

## General Assembly

## **Amendment**

January Session, 2021

LCO No. 9643



## Offered by:

REP. CANDELORA, 86<sup>th</sup> Dist. REP. DEVLIN, 134<sup>th</sup> Dist. REP. O'DEA, 125<sup>th</sup> Dist. REP. PERILLO, 113<sup>th</sup> Dist. REP. REBIMBAS, 70<sup>th</sup> Dist. REP. ZUPKUS, 89<sup>th</sup> Dist.

To: Subst. House Bill No. 6193

File No. 497

Cal. No. 364

"AN ACT SUBJECTING CERTAIN NONSTATE ENTITIES THAT SERVE A GOVERNMENTAL FUNCTION TO THE FREEDOM OF INFORMATION ACT AND THE CODES OF ETHICS."

- 1 Strike everything after the enacting clause and substitute the
- 2 following in lieu thereof:
- 3 "Section 1. Section 1-200 of the general statutes is repealed and the
- 4 following is substituted in lieu thereof (*Effective July 1, 2021*):
- 5 As used in this chapter, the following words and phrases shall have
- 6 the following meanings, except where such terms are used in a context
- 7 which clearly indicates the contrary:
- 8 (1) ""Public agency" or "agency" means:
- 9 (A) Any executive, administrative or legislative office of the state or
- any political subdivision of the state and any state or town agency, any
- 11 department, institution, bureau, board, commission, authority or official

of the state or of any city, town, borough, municipal corporation, school

- district, regional district or other district or other political subdivision of
- 14 the state, including any committee of, or created by, any such office,
- 15 subdivision, agency, department, institution, bureau, board,
- 16 commission, authority or official, and also includes any judicial office,
- official, or body or committee thereof but only with respect to its or their
- 18 administrative functions, and for purposes of this subparagraph,
- 19 "judicial office" includes, but is not limited to, the Division of Public
- 20 Defender Services;
- 21 (B) Any entity that (i) has as a member of its board of directors or as
- 22 part of its corporate governance any person described in subparagraph
- 23 (C) of this subdivision, (ii) is not a state agency or quasi-public agency,
- 24 (iii) carries out a public purpose, and (iv) is authorized to expend public
- 25 <u>funds or receives public funds as part of such entity's operations;</u>
- 26 (C) Any person appointed by a member of the General Assembly, a
- 27 state-wide elected officer, the Governor or an appointee of the Governor
- 28 to serve on the board of an entity that (i) is not a state agency or quasi-
- 29 public agency, (ii) carries out a public purpose, and (iii) is authorized to
- 30 expend public funds or receives public funds as part of such entity's
- 31 operations;
- [(B)] (D) Any person to the extent such person is deemed to be the
- 33 functional equivalent of a public agency pursuant to law; or
- 34 [(C)] (E) Any "implementing agency", as defined in section 32-222.
- 35 (2) "Meeting" means any hearing or other proceeding of a public
- agency, any convening or assembly of a quorum of a multimember
- 37 public agency, and any communication by or to a quorum of a
- 38 multimember public agency, whether in person or by means of
- 39 electronic equipment, to discuss or act upon a matter over which the
- 40 public agency has supervision, control, jurisdiction or advisory power.
- 41 "Meeting" does not include: Any meeting of a personnel search
- 42 committee for executive level employment candidates; any chance
- 43 meeting, or a social meeting neither planned nor intended for the

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purpose of discussing matters relating to official business; strategy or negotiations with respect to collective bargaining; a caucus of members of a single political party notwithstanding that such members also constitute a quorum of a public agency; an administrative or staff meeting of a single-member public agency; and communication limited to notice of meetings of any public agency or the agendas thereof. A quorum of the members of a public agency who are present at any event which has been noticed and conducted as a meeting of another public agency under the provisions of the Freedom of Information Act shall not be deemed to be holding a meeting of the public agency of which they are members as a result of their presence at such event.

- (3) "Caucus" means (A) a convening or assembly of the enrolled members of a single political party who are members of a public agency within the state or a political subdivision, or (B) the members of a multimember public agency, which members constitute a majority of the membership of the agency, or the other members of the agency who constitute a minority of the membership of the agency, who register their intention to be considered a majority caucus or minority caucus, as the case may be, for the purposes of the Freedom of Information Act, provided (i) the registration is made with the office of the Secretary of the State for any such public agency of the state, in the office of the clerk of a political subdivision of the state for any public agency of a political subdivision of the state, or in the office of the clerk of each municipal member of any multitown district or agency, (ii) no member is registered in more than one caucus at any one time, (iii) no such member's registration is rescinded during the member's remaining term of office, and (iv) a member may remain a registered member of the majority caucus or minority caucus regardless of whether the member changes his or her party affiliation under chapter 143.
- (4) "Person" means natural person, partnership, corporation, limited liability company, association or society.
- 75 (5) "Public records or files" means any recorded data or information 76 relating to the conduct of the public's business prepared, owned, used,

received or retained by a public agency, or to which a public agency is entitled to receive a copy by law or contract under section 1-218, whether such data or information be handwritten, typed, tape-recorded, printed, photostated, photographed or recorded by any other method.

- (6) "Executive sessions" means a meeting of a public agency at which the public is excluded for one or more of the following purposes: (A) Discussion concerning the appointment, employment, performance, evaluation, health or dismissal of a public officer or employee, provided that such individual may require that discussion be held at an open meeting; (B) strategy and negotiations with respect to pending claims or pending litigation to which the public agency or a member thereof, because of the member's conduct as a member of such agency, is a party until such litigation or claim has been finally adjudicated or otherwise settled; (C) matters concerning security strategy or the deployment of security personnel, or devices affecting public security; (D) discussion of the selection of a site or the lease, sale or purchase of real estate by the state or a political subdivision of the state when publicity regarding such site, lease, sale, purchase or construction would adversely impact the price of such site, lease, sale, purchase or construction until such time as all of the property has been acquired or all proceedings or transactions concerning same have been terminated or abandoned; and (E) discussion of any matter which would result in the disclosure of public records or the information contained therein described in subsection (b) of section 1-210.
- (7) "Personnel search committee" means a body appointed by a public agency, whose sole purpose is to recommend to the appointing agency a candidate or candidates for an executive-level employment position. Members of a "personnel search committee" shall not be considered in determining whether there is a quorum of the appointing or any other public agency.
- (8) "Pending claim" means a written notice to an agency which sets forth a demand for legal relief or which asserts a legal right stating the intention to institute an action in an appropriate forum if such relief or

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- 111 (9) "Pending litigation" means (A) a written notice to an agency which 112 sets forth a demand for legal relief or which asserts a legal right stating 113 the intention to institute an action before a court if such relief or right is 114 not granted by the agency; (B) the service of a complaint against an 115 agency returnable to a court which seeks to enforce or implement legal 116 relief or a legal right; or (C) the agency's consideration of action to 117 enforce or implement legal relief or a legal right.
- 118 (10) "Freedom of Information Act" means this chapter.
  - [(11) "Governmental function" means the administration or management of a program of a public agency, which program has been authorized by law to be administered or managed by a person, where (A) the person receives funding from the public agency for administering or managing the program, (B) the public agency is involved in or regulates to a significant extent such person's administration or management of the program, whether or not such involvement or regulation is direct, pervasive, continuous or day-to-day, and (C) the person participates in the formulation of governmental policies or decisions in connection with the administration or management of the program and such policies or decisions bind the public agency. "Governmental function" shall not include the mere provision of goods or services to a public agency without the delegated responsibility to administer or manage a program of a public agency.]
- Sec. 2. Section 1-218 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2021*):
- (a) As used in this section, "governmental function" means the administration or management of a program of a public agency, which program has been authorized by law to be administered or managed by a person, where (1) the person receives funding from the public agency for administering or managing the program, (2) the public agency is involved in or regulates to a significant extent such person's administration or management of the program, whether or not such

involvement or regulation is direct, pervasive, continuous or day-to-day, and (3) the person participates in the formulation of governmental policies or decisions in connection with the administration or management of the program and such policies or decisions bind the public agency. "Governmental function" does not include the mere provision of goods or services to a public agency without the delegated responsibility to administer or manage a program of a public agency.

[(a)] (b) Each contract in excess of two million five hundred thousand dollars between a public agency and a person for the performance of a governmental function shall (1) provide that the public agency is entitled to receive a copy of records and files related to the performance of the governmental function, and (2) indicate that such records and files are subject to the Freedom of Information Act and may be disclosed by the public agency pursuant to the Freedom of Information Act. No request to inspect or copy such records or files shall be valid unless the request is made to the public agency in accordance with the Freedom of Information Act. Any complaint by a person who is denied the right to inspect or copy such records or files shall be brought to the Freedom of Information Commission in accordance with the provisions of sections 1-205 and 1-206.

Sec. 3. Subdivision (11) of section 1-79 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1*, 2021):

(11) "Public official" means any state-wide elected officer, any member or member-elect of the General Assembly, any person appointed to any office of the legislative, judicial or executive branch of state government by the Governor or an appointee of the Governor, with or without the advice and consent of the General Assembly, any public member or representative of the teachers' unions or state employees' unions appointed to the Investment Advisory Council pursuant to subsection (a) of section 3-13b, any person appointed or elected by the General Assembly or by any member of either house thereof, any member or director of a quasi-public agency, [and] the

spouse of the Governor and any person appointed by a member of the 175 General Assembly, a state-wide elected officer, the Governor or an 176 appointee of the Governor to serve on the board of an entity that is not 177 a state or quasi-public agency but carries out a public purpose, and is 178 179 authorized to expend public funds or receives public funds as part of 180 such entity's operations, but does not include a member of an advisory board, a judge of any court either elected or appointed or a senator or 181 182 representative in Congress."

This act shall take effect as follows and shall amend the following sections:		
Section 1	July 1, 2021	1-200
Sec. 2	July 1, 2021	1-218
Sec. 3	July 1, 2021	1-79(11)