446 for compliance with the approved plan, upon the submission of a 447 request by an entity to the battery stewardship organization to serve as 448 a collection site. Each battery stewardship program may use additional 449 collection sites in excess of the minimum required in this subsection, as 450 may be agreed between the battery stewardship organization and the 451 collection site.

452 (ii) Each battery stewardship program shall use as a site for a 453 collection event for covered batteries any retailer, wholesaler, 454 municipality, solid waste management facility, household hazardous 455 waste facility, or other entity that meets the criteria for collection events 456 in the approved plan upon the submission of a request by the entity to 457 the battery stewardship organization to serve as a site for a collection 458 event. Each battery stewardship program may use additional sites for 459 collection events in excess of the minimum required in this subsection, 460 as may be agreed between the battery stewardship organization and the 461 collection site.

462 (C) Each battery stewardship organization may issue a warning to
463 suspend or terminate a collection site or service that does not adhere to
464 the collection site criteria in the approved battery stewardship plan or
465 that poses an immediate health and safety concern.

466 (5) (A) No battery stewardship program shall be required to provide467 for the collection of battery-containing products.

(B) No battery stewardship program shall be required to provide for
the collection of batteries that: (i) Are not easily removable from the
product other than by the manufacturer; and (ii) remain contained in a
battery-containing product at the time of delivery to a collection site.

472 (C) Each battery stewardship program shall provide for the collection473 of loose batteries.

474 (h) (1) Each battery stewardship organization shall carry out 475 promotional activities in support of the battery stewardship plan 476 implementation, including, but not limited to, the development and (A) 477 maintenance of a web site; (B) distribution of periodic press releases and 478 articles; (C) placement of advertisements for use on social media or 479 other relevant media platforms; (D) distribution of promotional 480 materials about the battery stewardship program and the restriction on 481 the disposal of covered batteries to be used by persons, including, but 482 not limited to, retailers, government agencies, waste and recycling 483 collectors and nonprofit organizations; (E) distribution of collection site

484 safety training procedures that are in compliance with state law to 485 collection sites to help ensure proper management of covered batteries 486 at collection sites; and (F) implementation of outreach and educational 487 resources that are conceptually, linguistically and culturally accurate for 488 the communities served and that reach the state's diverse ethnic 489 through meaningful consultation with populations, including 490 communities that bear disproportionately higher levels of adverse 491 environmental and social justice impacts.

492 (2) Each battery stewardship organization shall provide:

(A) Consumer-focused educational promotional materials to each
collection site used by the battery stewardship program and that are
accessible by customers of retailers that sell covered batteries or batterycontaining products containing one or more covered batteries; and

(B) Safety information related to covered battery collection activities
to the operator of each collection site, including appropriate protocols
to reduce risks of spills or fires, response protocols in the event of a spill
or fire, and response protocols in the event of detection of a damaged or
defective battery.

502 (3) (A) Each battery stewardship organization shall provide 503 educational materials to the operator of each collection site for the 504 management of recalled batteries, which are not intended to be part of 505 collection, to help facilitate transportation and processing of recalled 506 batteries.

507 (B) Each battery stewardship organization may seek reimbursement
508 from the producer of the recalled battery for expenses incurred in the
509 collection, transportation or processing of such batteries.

(4) Upon request by a retailer or other potential collector, the battery
stewardship organization shall provide the retailer or other potential
collector educational materials describing collection opportunities for
batteries.

514 (5) If multiple battery stewardship organizations are implementing 515 plans approved by the commissioner, the battery stewardship 516 organizations shall coordinate in carrying out their education and 517 outreach responsibilities under this section and shall include in their 518 annual reports to the commissioner a summary of their coordinated 519 education and outreach efforts.

520 (6) During the first year of a battery stewardship program's 521 implementation and every five years thereafter, each battery 522 stewardship organization shall carry out a survey of public awareness 523 regarding the requirements of the battery stewardship program 524 established under this section. Each battery stewardship organization 525 shall share the results of such public awareness surveys with the 526 commissioner.

(i) (1) Not later than June 1, 2027, and each June first thereafter, each
battery stewardship organization shall submit an annual report to the
commissioner covering the preceding calendar year of the battery
stewardship program. Such report shall include:

(A) An independent financial audit of the battery stewardship
program implemented by the battery stewardship organization,
including a breakdown of the program's expenses, such as collection
costs, recycling costs, education costs and overhead;

535 (B) A summary financial statement that documents the financing of 536 the battery stewardship organization's program and an analysis of 537 program costs and expenditures, including an analysis of the program's 538 expenses, such as collection costs, transportation costs, recycling costs, 539 education costs and administrative overhead costs. Each summary 540 financial statement shall be sufficiently detailed to provide transparency 541 as to whether funds collected from producers as a result of their 542 activities in the state are spent on program implementation in the state. 543 Each battery stewardship organization that implements similar battery 544 stewardship programs in multiple states may submit a financial 545 statement including all covered states, provided the statement breaks

546 out financial information pertinent to this state;

- 547 (C) The weight, by chemistry, of covered batteries collected under the548 battery stewardship program;
- 549 (D) The weight of materials recycled from covered batteries collected 550 under the program, in total, and by method of battery recycling;
- 551 (E) A calculation of the recycling efficiency rates;

552 (F) A list of all facilities used in the processing or disposition of 553 batteries, including identification of the facility's location and whether 554 the facility is located domestically, in an organization for economic 555 cooperation and development country, or in a country that meets 556 organization for economic cooperation and development operating 557 standards. For domestic facilities, such report shall provide a summary 558 of any violations of environmental laws and regulations over the 559 previous three years at each such facility;

(G) For each facility used for the final disposition of batteries, a
description of how the facility recycled or otherwise disposed of
batteries and battery components;

563 (H) The weight and chemistry of batteries sent to each facility used 564 for the final disposition of batteries. Such information may be 565 approximated for program operations in the state based on 566 extrapolations of national or regional data for programs in operation in 567 multiple states;

568 (I) The collection rate achieved under the program, including a 569 description of how the collection rate was calculated and how it 570 compares to the collection rate goals established in this section;

571 (J) The estimated aggregate sales, by weight and chemistry, of 572 batteries and batteries contained in or with battery-containing products 573 sold in the state by participating producers for each of the previous three 574 calendar years; 575 (K) A description of the manner in which the collected batteries were 576 managed and recycled, including a discussion of best available 577 technologies and the recycling efficiency rate;

(L) A description of education and outreach efforts supporting plan 578 579 implementation including, but not limited to, a summary of education 580 and outreach provided to consumers, collection sites, manufacturers, 581 distributors and retailers by the battery stewardship program operator 582 for the purpose of promoting the collection and recycling of covered 583 batteries, a description of how that education and outreach met the requirements of this section, samples of education and outreach 584 585 materials, a summary of coordinated education and outreach efforts 586 with any other battery stewardship organizations implementing a 587 battery stewardship plan approved by the commissioner, and a 588 summary of any changes made during the previous calendar year to 589 education and outreach activities;

(M) A list of all collection sites and an address for each listed site
including an up-to-date map indicating the location of all collection sites
used to implement the program, with links to appropriate web sites if
there are existing web sites associated with a site;

(N) A description of methods used to collect, transport and recyclecovered batteries by the battery stewardship organization;

(O) A summary on progress made toward the program performance
goals established under this section and an explanation of why
performance goals were not met, if applicable; and

(P) An evaluation of the effectiveness of education and outreachactivities.

601 (2) The weight of batteries or recovered resources from such batteries
602 shall only be counted once and may not be counted by more than one
603 battery stewardship organization.

604 (3) If a battery stewardship organization has disposed of covered

batteries though energy recovery, incineration or landfilling during the preceding calendar year of program implementation, the annual report shall specify the steps that the battery stewardship organization will take to make the recycling of covered batteries cost effective, where possible, or to otherwise increase battery recycling rates achieved by the battery stewardship organization.

(4) Any proprietary information submitted to the commissionerunder this section shall be exempt from disclosure under chapter 14 ofthe general statutes.

(j) The Commissioner of Energy and Environmental Protection may
assess a reasonable annual fee to any battery stewardship organization
that shall not exceed fifty thousand dollars annually for the
administration and enforcement of this section.

(k) The department shall maintain an Internet web site that lists producers and their brands that are participating in an approved plan, and make available to the public each plan, plan amendment and annual report received by the commissioner after the approval or receipt of notice from a battery stewardship organization of changes to the producers and brands participating under an approved battery stewardship plan.

(1) (1) Any person who violates any provision of this section shall be
liable for a civil penalty of seven thousand dollars per violation, except
that the failure to pay a fee under the provisions of this section shall
cause the person who fails to pay such fee to be liable for a civil penalty
that is double the applicable fee.

(2) The penalties provided for in this subsection may be recovered ina civil action brought by the Attorney General.

(3) The Attorney General may institute a civil action for theenforcement of any provision of this section.

634 (4) The penalties and injunctions provided in this subsection are in

addition to any penalties, injunctions or other relief provided under any
other provision of the general statutes. Nothing in this subsection shall
be construed to prohibit a cause of action by the state for any other
penalty, injunction or other relief provided by any other provision of
law.

(5) Any person who knowingly makes a false, fictitious or fraudulent
material written statement, under oath, related to or required by this
section or any rule adopted under this section, shall be guilty of a Class
A misdemeanor.

(6) Notwithstanding the provisions of this subsection, no penalty
may be assessed against an individual for the improper disposal of
covered batteries in a noncommercial or residential setting.

647 (m) (1) On and after January 1, 2027, each producer or retailer may 648 only sell, offer for sale or distribute in or into the state a covered battery 649 or battery-containing product containing one or more covered batteries 650 if the battery is marked with an identification of the producer of the 651 battery, unless the battery is less than one-half inch in diameter or does 652 not contain a surface that exceeds one-half inch. On and after January 1, 2029, such battery shall be marked with proper labeling to ensure proper 653 654 collection and recycling, by identifying the chemistry of the battery and 655 including an indication that the battery should not be disposed of as 656 household waste.

(2) Each producer shall verify to its customers, or to the retailer if the
retailer is not the customer, that the requirements of this subsection have
been met.

(n) (1) Any battery stewardship organization that implements an
approved battery stewardship plan in compliance with the
requirements of this section may bring a civil action against a producer
for damages when:

(A) Such organization incurs more than one thousand dollars inactual costs from collecting, handling, recycling and properly disposing

of the defendant producer's batteries sold or offered for sale in the state;and

668 (B) The defendant producer is not in compliance with the 669 requirements of this section.

670 (2) For the purposes of this subsection, "damages" includes:

(A) The actual costs a plaintiff battery stewardship organization
incurs in collecting, handling, recycling or properly disposing of
batteries reasonably identified as having originated from another
battery producer or battery stewardship organization; and

(B) Reasonable attorneys' fees and costs associated with bringingsuch civil action.

This act shall take effect as follows and shall amend the following sections:			
Section 1	October 1, 2024	New section	

JUD Joint Favorable Subst.