- 1 SB325
- 2 128343-1
- 3 By Senator Bussman
- 4 RFD: Rules
- 5 First Read: 05-APR-11

128343-1:n:03/30/2011:KMS/11 LRS2011-1854 1 2 3 4 5 6 7 SYNOPSIS: Under existing law, the Board of Dental 8 Examiners of Alabama is the entity responsible for 9 10 licensing and regulating dentists and dental 11 hygienists in the state. 12 This bill would further provide for 13 legislative intent. 14 This bill would provide reference to 15 licensed or permitted dentists or dental 16 hygienists. 17 This bill would remove the maximum fine 18 limitation for violation of the Dental Practice 19 Act. 20 This bill would provide for the regulation 21 of persons who bleach, or provide instruction in 22 the bleaching of, human teeth. 23 This bill would separate and provide further for the regulation of both mobile dental facilities 24 25 and portable dental operations.

1This bill would provide for the issuance of2dental faculty teaching certificates and dental3faculty special teaching permits.

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This bill would specify the authority of certain exempt entities to practice in the state.

This bill would extend the time limit for licensure by examination not given by the board, and for licensure after clinical residency or military service as a dentist or dental hygienist, from 18 months to five years after examination or service, and would provide further for the submission of affidavits by licensed practitioners.

This bill would provide an inactive status for a special purpose license to practice across state lines.

16This bill would provide for registration by17electronic format.

18This bill would specify that the requirement19for a dentist to release records survives the sale20of his or her practice.

This bill would delete the minimum fee schedule and provide maximum fees for special purpose licensure renewal, dental faculty special teaching permit fees, and mobile dental facility/portable dental operation application for certificate of registration and renewal fees, and

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1 would increase the dental hygiene annual 2 registration fee from \$75 to \$150. This bill would allow the board to 3 4 discipline licensees for knowingly or unknowingly using fraud or deceit to obtain a license or for 5 6 prescribing a drug for any condition not dentally 7 related. This bill would increase the board imposed 8 administrative fine from \$1,250 to \$5,000 for each 9 10 offense. 11 This bill would revise certain antiquated 12 terminology. 13 This bill would require licensee changes in 14 address or employment to be reported to the board 15 in writing. 16 This bill would provide for the electronic 17 submission of ballots for the election of board 18 members and would provide further for the election 19 process. 20 This bill would provide further for the 21 employment of board staff. 22 This bill would remove the requirement of an 23 annual audit by a certified public accountant. 24 This bill would remove the requirement that 25 investigators be paid a subsistence allowance by the board. 26

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1 This bill would authorize any member of the 2 board to issue subpoenas. This bill would allow an applicant to 3 4 reapply for a permit to use anesthesia after the correction of deficiencies in an original 5 6 evaluation and inspection by examiners. 7 This bill would require a dentist utilizing parenteral sedation to be trained in advanced 8 9 cardiac life support. 10 This bill would also require written 11 informed consent of a patient or guardian before 12 undergoing a procedure using a sedative agent. 13 14 A BTLL 15 TO BE ENTITLED 16 AN ACT 17 Relating to the practice of dentistry and dental 18 hygiene; to amend Sections 34-9-2, 34-9-3, 34-9-5, 34-9-6, 19 34-9-6.1, 34-9-7, 34-9-8, 34-9-10, 34-9-13, 34-9-15, 20 34-9-15.1, 34-9-16, 34-9-18, 34-9-20, 34-9-21, 34-9-22, 21 22 34-9-24, 34-9-26, 34-9-27, 34-9-28, 34-9-40, 34-9-41, 34-9-42, 34-9-43, 34-9-44, 34-9-46, 34-9-60, 34-9-63, 34-9-82, and 23 24 34-9-89 of the Code of Alabama 1975, to provide legislative 25 intent; to reference both licensed and permitted dentists and dental hygienists; to remove the maximum fine limitation for 26 27 violation of the Dental Practice Act; to provide for the

1 regulation of bleaching of human teeth; to provide further for 2 the regulation of both mobile dental facilities and portable dental operations; to provide for dental faculty teaching 3 4 certificates and dental faculty special teaching permits; to specify the authority of certain exempt entities to practice; 5 6 to extend the time limit for licensure by examination not 7 given by the board and for licensure after clinical residency or military service; to provide further for the submission of 8 9 affidavits by licensed practitioners; to provide an inactive 10 status for special purpose licensees to practice across state lines; to provide for registration by electronic format; to 11 12 specify that the requirement for a dentist to release records 13 survives the sale of his or her practice; to delete the 14 minimum fee schedule; to provide maximum fees for special 15 purpose licensure renewal, dental faculty special teaching permit fees, and mobile dental facility/portable dental 16 17 operation application for certificate of registration and renewal fees; to increase the dental hygiene annual 18 registration fee; to allow the board to discipline licensees 19 for knowingly or unknowingly using fraud or deceit to obtain a 20 21 license or for prescribing a drug for any condition not 22 dentally related; to increase board imposed administrative 23 fines; to revise certain antiquated terminology; to require licensee changes in address or employment to be reported to 24 25 the board in writing; to provide for the electronic submission 26 of ballots for the election of board members; to provide 27 further for the election process; to provide further for the

1 employment of board staff; to remove the requirement of an 2 annual audit by a certified public accountant and the requirement that investigators be paid a subsistence allowance 3 4 by the board; to authorize any board member to issue subpoenas; to provide that an applicant may reapply for a 5 6 permit to use anesthesia after the correction of deficiencies 7 in an original evaluation and inspection by examiners; to require a dentist utilizing parenteral sedation to be trained 8 in advanced cardiac life support; and to require written 9 10 informed consent of a patient or guardian before undergoing a 11 procedure using a sedative agent.

12 BE IT ENACTED BY THE LEGISLATURE OF ALABAMA:

Section 1. Sections 34-9-2, 34-9-3, 34-9-5, 34-9-6,
34-9-6.1, 34-9-7, 34-9-8, 34-9-10, 34-9-13, 34-9-15,
34-9-15.1, 34-9-16, 34-9-18, 34-9-20, 34-9-21, 34-9-22,
34-9-24, 34-9-26, 34-9-27, 34-9-28, 34-9-40, 34-9-41, 34-9-42,
34-9-43, 34-9-44, 34-9-46, 34-9-60, 34-9-63, 34-9-82, and
34-9-89 of the Code of Alabama 1975, are amended to read as
follows:

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"§34-9-2.

"(a) The Legislature hereby declares that the practice of dentistry affects and the practice of dental hygiene affect the public health, safety, and welfare and should be subject to regulation. It is further declared to be a matter of public interest and concern that the dental profession merit and receive the confidence of the public and that only qualified dentists and qualified dental hygienists

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be permitted to practice dentistry <u>and dental hygiene</u> in the State of Alabama. All provisions of this chapter relating to the practice of dentistry and dental hygiene shall be liberally construed to carry out these objects and purposes.

5 "(b) The Legislature also finds and declares that, 6 because of technological advances and changing practice 7 patterns, the practice of dentistry <u>and the practice of dental</u> 8 <u>hygiene</u> is occurring with increasing frequency across state 9 lines and that the technological advances in the practice of 10 dentistry <u>and in the practice of dental hygiene</u> are in the 11 public interest.

12 "(c) The Legislature further finds and declares that 13 the practice of dentistry is and the practice of dental hygiene are each a privilege. The licensure by this state of 14 15 nonresident dentists who engage in dental practice and persons who engage in the practice of dental hygiene within this state 16 17 is are within the public interest. The ability to discipline the nonresident dentists and dental hygienists who engage in 18 dental practice in this state is necessary for the protection 19 of the citizens of this state and for the public interest, 20 21 health, welfare, and safety.

22 "§34

"§34-9-3.

"It shall be unlawful for any person to practicedentistry in the State of Alabama except the following:

"(1) Those who are now duly licensed <u>or permitted</u>
dentists, pursuant to law.

"(2) Those who may be hereafter duly licensed or
 <u>permitted</u> and who are currently registered as dentists,
 pursuant to this chapter.

"(3) Those nonresident dentists who have been issued
a special purpose license to practice dentistry across state
lines in accordance with Section 34-9-10. This subdivision
shall not apply to those dentists who hold a full,
unrestricted, and current license <u>or permit</u> issued pursuant to
Section 34-9-8 or Section 34-9-10.

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"§34-9-5.

11 "Any person who shall practice dentistry, engage in 12 the practice of dentistry across state lines $\overline{-}$ or practice 13 dentistry or dental hygiene in this state within the meaning 14 of this chapter without having first obtained from the board a 15 license and an annual registration certificate, when the certificate is required by this chapter, or who violates this 16 17 chapter, or who willfully violates any published rule or regulation of the board, or who does any act described in this 18 chapter as unlawful, the penalty for which is not herein 19 specifically provided, shall be guilty of a misdemeanor and 20 21 upon conviction shall be punished by a fine of not more than 22 one thousand dollars (\$1,000) five thousand dollars (\$5,000) 23 for each offense, to be fixed by the court trying the case, 24 and in addition thereto may be, in the discretion of the 25 court, sentenced to hard labor for the county for a period not to exceed 12 months. 26

27 "\$34-9-6.

"Any person shall be deemed to be practicing
 dentistry who does any of the following:

3 "<u>(1) Performs performs</u>, or attempts or professes to
4 perform, any dental operation or dental service of any kind,
5 gratuitously or for a salary, fee, money or other remuneration
6 paid, or to be paid, directly or indirectly, to himself or
7 herself, or to any person in his or her behalf, or to any
8 agency which is a proprietor of a place where dental
9 operations or dental services are performed; or.

10 "(2) Directly (1) Who directly or indirectly, by any 11 means or method, makes impression of the human tooth, teeth, 12 jaws or adjacent tissue, or performs any phase of any 13 operation incident to the replacement of a tooth or any part 14 thereof; or.

15 "<u>(3)</u> (2) Supplies artificial substitutes for the 16 natural teeth, and who furnishes, supplies, constructs, 17 reproduces, or repairs any prosthetic denture, bridge 18 prosthesis (fixed or removable), appliance, or any other 19 structure to be worn in the human mouth; or.

20 "<u>(4) Places</u> (3) Who places such appliance or 21 structure in the human mouth, or adjusts, or attempts, or 22 professes to adjust the same, or delivers the same to any 23 person other than the dentist upon whose prescription the work 24 was performed; or.

25 "(5) Professes (4) Who professes to the public by
 26 any method to furnish, supply, construct, reproduce, or repair
 27 any prosthetic denture, bridge prosthesis (fixed or

1 removable), appliance, or other structure to be worn in the human mouth, or who diagnoses, or professes to diagnose, 2 prescribe for, professes to prescribe for, treats or professes 3 4 to treat disease, pain, deformity, deficiency, injury, or physical condition of the human teeth or jaws, or adjacent 5 6 structure, or who extracts or attempts to extract human teeth, 7 or remove tumors, abnormal growths, or other lesions from the human gums, jaws, and adjacent structures, or who operates for 8 harelip or cleft lip or palate, or both; or who treats 9 10 surgically or mechanically fractures of the human jaw; or who 11 administers local or general anesthetics in the treatment of 12 any dental lesion; or.

13 "<u>(6) Repairs</u> (5) Who repairs or fills cavities in
14 the human teeth; or.

"<u>(7) Uses</u> (6) Who uses a roentgenor X-ray,
<u>radiograph, or digital imaging</u> machine for the purpose of
taking making dental X-rays or roentgenograms, <u>radiographs, or</u>
<u>digital images</u>, or who gives, or professes to give,
interpretations or readings of dental X-ray or roentgenograms,
<u>radiographs</u>, or digital images, or X-ray <u>radiographic</u> or
roentgen therapy; or.

"(8) Administers (7) Who administers an anesthetic
 of any nature in connection with a dental operation; or
 procedure.

25 "(9) Uses (8) Who uses the words "dentist," "dental 26 surgeon," "oral surgeon," or the letters "D.D.S.," "D.M.D." or 27 any other words, letters, title, or descriptive matter which in any way represents him or her as being able to diagnose,
 treat, prescribe, or operate for any disease, pain, deformity,
 deficiency, injury, or physical condition of the teeth or
 jaws, or adjacent structures; or.

5 "<u>(10) States</u> (9) Who states, or professes, or 6 permits to be stated or professed by any means or method 7 whatsoever that he or she can perform or will attempt to 8 perform dental operations <u>procedures</u>, or render a diagnosis 9 connected therewith; or.

10 "(11) Performs (10) Who performs any clinical operation included in the curricula of recognized dental 11 12 colleges; provided, that members of the faculty, teachers, 13 instructors, fellows, interns, residents, dental students, and 14 student dental hygienists who are employed by or who are taking courses or instructions at the University of Alabama 15 School of Dentistry or such other dental colleges, hospitals, 16 17 or institutions in Alabama, as may be approved by the board; and provided, that the work of fellows, interns, residents, 18 dental students, and student dental hygienists is performed 19 within the facilities of such dental colleges, hospitals, and 20 21 institutions under the supervision of an instructor and as an 22 adjunct to his or her course of study or training, shall not 23 be required to take examination or obtain a license certificate and renewal license certificate when all of such 24 25 work, dental operations procedures, and activities are 26 confined to his or her work in the college, hospital, or other 27 institution and the work is done without remuneration other

than the regular salary or compensation paid by such colleges,
 hospitals, or other institutions.

3 "(12) Professes to the public by any method to
4 bleach human teeth, performs bleaching of the human teeth
5 alone or within his or her business, or instructs the public
6 within his or her business, or through any agent or employee
7 of his or her business, in the use of any tooth bleaching
8 product.

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"§34-9-6.1.

10 "(a) For purposes of this section, the following 11 words have the following meanings:

12 "(1) DENTAL HOME. The dental home is the ongoing 13 relationship between the dentist and the patient, inclusive of 14 all aspects of oral health care, delivered in a comprehensive, 15 continuously accessible, coordinated, and family-centered way.

16 "(2) MOBILE DENTAL FACILITY or PORTABLE DENTAL
17 OPERATION. Any self-contained facility in which dentistry or
18 dental hygiene is practiced which may be moved, towed, or
19 transported from one location to another.

"(3) OPERATOR. A person licensed to practice dentistry in this state or an entity which is approved as tax exempt under Section 501(c)(3) of the Internal Revenue Code which employs dentists licensed in the state to operate a mobile dental facility or portable dental operation.

25 "(4) PORTABLE DENTAL OPERATION. The use of portable
 26 dental delivery equipment which is set up on site to provide
 27 dental services outside of a mobile dental facility or a

1 <u>dental office and uses non-fixed dental equipment and</u>
2 <u>independent plumbing.</u>

3 "(b) In order to operate a mobile dental facility or
4 portable dental operation, an operator shall first obtain a
5 certificate of registration issued by the Board of Dental
6 Examiners board. The operator shall complete an application in
7 the form and manner required by the board and shall meet all
8 qualifications established by rules of the board.

9 "(c) A mobile dental facility or portable dental
 10 operation shall comply with all of the following requirements:

"(1) The operator shall maintain an official business address which shall not be a post office box and which shall be within this state and be associated with an established dental facility which shall have an official business address on record with the Board of Dental Examiners board. The address shall be filed with the board as part of the application for a certificate of registration.

18 "(2) The operator shall maintain an official 19 telephone number of record which shall be accessible 24 hours 20 a day and which shall be filed with the board as part of the 21 application for a certificate of registration. The telephone 22 number shall have 911 capability.

"(3) The board shall be notified within 30 days of any change in address, location, or telephone number of record. The notification shall also include the method by which patients are notified of the change of address, location, or telephone number of record. For purposes of this subdivision, patient shall mean any individual who has
 received treatment or consultation of any kind within two
 years of the date of the proposed change of address, location,
 or telephone number of record.

5 "(4) All written or printed documents available from
6 or issued by the mobile dental facility or portable dental
7 operation shall contain the official business address and
8 telephone number of record for the mobile dental facility or
9 portable dental operation.

"(5) When not in transit, all dental and official
records shall be maintained at the official business address.

12 "(6) The operator shall maintain a written procedure 13 for emergency follow-up care for patients treated in a mobile 14 dental facility or a portable dental operation, which includes 15 arrangements for treatment in a dental facility that is permanently established in the area within a 50-mile radius 16 17 where services are provided subject to a qualified dentist being located in the area and subject to the dentist agreeing 18 to provide follow-up care. An operator who either is unable to 19 identify a qualified dentist in the area or is unable to 20 21 arrange for emergency follow-up care for patients otherwise 22 shall be obligated to provide the necessary follow up 23 follow-up care via the mobile dental facility or portable 24 dental operation or the operator may choose to provide the follow-up care at his or her established dental practice 25 location in the state or at any other established dental 26 27 practice in the state which agrees to accept the patient. An

operator who fails to arrange or provide follow-up care as required herein shall be considered to have abandoned the patient, and will subject the operator and any dentist or dental hygienist, or both, who fail to provide the referenced follow-up treatment care shall be subject to disciplinary action by the board.

7 "(7) The mobile dental facility or a portable dental operation shall have communication facilities abilities that 8 9 will enable the operator to contact necessary parties in the 10 event of a medical or dental emergency. The communication facilities abilities shall enable the patient or the parent or 11 12 guardian of the patient treated to contact the operator for 13 emergency care, follow-up care, or information about treatment 14 received. The provider who renders follow-up care must also be able to contact the operator and receive treatment 15 information, including radiographs. 16

17 "(8) The mobile dental facility or a portable dental 18 operation and the dental procedures performed therein shall 19 comply with all applicable federal and state laws and all 20 applicable rules of the board promulgated pursuant to Section 21 34-9-43.

"(9) Any driver of a mobile dental facility or a
portable dental operation shall possess a valid Alabama
driver's license appropriate for the operation of the vehicle.
A copy of the driver's license of each driver shall be
submitted to the board at least 30 days prior to the

individual operating a mobile dental facility or a portable
 dental operation.

"(10) All mobile dental facilities or portable
dental operations authorized in this section which are a
vehicle or which are to be towed or otherwise transported by
another vehicle shall be registered in this state.

7 "(11) The operator of a mobile dental facility or a portable dental operation shall not perform services on a 8 9 minor without the signed consent from the parent or guardian 10 which form shall be established by the board. The consent form shall inquire whether the prospective patient has received 11 12 dental care from a licensed dentist within one year and if so, 13 the consent form shall request the name, address, and phone 14 number of the dental home. If the information provided to the 15 operator does not identify a dental home for the prospective patient, the operator shall contact the Alabama Medicaid 16 17 Agency for assistance in identifying a dental home for Medicaid eligible patients. If this information is provided to 18 the operator, the operator shall contact the designated dental 19 home by phone, facsimile, or electronic mail and notify the 20 21 dental home of the prospective patient's interest in receiving 22 dental care from the operator. If the dental home confirms 23 that an appointment for the prospective patient is scheduled 24 with the dentist, the operator shall encourage the prospective 25 patient or his or her quardian to seek care from the dental home. The consent form shall document that the patient, or 26 27 legal guardian, understands the prospective patient has an

option to receive dental care from either the mobile dental facility or portable dental operation or his or her designated dental home if applicable. The consent form shall require the signature of a parent or legal guardian <u>if the patient is a</u> <u>minor</u>.

"(12) Each operator of a mobile dental facility or a
portable dental operation shall maintain a written or
electronic record detailing for each location where services
are performed all of the following information:

10 "a. The street address of the service location.
11 "b. The dates of each session.
12 "c. The number of patients served.

13 "d. The types of dental services provided and the

14 quantity of each service provided.

15 "e. Any other information requested by rule of the16 board.

17 "(13) The written or electronic record shall be made18 available to the board within 10 days of a request.

"(14) Each mobile dental facility or a portable
 dental operation must <u>shall</u> possess all applicable county and
 city licenses or permits to operate at each location.

"(d) The mobile dental facility or a portable dental
 operation shall comply with the following physical
 requirements and inspection criteria:

25 "(1) Ready access in a ramp or lift.
26 "(2) A properly functioning sterilization system.

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"(3) Ready access to an adequate supply of potable
 water, including hot water.

3 "(4) A covered galvanized, stainless steel, or other 4 noncorrosive container for deposit of refuse and waste 5 materials.

"(5) For operators applying for a certificate of 6 7 registration after July 1, 2008, who have not been issued a 8 certificate prior to that date, ready Ready access to toilet facilities which shall be located within the mobile dental 9 facility or portable dental operation. Operators applying for 10 a certificate prior to July 1, 2008, are not required to meet 11 12 this requirement. Any non-profit governmental entity operating 13 a portable mobile dental operation within an existing building 14 facility shall only be required to have ready access to toilet 15 facilities within the building.

16 "(6) All licenses and permits as required by Section 17 <u>34-9-13 on site.</u>

"(7) Ready access to an emergency kit.

19 "(8) Sharps containers and red biohazard equipment
20 available on site.

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21 "(e) A portable dental operation shall comply with 22 <u>all of the following requirements:</u>

"(1) The operator shall maintain an official
business address which shall not be a post office box and
which shall be within this state and be associated with an
established dental facility which shall have an official
business address on record with the board. The address shall

1 be filed with the board as part of the application for a certificate of registration. 2 "(2) The operator shall maintain an official 3 4 telephone number of record which shall be accessible 24 hours a day and which shall be filed with the board as part of the 5 application for a certificate of registration. The telephone 6 7 number shall have E-911 capability. "(3) The board shall be notified within 30 days of 8 any change in address, location, or telephone number of 9 10 record. The notification shall also include the method by which patients are notified of the change of address, 11 12 location, or telephone number of record. For purposes of this subdivision, patient shall mean any individual who has 13 14 received treatment or consultation of any kind within two 15 years of the date of the proposed change of address, location, 16 or telephone number of record. 17 "(4) All written or printed documents available from or issued by the portable dental operation shall contain the 18 official business address and telephone number of record for 19 the portable dental operation. 20 21 "(5) When not in transit, all dental and official 22 records shall be maintained at the official business address. 23 "(6) The operator shall maintain a written procedure 24 for emergency follow-up care for patients treated in a 25 portable dental operation, which includes arrangements for 26 treatment in a dental facility that is permanently established 27 in the area within a 50-mile radius where services are

1	provided subject to a qualified dentist being located in the
2	area and subject to the dentist agreeing to provide follow-up
3	care. An operator who either is unable to identify a qualified
4	dentist in the area or is unable to arrange otherwise for
5	emergency follow-up care for patients shall be obligated to
6	provide the necessary follow-up care via the portable dental
7	operation, or the operator may choose to provide the follow-up
8	care at his or her established dental practice location in the
9	state or at any other established dental practice in the state
10	which agrees to accept the patient. An operator who fails to
11	arrange or provide follow-up care as required herein shall be
12	considered to have abandoned the patient, and the operator and
13	any dentist or dental hygienist, or both, who fail to provide
14	the referenced follow-up care shall be subject to disciplinary
15	action by the board.
16	"(7) The portable dental operation shall have
17	communication abilities that enable the operator to contact
18	necessary parties in the event of a medical or dental
19	emergency. The communication abilities shall enable the
20	patient or the parent or guardian of the patient treated to
21	contact the operator for emergency care, follow-up care, or
22	information about treatment received. The provider who renders
23	follow-up care must also be able to contact the operator and
24	receive treatment information, including radiographs.
25	"(8) The portable dental operation and the dental
26	procedures performed therein shall comply with all applicable

1	federal and state laws and all applicable rules of the board
2	promulgated pursuant to Section 34-9-43.
3	"(9) The operator of a portable dental operation may
4	not perform services on a minor without the signed consent
5	from the parent or guardian on a form established by the
6	board. The consent form shall request information as to
7	whether the prospective patient has received dental care from
8	a licensed dentist within one year and, if so, shall request
9	the name, address, and phone number of the dental home. If the
10	information provided to the operator does not identify a
11	dental home for the prospective patient, the operator shall
12	contact the Alabama Medicaid Agency for assistance in
13	identifying a dental home for Medicaid eligible patients. If
14	this information is provided to the operator, the operator
15	shall contact the designated dental home by phone, facsimile,
16	or electronic mail and notify the dental home of the
17	prospective patient's interest in receiving dental care from
18	the operator. If the dental home confirms that an appointment
19	for the prospective patient is scheduled with the dentist, the
20	operator shall encourage the prospective patient or his or her
21	guardian to seek care from the dental home. The consent form
22	shall document that the patient, or legal guardian,
23	understands the prospective patient has an option to receive
24	dental care from either the portable dental operation or his
25	or her designated dental home, if applicable. The consent form
26	shall require the signature of a parent or legal guardian if
27	the patient is a minor.

1	"(10) Each operator of a portable dental operation
2	shall maintain a written or electronic record detailing for
3	each location where services are performed all of the
4	following information:
5	"a. The street address of the service location.
6	"b. The dates of each session.
7	"c. The number of patients served.
8	"d. The types of dental services provided and the
9	quantity of each service provided.
10	"e. Any other information requested by rule of the
11	board.
12	"(11) The written or electronic record shall be made
13	available to the board within 10 days of a request.
14	" <u>(12) Each portable dental operation shall possess</u>
15	all applicable county and city licenses or permits to operate
16	at each location.
17	"(f) The portable dental operation shall comply with
18	all physical requirements and inspection criteria established
19	by rule of the board and shall comply with the license and
20	permit requirements of Section 34-9-13.
21	" <u>(q)</u> (e) The mobile dental facility or a portable
22	dental operation shall be inspected by the board or its
23	representative prior to receiving a certificate of
24	registration. Once registered, the mobile dental facility or a
25	portable dental operation shall be subject to periodic
26	unannounced audits and inspections by the board.

1 "(h) (f) The mobile dental facility or a portable
2 dental operation shall comply with the current recommendations
3 for infection control practices for dentistry as promulgated
4 by the Centers for Disease Control and any rule of the board
5 relating to infection control or reporting in a dental office.

6 "(i) (g) The initial application for a certificate 7 of registration shall include the full name, address, and telephone number of the dentists and dental hygienists working 8 at the facility or operation. The initial application for a 9 10 certificate of registration shall also include proof of insurance from a licensed insurance carrier that the operator 11 12 has in force at least one million dollars (\$1,000,000) of 13 general liability insurance. The operator shall be required to 14 maintain one million dollars (\$1,000,000) of general liability 15 insurance at all times for which the mobile dental facility or portable dental operation is licensed by the Board of Dental 16 17 Examiners. Governmental entities which operate mobile dental facilities or portable dental operations shall not be required 18 to have or maintain any amount of general liability insurance. 19 The operator shall advise the board in writing within 15 days 20 21 of any change in the employment of any dentist or dental 22 hygienist working at the facility or operation.

23 "<u>(j)</u> (h) The operator shall notify the board in 24 writing within 10 days of any change made relating to a 25 dentist to whom patients are to be referred for follow-up care 26 as provided in subdivision (6) of subsection (c). 1 "(k) (i) The operator shall notify the board in 2 writing within 10 days of any change in the procedure for 3 emergency follow-up care as provided in subdivision (6) of 4 subsection (c).

5 "<u>(1)</u> (j) At the conclusion of each patient's visit 6 to the mobile dental facility or a portable dental operation, 7 the patient shall be provided with a patient information sheet 8 which shall also be provided to any individual or entity to 9 whom the patient has consented or authorized to receive or 10 access the patient's records. The information sheet shall 11 include at a minimum the following information:

12 "(1) The name of the dentist or dental hygienist, or13 both, who performed the services.

14 "(2) A description of the treatment rendered, 15 including billing service codes and fees associated with 16 treatment and tooth numbers when appropriate.

17 "(3) If applicable, the name, address, and telephone 18 number of any dentist to whom the patient was referred for 19 follow-up care and the reason for such referral.

"(4) The name, address, and telephone number, if
applicable, of a parent or guardian of the patient.

"(m) (k) In the event a mobile dental facility or a portable dental operation is to be sold, the current operator shall inform the board and the Alabama Medicaid Agency, if the operator is an Alabama Medicaid enrolled provider, at least 10 days prior to the sale being completed and shall be required to disclose the purchaser to the board and the Alabama

1 Medicaid Agency, if the operator is an Alabama Medicaid 2 enrolled provider, via certified mail within 10 days after the date the sale is finalized. The operator of a mobile dental 3 4 facility or a portable dental operation shall notify the board and the Alabama Medicaid Agency, if the operator is an Alabama 5 Medicaid enrolled provider, at least 30 days prior to 6 7 cessation of operation. Such notification shall include the final day of operation and a copy of the notification shall be 8 sent to all patients and shall include the manner and 9 10 procedure by which patients may obtain their records or 11 transfer those records to another dentist. It is the 12 responsibility of the operator to take all necessary action to 13 insure that the patient records are available to the patient, 14 a duly authorized representative of the patient, or a subsequent treating dentist. For purposes of this subsection, 15 a patient shall mean any individual who has received any 16 treatment or consultation of any kind within two years of the 17 last date of operation of the mobile dental facility or a 18 portable dental operation. 19

"(n) (1) A mobile dental facility or a portable 20 21 dental operation that accepts or treats a patient but does not 22 refer patients for follow-up treatment care when such 23 follow-up treatment care is clearly necessary, shall be 24 considered to have abandoned the patient and will subject the 25 operator and any dentist or dental hygienist, or both, who 26 fails to provide the referenced follow-up treatment care to 27 disciplinary action by the board.

1 "(o) (m) In addition to the requirements of this 2 section, any operator, dentist, or dental hygienist working or performing any services at a mobile dental facility or a 3 4 portable dental operation shall be subject to the provisions of this chapter. Any violation of the provisions of this 5 section shall subject the operator, dentist, or dental 6 7 hygienist to the penalties provided in Section 34-9-18, and no order imposing penalties pursuant to Section 34-9-18 shall be 8 made or entered except after notice and hearing by the board 9 10 with any such order being subject to judicial review. 11 "(p) (n) The board may promulgate rules to implement 12 and enforce the provisions of this section. 13 "(g) A mobile dental facility or portable dental 14 operation shall conform to all requirements of this chapter, 15 rules promulgated by the board pursuant to this chapter, any rule or regulation promulgated by the Occupational Safety and 16 17 Health Administration, the federal Centers for Disease Control and Prevention, and the Alabama Department of Public Health, 18 and any applicable federal, state, or local law, regulation, 19 quideline, or ordinance including, but not limited to, those 20 21 relating to radiographic equipment, flammability, 22 construction, sanitation, medical waste transportation, 23 zoning, prevention of infection and disease, facility 24 operation, and licenses or permits to operate. 25 "(r) This (o) The provisions of this section shall not apply to any existing Jefferson County Department of 26 27 Health mobile dental facilities or portable dental operations

that have been in service providing dental care within
 Jefferson County prior to December 31, 2007.

3 "<u>(s)</u> (p) This section shall not apply to any mobile 4 dental facility or portable dental operation which is operated 5 during a state of emergency declared by the Governor as 6 authorized in Section 31-9-8.

7 "<u>(t)</u> (q) It is the express intent of the Legislature 8 that no state agency, including, but not limited to, the 9 Alabama Medicaid Agency, shall restrict any mobile dental 10 facility or portable dental operation which complies with the 11 provisions outlined herein and all applicable state and 12 federal rules from participation in its programs.

13 "(u) (r) Notwithstanding any other provision of law, 14 any entity which is approved as tax exempt under Section 15 501(c)(3) of the Internal Revenue Code and employs dentists 16 licensed in this state shall continue to be able to employ 17 dentists licensed in this state and to provide dental services 18 in the same manner and under the same conditions as licensed 19 on June 7, 2008.

20

"§34-9-7.

21 "Nothing in this chapter shall apply to the22 following practices, acts, and operations:

"(1) The practice of his or her profession by a physician or surgeon holding a certificate of qualification as a medical doctor and licensed as such under the laws of this state, provided he or she shall not practice dentistry as a specialty.

"(2) The practice of dentistry in the discharge of 1 2 their official duties by graduate dentists or dental surgeons in the United States Army, Navy, Air Force, or other armed 3 4 services, public health service (provided however, dentists, dental hygienists, and other personnel employed by any public 5 6 health service which performs dental health care for the 7 general public under programs funded in whole or part by the state or federal government shall be subject to all of the 8 provisions of this chapter and the rules and regulations duly 9 10 promulgated by the Board of Dental Examiners governing the practice of dentistry and dental hygiene in this state), Coast 11 12 Guard, or Veteran's Administration.

"(3) The practice of dentistry by a licensed dentist of other states or countries at meetings of the Alabama Dental Association or components thereof, or other like dental organizations approved by the board, while appearing as clinicians, or when appearing in emergency cases upon the specific call of dentists duly licensed under this chapter.

19 "(4) The filling of prescriptions of a licensed and registered dentist, as hereinafter provided, by any person or 20 21 persons, association, corporation, or other entity for the 22 construction, reproduction, or repair of prosthetic dentures, 23 bridges, plates, prostheses (fixed or removable) or appliances 24 on a model cast made by or from impressions taken made by a 25 licensed and currently registered dentist, to be used or worn as a substitute for natural teeth; provided, that the person 26 27 or persons, association, corporation, or other entity, shall

not solicit or advertise, directly or indirectly, by mail,
card, newspaper, pamphlet, radio, television, <u>Internet</u>, or
otherwise, to the general public to construct, reproduce, or
repair prosthetic dentures, bridges, plates, prostheses (fixed
or removable) or other appliances to be used or worn as
substitutes for natural teeth.

7 "(5) The use of roentgen machines or other rays 8 means for making radiograms radiographs, digital images, or similar records, of dental or oral tissues under the 9 10 supervision of a licensed dentist or physician; provided, that the services shall not be advertised by any name whatever as 11 12 an aid or inducement to secure dental patronage, and no person 13 shall advertise that he or she has, leases, owns, or operates 14 a roentgen or other machine for the purpose of making dental 15 radiograms radiographs or images, of the human teeth or tissues or the oral cavity, or administering treatments 16 17 thereto for any disease thereof.

18 "(6) The giving of a general anesthetic by a nurse 19 anesthetist who administers a general anesthetic under the 20 direct supervision of a duly licensed dentist to a patient who 21 is undergoing dental treatment rendered by the dentist.

"(7) The use of a nurse in the practice of professional or practical nursing, as defined in Sections 34-21-1 through 34-21-26, by a dentist.

"(8) A dentist who engages in the practice of
dentistry across state lines in an emergency, as defined by
the board.

1 "(9) A dentist who engages in the practice of 2 dentistry across state lines on an irregular or infrequent basis. The "irregular or infrequent" practice of dentistry 3 4 across state lines is considered to occur if the practice occurs less than 10 times in a calendar year or involves fewer 5 than 10 patients in a calendar year, or the practice comprises 6 7 less than one percent of the diagnostic or therapeutic practice of the dentist. 8

"(10) A dentist or dental hygienist on active duty, 9 10 or performing his or her duties as a reservist in the military, is not subject to any fee required for a special 11 12 purpose license to practice dentistry across state lines. The 13 dentist or dental hygienist shall notify the board in advance 14 of his or her participation in military activities and shall forward a copy of his or her current dental license or permit, 15 or both, to the board. The dentist or dental hygienist shall 16 17 also provide proof of military status and any orders requiring him or her to perform dental treatment services in this state. 18 "§34-9-8. 19

"(a) The board shall annually issue dental faculty 20 21 teaching permits to persons who are bona fide members of the 22 faculty of a dental college, if they hold a dental degree but 23 are not licensed and registered to practice dentistry or 24 dental hygiene in the state. The dean of any dental college located in the state shall annually certify to the board the 25 26 bona fide members of the clinical faculty of the college who 27 are not licensed and registered to practice dentistry or

dental hygiene in the state. The board shall issue teaching 1 2 permits to applicants upon the certification by the dean. The teaching permits shall be invalid if the holder ceases to be a 3 4 member of the clinical faculty of the dental college. The dean of any dental college shall promptly notify the board 5 regarding changes in the faculty which affect the eligibility 6 7 of a faculty member to possess a teaching permit. The holder of a teaching permit shall be subject to this chapter and may 8 perform all clinical operations which a person licensed to 9 10 practice dentistry or dental hygiene in the state is entitled 11 to perform. The operations may only be performed within the 12 facilities of the dental college and or any other facility 13 approved by the board as an adjunct to his or her teaching functions in the college. An annual fee established pursuant 14 15 to this chapter shall be paid to the board when the teaching permit is issued. 16

17 "(b) The board shall annually issue dental faculty special teaching permits to persons who are bona fide members 18 of the faculty of a dental college if they hold a dental 19 degree but are not licensed and registered to practice 20 21 dentistry or dental hygiene in the state. The dean of any 22 dental college located in the state shall annually certify to 23 the board the bona fide members of the clinical faculty of the 24 college who are not licensed and registered to practice 25 dentistry or dental hygiene in the state. The board shall issue special teaching permits to applicants upon 26 27 certification by the dean. The special teaching permits shall

1	be invalid if the holder ceases to be a member of the clinical
2	faculty of the dental college. The dean of any dental college
3	shall promptly notify the board regarding changes in the
4	faculty which affect the eligibility of a faculty member to
5	possess a special teaching permit. The holder of a special
6	teaching permit shall be subject to this chapter and may
7	perform all clinical operations on his or her private patients
8	which a person licensed to practice dentistry or dental
9	hygiene in the state is entitled to perform. The clinical
10	operations may only be performed within the faculty private
11	practice facilities of the dental college or any other
12	facility approved by the board. An annual fee established
13	pursuant to this chapter shall be paid to the board when the
14	special teaching permit is issued.

15

"§34-9-10.

16 "(a) Every person who desires to practice dentistry 17 within the State of Alabama shall file an application 18 prescribed by the board. Notwithstanding the method of 19 obtaining licensure or any particular requirement set forth 20 herein, every person as a prerequisite to licensure must be at 21 least 19 years of age, of good moral character, a citizen of 22 the United States or, if not a citizen of the United States, a 23 person who is legally present in the United States with 24 appropriate documentation from the federal government, a 25 graduate of a dental school or college accredited by the American Dental Association Commission on Dental Accreditation 26

1 2 and approved by the board and must satisfy any other requirement set forth in any rule adopted by the board.

3 "(b) Licensure by examination shall be applicable to 4 the following categories:

5 "(1) Those individuals who have never been licensed 6 or taken an examination and whose application to take an 7 examination administered or approved by the board is received 8 by the board within 18 months of graduation from dental 9 school.

10 "(2) Those individuals who have successfully passed 11 an examination approved but not administered by the board so 12 long as an application for licensure is received by the board 13 within 18 months <u>five years</u> of the date of notification of 14 passing such examination. All applicants shall pay a fee which 15 shall accompany the application.

16 "(c) Any individual who possesses a current license 17 in any state, who has passed an examination approved by the board and who has, since graduation from dental or dental 18 hygiene school, practiced or participated in a clinical 19 residency or practiced dentistry or dental hygiene in the 20 21 Armed Forces or with the public health service shall be 22 eligible for licensure if an application is received by the 23 board within 18 months five years of the completion of the 24 subject residency or Armed Forces or public health service 25 commitment. All the above applicants shall pay a fee which 26 shall accompany the application.

1 "(d) Licensure by credentials may be utilized to 2 evaluate the theoretical knowledge and clinical skill of a dentist or dental hygienist when an applicant for licensure by 3 4 credentials holds a dental or dental hygiene license in another state. The board may promulgate rules and regulations 5 6 relating to licensure by credentials in addition to any 7 requirements by law. An applicant for licensure by credentials must meet all of the following: 8

9 "(1) The dentist or dental hygienist must have been 10 engaged in the active practice of clinical dentistry or 11 clinical dental hygiene or in full-time dental or dental 12 hygiene education for the five years or 5,000 hours 13 immediately preceding his or her application.

"(2) The applicant must hold a current, valid,
unrevoked, and unexpired license in a state having examination
standards regarded by the Board of Dental Examiners of Alabama
as an equivalent to the Alabama standards.

18 "(3) The board of examiners in the state of current 19 practice must verify or endorse that the applicant's license 20 is in good standing without any restrictions.

"(4) The dentist or dental hygienist must not be the subject of a pending disciplinary action in any state in which the individual has been licensed which shall be verified by a query to the National Practitioner Data Bank, the Health Integrity Protection Data Bank, the American Association of Dental Boards Clearing House for Disciplinary Information, or any other pertinent bank currently existing or which may exist
 in the future.

3 "(5) The applicant must provide a written statement 4 agreeing to be interviewed at the request of the board.

5 "(6) The individual must successfully pass a written 6 jurisprudence examination.

7 "(7) There must be certification from the United 8 States Drug Enforcement Administration and from the state 9 board of any state in which the applicant is or has been 10 licensed that the DEA registration is not the subject of any 11 pending disciplinary action or enforcement proceeding of any 12 kind.

13 "(8) The dentist applicant must shall submit affidavits from two licensed dentists or practicing in the 14 same geographical area where the applicant is then practicing 15 or teaching attesting to the moral character, standing, and 16 17 ability of the applicant. The dental hygiene applicant shall submit affidavits from two licensed dentists or two licensed 18 dental hygienists practicing in the same geographical area 19 where the applicant currently is then practicing or teaching 20 21 attesting to the applicant's moral character, standing, and 22 ability of the applicant.

"(9) The applicant must provide the board with an official transcript with school seal from the school of dentistry or school of dental hygiene which issued the applicant's professional degree or execute a request and authorization allowing the board to obtain the transcript.

1 "(10) The applicant must be a graduate of a dental 2 or dental hygiene school, college, or educational program 3 approved by the board.

4 "(11) The applicant must not be the subject of any
5 pending or final action from any hospital revoking,
6 suspending, limiting, modifying, or interfering with any
7 clinical or staff privileges.

8 "(12) The applicant must not have been convicted of 9 a felony or misdemeanor involving moral turpitude or of any 10 law dealing with the administering or dispensing of legend 11 drugs, including controlled substances.

"(13) The board may consider or require other
 criteria including, but not limited to, any of the following:

14 "a. Questioning under oath.

17

15 "b. Results of peer review reports from constituent16 dental societies or federal dental services.

"c. Substance abuse testing or treatment.

18 "d. Background checks for criminal or fraudulent19 activities.

20 "e. Participation in continuing education.

21 "f. A current certificate in cardiopulmonary22 resuscitation.

"g. Recent case reports or oral defense of diagnosisand treatment plans.

25 "h. Proof of no physical or psychological impairment 26 that would adversely affect the ability to practice dentistry 27 or dental hygiene with reasonable skill and safety. "i. An agreement to initiate practice within the
 State of Alabama within a period of one year.

3 "j. Proof of professional liability coverage and 4 that coverage has not been refused, declined, cancelled, 5 nonrenewed, or modified.

6 "k. Whether the applicant has been subject to any 7 final disciplinary action in any state in which the individual has been licensed which shall be verified by a query in the 8 National Practitioner Data Bank, the Health Integrity 9 Protection Data Bank, the American Association of Dental 10 Boards Clearing House for Disciplinary Information, any state 11 12 where the applicant has been licensed, or any other pertinent 13 bank currently existing or which may exist in the future.

14 "1. Whether the applicant's DEA registration or any 15 state controlled substances permit has ever been revoked, 16 suspended, modified, restricted, or limited in any way. 17 Provided, however, that any discipline that results only from 18 a failure to timely renew a registration or permit shall not 19 prevent an applicant from being eligible for this method of 120 licensure.

"(14) If all criteria and requirements are satisfied and the board determines, after notice and hearing, that the individual committed fraud or in any way falsified any information in the application process, the license may be revoked by the board.

"(15) In addition to the requirements for applicants
seeking licensure by credentials, an applicant desiring to

practice a specialty only must meet the following requirements:

3 "a. The specialty must be one in a branch of4 dentistry approved by the American Dental Association.

5 "b. The applicant must meet the existing educational
6 requirements and standards set forth by the American Dental
7 Association for that approved specialty.

8 "c. An applicant who chooses to announce or practice 9 a specialty must limit his or her practice exclusively to the 10 announced special area or areas of dental practice.

11 "d. If an applicant who is initially licensed by 12 credentials for a specialty practice decides to renounce his 13 or her specialty and practice general dentistry, and the license originally issued did not require a general dental 14 15 license but rather a specialty license, or the applicant originally passed only a specialty examination, the applicant 16 17 may not practice general dentistry until he or she successfully passes the board's regular general dentistry 18 examination. However, if the applicant has passed a general 19 dentistry examination or has a general dentistry license, was 20 21 practicing a specialty, and decides not to continue that 22 specialty and practice only general dentistry, the applicant 23 is eligible for licensure by credentials as a general dentist.

24 "(e) Notwithstanding the provisions of subsection
25 (a), the board shall issue a special purpose license to
26 practice dentistry across state lines to an applicant who has
27 met the following requirements:

"(1) The applicant holds a full and unrestricted
 license to practice dentistry in any state of the United
 States or in territories, other than the State of Alabama, in
 which the individual is licensed.

5 "(2) The applicant has not had any disciplinary 6 action or other action taken against him or her by any state 7 or licensing jurisdiction. If there has been previous 8 disciplinary or other action taken against the applicant, the 9 board may issue a certificate of qualification if it finds 10 that the previous disciplinary or other action indicates that 11 the dentist is not a potential threat to the public.

12 "(3) The applicant submits an application for a 13 certificate of qualification for a special purpose license to 14 practice dentistry across state lines on a form provided by 15 the board, remits an application fee in an amount established 16 by the board, and pays a fee.

17 "(f) A special purpose license issued by the board to practice dentistry across state lines limits the licensee 18 solely to the practice of dentistry across state lines. The 19 20 special purpose license shall be valid for a period of up to 21 three years, shall expire on a renewal date established 22 determined by the board in the third calendar year after its 23 issuance, and may be renewed upon receipt of a renewal fee as 24 established by the board. Failure to renew a license according 25 to the renewal schedule established by the board shall result 26 in the automatic revocation of the special purpose license to 27 practice dentistry across state lines cause the special

purpose license to be inactive. An applicant may reapply
following automatic revocation for failure to renew for a
special purpose license to practice dentistry across state
lines following placement of the license on inactive status.
The applicant shall meet the qualifications of subsection (e)
in order to be eligible for renewal of the license.

7 "(g) Notwithstanding the provisions of this section, the board shall only issue a special purpose license to 8 practice dentistry across state lines to an applicant whose 9 10 principal practice location and license to practice are located in a state or territory of the United States whose 11 12 laws permit or allow for the issuance of a special purpose 13 license to practice dentistry across state lines or similar 14 license to a dentist whose principal practice location and 15 license are located in another state. It is the stated intent of this section that dentists who hold a full and current 16 17 license in the State of Alabama be afforded the opportunity to obtain, on a reciprocal basis, a license to practice dentistry 18 across state lines in any other state or territory of the 19 20 United States as a precondition to the issuance of a special 21 purpose license as authorized by this section to a dentist 22 licensed in the other state or territory. The board shall 23 determine which states or territories have reciprocal 24 licensure requirements meeting the qualifications of this 25 section.

26 "(h) Any individual who does not qualify for27 licensure pursuant to any of the above subsections but who has

passed an examination approved by the board and possesses a current license in another state is eligible to apply for licensure upon payment of a fee. The board shall have discretion whether to require an examination for any such individual, including the time, place, type, and content of any such examination.

7 "(i) A current license shall mean one in good 8 standing authorizing the individual to practice in the state 9 of issuance.

10

"§34-9-13.

"Every practitioner of dentistry and dental hygiene within the meaning of this chapter shall have in his <u>or her</u> possession a license certificate and an annual registration certificate in the office wherein he <u>or she</u> practices.

15

"§34-9-15.

"(a) No person shall practice dentistry or dental 16 17 hygiene in the State of Alabama unless licensed or permitted by the board and registered annually as required by this 18 chapter. The secretary-treasurer of the board shall mail issue 19 to each licensee an initial registration form which shall 20 21 contain space for the insertion of name, address, date, and 22 number of license certificate, and other information as the 23 board shall deem necessary. The licensee shall sign and verify 24 the accuracy of the registration before a notary public after 25 which he or she shall forward the registration to the 26 secretary-treasurer of the board together with a fee. Each 27 subsequent registration shall be made in electronic format or

1 by United States mail upon the a form as above prescribed 2 except that it need not be verified to be determined by the board. On or before October 1 of each year, every dentist and 3 4 dental hygienist licensed to practice dentistry or dental hygiene in the state shall transmit either online or by United 5 6 States mail to the secretary-treasurer of the board the 7 completed form prescribed by the board, together with a fee established by the board pursuant to this chapter, and receive 8 therefor thereafter the current annual registration 9 10 certificate authorizing him or her to continue the practice of dentistry or dental hygiene in the state for a period of one 11 12 year. Any license and license certificate or permit previously 13 granted under the authority of this chapter or any prior 14 dental practice act shall automatically be suspended if the 15 holder thereof fails to secure the annual registration certificate before January 1, each year. Any dentist or dental 16 17 hygienist whose license or permit is automatically suspended by reason of failure, neglect, or refusal to secure the annual 18 registration certificate shall be reinstated by the board upon 19 payment of the penalty fee plus all accrued annual 20 21 registration fees up to a maximum of five years, accompanied with the prescribed form for annual registration of the 22 23 license or permit. Upon failure of any licensee or permittee 24 to file application for the annual registration certificate and pay the annual registration fee on or before November 30, 25 each year, the board shall notify the licensee or permittee by 26 27 mail addressed to the last address of record that the

1 application and fee have not been received and that, unless the application and fee are received on or before the first 2 day of January, the license and license certificate or permit 3 4 shall be automatically suspended. The board shall notify the licensee or permittee by mail addressed to the last address of 5 record of the effective date of the automatic suspension and 6 7 the provisions for registration of the license or permit. The board shall waive the annual payment of fees herein provided 8 for and issue a current annual registration certificate to any 9 10 licensee or permittee who, because of age or physical disability, has retired from the practice of dentistry or 11 12 dental hygiene or who is suffering a malady of a lingering or 13 permanent nature. The board by rule shall waive annual 14 registration and the payment of fees while any licensee is on 15 temporary active duty with any of the Armed Forces of the United States. The waiver of fees herein provided shall be 16 17 effective so long as the retirement because of age or physical disability or temporary active duty continues. 18

19 "(b) The board shall adopt and promulgate rules and 20 regulations for the adoption of a program of continuing 21 education for its licensees by October 1, 1991. After that 22 date, the successful completion of continuing education 23 program requirements shall be a requisite for renewal of 24 licenses issued pursuant to this chapter.

25

26 "(a) Upon the request of a patient or authorized27 agent of a patient, a dentist shall promptly release to the

"\$34-9-15.1.

patient or his or her authorized agent legible and accurate copies of all records of the patient regardless of how they are generated or maintained. The reasonable costs of reproducing copies shall not be more than the amounts authorized by statute and in the absence of any statutory authority no more than the actual cost of the reproduction.

7 "(b) The release of records under this section shall
8 not be made contingent upon the payment of any fee or charge
9 owed by the patient.

"(c) The provisions of the section shall survive the
closing of a dental office or practice for any reason,
including, but not limited to, <u>sale of practice</u>, any
disciplinary action, retirement, disability, or death.

14 "\$34-9-16.

15 "The board shall establish and collect reasonable 16 fees provided for in this chapter within the ranges set forth 17 below and without having to engage in the rulemaking process:

18	"Description	Not Less Than	Not More Than
19	"Dental Examination Appli-		
20	cation Fee	\$200.00	\$750.00
21	"Dental Examination Fee	\$100.00	\$2,500.00
22	"Dental Examination Mate-		
23	rials Fee	\$200.00	\$500.00
24	"Dental Licensure by Cre-		
25	dentials Application Fee	\$100.00	\$4,000.00

1	"Dental Licensure by Re-		
2	gional Exam Application		
3	Fee	\$100	\$1,000.00
4	"Special Purpose Licensure		
5	Fee	\$200.00	\$750.00
6	" <u>Special Purpose Licensure</u>		
7	<u>Renewal Fee</u>		<u>\$750.00</u>
8	"Dental Annual Registra-		
9	tion Fee	\$130.00	\$500.00
10	"Dental License Reinstate-		
11	ment Penalty	\$250.00	\$500.00
12	"Dental Hygiene Program		
13	Application Fee	\$50.00	\$500.00
14	"Alabama Dental Hygiene		
15	Training Permit Fee	\$0.00	\$450.00
16	"Alabama Dental Hygiene		
17	Training Education Fee	\$175.00	\$600.00
18	"Alabama Dental Hygiene		
19	Program Instructor Certif-		
20	ication Course Fee	\$75.00	\$200.00
21	"Alabama Dental Hygiene		
22	Program Instructional Ma-		
23	terials Fee	\$500.00	\$950.00
24	"Dental Hygiene By Re-	\$55.00	\$75.00

1	gional Exam Application		
2	Fee		
3	"Dental Hygiene Examina-		
4	tion Application Fee	\$50.00	\$500.00
5	"Dental Hygiene Examina-		
6	tion Fee	\$100.00	\$600.00
7	"Dental Hygiene Examina-		
8	tion Materials Fee	\$100.00	\$400.00
9	"Dental Hygiene Licensure		
10	by Credentials Fee	\$0.00	\$2,000.00
11	"Dental Hygiene Annual		
12	Registration Fee	\$55.00	\$75.00 <u>\$150.00</u>
13	"Dental Hygiene License		
14	Reinstatement Penalty	\$100.00	\$200.00
15	"License Certificate Fee	\$25.00	\$500.00
16	"Duplicate <u>or Replacement</u>		
17	License Fee	\$25.00	\$150.00
18	"Dental Faculty Teaching		
19	Permit Fee	\$150.00	\$350.00
20	"Dental Faculty Special		
21	<u>Teaching Permit Fee</u>		<u>\$500.00</u>
22	"Alabama Controlled Sub-		
23	stance Permit Fee	\$125.00	\$400.00
24	"Alabama Controlled Sub-	\$100.00	\$400.00

1	stance Permit Renewal Fee		
2	"General Anesthesia Permit		
3	Fee	\$900.00	\$1,500.00
4	"General Anesthesia Permit		
5	Renewal Fee	\$200.00	\$750.00
6	"Parenteral Sedation Per-		
7	mit Fee	\$900.00	\$1,500.00
8	"Parenteral Sedation Per-		
9	mit Renewal Fee	\$200.00	\$750.00
10	"Oral Conscious Sedation		
11	Permit Fee	\$100.00	\$250.00
12	"Oral Conscious Sedation		
13	Permit Renewal Fee	\$50.00	\$250.00
14	"Mobile Dental Applica-		
15	tion/Inspection Fee	\$750.00	\$1,500.00
16	"Mobile Dental Renewal Fee	\$250.00	\$1,500.00
17	"Mobile Dental Facil-		
18	<u>ity/Portable Dental Opera-</u>		
19	tion Application for Cer-		
20	tificate of Registration		
21	<u>Fee</u>		<u>\$750.00</u>
22	" <u>Mobile Dental Facil-</u>		
23	<u>ity/Portable Dental Opera-</u>		
24	tion Renewal of Certifi-		
25	cate of Registration Fee		\$500.00

1 "§34-9-18.

2 "(a) The board may invoke disciplinary action as outlined in subsection (b) hereof whenever it shall be 3 established to the satisfaction of the board, after hearing as 4 hereinafter provided, that any dentist or dental hygienist has 5 been guilty of the following: 6 7 "(1) Fraud, deceit, or misrepresentation, whether 8 knowingly or unknowingly, in obtaining any license, license 9 certificate, annual registration certificate, money, or other 10 thing of value.

11

"(2) Gross immorality.

"(3) Is a menace to the public health or to patientsor others by reason of a disease.

14 "(4) Is an habitual user of intoxicants or drugs 15 rendering him or her unfit for the practice of dentistry or 16 dental hygiene.

17 "(5) Has been convicted for violation of federal or18 state narcotics or barbiturate laws.

"(6) Is guilty of <u>negligence or</u> gross negligence, as defined by the board, in the practice of dentistry or dental hygiene.

"(7) Is guilty of employing, allowing, or permitting
any unlicensed person or persons to perform any work in his or
her office which, under this chapter, can only be legally done

by a person or persons holding a license to practice dentistry
 or dental hygiene.

3 "(8) Willfully or negligently violates the rules of 4 the State Department of Health or of the board regarding 5 sanitation.

6 "(9) Is guilty of division of fees, or agreeing to 7 split or divide the fee received for dental service with any 8 person for bringing or referring a patient without the 9 knowledge of the patient or his or her legal representative, 10 except the division of fees between dentists practicing in a 11 partnership and sharing professional fees, or in case of one 12 licensed dentist employing another.

"(10) Is guilty of professional connection or association with or lending his or her name to anyone who is engaged in the illegal practice of dentistry <u>or dental</u> <u>hygiene</u>.

17 "(11) Conviction in any court of competent 18 jurisdiction of a felony or a misdemeanor involving moral 19 turpitude.

"(12)a. A dental hygienist using or attempting to use in any manner whatsoever any prophylactic list, call list, records, reprints, or copies of same, or information gathered therefrom, of the names of patients whom the dental hygienist served in the office of a prior employer, unless the names appear upon the bona fide call or prophylactic list of his or her present employer and were caused to appear through the legitimate practice of dentistry <u>or dental hygiene</u> as provided
 for in this chapter.

3 "b. A licensed dentist who aids or abets or
4 encourages a dental hygienist employed by him or her to make
5 use of a so-called prophylactic list or the calling by
6 telephone or by the use of letters transmitted through the
7 mails to solicit patronage from patients formerly served in
8 the office of any dentist employing the hygienist or nurse.

"(13) Pertaining to licensed dentists only, the 9 10 prescribing, administering or dispensing of any controlled substances enumerated in Schedules I through V contained in 11 12 the Alabama Uniform Controlled Substances Act, Chapter 2 of 13 Title 20, or any amendment or successor thereto, or any drug 14 not prescribed for any dentally related condition, for any 15 person not under his or her treatment in the regular practice of his or her profession, or veteran's administration. 16

17 "(14) Irregularities in billing an insurance company or other third party payer for services rendered to a patient. 18 For the purposes of this section irregularities in billing 19 20 shall include: Reporting charges for the purpose of obtaining 21 a total payment in excess of that usually received by the 22 dentist for the services rendered; falsely reporting treatment 23 dates for the purpose of obtaining payment; falsely reporting 24 charges for services not rendered; falsely reporting services 25 rendered for the purpose of obtaining payment; or failing to 26 advise any third party payer that the copayment provisions of

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a contract have been abrogated by accepting the payment received from the third party payer as full payment.

3 "(15) Violating any rule or regulation adopted by
4 the Board of Dental Examiners board.

5 "(16) Has had his or her license to practice 6 dentistry or dental hygiene from another state suspended or 7 revoked based upon acts similar to those described in this 8 section. A certified copy of the record of suspension or 9 revocation of the state making the suspension or revocation 10 shall be conclusive evidence thereof.

11

"(17) Violating any provision of this chapter.

12 "(b) When the board finds any dentist or dental 13 hygienist guilty of any of the grounds set forth in subsection 14 (a), it may enter an order imposing one or more of the 15 following penalties:

16 "(1) Refuse to issue the dentist or dental hygienist 17 license or license certificate <u>permit</u> provided for in this 18 chapter.

19 "(2) Revoke the license <u>or permit</u> of any dentist or
20 dental hygienist.

"(3) Suspend the license <u>or permit</u> of any dentist or
 dental hygienist.

23

"(4) Enter a censure.

"(5) Issue an order fixing a period and terms of
probation best adapted to protect the public health and safety
and to rehabilitate the dentist or dental hygienist.

"(6) Imposition of Impose an administrative fine not
 to exceed one thousand two hundred fifty dollars (\$1,250) five
 thousand dollars (\$5,000) for each count or separate offense.

4 "(7) Imposition of Impose restrictions on the scope
5 of practice.

6 "(8) Imposition of Impose peer review or
7 professional education requirements.

8 "(9) Assessment of <u>Assess</u> the costs of the
9 disciplinary proceedings.

10 "(c) Failure to comply with any final order of the 11 board, including, but not limited to, an order of censure or 12 probation, is cause for suspension or revocation of a license.

"(d) No disciplinary action as outlined in subsection (b) or (c) hereof shall be invoked or entered except after hearing by the board as provided in this chapter, and such order is subject to judicial review as provided by this chapter.

18 "No order of suspension or revocation provided in 19 this section shall be made or entered except after hearing by 20 the board as provided in this chapter, and the order shall be 21 subject to judicial review as provided by this chapter.

"(e) The board may temporarily suspend a special purpose license to practice dentistry across state lines without a hearing on either of the following grounds:

"(1) The failure of the licensee to appear orproduce records or materials as requested by the board.

"(2) The initiation of a disciplinary action against
 the licensee by any state or territorial licensing
 jurisdiction in which the licensee holds a license to practice
 dentistry.

"Notwithstanding any other provision of law, 5 including the Alabama Administrative Procedure Act, the 6 7 temporary suspension provided herein shall remain in effect until either the licensee has complied with the request of the 8 board or the disciplinary action pending against the licensee 9 10 has been terminated in favor of the licensee and the temporary suspension has been terminated by a written order of the 11 12 board. A special purpose license to practice dentistry across 13 state lines is subject to each of the grounds for disciplinary action provided in this section in accordance with the 14 15 procedures of Section 34-9-24 and the Alabama Administrative Procedure Act. 16

17 "(f) Members of the Board of Dental Examiners board, any agent, employee, consultant, or attorney for the board, 18 and the members of any committee of dentists or dental 19 hygienists impaneled by the board, shall be immune from suits 20 21 for any conduct in the course of their official duties with 22 respect to investigations or hearings; provided, that the 23 persons act without malice and in good faith that such 24 investigations or hearings are warranted by the facts, known 25 to them after diligent effort to obtain the facts of the 26 matter relative to the investigations or hearings.

"(g) Nothing in this chapter shall be interpreted to limit or restrict the authority of the board to discipline any dentist licensed to practice in this state who violates this chapter while engaging in the practice of dentistry within this or any other state.

6 "(h) The board shall have the authority to adopt 7 rules imposing a non-disciplinary administrative penalty for 8 designated violations of the Alabama Dental Practice Act <u>this</u> 9 chapter.

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"§34-9-20.

11 "Any person, which word when used in this section 12 shall include all legal entities not licensed to practice 13 dentistry in this state, who shall advertise in any manner to 14 the general public that he or she can or will sell, supply, 15 furnish, construct, reproduce, or repair prosthetic dentures, bridges, plates prostheses (fixed or removable), or other 16 17 appliances to be used or worn as substitutes for natural teeth, or for the regulation thereof, shall be guilty of a 18 misdemeanor, and the circuit courts shall have jurisdiction to 19 20 enjoin such person from so doing.

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"§34-9-21.

22 "<u>(a)</u> Every duly licensed and registered dentist who
23 employs the services of a commercial dental laboratory or
24 private technician for the purpose of constructing, altering,
25 repairing, or duplicating any denture, plate, crown, partial
26 plate, bridge prostheses (fixed or removable), splint, or
27 orthodontic, or prosthetic appliance shall be required to

furnish the commercial dental laboratory or private technician an impression or model taken <u>cast made</u> by the dentist when necessary, together with a prescription setting forth the following:

5 "(1) The name and address of the commercial dental
6 laboratory or private dental technician;.

7 "(2) The patient's name or identification number, 8 and if a number is used the patient's name shall be written 9 upon the duplicate copy of the prescription retained by the 10 dentist7.

11 "(3) The date on which the prescription was 12 written;.

13 "(4) A description of the work to be done, with 14 diagram if necessary,

15 "(5) A specification of the type and quality of 16 materials to be used; and.

17 "(6) The signature of the dentist and his or her18 license number.

"(b) Such prescription shall be made in duplicate 19 form. The duplicate copy shall be retained in a permanent file 20 21 for a period of two years by the dentist, and the original 22 copy shall be retained on a permanent file for a period of two 23 years by the commercial dental laboratory or private 24 technician. Such permanent file of prescriptions to be kept by 25 such dentists, commercial dental laboratory, or private 26 technician shall be open to inspection at any reasonable time 27 by the board or its duly constituted agent. Failure of the

dentist, commercial dental laboratory, or private technician to keep such permanent records of prescriptions which are identifiable with each denture, plate, partial plate, bridge prostheses (fixed or removable), splint, or orthodontic, or prosthetic appliance shall be prima facie evidence of a violation of this chapter and shall constitute and be punishable as a misdemeanor.

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"§34-9-22.

"Whoever sells or offers to sell a diploma 9 10 conferring a dental or dental hygiene degree, or a license 11 certificate or annual registration certificate granted 12 pursuant to this chapter or prior dental act, or procures such 13 diploma or license certificate or annual registration 14 certificate with intent that it shall be used as evidence of 15 the right to practice dentistry or dental hygiene as defined 16 by law, by a person other than the one upon whom it was conferred or to whom such license certificate or annual 17 registration certificate was granted, or with fraudulent 18 intent alters such diploma or license certificate or annual 19 registration certificate, or uses or attempts to use it when 20 21 it is so altered shall be deemed guilty of a misdemeanor. The 22 board may impose any of the penalties outlined in Section 23 34-9-18 against any person found guilty of making a false 24 statement or cheating, or of fraud or deception either in 25 applying for a license, a license certificate, or annual 26 registration or in taking any of the examinations provided for 27 herein.

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"§34-9-24.

2 "No action to revoke or suspend a license or permit shall be taken until the licensee or permittee has been 3 4 furnished a statement in writing of the charges against him or her, together with a notice of the time and place of the 5 6 hearing. The statement of charges and notice shall be served 7 upon the licensee or permittee at least 20 days before the date fixed for hearing, either personally or by registered or 8 certified mail sent to his or her last known physical home or 9 office address or post-office address, or any combination of 10 11 them.

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"§34-9-26.

13 "No person shall practice as a dental hygienist in 14 this state until such person has passed an examination given 15 by the board or approved by the board, or both, under rules and regulations as the board may promulgate and the payment of 16 17 a fee. The board shall issue licenses and license certificates as dental hygienists to those persons who have passed the 18 examination and have been found qualified by the board. The 19 20 license certificate and annual registration certificate shall 21 be displayed in the office in which the dental hygienist is employed. No person shall be entitled to a license and license 22 23 certificate unless the person is 19 years of age and of good 24 moral character. Each applicant for examination and license as a dental hygienist shall be a graduate of a school of dental 25 26 hygiene which has been approved by the board, or in lieu thereof, shall have served as a dental assistant for a period 27

1 of time established by board rule and shall have served at 2 least one year as a dental hygienist trainee under a training permit issued by the board to a qualified dentist practicing 3 4 in this state in accordance with the dental hygienist training program established by the Board of Dental Examiners of 5 Alabama. Any person practicing in violation of this section 6 7 shall be quilty of a misdemeanor, and the board may impose the penalties outlined in Section 34-9-18 for such violation. 8

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"§34-9-27.

10 "A dental hygienist shall work only under the direct supervision of a duly licensed dentist practicing in this 11 12 state. Dental hygienists may take make, develop, and mount 13 oral X-rays radiographs; remove calcareous deposits, 14 accretions, or stains from the teeth, perform any intra-oral 15 procedures allowed by rule or regulation of the Board of Dental Examiners of Alabama and assist a licensed or permitted 16 17 dentist in his or her practice. Any person licensed by the board under this section who has completed the curriculum for 18 dental hygienists at a dental school approved by the board 19 shall have the right to use the title registered dental 20 21 hygienist or the abbreviations abbreviation thereof, "R.D.H." 22 appended to his or her name signifying the license conferred. 23 The board may impose any of the penalties outlined in Section 34-9-18 against any dentist who shall permit any dental 24 25 hygienist working under his or her supervision to perform any 26 operation other than those permitted under the provisions of 27 this section, and may impose the penalties outlined in Section 34-9-18 against any dental hygienist who shall perform any
 operation other than those permitted under this section.

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"§34-9-28.

4 "It shall be the duty of all licensed dental 5 hygienists to notify the secretary-treasurer of the board, in writing, of any change of address or employer and have issued 6 7 to them an annual registration certificate by the board. Any dental hygienist whose license shall be automatically 8 suspended by reason of failure, neglect, or refusal to secure 9 10 the annual registration certificate may be reinstated by the 11 board upon payment of the penalty fee plus the current year's 12 registration fee. The form and method provided for in Section 13 34-9-15 shall apply to the annual registration of dental 14 hygienists.

15

"§34-9-40.

"(a) In order to accomplish the purposes and to 16 17 provide for the enforcement of this chapter, there is hereby created the Board of Dental Examiners of Alabama. The board is 18 19 hereby vested with the authority to carry out the purposes and enforce the provisions of this chapter. On June 24, 1959, the 20 21 members of the present board now in existence shall hold 22 office for the remainder of their respective terms for which 23 they have been elected and thereafter until their successors 24 are elected and qualified and shall constitute the board under this chapter. The board shall consist of six dentists who 25 shall be selected in the method set forth herein all of whom 26 27 having been actively engaged in the practice of dentistry in

1 the State of Alabama for at least five years next preceding 2 the date of their election and one dental hygienist elected at-large as provided in subsection (b). Each member of the 3 4 board shall be a citizen of this state. No member of the board shall be a member of the faculty of any dental school, dental 5 college, dental hygiene school, or dental hygiene college or 6 7 receive any financial benefits for teaching in any dental school, dental college, dental hygiene school, or dental 8 hygiene college or have a financial interest in a commercial 9 10 dental laboratory or a dental supply business. Beginning in October 2009, and every five years thereafter, one One member, 11 12 who is qualified as provided herein, shall be selected by the 13 Alabama Dental Society no later than July 1, 2009, and every 14 five years thereafter. As for all elections of members, any 15 group of 10 or more licensed dentists, residing and practicing dentistry in the state, may nominate a candidate for the 16 17 position of board member by submitting a petition bearing their signatures to the secretary of the board to be 18 postmarked not no later than the first day of July in the year 19 of the election. The board shall cause the election ballots to 20 21 be mailed or published digitally not later than September 1 in 22 the year of the election to all the licensed dentists residing 23 and practicing in the state and currently registered as 24 prescribed by law, along with the annual registration form for 25 the forthcoming fiscal year. Both the annual registration form 26 and fee must accompany the separately sealed ballot that shall be postmarked, or otherwise submitted electronically, no later 27

1 than October 1 and returned to the secretary of the board no 2 later than the first board workday following October 1 each year, ballots being nullified unless accompanied by or 3 4 electronically filed with completed annual registration form and annual registration fee. Three members of the board shall 5 be present at the canvassing of time the ballots, digital or 6 7 physical, are tallied. Any candidate receiving a majority of the votes shall be declared elected to the board and will take 8 the oath of office on or before October 15 in the year of his 9 10 or her election. In the event no candidate receives a majority of the votes cast, the board shall conduct a run-off election 11 12 between the two candidates receiving the largest number of 13 votes. The board shall cause the ballots pertaining to the 14 run-off election to be mailed or digitally published on or before October 15 31 of the election year to all the licensed 15 16 dentists residing and practicing in the state and currently 17 registered as prescribed by law, and the ballots pertaining to the run-off election shall be postmarked or digitally 18 published no later than the first fourteenth day of November 19 20 in the year of the run-off election and received, if mailed, 21 by the secretary of the board no later than the first board 22 workday following the first fourteenth day of November. All 23 mailed ballots received after this date shall be nullified. In 24 the event of a run-off election, the candidate receiving the 25 largest number of votes in the run-off election shall be 26 declared elected to the board and shall immediately take the 27 oath of office and begin his or her term of office no later

1 than the next scheduled board meeting. Every member elected 2 shall hold office for a period of five years, which terms shall begin immediately upon taking an oath to properly and 3 4 faithfully discharge the duties of his or her office and until his or her successor is elected and qualified, and the member 5 6 so elected shall not at the expiration of the term be eligible 7 to succeed himself or herself. The membership of the board shall be inclusive and reflect the racial, gender, geographic, 8 9 urban/rural, and economic diversity of the state. Except for 10 the board member position selected by the Alabama Dental Society, vacancies on the board shall be filled by the board 11 12 by the appointment of the immediate past member of the board, 13 and if for any reason the immediate past member of the board 14 is unable to accept the appointment, then the board shall fill 15 the vacancy by appointment of the most recent past board member who is willing to accept the appointment. If no past 16 17 board member accepts the appointment, then the board may, by unanimous majority vote, appoint any licensed dentist 18 qualified under the provisions of this chapter. In the event 19 of a vacancy in the position selected by the Alabama Dental 20 21 Society, the Alabama Dental Society shall select a dentist who is qualified as provided herein to fill the vacancy. Members 22 23 of the board shall be removed by a two-thirds vote of the 24 registered dentists in the state for neglect of duty or any 25 just cause, by petition to the secretary of the board by 10 26 percent of the licensed dentists in the state. On or before

July 1, 1962, the board shall send a copy of this section to
 all licensed dentists in the state.

"(b)(1) One member of the board shall be a licensed 3 4 dental hygienist. The dental hygienist member shall be of good moral and ethical character and shall have been actively 5 6 engaged in the practice of dental hygiene in the State of 7 Alabama for at least five years preceding the date of election. No dental hygienist member shall be a member of the 8 faculty of any dental school, dental college, dental hygiene 9 10 school, or dental hygiene college or receive any financial benefits for teaching in any dental school, dental college, 11 12 dental hygiene school, or dental hygiene college or have a 13 financial interest in a commercial dental laboratory or dental 14 supply business while serving on the board.

15 "(2) The dental hygienist member shall be elected as 16 follows:

17 "a. Any group of 10 or more licensed dental hygienists, residing and practicing dental hygiene in the 18 State of Alabama, may nominate a candidate for the dental 19 hygienist position by submitting a petition bearing their 20 21 signatures to the secretary of the board no later than the 22 first day of July in the year of an election. The board shall 23 cause election ballots to be mailed or published digitally no 24 later than September 1 in the year of an election to all the licensed dental hygienists residing and practicing in the 25 26 state and currently registered as prescribed by law. Both the 27 annual registration form and the registration fee must

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1 accompany a separately sealed the ballot that shall be 2 postmarked or otherwise submitted electronically no later than October 1 and returned to the secretary of the board no later 3 4 than the first board workday following October 1 each year, and the ballots will be nullified unless the voter has 5 complied with Section 34-9-28, concerning annual registration 6 7 accompanied by or electronically filed with a completed annual registration form and the annual registration fee. 8

"b. Three members of the board shall be present at 9 the canvassing of time the ballots, digital or physical, are 10 tallied. Any candidate receiving a majority of the votes shall 11 12 be the dental hygienist member declared elected to the board and shall take the oath of office on or before October 15 in 13 the year of his or her election. In the event no candidate 14 15 receives a majority of the votes cast, the board shall conduct a run-off election between the two candidates receiving the 16 17 largest number of votes. The board shall cause the ballots pertaining to any run-off election to be mailed or digitally 18 published on or before October $\frac{15}{15}$ 31 of the election year to 19 20 all licensed dental hygienists residing and practicing in the 21 state and currently registered as prescribed by law, and the 22 ballots pertaining to the run-off election shall be postmarked 23 or digitally submitted no later than the fourteenth day of 24 November 1 in the year of the run-off election and received, 25 if mailed, by the secretary of the board no later than the 26 first board workday following November 1 14. Ballots All 27 mailed ballots received after November 1 14 shall be

nullified. In the event of a run-off election, the dental hygienist <u>candidate</u> receiving the largest number of votes in the run-off election shall be declared elected to the board and shall <u>immediately</u> take the oath of office and begin his or her term of office <u>no later than the next scheduled board</u> <u>meeting</u>.

7 "c. All elections as described above shall be8 conducted by the board.

9 "(3) The dental hygienist member shall be removed by 10 a two-thirds vote of the registered dental hygienists in the 11 state for neglect of duty or any just cause by petition to the 12 secretary of the board by 10 percent of the licensed dental 13 hygienists in the state.

14 "(4) The dental hygienist member shall hold that position for a period of five years, which term shall begin 15 immediately upon taking an oath to properly and faithfully 16 17 discharge the duties of his or her office and continue until his or her successor is elected and qualified, and the member 18 so elected shall not at the expiration of the term be eligible 19 to succeed himself or herself. If a vacancy occurs in the 20 21 position of dental hygienist, the unexpired term shall be 22 filled by the board by the appointment of the immediate past 23 dental hygienist member. If for any reason the immediate past 24 dental hygienist member is unable to accept the appointment, 25 then the board shall fill the vacancy by a unanimous majority 26 vote of the other board members by the appointment of some 27 other past dental hygienist member. If a vacancy occurs and

there is not an immediate past dental hygienist member or other past dental hygienist member, the vacancy shall be filled by a unanimous vote of the board by the appointment of some otherwise qualified dental hygienist.

"(5) The dental hygienist member shall advise the 5 6 board on matters relating to dental hygiene and shall only be 7 permitted to vote on matters relating to dental hygiene. The board shall provide the dental hygienist member with timely 8 9 notice of all board meetings and the dental hygienist member 10 shall be allowed to attend all meetings unless prohibited by law from attendance at any disciplinary hearings. The board 11 12 shall not adopt any rule relating to the practice of dental 13 hygiene unless the proposed rule has been submitted to the 14 dental hygienist member for review and comment at least 30 15 days prior to its adoption. The dental hygienist member shall 16 be entitled to the same compensation and expenses paid to 17 dentist members of the board pursuant to Section 34-9-41.

"(c) Any dentist or dental hygienist who has been 18 found quilty of violating this chapter or any provision of a 19 dental practice act of any other state and as a result his or 20 21 her license was revoked, suspended, or placed on probation or 22 who has been convicted of a felony, shall not be eligible for 23 election or membership on the board for a period of five years 24 from the termination of any such revocation, suspension, or 25 probation.

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26 "$34-9-41.
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1 "The board shall annually elect from its membership 2 a president, vice-president, and secretary-treasurer and may employ a secretary staff members who is are not a member 3 4 members of the board, and it shall not be necessary that the 5 secretary be a dentist. The board shall have a common seal. 6 The board shall hold an annual meeting in Birmingham at the 7 University of Alabama School of Dentistry as soon as practical after the graduation exercises of the dental school for the 8 purpose of examining or participating in the regional 9 10 examination of applicants for a license to practice dentistry and dental hygiene or at such other times and places as the 11 12 board may designate for the purpose of transacting its 13 business and examinations. A majority of the board shall 14 constitute a quorum for the transaction of business at any meeting except that in conducting hearings involving any of 15 the penalties outlined in Section 34-9-18, no less than five 16 17 members of the board shall be present. In conducting hearings involving any of the penalties outlined in Section 34-9-18, a 18 majority of the board may appoint any former member of the 19 20 board who for such purposes shall have all the powers and 21 privileges of such office as a regular board member possesses. 22 In conducting or participating in exams, a majority of the board may appoint any former member of the board or such other 23 24 licensed practicing dentists from a jurisdiction recognized by 25 the board who for such purposes shall have all the powers and 26 privileges of such office as a regular board member possesses. 27 Out of the funds of the board the members thereof shall

1 receive as compensation a sum to be fixed by the board for 2 each day actively engaged in the duties of their office, and in addition board members shall receive the same per diem and 3 4 travel allowance as is paid by law to state employees for each day actively engaged in the duties of their office. The 5 6 secretary-treasurer shall receive such compensation as may be 7 fixed by the board, which shall be in addition to his or her per diem and expenses, provided no per diem or expenses shall 8 be allowed unless his or her duties require his or her absence 9 10 from his or her office. The secretary shall receive such 11 compensation as may be fixed by the board. The 12 secretary-treasurer shall be custodian of all property, money, 13 records and the official seal of the board. All money received 14 by the board under this chapter shall be paid to and received by the secretary-treasurer of the board. The 15 16 secretary-treasurer shall deposit to the credit of the board 17 all funds paid to the board in a bank selected by its members. The board is authorized to expend such funds as shall be 18 necessary to enforce the provisions of this chapter; to pay 19 20 salaries, expenses and other costs herein provided; to promote 21 the arts and science of dentistry; and for such other purposes 22 as the board shall consider to be in the best interest of 23 dentistry in this state. All the costs herein provided for shall be paid by checks drawn by the secretary-treasurer and 24 25 countersigned by the president of the board; except the board 26 may authorize the administrative secretary or the executive 27 director to sign checks for costs that do not exceed a

1 monetary limit to be set by the board in its rules. Should the 2 property be other than money, the secretary-treasurer shall provide for the safekeeping thereof for the use of the board. 3 4 All money, including license fees, annual renewal license certificate fees, examination fees and any and all other fees 5 6 and receipts under the provisions of this chapter, are hereby 7 appropriated to the Board of Dental Examiners to be used as herein provided. 8

9

"§34-9-42.

10 "The secretary-treasurer of the board shall give bond in such sum as may be prescribed by the board, 11 12 conditioned to faithfully and honestly discharge the duties of 13 the office according to law, which bond shall be made payable to the Board of Dental Examiners of Alabama and held in the 14 custody of the president of the board. The secretary-treasurer 15 of the board shall compile an annual report which shall 16 17 contain an itemized statement of all money received and disbursed and a summary of the official acts of the board 18 19 during the preceding year, and the report shall have attached 20 thereto a certified report and audit made by a certified 21 public accountant of the State of Alabama. A copy of the 22 report and audit shall be filed of record in the office of the 23 Department of Finance of the State of Alabama, and a copy 24 shall be retained by the secretary-treasurer to be rendered 25 upon request, to the dentists at large in the State of 26 Alabama. The board may affiliate with the American Association 27 of Dental Boards, may pay dues to the the association and may

1	send all members of the board to the meetings of the
2	association. Such delegates may receive the per diem herein
3	provided for attending such meetings and reimbursement for
4	necessary expenses audited and allowed by the board.
5	"§34-9-43.
6	" <u>(a)</u> The board shall exercise, subject to this
7	chapter, the following powers and duties:
8	"(1) Adopt rules for its government as deemed
9	necessary and proper.
10	"(2) Prescribe rules for qualification and licensing
11	of dentists and dental hygienists.
12	"(3) Conduct or participate in examinations to
13	ascertain the qualification and fitness of applicants for
14	licenses as dentists and dental hygienists.
15	"(4) Make rules and regulations regarding
16	sanitation.
17	"(5) Formulate rules and regulations by which dental
18	schools and colleges are approved, and formulate rules and
19	regulations by which training, educational, technical,
20	vocational, or any other institution which provides
21	instruction for dental assistants, dental laboratory
22	technicians, or any other paradental personnel are approved.
23	"(6) Grant licenses, issue license certificates,
24	teaching permits, and annual registration certificates in
25	conformity with this chapter to such qualified dentists and
26	dental hygienists.

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"(7) Conduct hearings or proceedings to impose the
 penalties specified in Section 34-9-18.

"(8)a. Employ necessary persons to assist in
performing its duties in the administration and enforcement of
this chapter, and to provide offices, furniture, fixtures,
supplies, printing, or secretarial service to these persons
and expend necessary funds.

"b. Employ an attorney or attorneys, subject to the 8 approval of the Attorney General, to advise and assist in the 9 10 carrying out and enforcing of the provisions of this chapter. 11 Provided, however, if the board contracts with an outside 12 attorney to be general counsel to the board, that attorney or 13 any member of a law firm with which he or she is associated 14 shall not function as the board's prosecutor at disciplinary 15 hearings.

16 "(9)a. Investigate alleged violations of this 17 chapter and institute or have instituted before the board or 18 the proper court appropriate proceedings regarding the 19 violation.

"b. Authorize and employ investigators who comply 20 21 with the Peace Officers' Minimum Standards and Training Act to 22 exercise the powers of a peace officer in investigating 23 alleged violations of the drug or controlled substances laws 24 by persons licensed pursuant to this chapter, including the 25 powers of arrest and inspection of documents. These 26 investigators shall not be paid a subsistence allowance by the 27 board.

"(10) Adopt rules and regulations to implement this
 chapter.

"(11) Publish, on a quarterly basis, all minutes, 3 4 except minutes of executive sessions, financial reports, schedules of meetings, including anticipated executive 5 6 sessions, and other pertinent information on the board's 7 website no later than 90 days following the date of occurrence. In addition, publish or post annually the rules 8 and regulations promulgated by the board, a copy of the Dental 9 10 Practice Act, and a list of all persons licensed to practice under this chapter. 11

"(12) Attend meetings, seminars, work shops
workshops, or events that may improve the function and
efficiency of the board or improve the ability of the board to
enforce and administer this chapter.

"(b) The board, in exercising its powers and duties,
 shall adhere to guidelines and proceedings of the State Ethics
 Commission as provided in Chapter 25 of Title 36. The board
 may adopt rules for the purpose of establishing additional
 athical muidalines

20 <u>ethical guidelines.</u>

21

"§34-9-44.

"The secretary-treasurer of the board shall keep a registry in which shall be entered the names of all persons to whom license certificates have been granted under this chapter, the numbers of such license certificates, the dates of granting the same and other matters of records, and he or she shall keep a true and correct copy of the minutes of all

1 board meetings, and the book so provided and kept shall be the 2 official book of records. A photostatic copy of the records or a copy of the records certified by the secretary-treasurer and 3 4 under the seal of the board shall be admitted in any of the courts of this state as prima facie evidence of the facts 5 6 contained in the records and in lieu of the original thereof. 7 A certificate under the hand of the secretary-treasurer and the seal of the board that there is not entered in such record 8 books the name and number of and date of granting such license 9 certificate to a person charged with a violation of any of the 10 provisions of this chapter shall be prima facie evidence of 11 12 the facts contained therein. Such certificates shall be 13 admitted in any of the courts of this state in lieu of the 14 records of the board. The original books, records, and papers 15 of the board shall be kept at the office of the secretary-treasurer of the board, which office shall be at 16 17 such place as may be designated by the board.

18

"§34-9-46.

"In all matters pending before it, the board shall 19 have the power to issue subpoenas and compel the attendance of 20 21 witnesses and the production of all necessary papers, books, 22 and records, documentary evidence and materials or other 23 evidence. Any person failing or refusing to appear or testify 24 regarding any matter about which he or she may be lawfully 25 questioned or to produce any papers, books, records, 26 documentary evidence, or materials or other evidence in the 27 matter to be heard, after having been required by order of the

1 board or by a subpoena of the board to do so, may, upon 2 application by the board to any circuit judge of the State of Alabama, be ordered to comply therewith; and, upon failure to 3 4 comply with the order of the circuit judge, the court may compel obedience by attachment as for contempt as in case of 5 6 disobedience of a similar order or subpoena issued by the 7 court. The president and secretary-treasurer Any member of the board shall have authority to issue subpoenas, and any board 8 9 member shall have authority to administer oaths to witnesses, 10 or to take their affirmation. A subpoena or other process of 11 paper may be served upon any person named therein, anywhere within the State of Alabama with the same fees and mileage by 12 13 any officer authorized to serve subpoenas or such other 14 process or paper in civil actions, in the same manner as is 15 prescribed by law for subpoenas issued out of the circuit courts of this state, the fees and mileage and other costs to 16 17 be paid as the board directs.

18

"§34-9-60.

"Any person licensed <u>or permitted</u> to practice
dentistry in the State of Alabama shall be authorized to use
anesthesia in accordance with the provisions of this section.

"(1) All dentists are authorized to use localanesthesia.

"(2) Twelve months after May 29, 1985, no dentist
shall use general anesthesia on an outpatient basis for dental
patients, unless such dentist possesses a permit of
authorization issued by the Board of Dental Examiners.

"a. In order to receive such permit, the dentist must apply on a prescribed application form to the Board of Dental Examiners, submit an application fee, and produce evidence showing that he or she:

5 "1. Has completed a minimum of one year of advanced 6 training in anesthesiology and related academic subjects (or 7 its equivalent) beyond the undergraduate dental school level 8 in a training program as described in Part II of the 9 guidelines for teaching the comprehensive control of pain and 10 anxiety in dentistry; or

"2. Is a diplomate of the American Board of Oral and Maxillofacial Surgery, or is eligible for examination by the American Board of Oral and Maxillofacial Surgery, or is a member of the American Association of Oral and Maxillofacial Surgeons; or

16 "3. Employs or works in conjunction with a qualified 17 medical doctor who is a member of the anesthesiology staff in 18 an accredited hospital, provided that such anesthesiologist 19 must remain on the premises of the dental facility until any 20 patient given a general anesthetic regains consciousness and 21 is discharged; and

"4. Has a properly equipped facility for the administration of general anesthesia staffed with a supervised team of auxiliary personnel capable of reasonably assisting the dentist with procedures, problems, and emergencies incident thereto. Adequacy of the facility and competence of the anesthesia team shall be determined by the Board of Dental
 Examiners as outlined below.

"b. Prior to the issuance of such permit, the Board 3 4 of Dental Examiners, at its discretion, may require an on-site inspection of the facility, equipment, and personnel to 5 determine if, in fact, the aforementioned requirements have 6 7 been met. This evaluation shall be carried out in a manner prescribed by the board. The evaluation shall be conducted by 8 a team of three examiners appointed by the Board of Dental 9 10 Examiners. These examiners shall be dentists who are authorized to administer general anesthesia. If the results of 11 12 the initial evaluation are deemed unsatisfactory, upon written 13 request of the applicant, a second evaluation shall be 14 conducted by a different team of examiners the applicant may reapply for a permit subject to the correction of the 15 deficiencies outlined in the original evaluation. 16

17 "(3) Each dentist who is licensed to practice dentistry in the state on May 29, 1985, who desires to 18 continue to use general anesthesia shall make application on 19 the prescribed form to the Board of Dental Examiners within 12 20 21 months of May 29, 1985. If he or she meets the requirements of 22 this section, he or she shall be issued such a permit. If the 23 applicant does not meet the requirements of paragraph a. of 24 subdivision (2) of this section, he or she may be entitled to 25 a "general anesthesia permit" provided said applicant passes 26 to the satisfaction of the board an on-site inspection as

provided for in paragraph b. of subdivision (2) of this section.

"(4) Each dentist who has not been using general 3 4 anesthesia prior to May 29, 1985, may be granted by the board a temporary provisional permit based on the applicant's 5 6 producing evidence that he or she has complied with paragraph 7 a. of subdivision (2) of this section above pending complete processing of the application and thorough investigation of an 8 on-site evaluation as described in paragraph b. of subdivision 9 10 (2) of this section.

11

"§34-9-63.

12 "The issuance of a permit for general anesthesia 13 shall include the privilege of administering parenteral sedation in accordance with this section. The issuance of a 14 15 permit for parenteral sedation shall include the privilege of administering intravenous sedation. All current intravenous 16 17 sedation permit holders are entitled to a parenteral sedation permit subject to the renewal and regulatory provisions 18 afforded to the Board of Dental Examiners by this chapter. The 19 term parenteral sedation shall not include the use or 20 21 regulation of nitrous oxide.

"(1) After August 1, 1993, no No dentist shall use
parenteral sedation on an outpatient basis for dental patients
unless the dentist possesses a permit of authorization issued
by the board. The dentist applying for or holding the permit
shall be subject to on-site inspections as provided in
paragraph b. of subdivision (2) of Section 34-9-60.

"a. In order to receive the permit, the dentist
 shall:

3 "1. Apply on a prescribed application form to the4 board.

5

"2. Submit a fee.

6 "3. Produce evidence showing that he or she has 7 satisfied each of the following requirements:

"(i) Received formal training in the use of 8 parenteral sedation from a board approved training program, is 9 10 competent to handle all emergencies relating to parenteral 11 sedation, and is currently certified in cardiopulmonary 12 resuscitation. The certification of the formal training shall 13 specify the total number of hours, the number of didactic hours, and the number of patient contact hours. The required 14 15 number of didactic hours and patient contact hours shall be determined by the board. 16

17 "(ii) Equipped a proper facility for the 18 administration of parenteral sedation, staffed with a 19 supervised team of auxiliary personnel capable of reasonably 20 assisting the dentist with procedures, problems, and 21 emergencies incident to the sedation procedure.

22 "b. Adequacy of the facility and the competency of23 the sedation team shall be determined by the board.

24 "c. Prior to the issuance of a permit, the board may 25 require an on-site inspection of the facility, equipment, and 26 personnel to determine if the requirements of this section have been met. This evaluation shall be performed as provided
 in subdivision (2) of this section.

"(2) Each dentist who is licensed to practice 3 4 dentistry in the state on or after August 1, 1993, who desires 5 to continue to use parenteral sedation shall make application on the prescribed form to the board within 12 months of August 6 7 1, 1993. If he or she meets the requirements of this section, or currently holds a valid intravenous sedation permit, he or 8 9 she shall be issued such a permit subject to all renewal and 10 regulatory requirements of Section 34-9-64. If the applicant does not meet the requirements of paragraph a. of subdivision 11 12 (1) of this section, or does not currently hold a valid 13 intravenous sedation permit, he or she may be entitled to a 14 "parenteral sedation permit" if the applicant passes, to the 15 satisfaction of the board, an on-site inspection. The 16 inspection shall ascertain that the dentist has a properly 17 equipped facility for the administration of parenteral sedation, staffed with a supervised team of auxiliary 18 personnel capable of reasonably assisting the dentist with 19 20 incidental procedures, problems, and emergencies.

"The board, in conducting the on-site inspection and evaluations required in this section, shall appoint a team of <u>up to</u> three examiners who shall be dentists certified to administer parenteral sedation in accordance with this article.

26 "(3) A dentist utilizing parenteral sedation and the27 auxiliary personnel of the dentist shall be currently

certified in cardiopulmonary resuscitation <u>and be trained in</u>
 <u>advanced cardiac life support</u>.

3 "(4) Each dentist who has not been using parenteral 4 sedation prior to August 1, 1993, may, pending complete 5 processing of an application and a thorough on-site 6 evaluation, may be granted a <u>one</u> temporary provisional permit 7 by the board <u>at a time</u>, if the applicant produces evidence 8 that he or she has complied with this section.

9

"§34-9-82.

10 "(a) A dentist using oral conscious sedation must 11 comply with all of the following requirements:

"(1) Patients to be treated under oral conscious sedation must be suitably evaluated prior to the start of any sedation procedure. Using the American Society of Anesthesiologists Patient Physical Status classifications the dentist should determine that the patient is an appropriate candidate for oral conscious sedation.

18 "(2) The patient or guardian must be advised 19 regarding the procedure associated with the delivery of any 20 sedative agents and the appropriate <u>written</u> informed consent 21 should be obtained.

"(3) Inhalation equipment used in conjunction with oral conscious sedation must be evaluated prior to use on each patient. Determination of adequate oxygen supply must be completed prior to use with each patient. 1 "(4) Appropriate verbal or written preoperative and 2 postoperative instructions must be given to the patient or 3 guardian.

4 "(5) Baseline vital signs should be obtained unless
5 the patient's behavior prohibits such determination.

6 "(6) Pretreatment physical evaluation should be 7 performed as deemed appropriate.

8 "(7) All medications and dosages used during an oral 9 conscious sedation procedure must be recorded in the patient's 10 record of treatment.

"(8) An emergency cart or kit must be readily accessible and must be available for immediate use during any sedation procedure.

14 "(9) The only classification of drugs for sedation 15 to be administered enterally by a responsible adult 16 procedurally outside the treatment facility is minor 17 tranquilizers. Minor tranquilizers (i.e., hydroxyzine or 18 diazepam) do not include chloral hydrate or narcotics.

"(10) Direct clinical observation and monitoring of the patient by a staff member must be continuous during the recovery period. The dentist shall assess the patient's responsiveness and must determine that the patient has met discharge criteria prior to leaving the office and the patient must be discharged into the care of a responsible person.

"(b) It shall be incumbent upon the operating
dentist to insure that the patient is appropriately monitored.
A sedated patient must be continuously kept under direct

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clinical observation by a trained individual. The sedated patient's oxygen saturation must be monitored by pulse oximetry. Chest excursions must be observed and the color of mucosa and skin continually evaluated. Back-up emergency services should be identified and a protocol outlining necessary procedures for their immediate employment should be developed and operational for each facility.

"(c) Any dentist utilizing oral conscious sedation 8 procedures must have a properly equipped facility staffed with 9 10 a supervised team of allied dental personnel who will be 11 appropriately trained and capable of reasonably assisting the dentist with procedures, problems, and emergencies incident 12 13 thereto. When inhalation equipment is used, in combination with orally administered sedatives, it must have a fail safe 14 15 failsafe system that is appropriately checked and calibrated. The inhalation equipment must have the capacity for delivering 16 17 100 percent oxygen, and never less than 25 percent oxygen. A system for delivering oxygen must be available and must have 18 adequate full-face mask and appropriate connectors, and be 19 capable of delivering oxygen under positive pressure to the 20 21 patient. Inhalation equipment must have a scavenging system. Suction equipment must be available that allows aspiration of 22 23 the oral and pharyngeal cavities. A stethoscope and a sphygmomanometer with cuffs of appropriate size shall be 24 25 immediately available.

26 "\$34-9-89.

"When oral conscious sedation is used on any patient 1 2 under 12 years of age, the following provisions shall apply: "(1) The drugs, dosages, and techniques used should 3 carry a margin of safety which is unlikely to render the 4 patient noninteractive and nonarousable. 5 6 "(2) In offices where pediatric patients are 7 treated, appropriately Appropriately sized emergency equipment must be available." 8 Section 2. This act shall become effective on the 9 10 first day of the third month following its passage and 11 approval by the Governor, or its otherwise becoming law.