

Substitute Bill No. 5003

January Session, 2019



AN ACT IMPLEMENTING A PAID FAMILY MEDICAL LEAVE PROGRAM.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- Section 1. (NEW) (*Effective from passage*) As used in this section and sections 2 to 13, inclusive, of this act:
 - (1) "Covered employee" means an individual who (A) (i) has earned not less than two thousand three hundred twenty-five dollars from one or more employers during the employee's highest earning quarter within the five most recently completed calendar quarters, and (ii) is employed by an employer or not currently employed, (B) is a self-employed individual or sole proprietor who is enrolled in the Family and Medical Leave Insurance Program pursuant to section 8 of this act, or (C) is a covered public employee;
- 11 (2) "Covered public employee" means an individual who is (A) 12 employed in state service, as defined in section 5-196 of the general 13 statutes, and who is not in a bargaining unit established pursuant to 14 sections 5-270 to 5-280, inclusive, of the general statutes, or (B) a 15 member of a collective bargaining unit that has negotiated inclusion in 16 the program, in accordance with chapter 68 of the general statutes, 17 sections 7-467 to 7-477, inclusive, of the general statutes or sections 10-18 153a to 10-153n, inclusive, of the general statutes. If a municipal

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- 19 employer, as defined in section 7-467 of the general statutes, or a local
- 20 or regional board of education negotiates inclusion in the program for
- 21 members of a collective bargaining unit, "covered public employee"
- 22 also means an individual who is employed by such municipal
- 23 employer or local or regional board of education and who is not in a
- 24 bargaining unit established under sections 7-467 to 7-477, inclusive, of
- 25 the general statutes, or sections 10-153a to 10-153n, inclusive, of the
- 26 general statutes;
- 27 (3) "Administrator" means the Labor Department;
- 28 (4) "Employ" means to allow or permit to work;
- 29 (5) "Employee" means an individual engaged in service to an
- 30 employer in this state in the business of the employer and includes a
- 31 self-employed individual or sole proprietor in this state who elects
- 32 coverage under section 8 of this act;
- 33 (6) "Employer" means a person engaged in any activity, enterprise
- or business who employs one or more employees, and includes any
- 35 person who acts, directly or indirectly, in the interest of an employer to
- any of the employees of such employer and any successor in interest of
- an employer. "Employer" does not mean the state or a municipality, a
- 38 local or regional board of education or a nonpublic elementary or
- 39 secondary school, except that the state, a municipal employer or local
- 40 or regional board of education shall be an employer with respect to
- 41 each of its covered public employees;
- 42 (7) "Family and medical leave compensation" or "compensation"
- 43 means the paid leave provided to covered employees from the Family
- 44 and Medical Leave Insurance Trust Fund:
- 45 (8) "Family and Medical Leave Insurance Program" or "program"
- 46 means the program established in section 2 of this act;
- 47 (9) "Family and Medical Leave Insurance Trust Fund" or "trust"
- 48 means the trust fund established in section 3 of this act; and

- (10) "Person" means one or more individuals, partnerships, associations, corporations, limited liability companies, business trusts, legal representatives or any organized group of persons.
- Sec. 2. (NEW) (Effective from passage) (a) There is established a Family and Medical Leave Insurance Program. The program shall be administered by the administrator and shall offer up to twelve workweeks of family and medical leave compensation to covered employees during any twelve-month period. The program shall offer two additional weeks of family and medical leave compensation to a covered employee for a serious health condition that occurs during a pregnancy that results in incapacitation.
- (b) Not later than July 1, 2020, the administrator shall begin collecting contributions to the Family and Medical Leave Insurance Trust Fund, established in section 3 of this act and, on and after July 1, 2021, shall begin to provide compensation to covered employees. For the purposes of this section and sections 3 to 13, inclusive, of this act, the administrator shall have the power to (1) determine whether an individual meets the requirements for compensation under this section; (2) require a covered employee's claim for compensation pursuant to this section be supported by certification pursuant to section 31-51mm of the general statutes, as amended by this act, and subsection (d) of section 31-51ss of the general statutes; (3) examine, or cause to be produced or examined, any books, records, documents, contracts or other papers relevant to the eligibility of a covered employee; (4) summon and examine under oath such witnesses as may provide information relevant to a covered employee's claim for family and medical leave compensation; (5) establish procedures and forms for the filing of claims for compensation, including the certification required for establishing eligibility for such compensation; and (6) ensure the confidentiality of records and documents relating to medical certifications, recertifications or medical histories of covered employees or covered employees' family members pursuant to section 31-5100 of the general statutes, as amended by this act.

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(c) (1) Each employee shall contribute a percentage of his or her weekly earnings to the Family and Medical Leave Insurance Trust Fund, in a manner and form prescribed by the administrator pursuant to section 6 of this act, provided such percentage shall not exceed one-half of one per cent. The amount of earnings subject to contributions for a given year shall not exceed the Social Security contribution and benefit base, as determined pursuant to 42 USC 430, as amended from time to time, and shall be utilized to provide compensation to covered employees pursuant to this subsection and subsections (d) to (f), inclusive, of this section.

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- (2) Notwithstanding subdivision (1) of this subsection, if employee contributions are the maximum percentage allowed pursuant to said subdivision and the administrator determines that employee contributions are not sufficient to ensure solvency of the program, the administrator, subject to the provisions of subdivision (3) of this subsection, shall increase the amount of earnings subject to contributions to an appropriate amount that exceeds the Social Security contribution and benefit base specified in said subdivision, in order to ensure the solvency of the program.
- (3) The administrator shall not increase the amount of earnings subject to contributions pursuant to subdivision (2) of this subsection unless the General Assembly, by resolution, approves such increase. The General Assembly may reject such increase by a three-fifths vote of each house. Such increase shall be deemed approved if the General Assembly fails to vote to approve or reject such increase within thirty days of submittal by the administrator. Each proposed increase shall be submitted by the administrator to the General Assembly and shall be referred to the joint standing committee of the General Assembly having cognizance of matters relating to labor.
- (d) (1) The weekly compensation offered to covered employees shall be one hundred per cent of a covered employee's weekly earnings, except that the weekly compensation shall not exceed one thousand dollars. If the Internal Revenue Service determines that family and

- medical leave compensation is subject to federal income tax and a covered employee elects to have federal income tax deducted and withheld from his or her compensation, the administrator shall deduct and withhold the amount specified in the United States Internal Revenue Code in a manner consistent with state law.
- (2) On July 1, 2022, and not later than each July fifteenth thereafter, the Labor Commissioner shall announce an adjustment to the maximum compensation established pursuant to subdivision (1) of this subsection that shall be equal to the percentage increase between the last complete calendar year and the previous calendar year in the consumer price index for urban wage earners and clerical workers in the northeast urban area of New York-Northern New Jersey-Long Island, NY-NJ-CT-PA, with no seasonal adjustment, as calculated by the United States Department of Labor's Bureau of Labor Statistics, with the amount of the maximum compensation increase rounded to the nearest five cents. The maximum compensation plus the adjustment announced by the Labor Commissioner on July fifteenth shall become the new maximum compensation and shall be effective on the January first immediately following.
- (e) A covered employee shall receive compensation under this section for leave taken for one or more of the reasons listed in subparagraphs (A) to (E), inclusive, of subdivision (2) of subsection (a) of section 31-51ll of the general statutes, as amended by this act, or the reasons listed in subsection (i) of said section or section 31-51ss of the general statutes, if such covered employee (1) provides notice to the administrator, and such covered employee's employer, if applicable, of the need for such compensation in a form and manner prescribed by the administrator, and (2) upon the request of the administrator, provides certification of such covered employee's need for compensation in accordance with the provisions of section 31-51mm of the general statutes, as amended by this act, to the administrator and such employer, if applicable.
- (f) A covered employee may receive compensation under this

- section for nonconsecutive hours of leave, provided such leave shall not be less than four hours of leave in any workweek. If family and medical leave compensation is received for four hours or more, but for less than one full week, such hourly compensation shall be determined on a pro rata basis at the discretion of the administrator.
- (g) A covered employee may receive compensation under this section concurrently with any employer-provided employment benefits, provided the total compensation of such covered employee during such period of leave shall not exceed such covered employee's regular rate of compensation.
 - (h) No covered employee shall receive compensation under this section concurrently with compensation under chapter 567 or 568 of the general statutes or any other state or federal program that provides wage replacement.
 - (i) Any moneys expended from the General Fund for the purpose of administering the Family and Medical Leave Insurance Program, or providing compensation to covered employees, shall be reimbursed to the General Fund by the administrator not later than October 1, 2021.
 - Sec. 3. (NEW) (*Effective from passage*) (a) There is established a fund to be known as the "Family and Medical Leave Insurance Trust Fund" for the purpose of providing family and medical leave compensation to covered employees. The Family and Medical Leave Insurance Trust Fund shall be a nonlapsing fund held by the State Treasurer separate and apart from all other moneys, funds and accounts. Investment earnings credited to the trust shall become part of the trust.
 - (b) The trust shall constitute an instrumentality of the state and shall perform essential governmental functions, in accordance with the provisions of this section. The trust shall receive and hold all payments and deposits and premiums intended for the trust, as well as gifts, bequests, endowments or federal, state or local grants and any other funds from any public or private source and all earnings until

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disbursed in accordance with the provisions of this section.

- (c) The amounts on deposit in the trust shall not constitute property of the state and the trust shall not be construed to be a department, institution or agency of the state. Amounts on deposit in the trust shall not be commingled with state funds and the state shall have no claim to or against, or interest in, such funds. Any contract entered into by or any obligation of the trust shall not constitute a debt or obligation of the state and the state shall have no obligation to any designated beneficiary or any other person on account of the trust and all amounts obligated to be paid from the trust shall be limited to amounts available for such obligation on deposit in the trust. The trust shall continue in existence as long as it holds any deposits or has any obligations and until its existence is terminated by law and upon termination any unclaimed assets shall return to the state. Property of the trust shall be governed by section 3-61a of the general statutes.
- (d) The State Treasurer shall be responsible for the receipt and investment of moneys held by the trust. The trust shall not receive deposits in any form other than cash. No depositor or designated beneficiary may direct the investment of any contributions or amounts held in the trust other than the specific fund options provided for by the trust.
- (e) The assets of the trust shall be used for the purpose of distributing family and medical leave compensation to covered employees, educating and informing persons about the program and paying the operational, administrative and investment costs of the trust, including those incurred pursuant to section 6 of this act.
- Sec. 4. (NEW) (*Effective from passage*) The State Treasurer, on behalf of the Family and Medical Leave Insurance Trust Fund and for purposes of the trust, shall:
- 208 (1) Receive and invest moneys in the trust in any instruments, 209 obligations, securities or property in accordance with sections 3 to 5,

- 210 inclusive, of this act;
- 211 (2) Procure insurance as the State Treasurer deems necessary to 212

protect the trust's property, assets, activities or deposits or

- 213 contributions to the trust; and
- 214 (3) Apply for, accept and expend gifts, grants or donations from
- 215 public or private sources to carry out the objectives of the trust.
- 216 Sec. 5. (NEW) (*Effective from passage*) The State Treasurer shall invest
- 217 the amounts on deposit in the Family and Medical Leave Insurance
- 218 Trust Fund in a manner reasonable and appropriate to achieve the
- 219 objectives of the trust, exercising the discretion and care of a prudent
- 220 person in similar circumstances with similar objectives. The State
- 221 Treasurer shall give due consideration to rate of return, risk, term or
- 222 maturity, diversification of the total portfolio within the trust,
- 223 liquidity, the projected disbursements and expenditures and the
- 224 expected payments, deposits, contributions and gifts to be received.
- 225 The State Treasurer shall not require the trust to invest directly in
- 226 obligations of the state or any political subdivision of the state or in
- 227 any investment or other fund administered by the State Treasurer. The
- 228 assets of the trust shall be continuously invested and reinvested in a
- 229 manner consistent with the objectives of the trust until disbursed upon
- 230 order of the administrator or expended on expenses incurred by the
- 231 operations of the trust.
- 232 Sec. 6. (NEW) (Effective from passage) The administrator, in
- 233 consultation with the State Treasurer and the Department of Revenue
- 234 Services, shall establish the procedures necessary to implement the
- 235 Family and Medical Leave Insurance Program. The administrator
- 236 shall:
- 237 (1) Design, establish and operate the program to ensure
- 238 transparency in the management of the program and the Family and
- 239 Medical Leave Insurance Trust Fund through oversight and ethics
- 240 review of plan fiduciaries;

- (2) Design and establish the process by which employees shall contribute a portion of their salary or wages to the trust. Such process shall include, but need not be limited to, the creation of an information packet including the necessary paperwork for an employee to participate in the program pursuant to section 8 of this act;
- 246 (3) Evaluate and establish the process by which employers may 247 credit employee premiums to the trust through payroll deposit;
- 248 (4) Determine the number of employees of an employer as of 249 October first of each year and determine the amount of employee 250 contributions necessary to ensure solvency of the program, provided 251 total contributions shall not be less than four million dollars per month 252 and contribution amounts shall be established in accordance with 253 section 2 of this act;
- (5) Ensure that contributions to the trust collected from employees shall not be used for any purpose other than to provide compensation to covered employees, educating and informing persons about the program and paying the operational, administrative and investment costs of the trust;
 - (6) Establish and maintain a secure Internet web site that displays all public notices issued by the administrator and such other information as the administrator deems relevant and necessary for the implementation of the program and for the education of the public regarding the program; and
- (7) Not later than January 1, 2020, submit a report, in accordance with the provisions of section 11-4a of the general statutes, to the General Assembly regarding any recommendations for legislative action that may be necessary for the implementation and administration of the program.
 - Sec. 7. (NEW) (Effective January 1, 2020) The administrator, in consultation with the State Treasurer, shall conduct a public education campaign to inform individuals and employers about the Family and

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- 272 Medical Leave Insurance Program. Such campaign shall include, but 273 not be limited to, information about the requirements for receiving 274 family and medical leave compensation, how to apply for such 275 compensation and the circumstances for which such compensation 276 may be available. The administrator may use funds contributed to the 277 Family and Medical Leave Insurance Trust Fund for purposes of the 278 public education campaign. Information distributed or made available 279 under the campaign shall be available in English and Spanish and in 280 any other language prescribed by the administrator.
 - Sec. 8. (NEW) (*Effective from passage*) (a) A self-employed individual or sole proprietor, upon application to the administrator, in a form and manner prescribed by the administrator, may enroll in the Family and Medical Leave Insurance Program, provided such self-employed individual or sole proprietor is enrolled in the program for an initial period of not less than three years. Such self-employed individual or sole proprietor shall be automatically reenrolled in the program for a subsequent period, or periods, of not less than one year. Such reenrollment begins immediately following a period of participation in the program.
 - (b) A self-employed individual or sole proprietor may withdraw from the program upon submitting written notice to the administrator not less than thirty days prior to the expiration of the initial enrollment or subsequent reenrollment period, or at such other times as the administrator may prescribe by rule.
 - Sec. 9. (NEW) (Effective from passage) Any covered employee, or selfemployed individual or sole proprietor participating in the program, aggrieved by a denial of compensation under the Family and Medical Leave Insurance Program may file a complaint with the Labor Commissioner. Upon receipt of any such complaint, the commissioner shall hold a hearing. After the hearing, the commissioner shall send each party a written copy of the commissioner's decision. The commissioner may award the covered employee, or self-employed individual or sole proprietor, all appropriate relief, including any

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compensation or benefits to which the employee otherwise would have been eligible if such denial had not occurred. Any party aggrieved by the decision of the commissioner may appeal the decision to the Superior Court in accordance with the provisions of chapter 54 of the general statutes.

Sec. 10. (NEW) (Effective July 1, 2021) Each employer shall, at the time of hiring, and annually thereafter, provide written notice to each of the employer's employees (1) of the entitlement to family and medical leave under sections 31-51kk to 31-51qq, inclusive, of the general statutes, as amended by this act, and 31-51ss of the general statutes and the terms under which such leave may be used, (2) that retaliation by the employer against the employee for requesting, applying for or using family and medical leave for which the employee is eligible is prohibited, and (3) that the employee has a right to file a complaint with the Labor Commissioner for any violation of said sections. The Labor Commissioner may adopt regulations, in accordance with chapter 54 of the general statutes, to establish additional requirements concerning the means by which employers shall provide such notice.

Sec. 11. (NEW) (Effective from passage) (a) Any individual or covered employee participating in the program who wilfully makes a false statement or misrepresentation regarding a material fact, or wilfully fails to report a material fact, to obtain family and medical leave compensation shall be disqualified from receiving any compensation under the program for one year.

(b) If family and medical leave compensation is paid to an individual or covered employee erroneously or as a result of wilful misrepresentation by such individual or covered employee, or if a claim for family and medical leave compensation is rejected after compensation is paid, the administrator may seek repayment of benefits from the individual or covered employee who received such compensation. The Labor Commissioner may, in his or her discretion, waive, in whole or in part, the amount of any such payments where

338 the recovery would be against equity and good conscience.

339 Sec. 12. (NEW) (Effective from passage) Nothing in sections 31-51kk to 340 31-51qq, inclusive, of the general statutes, as amended by this act, and 31-51ss of the general statutes or sections 2 to 13, inclusive, of this act, 342 shall be construed to (1) prevent employers from providing any 343 benefits that are more expansive than those provided for under said 344 sections, (2) diminish any rights provided to any covered employee 345 under the terms of the covered employee's employment or a collective 346 bargaining agreement, or (3) interfere with, impede or in any way 347 diminish the right of an employee to bargain collectively with his or 348 her employer through a representative of his or her choosing, in order 349 to establish wages or conditions of work in excess of the applicable 350 minimum pursuant to sections 3-13c, 31-51kk to 31-51mm, inclusive, 31-5100 to 31-51qq, inclusive, of the general statutes, as amended by 352 this act, and sections 1 to 13, inclusive, and section 20 of this act.

Sec. 13. (Effective from passage) Not later than July 1, 2022, and annually thereafter, the Labor Commissioner shall report, in accordance with section 11-4a of the general statutes, to the joint standing committees of the General Assembly having cognizance of matters relating to appropriations and the budgets of state agencies and labor, on (1) the projected and actual participation in the program, (2) the balance of the trust, (3) the size of employers at which covered employees are employed, (4) the reasons covered employees are receiving family and medical leave compensation, (5) the success of the administrator's outreach and education efforts, and (6) demographic information of covered employees, including gender, age, town of residence and income level.

- 365 Sec. 14. Section 31-51kk of the general statutes is repealed and the 366 following is substituted in lieu thereof (*Effective July 1, 2021*):
- 367 As used in sections 31-51kk to 31-51qq, inclusive, as amended by 368 this act:

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- (1) "Eligible employee" means an employee who has [been 369 370 employed (A) for at least twelve months by the employer with respect 371 to whom leave is requested; and (B) for at least one thousand hours of 372 service with such employer during the twelve-month period preceding 373 the first day of the leave] earned not less than two thousand three 374 hundred twenty-five dollars from one or more employers during the employee's highest earning quarter within the five most recently 375 376 completed calendar quarters;
- 377 (2) "Employ" includes to allow or permit to work;
- 378 (3) "Employee" means any person engaged in service to an employer 379 in the business of the employer;
 - (4) "Employer" means a person engaged in any activity, enterprise or business who employs [seventy-five] <u>one</u> or more employees, and includes any person who acts, directly or indirectly, in the interest of an employer to any of the employees of such employer and any successor in interest of an employer, [but] <u>and</u> shall not include the state, <u>or</u> a municipality, a local or regional board of education, or a [private or parochial] <u>nonpublic</u> elementary or secondary school. The number of employees of an employer shall be determined on October first annually;
 - (5) "Employment benefits" means all benefits provided or made available to employees by an employer, including group life insurance, health insurance, disability insurance, sick leave, annual leave, educational benefits and pensions, regardless of whether such benefits are provided by practice or written policy of an employer or through an "employee benefit plan", as defined in Section 1002(3) of Title 29 of the United States Code;
- (6) "Grandchild" means a grandchild related to a person by (A)
 blood, (B) marriage, (C) adoption by a child of the grandparent, or (D)
 foster care by a child of the grandparent;
- 399 (7) "Grandparent" means a grandparent related to a person by (A)

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blood, (B) marriage, (C) adoption of a minor child by a child of the grandparent, or (D) foster care by a child of the grandparent;

[(6)] (8) "Health care provider" means (A) a doctor of medicine or osteopathy who is authorized to practice medicine or surgery by the state in which the doctor practices; (B) a podiatrist, dentist, psychologist, optometrist or chiropractor authorized to practice by the state in which such person practices and performs within the scope of the authorized practice; (C) an advanced practice registered nurse, nurse practitioner, nurse midwife or clinical social worker authorized to practice by the state in which such person practices and performs within the scope of the authorized practice; (D) Christian Science practitioners listed with the First Church of Christ, Scientist in Boston, Massachusetts; (E) any health care provider from whom an employer or a group health plan's benefits manager will accept certification of the existence of a serious health condition to substantiate a claim for benefits; (F) a health care provider as defined in subparagraphs (A) to (E), inclusive, of this subdivision who practices in a country other than the United States, who is licensed to practice in accordance with the laws and regulations of that country; or (G) such other health care provider as the Labor Commissioner determines, performing within the scope of the authorized practice. The commissioner may utilize any determinations made pursuant to chapter 568;

[(7)] (9) "Parent" means a biological parent, foster parent, adoptive parent, stepparent, parent-in-law or legal guardian of an eligible employee or an eligible employee's spouse, [or] an individual [who stood] standing in loco parentis to an eligible employee, [when the employee was a son or daughter] or an individual who stood in loco parentis to the eligible employee when the employee was a child;

[(8)] (10) "Person" means one or more individuals, partnerships, associations, corporations, business trusts, legal representatives or organized groups of persons;

431 [(9)] (11) "Reduced leave schedule" means a leave schedule that

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- reduces the usual number of hours per workweek, or hours per workday, of an employee;
- [(10)] (12) "Serious health condition" means an illness, injury, impairment, or physical or mental condition that involves (A) inpatient care in a hospital, hospice, nursing home or residential medical care facility; or (B) continuing treatment, including outpatient treatment, by a health care provider;
- 439 (13) "Sibling" means a brother or sister related to a person by (A)
 440 blood, (B) marriage, (C) adoption by a parent of the person, or (D)
 441 foster care placement;
- [(11)] (14) "Son or daughter" means a biological, adopted or foster child, stepchild, legal ward, or, in the alternative, a child of a person standing in loco parentis, [who is (A) under eighteen years of age; or (B) eighteen years of age or older and incapable of self-care because of a mental or physical disability] or an individual to whom the employee stood in loco parentis when the individual was a child; and
- [(12)] (15) "Spouse" means a [husband or wife, as the case may be] person to whom one is legally married.
- Sec. 15. Section 31-51*ll* of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2021*):
- 452 (a) (1) Subject to section 31-51mm, as amended by this act, an 453 eligible employee shall be entitled to a total of [sixteen] twelve 454 workweeks of leave during any [twenty-four-month] twelve-month 455 period, such [twenty-four-month] twelve-month period to be 456 determined utilizing any one of the following methods: (A) 457 [Consecutive] A calendar [years] year; (B) any fixed [twenty-four-458 month] twelve-month period, such as [two] a consecutive fiscal [years] 459 year or a [twenty-four-month] twelve-month period measured forward 460 from an employee's first date of employment; (C) a [twenty-four-461 month] twelve-month period measured forward from an employee's 462 first day of leave taken under sections 31-51kk to 31-51qq, inclusive, as

- 463 <u>amended by this act</u>; or (D) a rolling [twenty-four-month] <u>twelve-</u>
- 464 month period measured backward from an employee's first day of
- leave taken under sections 31-51kk to 31-51qq, inclusive, as amended
- by this act. Such employee may take up to two additional weeks of
- leave due to a serious health condition during a pregnancy that results
- 468 <u>in incapacitation</u>.
- (2) Leave under this subsection may be taken for one or more of the
- 470 following reasons:
- 471 (A) Upon the birth of a son or daughter of the employee;
- 472 (B) Upon the placement of a son or daughter with the employee for adoption or foster care;
- 474 (C) In order to care for the spouse, [or a son,] sibling, son or
- daughter, [or] grandparent, grandchild, parent [of the employee,] or
- any other individual related by blood or whose close association with
- 477 <u>the employee is the equivalent of a family member</u> if such spouse,
- [son,] sibling, son or daughter, [or] grandparent, grandchild, parent or
- any other individual related by blood or whose close association with
- 480 the employee is the equivalent of a family member has a serious health
- 481 condition;
- (D) Because of a serious health condition of the employee;
- (E) In order to serve as an organ or bone marrow donor; or
- 484 (F) Because of any qualifying exigency, as determined in regulations
- 485 adopted by the United States Secretary of Labor, arising out of the fact
- 486 that the spouse, son, daughter or parent of the employee is on active
- duty, or has been notified of an impending call or order to active duty,
- in the armed forces, as defined in subsection (a) of section 27-103.
- (b) Entitlement to leave under subparagraph (A) or (B) of
- 490 subdivision (2) of subsection (a) of this section may accrue prior to the
- 491 birth or placement of a son or daughter when such leave is required

because of such impending birth or placement.

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- (c) (1) Leave under subparagraph (A) or (B) of subdivision (2) of subsection (a) of this section for the birth or placement of a son or daughter may not be taken by an employee intermittently or on a reduced leave schedule unless the employee and the employer agree otherwise. Subject to subdivision (2) of this subsection concerning an alternative position, subdivision (2) of subsection (f) of this section concerning the duties of the employee and subdivision (5) of subsection (b) of section 31-51mm, as amended by this act, concerning sufficient certification, leave under subparagraph (C) or (D) of subdivision (2) of subsection (a) or under subsection (i) of this section for a serious health condition may be taken intermittently or on a reduced leave schedule when medically necessary. The taking of leave intermittently or on a reduced leave schedule pursuant to this subsection shall not result in a reduction of the total amount of leave to which the employee is entitled under subsection (a) of this section beyond the amount of leave actually taken.
- (2) If an employee requests intermittent leave or leave on a reduced leave schedule under subparagraph (C), (D) or (E) of subdivision (2) of subsection (a) or under subsection (i) of this section that is foreseeable based on planned medical treatment, the employer may require the employee to transfer temporarily to an available alternative position offered by the employer for which the employee is qualified and that (A) has equivalent pay and benefits, and (B) better accommodates recurring periods of leave than the regular employment position of the employee, provided the exercise of this authority shall not conflict with any provision of a collective bargaining agreement between such employer and a labor organization which is the collective bargaining representative of the unit of which the employee is a part.
- (d) Except as provided in subsection (e) of this section, leave granted under subsection (a) of this section may consist of unpaid leave.

- (e) (1) If an employer provides paid leave for fewer than [sixteen] twelve workweeks, the additional weeks of leave necessary to attain the [sixteen] twelve workweeks of leave required under sections 5-248a and 31-51kk to 31-51qq, inclusive, as amended by this act, may be provided without compensation or with compensation through the Family and Medical Leave Insurance Program established in section 2 of this act.
- (2) (A) An eligible employee may elect [, or an employer may require the employee,] to substitute any of the accrued paid vacation leave, personal leave or family leave of the employee for leave provided under subparagraph (A), (B) or (C) of subdivision (2) of subsection (a) of this section for any part of the [sixteen-week] twelve-week period of such leave under said subsection or under subsection (i) of this section for any part of the twenty-six-week period of such leave.
- (B) An eligible employee may elect [, or an employer may require the employee,] to substitute any of the accrued paid vacation leave, personal leave, or medical or sick leave of the employee for leave provided under subparagraph (C), (D) or (E) of subdivision (2) of subsection (a) of this section for any part of the [sixteen-week] twelve-week period of such leave under said subsection or under subsection (i) of this section for any part of the twenty-six-week period of leave, except that nothing in section 5-248a or sections 31-51kk to 31-51qq, inclusive, as amended by this act, shall require an employer to provide paid sick leave or paid medical leave in any situation in which such employer would not normally provide any such paid leave.
- (f) (1) In any case in which the necessity for leave under subparagraph (A) or (B) of subdivision (2) of subsection (a) of this section is foreseeable based on an expected birth or placement of a son or daughter, the employee shall provide the employer with not less than thirty days' notice, before the date of the leave is to begin, of the employee's intention to take leave under said subparagraph (A) or (B), except that if the date of the birth or placement of a son or daughter

requires leave to begin in less than thirty days, the employee shall provide such notice as is practicable.

(2) In any case in which the necessity for leave under subparagraph (C), (D) or (E) of subdivision (2) of subsection (a) or under subsection (i) of this section is foreseeable based on planned medical treatment, the employee (A) shall make a reasonable effort to schedule the treatment so as not to disrupt unduly the operations of the employer, subject to the approval of the health care provider of the employee or the health care provider of the spouse, sibling, son [,] or daughter, [spouse or] grandparent, grandchild, parent [of the employee] or any other individual related by blood or whose close association with the employee is the equivalent of a family member, as appropriate; and (B) shall provide the employer with not less than thirty days' notice, before the date the leave is to begin, of the employee's intention to take leave under said subparagraph (C), (D) or (E) or said subsection (i), except that if the date of the treatment requires leave to begin in less than thirty days, the employee shall provide such notice as is practicable.

(g) In any case in which [a husband and wife] two spouses entitled to leave under subsection (a) of this section are employed by the same employer, the aggregate number of workweeks of leave to which both may be entitled may be limited to [sixteen] twelve workweeks during any [twenty-four-month] twelve-month period, if such leave is taken: (1) Under subparagraph (A) or (B) of subdivision (2) of subsection (a) of this section; or (2) to care for a sick sibling, son or daughter, grandparent, grandchild, parent or any other individual related by blood or whose close association with the employee is the equivalent of a family member under subparagraph (C) of said subdivision. In any case in which [a husband and wife] two spouses entitled to leave under subsection (i) of this section are employed by the same employer, the aggregate number of workweeks of leave to which both may be entitled may be limited to twenty-six workweeks during any twelve-month period.

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- (h) Unpaid leave taken pursuant to sections 5-248a and 31-51kk to 31-51qq, inclusive, as amended by this act, shall not be construed to affect an employee's qualification for exemption under chapter 558.
- (i) Subject to section 31-51mm, as amended by this act, an eligible employee who is the spouse, son or daughter, parent or next of kin of a current member of the armed forces, as defined in section 27-103, who is undergoing medical treatment, recuperation or therapy, is otherwise in outpatient status or is on the temporary disability retired list for a serious injury or illness incurred in the line of duty shall be entitled to a one-time benefit of twenty-six workweeks of leave during any twelve-month period for each armed forces member per serious injury or illness incurred in the line of duty. Such twelve-month period shall commence on an employee's first day of leave taken to care for a covered armed forces member and end on the date twelve months after such first day of leave. For the purposes of this subsection, (1) "next of kin" means the armed forces member's nearest blood relative, other than the covered armed forces member's spouse, parent, son or daughter, in the following order of priority: Blood relatives who have been granted legal custody of the armed forces member by court decree or statutory provisions, brothers and sisters, grandparents, aunts and uncles, and first cousins, unless the covered armed forces member has specifically designated in writing another blood relative as his or her nearest blood relative or any other individual whose close association with the employee is the equivalent of a family member for purposes of military caregiver leave, in which case the designated individual shall be deemed to be the covered armed forces member's next of kin; and (2) "son or daughter" means a biological, adopted or foster child, stepchild, legal ward or child for whom the eligible employee or armed forces member stood in loco parentis and who is any age.
- (j) Leave taken pursuant to sections 31-51kk to 31-51qq, inclusive, <u>as</u> <u>amended by this act</u>, shall not run concurrently with the provisions of section 31-313.

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- (k) Notwithstanding the provisions of sections 5-248a and 31-51kk to 31-51qq, inclusive, <u>as amended by this act</u>, all further rights granted by federal law shall remain in effect.
- Sec. 16. Section 31-51mm of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2021*):
- 628 (a) An employer may require that request for leave based on a 629 serious health condition in subparagraph (C) or (D) of subdivision (2) 630 of subsection (a) of section 31-51ll, as amended by this act, or leave 631 based on subsection (i) of section 31-51ll, as amended by this act, be 632 supported by a certification issued by the health care provider of the eligible employee or of the spouse, sibling, son [,] or daughter, 633 634 [spouse] grandparent, grandchild, parent, [or] next of kin or any other 635 individual related by blood or whose close association with the 636 employee is the equivalent of a family member of the employee, as 637 appropriate. The employee shall provide, in a timely manner, a copy of 638 such certification to the employer.
- (b) Certification provided under subsection (a) of this section shall be sufficient if it states:
- (1) The date on which the serious health condition commenced;
- (2) The probable duration of the condition;
- 643 (3) The appropriate medical facts within the knowledge of the 644 health care provider regarding the condition;
 - (4) (A) For purposes of leave under subparagraph (C) of subdivision (2) of subsection (a) of section 31-51*ll*, as amended by this act, a statement that the eligible employee is needed to care for the spouse, sibling, son [,] or daughter, [spouse or] grandparent, grandchild, parent or any other individual related by blood or whose close association with the employee is the equivalent of a family member and an estimate of the amount of time that such employee needs to care for the spouse, sibling, son [,] or daughter, [spouse or]

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- 653 grandparent, grandchild, parent or any other individual related by 654
- blood or whose close association with the employee is the equivalent of a family member; and (B) for purposes of leave under subparagraph
- (D) of subdivision (2) of subsection (a) of section 31-51ll, as amended 656
- 657 by this act, a statement that the employee is unable to perform the
- 658 functions of the position of the employee;

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- 659 (5) In the case of certification for intermittent leave or leave on a 660 reduced leave schedule for planned medical treatment, the dates on 661 which such treatment is expected to be given and the duration of such 662 treatment;
 - (6) In the case of certification for intermittent leave or leave on a reduced leave schedule under subparagraph (D) of subdivision (2) of subsection (a) of section 31-51ll, as amended by this act, a statement of the medical necessity of the intermittent leave or leave on a reduced leave schedule, and the expected duration of the intermittent leave or reduced leave schedule;
 - (7) In the case of certification for intermittent leave or leave on a reduced leave schedule under subparagraph (C) of subdivision (2) of subsection (a) of section 31-51ll, as amended by this act, a statement that the employee's intermittent leave or leave on a reduced leave schedule is necessary for the care of the spouse, sibling, son [,] or daughter, grandparent, grandchild, parent [or spouse] or any other individual related by blood or whose close association with the employee is the equivalent of a family member who has a serious health condition, or will assist in their recovery, and the expected duration and schedule of the intermittent leave or reduced leave schedule; and
 - (8) In the case of certification for intermittent leave or leave on a reduced leave schedule under subsection (i) of section 31-51ll, as amended by this act, a statement that the employee's intermittent leave or leave on a reduced leave schedule is necessary for the care of the spouse, son or daughter, parent or next of kin who is a current member

- of the armed forces, as defined in section 27-103, who is undergoing medical treatment, recuperation or therapy, is otherwise in outpatient status or is on the temporary disability retired list, for a serious injury or illness incurred in the line of duty, and the expected duration and schedule of the intermittent leave or reduced leave schedule. For the purposes of this subsection, "son or daughter" and "next of kin" have the same meanings as provided in subsection (i) of section 31-51ll, as amended by this act.
 - (c) (1) In any case in which the employer has reason to doubt the validity of the certification provided under subsection (a) of this section for leave under subparagraph (C) or (D) of subdivision (2) of subsection (a) or under subsection (i) of section 31-51*ll*, as amended by this act, the employer may require, at the expense of the employer, that the eligible employee obtain the opinion of a second health care provider designated or approved by the employer concerning any information certified under subsection (b) of this section for such leave.
 - (2) A health care provider designated or approved under subdivision (1) of this subsection shall not be employed on a regular basis by the employer.
 - (d) (1) In any case in which the second opinion described in subsection (c) of this section differs from the opinion in the original certification provided under subsection (a) of this section, the employer may require, at the expense of the employer, that the employee obtain the opinion of a third health care provider designated or approved jointly by the employer and the employee concerning the information certified under subsection (b) of this section.
 - (2) The opinion of the third health care provider concerning the information certified under subsection (b) of this section shall be considered to be final and shall be binding on the employer and the employee.
 - (e) The employer may require that the eligible employee obtain

716 subsequent recertifications on a reasonable basis, provided the 717 standards for determining what constitutes a reasonable basis for 718 recertification may be governed by a collective bargaining agreement 719 between such employer and a labor organization which is the 720 collective bargaining representative of the unit of which the worker is 721 a part if such a collective bargaining agreement is in effect. Unless 722 otherwise required by the employee's health care provider, the 723 employer may not require recertification more than once during a 724 thirty-day period and, in any case, may not unreasonably require 725 recertification. The employer shall pay for any recertification that is not 726 covered by the employee's health insurance.

- Sec. 17. Section 31-5100 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2021*):
- 729 documents relating to medical certifications, and 730 recertifications or medical histories of employees or employees' family 731 members, created for purposes of sections 5-248a and 31-51kk to 31-732 51qq, inclusive, as amended by this act, and sections 2 to 13, inclusive, 733 of this act shall be maintained as medical records pursuant to chapter 734 563a, except that: (1) Supervisors and managers may be informed 735 regarding necessary restrictions on the work or duties of an employee 736 and necessary accommodations; (2) first aid and safety personnel may 737 be informed, when appropriate, if the employee's physical or medical 738 condition might require emergency treatment; and (3) government 739 officials investigating compliance with sections 5-248a and 31-51kk to 740 31-51qq, inclusive, as amended by this act, and sections 2 to 13, 741 inclusive, of this act, or other pertinent law shall be provided relevant 742 information upon request.
- Sec. 18. Section 31-51pp of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2021*):
 - (a) (1) It shall be a violation of sections 5-248a and 31-51kk to 31-51qq, inclusive, <u>as amended by this act</u>, for any employer to interfere with, restrain or deny the exercise of, or the attempt to exercise, any

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748 right provided under said sections.

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- 749 (2) It shall be a violation of sections 5-248a and 31-51kk to 31-51qq, 750 inclusive, as amended by this act, for any employer to discharge or cause to be discharged, or in any other manner discriminate, against 752 any individual for opposing any practice made unlawful by said 753 sections or because such employee has exercised the rights afforded to 754 such employee under said sections.
- 755 (b) It shall be a violation of sections 5-248a and 31-51kk to 31-51qq, 756 inclusive, as amended by this act, for any person to discharge or cause 757 to be discharged, or in any other manner discriminate, against any 758 individual because such individual:
- 759 (1) Has filed any charge, or has instituted or caused to be instituted 760 any proceeding, under or related to sections 5-248a and 31-51kk to 31-761 51qq, inclusive, as amended by this act;
- 762 (2) Has given, or is about to give, any information in connection 763 with any inquiry or proceeding relating to any right provided under 764 said sections; or
 - (3) Has testified, or is about to testify, in any inquiry or proceeding relating to any right provided under said sections.
 - (c) (1) It shall be a violation of sections 31-51kk to 31-51gg, inclusive, as amended by this act, for any employer to deny an employee the right to use up to two weeks of accumulated sick leave or to discharge, threaten to discharge, demote, suspend or in any manner discriminate against an employee for using, or attempting to exercise the right to use, up to two weeks of accumulated sick leave to attend to a serious health condition of a spouse, sibling, son or daughter, [spouse or] grandparent, grandchild, parent or any other individual related by blood or whose close association with the employee is the equivalent of a family member of the employee, or for the birth or adoption of a son or daughter of the employee. For purposes of this subsection, "sick leave" means an absence from work for which compensation is

- provided through an employer's bona fide written policy providing compensation for loss of wages occasioned by illness, but does not include absences from work for which compensation is provided through an employer's plan, including, but not limited to, a short or long-term disability plan, whether or not such plan is self-insured.
- (2) Any employee aggrieved by a violation of this subsection may file a complaint with the Labor Commissioner alleging violation of the provisions of this subsection. Upon receipt of any such complaint, the commissioner shall hold a hearing. After the hearing, the commissioner shall send each party a written copy of the commissioner's decision. The commissioner may award the employee all appropriate relief, including rehiring or reinstatement to the employee's previous job, payment of back wages and reestablishment of employee benefits to which the employee otherwise would have been eligible if a violation of this subsection had not occurred. Any party aggrieved by the decision of the commissioner may appeal the decision to the Superior Court in accordance with the provisions of chapter 54.
- (3) The rights and remedies specified in this subsection are cumulative and nonexclusive and are in addition to any other rights or remedies afforded by contract or under other provisions of law.
- Sec. 19. Section 31-51qq of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2020*):
 - [On or before January 1, 1997] Not later than July 1, 2021, the Labor Commissioner shall adopt regulations, in accordance with the provisions of chapter 54, to establish procedures and guidelines necessary to implement the provisions of sections [5-248a and] 31-51kk to 31-51qq, inclusive, as amended by this act, and sections 2 to 13, inclusive, of this act, including, but not limited to, procedures for hearings and redress, including restoration and restitution, for an employee who believes that there is a violation by the employer of such employee of any provision of said sections. [In adopting such

- regulations, the commissioner shall make reasonable efforts to ensure compatibility of state regulatory provisions with similar provisions of the federal Family and Medical Leave Act of 1993 and the regulations promulgated pursuant to said act.]
- Sec. 20. (*Effective from passage*) (a) For the purposes described in this section, the State Bond Commission shall have the power, from time to time, to authorize the issuance of bonds of the state in one or more series and in principal amounts not exceeding in the aggregate twenty million dollars.
 - (b) The proceeds of the sale of said bonds, to the extent of the amount stated in subsection (a) of this section, shall be used by the Labor Department for the purpose of the Family and Medical Leave Insurance Program established in section 2 of this act, provided (1) ten million dollars of the amount stated in subsection (a) of this section shall be used for start-up costs in fiscal year 2020, and (2) ten million dollars of the amount stated in subsection (a) of this section shall be used for start-up costs in fiscal year 2021.
 - (c) All provisions of section 3-20 of the general statutes, or the exercise of any right or power granted thereby, which are not inconsistent with the provisions of this section are hereby adopted and shall apply to all bonds authorized by the State Bond Commission pursuant to this section, and temporary notes in anticipation of the money to be derived from the sale of any such bonds so authorized may be issued in accordance with said section 3-20 and from time to time renewed. Such bonds shall mature at such time or times not exceeding twenty years from their respective dates as may be provided in or pursuant to the resolution or resolutions of the State Bond Commission authorizing such bonds. None of said bonds shall be authorized except upon a finding by the State Bond Commission that there has been filed with it a request for such authorization which is signed by or on behalf of the Secretary of the Office of Policy and Management and states such terms and conditions as said commission, in its discretion, may require. Said bonds issued pursuant to this

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section shall be general obligations of the state and the full faith and credit of the state of Connecticut are pledged for the payment of the principal of and interest on said bonds as the same become due, and accordingly and as part of the contract of the state with the holders of said bonds, appropriation of all amounts necessary for punctual payment of such principal and interest is hereby made, and the State Treasurer shall pay such principal and interest as the same become due.

Sec. 21. Section 3-13c of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2019*):

Trust funds as used in sections 3-13 to 3-13e, inclusive, and 3-31b shall be construed to include Connecticut Municipal Employees' Retirement Fund A, Connecticut Municipal Employees' Retirement Fund B, Soldiers, Sailors and Marines Fund, Family and Medical Leave Insurance Trust Fund, State's Attorneys' Retirement Fund, Teachers' Annuity Fund, Teachers' Pension Fund, Teachers' Survivorship and Dependency Fund, School Fund, State Employees Retirement Fund, the Hospital Insurance Fund, Policemen and Firemen Survivor's Benefit Fund and all other trust funds administered, held or invested by the State Treasurer.

This act shall take effect as follows and shall amend the following		
sections:		
Section 1	from passage	New section
Sec. 2	from passage	New section
Sec. 3	from passage	New section
Sec. 4	from passage	New section
Sec. 5	from passage	New section
Sec. 6	from passage	New section
Sec. 7	January 1, 2020	New section
Sec. 8	from passage	New section
Sec. 9	from passage	New section
Sec. 10	July 1, 2021	New section
Sec. 11	from passage	New section

Sec. 12	from passage	New section
Sec. 13	from passage	New section
Sec. 14	July 1, 2021	31-51kk
Sec. 15	July 1, 2021	31-51 <i>ll</i>
Sec. 16	July 1, 2021	31-51mm
Sec. 17	July 1, 2021	31-5100
Sec. 18	July 1, 2021	31-51pp
Sec. 19	July 1, 2020	31-51qq
Sec. 20	from passage	New section
Sec. 21	July 1, 2019	3-13c

Statement of Legislative Commissioners:

In Section 20(b)(1) and (2), the references to fiscal years 2019 and 2020 were changed to 2020 and 2021, respectively, for accuracy.

LAB Joint Favorable Subst.