- 1 HB451
- 2 129801-3
- By Representatives Henry, Lindsey, Boyd, Hill, Galliher,
- 4 McClurkin, Newton (D), Wallace, Beech, Melton, England,
- 5 McMillan, Mitchell, Gaston, Collins, Nordgren, Fincher,
- 6 McCutcheon, Treadaway, Morrow, Sanderford, Farley, Tuggle,
- McAdory, Patterson, Baughn, Roberts, Williams (D), Burdine,
- 8 Davis, Todd, Moore (M), Rogers, Robinson (O), Scott, Newton
- 9 (C), Givan, Poole, Faust, Knight, McClammy, Thomas,
- 10 McCampbell, Colston, Love, Grimsley, Howard, Beckman, Forte,
- Hammon, Mask, Chesteen, Greeson, Shiver, Johnson (K), Laird,
- 12 Coleman, Black, Warren, Hurst, Moore (B), Bridges, Jones,
- DeMarco, Boman, Boothe, Greer, Johnson (R), Long, Millican and
- 14 Williams (J)
- 15 RFD: Children and Senior Advocacy
- 16 First Read: 05-APR-11

1	<u>ENGROSSED</u>
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4	A BILL
5	TO BE ENTITLED
6	AN ACT
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8	Relating to the practice of dentistry and dental
9	hygiene; to amend Sections 34-9-2, 34-9-3, 34-9-5, 34-9-6,
10	34-9-6.1, 34-9-7, 34-9-8, 34-9-9, 34-9-10, 34-9-13, 34-9-15,
11	34-9-15.1, 34-9-16, 34-9-18, 34-9-20, 34-9-21, 34-9-22,
12	34-9-24, 34-9-26, 34-9-27, 34-9-28, 34-9-40, 34-9-41, 34-9-43,
13	34-9-44, 34-9-60, 34-9-63, 34-9-82, and 34-9-89 of the Code of
14	Alabama 1975, to provide legislative intent; to reference both
15	licensed and permitted dentists and dental hygienists; to
16	remove the maximum fine limitation for violation of the Dental
17	Practice Act; to provide for the regulation of bleaching of
18	human teeth; to provide further for the regulation of both
19	mobile dental facilities and portable dental operations; to
20	provide for dental faculty teaching certificates and dental
21	faculty special teaching permits; to specify the authority of

27 provide further for the submission of affidavits by licensed

certain exempt entities to practice; to provide for the

operation of certain 501(c)(3) entities operating dental

clinics under the Dental Practice Act; to extend the time

limit for licensure by examination not given by the board and

for licensure after clinical residency or military service; to

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practitioners; to provide an inactive status for special purpose licensees to practice across state lines; to provide for registration by electronic format; to specify that the requirement for a dentist to release records survives the sale of his or her practice; to delete the minimum fee schedule; to provide maximum fees for special purpose licensure renewal, dental faculty special teaching permit fees, and mobile dental facility/portable dental operation application for certificate of registration and renewal fees; to increase the dental hygiene annual registration fee; to allow the board to discipline licensees for using fraud or deceit to obtain a license or for prescribing a drug for any condition not dentally related; to increase board imposed administrative fines; to revise certain antiquated terminology; to require licensee changes in address or employment to be reported to the board in writing; to provide for the electronic submission of ballots for the election of board members; to provide further for the election process; to provide further for the employment of board staff; to remove the requirement that investigators be paid a subsistence allowance by the board; to provide that an applicant may reapply for a permit to use anesthesia after the correction of deficiencies in an original evaluation and inspection by examiners; to require a dentist utilizing parenteral sedation to be trained in advanced cardiac life support; to require written informed consent of a patient or quardian before undergoing a procedure using a sedative agent; to add Section 34-9-7.2 to the Code of Alabama

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1 1975, to require 501(c)(3) entities operating dental clinics 2 to register with the State Board of Dental Examiners; to 3 require 501(c)(3) entities operating dental clinics to have 4 licensed dentists serving as chief of dental services for all 5 clinics; and to require professional employees of the clinics 6 to otherwise comply with the dental licensing law.

BE IT ENACTED BY THE LEGISLATURE OF ALABAMA:

8 Section 1. Sections 34-9-2, 34-9-3, 34-9-5, 34-9-6,
9 34-9-6.1, 34-9-7, 34-9-8, 34-9-9, 34-9-10, 34-9-13, 34-9-15,
10 34-9-15.1, 34-9-16, 34-9-18, 34-9-20, 34-9-21, 34-9-22,
11 34-9-24, 34-9-26, 34-9-27, 34-9-28, 34-9-40, 34-9-41, 34-9-43,
12 34-9-44, 34-9-60, 34-9-63, 34-9-82, and 34-9-89 of the Code of
13 Alabama 1975, are amended to read as follows:

"\$34-9-2.

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"(a) The Legislature hereby declares that the practice of dentistry affects and the practice of dental hygiene affect the public health, safety, and welfare and should be subject to regulation. It is further declared to be a matter of public interest and concern that the dental profession merit and receive the confidence of the public and that only qualified dentists be permitted to practice dentistry and only qualified dental hygienists be permitted to practice dental hygiene in the State of Alabama. All provisions of this chapter relating to the practice of dentistry and dental hygiene shall be liberally construed to carry out these objects and purposes.

1 "(b) The Legislature also finds and declares that, 2 because of technological advances and changing practice patterns, the practice of dentistry and the practice of dental 3 hygiene is occurring with increasing frequency across state lines and that the technological advances in the practice of 5 dentistry and in the practice of dental hygiene are in the 6 public interest.

> "(c) The Legislature further finds and declares that the practice of dentistry is and the practice of dental hygiene are each a privilege. The licensure by this state of nonresident dentists who engage in dental practice and persons who engage in the practice of dental hygiene within this state is are within the public interest. The ability to discipline the nonresident dentists and dental hygienists who engage in dental practice in this state is necessary for the protection of the citizens of this state and for the public interest, health, welfare, and safety.

> > "\$34-9-3.

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"It shall be unlawful for any person to practice dentistry in the State of Alabama except the following:

- "(1) Those who are now duly licensed or permitted dentists, pursuant to law.
- "(2) Those who may be hereafter duly licensed or permitted and who are currently registered as dentists, pursuant to this chapter.
- "(3) Those nonresident dentists who have been issued a special purpose license to practice dentistry across state

lines in accordance with Section 34-9-10. This subdivision

shall not apply to those dentists who hold a full,

unrestricted, and current license or permit issued pursuant to

Section 34-9-8 or Section 34-9-10.

"§34-9-5.

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"Any person who shall practice dentistry, engage in the practice of dentistry across state lines, or practice dentistry or dental hygiene in this state within the meaning of this chapter without having first obtained from the board a license and an annual registration certificate, when the certificate is required by this chapter, or who violates this chapter, or who willfully violates any published rule or regulation of the board, or who does any act described in this chapter as unlawful, the penalty for which is not herein specifically provided, shall be guilty of a misdemeanor and upon conviction shall be punished by a fine of not more than one thousand dollars (\$1,000) five thousand dollars (\$5,000) for each offense, to be fixed by the court trying the case, and in addition thereto may be, in the discretion of the court, sentenced to hard labor for the county for a period not to exceed 12 months.

"\$34-9-6.

"Any person shall be deemed to be practicing dentistry who does any of the following:

"(1) Performs performs, or attempts or professes to perform, any dental operation or dental service of any kind, gratuitously or for a salary, fee, money or other remuneration

paid, or to be paid, directly or indirectly, to himself or herself, or to any person in his or her behalf, or to any agency which is a proprietor of a place where dental operations or dental services are performed; or.

"(2) Directly (1) Who directly or indirectly, by any means or method, makes impression of the human tooth, teeth, jaws or adjacent tissue, or performs any phase of any operation incident to the replacement of a tooth or any part thereof; or.

"(3) (2) Supplies artificial substitutes for the natural teeth, and who furnishes, supplies, constructs, reproduces, or repairs any prosthetic denture, bridge prosthesis (fixed or removable), appliance, or any other structure to be worn in the human mouth; or.

"(4) Places (3) Who places such appliance or structure in the human mouth, or adjusts, or attempts, or professes to adjust the same, or delivers the same to any person other than the dentist upon whose prescription the work was performed; or.

"(5) Professes (4) Who professes to the public by any method to furnish, supply, construct, reproduce, or repair any prosthetic denture, bridge prosthesis (fixed or removable), appliance, or other structure to be worn in the human mouth, or who diagnoses, or professes to diagnose, prescribe for, professes to prescribe for, treats or professes to treat disease, pain, deformity, deficiency, injury, or physical condition of the human teeth or jaws, or adjacent

structure, or who extracts or attempts to extract human teeth,
or remove tumors, abnormal growths, or other lesions from the
human gums, jaws, and adjacent structures, or who operates for
harelip or cleft <u>lip or palate</u>, or both; or who treats
surgically or mechanically fractures of the human jaw; or who
administers local or general anesthetics in the treatment of
any dental lesion; or.

"(6) Repairs (5) Who repairs or fills cavities in the human teeth; or.

- "(7) Uses (6) Who uses a roentgenor X-ray, radiograph, or digital imaging machine for the purpose of taking making dental X-rays or roentgenograms, radiographs, or digital images, or who gives, or professes to give, interpretations or readings of dental X-ray or roentgenograms, radiographs, or digital images, or X-ray radiographic or roentgen therapy; or.
 - "(8) Administers (7) Who administers an anesthetic of any nature in connection with a dental operation; or procedure.
 - "(9) Uses (8) Who uses the words "dentist," "dental surgeon," "oral surgeon," or the letters "D.D.S.," "D.M.D." or any other words, letters, title, or descriptive matter which in any way represents him or her as being able to diagnose, treat, prescribe, or operate for any disease, pain, deformity, deficiency, injury, or physical condition of the teeth or jaws, or adjacent structures; or.

"(10) States (9) Who states, or professes, or permits to be stated or professed by any means or method whatsoever that he or she can perform or will attempt to perform dental operations procedures, or render a diagnosis connected therewith; or.

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"(11) Performs (10) Who performs any clinical operation included in the curricula of recognized dental colleges; provided, that members of the faculty, teachers, instructors, fellows, interns, residents, dental students, and student dental hygienists who are employed by or who are taking courses or instructions at the University of Alabama School of Dentistry or such other dental colleges, hospitals, or institutions in Alabama, as may be approved by the board; and provided, that the work of fellows, interns, residents, dental students, and student dental hygienists is performed within the facilities of such dental colleges, hospitals, and institutions under the supervision of an instructor and as an adjunct to his or her course of study or training, shall not be required to take examination or obtain a license certificate and renewal license certificate when all of such work, dental operations procedures, and activities are confined to his or her work in the college, hospital, or other institution and the work is done without remuneration other than the regular salary or compensation paid by such colleges, hospitals, or other institutions.

"(12) Professes to the public by any method to bleach human teeth, performs bleaching of the human teeth

1 alone or within his or her business, or instructs the public within his or her business, or through any agent or employee 2 of his or her business, in the use of any tooth bleaching 3 4 product. 5

"\$34-9-6.1.

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- "(a) For purposes of this section, the following words have the following meanings:
- "(1) DENTAL HOME. The dental home is the ongoing relationship between the dentist and the patient, inclusive of all aspects of oral health care, delivered in a comprehensive, continuously accessible, coordinated, and family-centered way.
- "(2) MOBILE DENTAL FACILITY or PORTABLE DENTAL OPERATION. Any self-contained facility in which dentistry or dental hygiene is practiced which may be moved, towed, or transported from one location to another.
- "(3) OPERATOR. A person licensed to practice dentistry in this state or an entity which is approved as tax exempt under Section 501(c)(3) of the Internal Revenue Code which employs dentists licensed in the state to operate a mobile dental facility or portable dental operation.
- "(4) PORTABLE DENTAL OPERATION. The use of portable dental delivery equipment which is set up on site to provide dental services outside of a mobile dental facility or a dental office and uses non-fixed dental equipment and independent plumbing.
- "(b) In order to operate a mobile dental facility or portable dental operation, an operator shall first obtain a

certificate of registration issued by the Board of Dental

Examiners board. The operator shall complete an application in

the form and manner required by the board and shall meet all

qualifications established by rules of the board.

- "(c) A mobile dental facility or portable dental operation shall comply with all of the following requirements:
- "(1) The operator shall maintain an official business address which shall not be a post office box and which shall be within this state and be associated with an established dental facility which shall have an official business address on record with the Board of Dental Examiners board. The address shall be filed with the board as part of the application for a certificate of registration.
- "(2) The operator shall maintain an official telephone number of record which shall be accessible 24 hours a day and which shall be filed with the board as part of the application for a certificate of registration. The telephone number shall have 911 capability.
- "(3) The board shall be notified within 30 days of any change in address, location, or telephone number of record. The notification shall also include the method by which patients are notified of the change of address, location, or telephone number of record. For purposes of this subdivision, patient shall mean any individual who has received treatment or consultation of any kind within two years of the date of the proposed change of address, location, or telephone number of record.

"(4) All written or printed documents available from or issued by the mobile dental facility or portable dental operation shall contain the official business address and telephone number of record for the mobile dental facility or portable dental operation.

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"(5) When not in transit, all dental and official records shall be maintained at the official business address.

"(6) The operator shall maintain a written procedure for emergency follow-up care for patients treated in a mobile dental facility or a portable dental operation, which includes arrangements for treatment in a dental facility that is permanently established in the area within a 50-mile radius where services are provided subject to a qualified dentist being located in the area and subject to the dentist agreeing to provide follow-up care. An operator who either is unable to identify a qualified dentist in the area or is unable to arrange for emergency follow-up care for patients otherwise shall be obligated to provide the necessary follow up follow-up care via the mobile dental facility or portable dental operation or the operator may choose to provide the follow-up care at his or her established dental practice location in the state or at any other established dental practice in the state which agrees to accept the patient. An operator who fails to arrange or provide follow-up care as required herein shall be considered to have abandoned the patient, and will subject the operator and any dentist or dental hygienist, or both, who fail to provide the referenced

follow-up treatment care shall be subject to disciplinary action by the board.

- "(7) The mobile dental facility or a portable dental operation shall have communication facilities abilities that will enable the operator to contact necessary parties in the event of a medical or dental emergency. The communication facilities abilities shall enable the patient or the parent or guardian of the patient treated to contact the operator for emergency care, follow-up care, or information about treatment received. The provider who renders follow-up care must also be able to contact the operator and receive treatment information, including radiographs.
- "(8) The mobile dental facility or a portable dental operation and the dental procedures performed therein shall comply with all applicable federal and state laws and all applicable rules of the board promulgated pursuant to Section 34-9-43.
- "(9) Any driver of a mobile dental facility or a portable dental operation shall possess a valid Alabama driver's license appropriate for the operation of the vehicle. A copy of the driver's license of each driver shall be submitted to the board at least 30 days prior to the individual operating a mobile dental facility or a portable dental operation.
- "(10) All mobile dental facilities or portable dental operations authorized in this section which are a

vehicle or which are to be towed or otherwise transported by another vehicle shall be registered in this state.

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"(11) The operator of a mobile dental facility or a portable dental operation shall not perform services on a minor without the signed consent from the parent or quardian which form shall be established by the board. The consent form shall inquire whether the prospective patient has received dental care from a licensed dentist within one year and if so, the consent form shall request the name, address, and phone number of the dental home. If the information provided to the operator does not identify a dental home for the prospective patient, the operator shall contact the Alabama Medicaid Agency for assistance in identifying a dental home for Medicaid eligible patients. If this information is provided to the operator, the operator shall contact the designated dental home by phone, facsimile, or electronic mail and notify the dental home of the prospective patient's interest in receiving dental care from the operator. If the dental home confirms that an appointment for the prospective patient is scheduled with the dentist, the operator shall encourage the prospective patient or his or her guardian to seek care from the dental home. The consent form shall document that the patient, or legal guardian, understands the prospective patient has an option to receive dental care from either the mobile dental facility or portable dental operation or his or her designated dental home if applicable. The consent form shall require the

1	signature of a parent or legal guardian if the patient is a
2	minor.
3	"(12) Each operator of a mobile dental facility or a
4	portable dental operation shall maintain a written or
5	electronic record detailing for each location where services
6	are performed all of the following information:
7	"a. The street address of the service location.
8	"b. The dates of each session.
9	"c. The number of patients served.
10	"d. The types of dental services provided and the
11	quantity of each service provided.
12	"e. Any other information requested by rule of the
13	board.
1.4	"(13) The written or electronic record shall be made
15	available to the board within 10 days of a request.
16	"(14) Each mobile dental facility or a portable
17	dental operation must shall possess all applicable county and
18	city licenses or permits to operate at each location.
19	"(d) The mobile dental facility or a portable dental
20	operation shall comply with the following physical
21	requirements and inspection criteria:
22	"(1) Ready access in a ramp or lift.
23	"(2) A properly functioning sterilization system.

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water, including hot water.

"(3) Ready access to an adequate supply of potable

1	"(4) A covered galvanized, stainless steel, or other
2	noncorrosive container for deposit of refuse and waste
3	materials.

- "(5) For operators applying for a certificate of registration after July 1, 2008, who have not been issued a certificate prior to that date, ready Ready access to toilet facilities which shall be located within the mobile dental facility or portable dental operation. Operators applying for a certificate prior to July 1, 2008, are not required to meet this requirement. Any non-profit governmental entity operating a portable mobile dental operation within an existing building facility shall only be required to have ready access to toilet facilities within the building.
- "(6) All licenses and permits as required by Section
 15 34-9-13 on site.
 - "(7) Ready access to an emergency kit.
 - "(8) Sharps containers and red biohazard equipment available on site.
 - "(e) A portable dental operation shall comply with all of the following requirements:
 - "(1) The operator shall maintain an official business address which shall not be a post office box and which shall be within this state and be associated with an established dental facility which shall have an official business address on record with the board. The address shall be filed with the board as part of the application for a certificate of registration.

1	"(2) The operator shall maintain an official
2	telephone number of record which shall be accessible 24 hours
3	a day and which shall be filed with the board as part of the
4	application for a certificate of registration. The telephone
5	number shall have E-911 capability.
6	"(3) The board shall be notified within 30 days of
7	any change in address, location, or telephone number of
8	record. The notification shall also include the method by
9	which patients are notified of the change of address,
10	location, or telephone number of record. For purposes of this
11	subdivision, patient shall mean any individual who has
12	received treatment or consultation of any kind within two
13	years of the date of the proposed change of address, location,
14	or telephone number of record.
15	"(4) All written or printed documents available from
16	or issued by the portable dental operation shall contain the
17	official business address and telephone number of record for
18	the portable dental operation.
19	"(5) When not in transit, all dental and official
20	records shall be maintained at the official business address.
21	"(6) The operator shall maintain a written procedure
22	for emergency follow-up care for patients treated in a
23	portable dental operation, which includes arrangements for
24	treatment in a dental facility that is permanently established
25	in the area within a 50-mile radius where services are
26	provided subject to a qualified dentist being located in the
27	area and subject to the dentist agreeing to provide follow-up

care. An operator who either is unable to identify a qualified dentist in the area or is unable to arrange otherwise for emergency follow-up care for patients shall be obligated to provide the necessary follow-up care via the portable dental operation, or the operator may choose to provide the follow-up care at his or her established dental practice location in the state or at any other established dental practice in the state which agrees to accept the patient. An operator who fails to arrange or provide follow-up care as required herein shall be considered to have abandoned the patient, and the operator and any dentist or dental hygienist, or both, who fail to provide the referenced follow-up care shall be subject to disciplinary action by the board.

"(7) The portable dental operation shall have communication abilities that enable the operator to contact necessary parties in the event of a medical or dental emergency. The communication abilities shall enable the patient or the parent or quardian of the patient treated to contact the operator for emergency care, follow-up care, or information about treatment received. The provider who renders follow-up care must also be able to contact the operator and receive treatment information, including radiographs.

"(8) The portable dental operation and the dental procedures performed therein shall comply with all applicable federal and state laws and all applicable rules of the board promulgated pursuant to Section 34-9-43.

1	"(9) The operator of a portable dental operation may
2	not perform services on a minor without the signed consent
3	from the parent or quardian on a form established by the
4	board. The consent form shall request information as to
5	whether the prospective patient has received dental care from
6	a licensed dentist within one year and, if so, shall request
7	the name, address, and phone number of the dental home. If the
8	information provided to the operator does not identify a
9	dental home for the prospective patient, the operator shall
10	contact the Alabama Medicaid Agency for assistance in
11	identifying a dental home for Medicaid eligible patients. If
12	this information is provided to the operator, the operator
13	shall contact the designated dental home by phone, facsimile,
14	or electronic mail and notify the dental home of the
15	prospective patient's interest in receiving dental care from
16	the operator. If the dental home confirms that an appointment
17	for the prospective patient is scheduled with the dentist, the
18	operator shall encourage the prospective patient or his or her
19	guardian to seek care from the dental home. The consent form
20	shall document that the patient, or legal guardian,
21	understands the prospective patient has an option to receive
22	dental care from either the portable dental operation or his
23	or her designated dental home, if applicable. The consent form
24	shall require the signature of a parent or legal guardian if
25	the patient is a minor.
26	"(10) Each operator of a portable dental operation

shall maintain a written or electronic record detailing for

1	each location where services are performed all of the
2	<pre>following information:</pre>
3	"a. The street address of the service location.
4	"b. The dates of each session.
5	"c. The number of patients served.
6	"d. The types of dental services provided and the
7	quantity of each service provided.
8	"e. Any other information requested by rule of the
9	board.
10	"(11) The written or electronic record shall be made
11	available to the board within 10 days of a request.
12	"(12) Each portable dental operation shall possess
13	all applicable county and city licenses or permits to operate
14	at each location.
15	"(f) The portable dental operation shall comply with
16	all physical requirements and inspection criteria established
17	by rule of the board and shall comply with the license and
18	permit requirements of Section 34-9-13.
19	" <u>(g)</u> (e) The mobile dental facility or a portable
20	dental operation shall be inspected by the board or its
21	representative prior to receiving a certificate of
22	registration. Once registered, the mobile dental facility or a
23	portable dental operation shall be subject to periodic
24	unannounced audits and inspections by the board.
25	" <u>(h)</u> The mobile dental facility or a portable
26	dental operation shall comply with the current recommendations
27	for infection control practices for dentistry as promulgated

by the Centers for Disease Control and any rule of the board relating to infection control or reporting in a dental office.

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"(i) (g) The initial application for a certificate of registration shall include the full name, address, and telephone number of the dentists and dental hygienists working at the facility or operation. The initial application for a certificate of registration shall also include proof of insurance from a licensed insurance carrier that the operator has in force at least one million dollars (\$1,000,000) of general liability insurance. The operator shall be required to maintain one million dollars (\$1,000,000) of general liability insurance at all times for which the mobile dental facility or portable dental operation is licensed by the Board of Dental Examiners. Governmental entities which operate mobile dental facilities or portable dental operations shall not be required to have or maintain any amount of general liability insurance. The operator shall advise the board in writing within 15 days of any change in the employment of any dentist or dental hygienist working at the facility or operation.

"(j) (h) The operator shall notify the board in writing within 10 days of any change made relating to a dentist to whom patients are to be referred for follow-up care as provided in subdivision (6) of subsection (c).

"(k) (i) The operator shall notify the board in writing within 10 days of any change in the procedure for emergency follow-up care as provided in subdivision (6) of subsection (c).

- "(1) (j) At the conclusion of each patient's visit
 to the mobile dental facility or a portable dental operation,
 the patient shall be provided with a patient information sheet
 which shall also be provided to any individual or entity to
 whom the patient has consented or authorized to receive or
 access the patient's records. The information sheet shall
 include at a minimum the following information:
- 8 "(1) The name of the dentist or dental hygienist, or 9 both, who performed the services.

- "(2) A description of the treatment rendered, including billing service codes and fees associated with treatment and tooth numbers when appropriate.
- "(3) If applicable, the name, address, and telephone number of any dentist to whom the patient was referred for follow-up care and the reason for such referral.
- "(4) The name, address, and telephone number, if applicable, of a parent or guardian of the patient.

"(m) (k) In the event a mobile dental facility or a portable dental operation is to be sold, the current operator shall inform the board and the Alabama Medicaid Agency, if the operator is an Alabama Medicaid enrolled provider, at least 10 days prior to the sale being completed and shall be required to disclose the purchaser to the board and the Alabama Medicaid Agency, if the operator is an Alabama Medicaid enrolled provider, via certified mail within 10 days after the date the sale is finalized. The operator of a mobile dental facility or a portable dental operation shall notify the board

1 and the Alabama Medicaid Agency, if the operator is an Alabama 2 Medicaid enrolled provider, at least 30 days prior to cessation of operation. Such notification shall include the 3 final day of operation and a copy of the notification shall be sent to all patients and shall include the manner and 5 6 procedure by which patients may obtain their records or 7 transfer those records to another dentist. It is the responsibility of the operator to take all necessary action to 8 9 insure that the patient records are available to the patient, 10 a duly authorized representative of the patient, or a subsequent treating dentist. For purposes of this subsection, 11 12 a patient shall mean any individual who has received any 13 treatment or consultation of any kind within two years of the 14 last date of operation of the mobile dental facility or a 15 portable dental operation.

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"(n) (1) A mobile dental facility or a portable dental operation that accepts or treats a patient but does not refer patients for follow-up treatment care when such follow-up treatment care is clearly necessary, shall be considered to have abandoned the patient and will subject the operator and any dentist or dental hygienist, or both, who fails to provide the referenced follow-up treatment care to disciplinary action by the board.

"(o) (m) In addition to the requirements of this section, any operator, dentist, or dental hygienist working or performing any services at a mobile dental facility or a portable dental operation shall be subject to the provisions

of this chapter. Any violation of the provisions of this
section shall subject the operator, dentist, or dental
hygienist to the penalties provided in Section 34-9-18, and no
order imposing penalties pursuant to Section 34-9-18 shall be
made or entered except after notice and hearing by the board
with any such order being subject to judicial review.

"(p) (n) The board may promulgate rules to implement and enforce the provisions of this section.

"(q) A mobile dental facility or portable dental operation shall conform to all requirements of this chapter, rules promulgated by the board pursuant to this chapter, any rule or regulation promulgated by the Occupational Safety and Health Administration, the federal Centers for Disease Control and Prevention, and the Alabama Department of Public Health, and any applicable federal, state, or local law, regulation, quideline, or ordinance including, but not limited to, those relating to radiographic equipment, flammability, construction, sanitation, medical waste transportation, zoning, prevention of infection and disease, facility operation, and licenses or permits to operate.

"(r) This (o) The provisions of this section shall not apply to any existing Jefferson County Department of Health mobile dental facilities or portable dental operations that have been in service providing dental care within Jefferson County prior to December 31, 2007.

"(s) (p) This section shall not apply to any mobile dental facility or portable dental operation which is operated

during a state of emergency declared by the Governor as authorized in Section 31-9-8.

"(t) (q) It is the express intent of the Legislature that no state agency, including, but not limited to, the Alabama Medicaid Agency, shall restrict any mobile dental facility or portable dental operation which complies with the provisions outlined herein and all applicable state and federal rules from participation in its programs.

"(u) (r) Notwithstanding any other provision of law, any entity which is approved as tax exempt under Section 501(c)(3) of the Internal Revenue Code and employs dentists licensed in this state shall continue to be able to employ dentists licensed in this state and to provide dental services in the same manner and under the same conditions as licensed on June 7, 2008.

"\$34-9-7.

"Nothing in this chapter shall apply to the following practices, acts, and operations:

- "(1) The practice of his or her profession by a physician or surgeon holding a certificate of qualification as a medical doctor and licensed as such under the laws of this state, provided he or she shall not practice dentistry as a specialty.
- "(2) The practice of dentistry in the discharge of their official duties by graduate dentists or dental surgeons in the United States Army, Navy, Air Force, or other armed services, public health service including, but not limited to,

a federally qualified health center authorized and operating under Section 330 of the Public Health Service Act (42 U.S.C. § 254B), provided, however, that such federally qualified health centers shall register pursuant to Section 34-9-7.2 (provided further however, dentists, dental hygienists, and other personnel employed by any public health service which performs dental health care for the general public under programs funded in whole or part by the state or federal government shall be subject to all of the provisions of this chapter and the rules and regulations duly promulgated by the Board of Dental Examiners governing the practice of dentistry and dental hygiene in this state), Coast Guard, or Veteran's Administration.

"(3) The practice of dentistry by a licensed dentist of other states or countries at meetings of the Alabama Dental Association or components thereof, or other like dental organizations approved by the board, while appearing as clinicians, or when appearing in emergency cases upon the specific call of dentists duly licensed under this chapter.

"(4) The filling of prescriptions of a licensed and registered dentist, as hereinafter provided, by any person or persons, association, corporation, or other entity for the construction, reproduction, or repair of prosthetic dentures, bridges, plates, prostheses (fixed or removable) or appliances on a model cast made by or from impressions taken made by a licensed and currently registered dentist, to be used or worn as a substitute for natural teeth; provided, that the person

or persons, association, corporation, or other entity, shall not solicit or advertise, directly or indirectly, by mail, card, newspaper, pamphlet, radio, television, <u>Internet</u>, or otherwise, to the general public to construct, reproduce, or repair <u>prosthetic dentures</u>, <u>bridges</u>, <u>plates</u>, <u>prostheses</u> (<u>fixed or removable</u>) or other appliances to be used or worn as substitutes for natural teeth.

- means for making radiograms radiographs, digital images, or similar records, of dental or oral tissues under the supervision of a licensed dentist or physician; provided, that the services shall not be advertised by any name whatever as an aid or inducement to secure dental patronage, and no person shall advertise that he or she has, leases, owns, or operates a roentgen or other machine for the purpose of making dental radiograms radiographs or images, of the human teeth or tissues or the oral cavity, or administering treatments thereto for any disease thereof.
- "(6) The giving of a general anesthetic by a nurse anesthetist who administers a general anesthetic under the direct supervision of a duly licensed dentist to a patient who is undergoing dental treatment rendered by the dentist.
- "(7) The use of a nurse in the practice of professional or practical nursing, as defined in Sections 34-21-1 through 34-21-26, by a dentist.

"(8) A dentist who engages in the practice of dentistry across state lines in an emergency, as defined by the board.

- "(9) A dentist who engages in the practice of dentistry across state lines on an irregular or infrequent basis. The "irregular or infrequent" practice of dentistry across state lines is considered to occur if the practice occurs less than 10 times in a calendar year or involves fewer than 10 patients in a calendar year, or the practice comprises less than one percent of the diagnostic or therapeutic practice of the dentist.
- "(10) A dentist or dental hygienist on active duty, or performing his or her duties as a reservist in the military, is not subject to any fee required for a special purpose license to practice dentistry across state lines. The dentist or dental hygienist shall notify the board in advance of his or her participation in military activities and shall forward a copy of his or her current dental license or permit, or both, to the board. The dentist or dental hygienist shall also provide proof of military status and any orders requiring him or her to perform dental treatment services in this state.

 "\$34-9-8.

"(a) The board shall annually issue <u>dental faculty</u> teaching permits to persons who are bona fide members of the faculty of a dental college, if they hold a dental degree but are not licensed and registered to practice dentistry or dental hygiene in the state. The dean of any dental college

located in the state shall annually certify to the board the bona fide members of the clinical faculty of the college who are not licensed and registered to practice dentistry or dental hygiene in the state. The board shall issue teaching permits to applicants upon the certification by the dean. The teaching permits shall be invalid if the holder ceases to be a member of the clinical faculty of the dental college. The dean of any dental college shall promptly notify the board regarding changes in the faculty which affect the eligibility of a faculty member to possess a teaching permit. The holder of a teaching permit shall be subject to this chapter and may perform all clinical operations which a person licensed to practice dentistry or dental hygiene in the state is entitled to perform. The operations may only be performed within the facilities of the dental college and or any other facility approved by the board as an adjunct to his or her teaching functions in the college. An annual fee established pursuant to this chapter shall be paid to the board when the teaching permit is issued.

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"(b) The board shall annually issue dental faculty special teaching permits to persons who are bona fide members of the faculty of a dental college if they hold a dental degree but are not licensed and registered to practice dentistry or dental hygiene in the state. The dean of any dental college located in the state shall annually certify to the board the bona fide members of the clinical faculty of the college who are not licensed and registered to practice

Τ	dentistry or dental hygiene in the state. The board shall
2	issue special teaching permits to applicants upon
3	certification by the dean. The special teaching permits shall
4	be invalid if the holder ceases to be a member of the clinical
5	faculty of the dental college. The dean of any dental college
6	shall promptly notify the board regarding changes in the
7	faculty which affect the eligibility of a faculty member to
8	possess a special teaching permit. The holder of a special
9	teaching permit shall be subject to this chapter and may
10	perform all clinical operations on his or her private patients
11	which a person licensed to practice dentistry or dental
12	hygiene in the state is entitled to perform. The clinical
13	operations may only be performed within the faculty private
14	practice facilities of the dental college or any other
15	facility approved by the board. An annual fee established
16	pursuant to this chapter shall be paid to the board when the
17	special teaching permit is issued.
18	" §34-9-9.

"(a) No person other than a dentist licensed pursuant to this chapter or a 501(c)(3) entity registered under Section 34-9-7.2 may do any of the following:

- "(1) Employ a dentist, dental hygienist, or both in the operation of a dental office $\frac{1}{2}$.
- "(2) Place in the possession of a dentist, dental hygienist, or other agent such dental material or equipment as may be necessary for the management of a dental office on the

basis of a lease or any other agreement for compensation for the use of such material, equipment, or offices; or.

- "(3) Retain the ownership or control of dental equipment, material, or office and make the same available in any manner for the use of a dentist, dental hygienist, or other agent.
- "(4) The term "person" as used in this section, shall not in any way pertain to state, county, municipal, or city institutions but shall be deemed to include any individual, firm, partnership, corporation, or other entity not licensed to practice dentistry in the State of Alabama.
- "(5) Nothing in this subsection shall apply to bona fide sales of dental equipment, material, or office secured by a chattel mortgage or retention title agreement, or to an agreement for the rental of the equipment or office by bona fide lease at a reasonable amount, and under which agreement the licensee under this chapter maintains complete care, custody, and control of the equipment and the practice.

 Further, nothing in this subsection shall prohibit or restrict persons, firms, or corporations from employing or retaining licensed dentists to furnish dental treatment for their employees or dependents of their employees.
- "(b) A prohibited business arrangement or relationship as defined in subsection (a) shall not be considered a violation of that subsection if a prohibited arrangement or relationship results from the death of a

licensed dentist and is cured within 12 months of the date of the death.

"(c) The purpose of this section is to prevent a non-dentist from influencing or otherwise interfering with the exercise of a dentist's independent professional judgment. In addition to the acts specified in subsection (a), no person, other than a dentist licensed in accordance with this chapter, shall enter into a relationship with a person licensed under this chapter pursuant to which the unlicensed person exercises control over the following:

"(1) The selection of a course of treatment for a patient, the procedures or materials to be used as a part of such course of treatment, and or the manner in which such course of treatment is carried out by the licensee.

"(d) No person other than a dentist licensed

pursuant to this chapter or a 501(c)(3) entity registered

under Section 34-9-7.2 shall exercise control over any of the

following:

" $\frac{(2)}{(1)}$ The patient records of a dentist $\frac{1}{7}$.

" $\frac{(3)}{(2)}$ Policies and decisions relating to pricing, credit, refunds, warranties, and advertising; and.

"(4)(3) Decisions relating to office personnel and hours of practice.

" $\frac{(d)}{(e)}$ Any licensed dentist or dental hygienist who enters into any of the arrangements or relationships described in violation of subsection (a) or subsection (c) with an

unlicensed person as defined above, may be subject to any of the penalties set forth in Section 34-9-18.

3 "\$34-9-10.

- "(a) Every person who desires to practice dentistry within the State of Alabama shall file an application prescribed by the board. Notwithstanding the method of obtaining licensure or any particular requirement set forth herein, every person as a prerequisite to licensure must be at least 19 years of age, of good moral character, a citizen of the United States or, if not a citizen of the United States, a person who is legally present in the United States with appropriate documentation from the federal government, a graduate of a dental school or college accredited by the American Dental Association Commission on Dental Accreditation and approved by the board and must satisfy any other requirement set forth in any rule adopted by the board.
- "(b) Licensure by examination shall be applicable to the following categories:
- "(1) Those individuals who have never been licensed or taken an examination and whose application to take an examination administered or approved by the board is received by the board within 18 months of graduation from dental school.
- "(2) Those individuals who have successfully passed an examination approved but not administered by the board so long as an application for licensure is received by the board within 18 months five years of the date of notification of

passing such examination. All applicants shall pay a fee which shall accompany the application.

- "(c) Any individual who possesses a current license in any state, who has passed an examination approved by the board and who has, since graduation from dental or dental hygiene school, practiced or participated in a clinical residency or practiced dentistry or dental hygiene in the Armed Forces or with the public health service shall be eligible for licensure if an application is received by the board within 18 months five years of the completion of the subject residency or Armed Forces or public health service commitment. All the above applicants shall pay a fee which shall accompany the application.
- "(d) Licensure by credentials may be utilized to evaluate the theoretical knowledge and clinical skill of a dentist or dental hygienist when an applicant for licensure by credentials holds a dental or dental hygiene license in another state. The board may promulgate rules and regulations relating to licensure by credentials in addition to any requirements by law. An applicant for licensure by credentials must meet all of the following:
- "(1) The dentist or dental hygienist must have been engaged in the active practice of clinical dentistry or clinical dental hygiene or in full-time dental or dental hygiene education for the five years or 5,000 hours immediately preceding his or her application.

"(2) The applicant must hold a current, valid,
unrevoked, and unexpired license in a state having examination
standards regarded by the Board of Dental Examiners of Alabama
as an equivalent to the Alabama standards.

- "(3) The board of examiners in the state of current practice must verify or endorse that the applicant's license is in good standing without any restrictions.
- "(4) The dentist or dental hygienist must not be the subject of a pending disciplinary action in any state in which the individual has been licensed which shall be verified by a query to the National Practitioner Data Bank, the Health Integrity Protection Data Bank, the American Association of Dental Boards Clearing House for Disciplinary Information, or any other pertinent bank currently existing or which may exist in the future.
- "(5) The applicant must provide a written statement agreeing to be interviewed at the request of the board.
- "(6) The individual must successfully pass a written jurisprudence examination.
- "(7) There must be certification from the United States Drug Enforcement Administration and from the state board of any state in which the applicant is or has been licensed that the DEA registration is not the subject of any pending disciplinary action or enforcement proceeding of any kind.
- "(8) The <u>dentist</u> applicant <u>must shall</u> submit affidavits from two licensed dentists or practicing in the

same geographical area where the applicant is then practicing or teaching attesting to the moral character, standing, and ability of the applicant. The dental hygiene applicant shall submit affidavits from two licensed dentists or two licensed dental hygienists practicing in the same geographical area where the applicant currently is then practicing or teaching attesting to the applicant's moral character, standing, and ability of the applicant.

- "(9) The applicant must provide the board with an official transcript with school seal from the school of dentistry or school of dental hygiene which issued the applicant's professional degree or execute a request and authorization allowing the board to obtain the transcript.
- "(10) The applicant must be a graduate of a dental or dental hygiene school, college, or educational program approved by the board.
- "(11) The applicant must not be the subject of any pending or final action from any hospital revoking, suspending, limiting, modifying, or interfering with any clinical or staff privileges.
- "(12) The applicant must not have been convicted of a felony or misdemeanor involving moral turpitude or of any law dealing with the administering or dispensing of legend drugs, including controlled substances.
- "(13) The board may consider or require other criteria including, but not limited to, any of the following:
 - "a. Questioning under oath.

- 1 "b. Results of peer review reports from constituent 2 dental societies or federal dental services.
- 3 "c. Substance abuse testing or treatment.
- "d. Background checks for criminal or fraudulent activities.
- 6 "e. Participation in continuing education.
- 7 "f. A current certificate in cardiopulmonary
- 8 resuscitation.

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- 9 "g. Recent case reports or oral defense of diagnosis 10 and treatment plans.
- "h. Proof of no physical or psychological impairment that would adversely affect the ability to practice dentistry or dental hygiene with reasonable skill and safety.
 - "i. An agreement to initiate practice within the State of Alabama within a period of one year.
- "j. Proof of professional liability coverage and that coverage has not been refused, declined, cancelled, nonrenewed, or modified.
 - "k. Whether the applicant has been subject to any final disciplinary action in any state in which the individual has been licensed which shall be verified by a query in the National Practitioner Data Bank, the Health Integrity Protection Data Bank, the American Association of Dental Boards Clearing House for Disciplinary Information, any state where the applicant has been licensed, or any other pertinent bank currently existing or which may exist in the future.

"1. Whether the applicant's DEA registration or any state controlled substances permit has ever been revoked, suspended, modified, restricted, or limited in any way.

Provided, however, that any discipline that results only from a failure to timely renew a registration or permit shall not prevent an applicant from being eligible for this method of

licensure.

- "(14) If all criteria and requirements are satisfied and the board determines, after notice and hearing, that the individual committed fraud or in any way falsified any information in the application process, the license may be revoked by the board.
 - "(15) In addition to the requirements for applicants seeking licensure by credentials, an applicant desiring to practice a specialty only must meet the following requirements:
 - "a. The specialty must be one in a branch of dentistry approved by the American Dental Association.
 - "b. The applicant must meet the existing educational requirements and standards set forth by the American Dental Association for that approved specialty.
 - "c. An applicant who chooses to announce or practice a specialty must limit his or her practice exclusively to the announced special area or areas of dental practice.
 - "d. If an applicant who is initially licensed by credentials for a specialty practice decides to renounce his or her specialty and practice general dentistry, and the

license originally issued did not require a general dental license but rather a specialty license, or the applicant originally passed only a specialty examination, the applicant may not practice general dentistry until he or she successfully passes the board's regular general dentistry examination. However, if the applicant has passed a general dentistry examination or has a general dentistry license, was practicing a specialty, and decides not to continue that specialty and practice only general dentistry, the applicant is eligible for licensure by credentials as a general dentist.

- "(e) Notwithstanding the provisions of subsection

 (a), the board shall issue a special purpose license to

 practice dentistry across state lines to an applicant who has

 met the following requirements:
- "(1) The applicant holds a full and unrestricted license to practice dentistry in any state of the United States or in territories, other than the State of Alabama, in which the individual is licensed.
- "(2) The applicant has not had any disciplinary action or other action taken against him or her by any state or licensing jurisdiction. If there has been previous disciplinary or other action taken against the applicant, the board may issue a certificate of qualification if it finds that the previous disciplinary or other action indicates that the dentist is not a potential threat to the public.
- "(3) The applicant submits an application for a certificate of qualification for a special purpose license to

practice dentistry across state lines on a form provided by the board, remits an application fee in an amount established by the board, and pays a fee.

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"(f) A special purpose license issued by the board to practice dentistry across state lines limits the licensee solely to the practice of dentistry across state lines. The special purpose license shall be valid for a period of up to three years, shall expire on a renewal date established determined by the board in the third calendar year after its issuance, and may be renewed upon receipt of a renewal fee as established by the board. Failure to renew a license according to the renewal schedule established by the board shall result in the automatic revocation of the special purpose license to practice dentistry across state lines cause the special purpose license to be inactive. An applicant may reapply following automatic revocation for failure to renew for a special purpose license to practice dentistry across state lines following placement of the license on inactive status. The applicant shall meet the qualifications of subsection (e) in order to be eligible for renewal of the license.

"(g) Notwithstanding the provisions of this section, the board shall only issue a special purpose license to practice dentistry across state lines to an applicant whose principal practice location and license to practice are located in a state or territory of the United States whose laws permit or allow for the issuance of a special purpose license to practice dentistry across state lines or similar

1 license to a dentist whose principal practice location and 2 license are located in another state. It is the stated intent of this section that dentists who hold a full and current 3 license in the State of Alabama be afforded the opportunity to obtain, on a reciprocal basis, a license to practice dentistry 5 6 across state lines in any other state or territory of the 7 United States as a precondition to the issuance of a special purpose license as authorized by this section to a dentist 8 licensed in the other state or territory. The board shall 9 10 determine which states or territories have reciprocal licensure requirements meeting the qualifications of this 11 12 section.

- "(h) Any individual who does not qualify for licensure pursuant to any of the above subsections but who has passed an examination approved by the board and possesses a current license in another state is eligible to apply for licensure upon payment of a fee. The board shall have discretion whether to require an examination for any such individual, including the time, place, type, and content of any such examination.
- "(i) A current license shall mean one in good standing authorizing the individual to practice in the state of issuance.
- 24 "\$34-9-13.

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"Every practitioner of dentistry and dental hygiene within the meaning of this chapter shall have in his or her

possession a license certificate and an annual registration certificate in the office wherein he $\underline{\text{or she}}$ practices.

"§34-9-15.

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"(a) No person shall practice dentistry or dental hygiene in the State of Alabama unless licensed or permitted by the board and registered annually as required by this chapter. The secretary-treasurer of the board shall mail issue to each licensee an initial registration form which shall contain space for the insertion of name, address, date, and number of license certificate, and other information as the board shall deem necessary. The licensee shall sign and verify the accuracy of the registration before a notary public after which he or she shall forward the registration to the secretary-treasurer of the board together with a fee. Each subsequent registration shall be made in electronic format or by United States mail upon the a form as above prescribed except that it need not be verified to be determined by the board. On or before October 1 of each year, every dentist and dental hygienist licensed to practice dentistry or dental hygiene in the state shall transmit either online or by United States mail to the secretary-treasurer of the board the completed form prescribed by the board, together with a fee established by the board pursuant to this chapter, and receive therefor thereafter the current annual registration certificate authorizing him or her to continue the practice of dentistry or dental hygiene in the state for a period of one year. Any license and license certificate or permit previously

1 granted under the authority of this chapter or any prior 2 dental practice act shall automatically be suspended if the holder thereof fails to secure the annual registration 3 certificate before January 1, each year. Any dentist or dental hygienist whose license or permit is automatically suspended 5 by reason of failure, neglect, or refusal to secure the annual 6 7 registration certificate shall be reinstated by the board upon payment of the penalty fee plus all accrued annual 8 9 registration fees up to a maximum of five years, accompanied 10 with the prescribed form for annual registration of the license or permit. Upon failure of any licensee or permittee 11 12 to file application for the annual registration certificate 13 and pay the annual registration fee on or before November 30, 14 each year, the board shall notify the licensee or permittee by 15 mail addressed to the last address of record that the application and fee have not been received and that, unless 16 17 the application and fee are received on or before the first day of January, the license and license certificate or permit 18 shall be automatically suspended. The board shall notify the 19 licensee or permittee by mail addressed to the last address of 20 21 record of the effective date of the automatic suspension and 22 the provisions for registration of the license or permit. The 23 board shall waive the annual payment of fees herein provided 24 for and issue a current annual registration certificate to any 25 licensee or permittee who, because of age or physical 26 disability, has retired from the practice of dentistry or dental hygiene or who is suffering a malady of a lingering or 27

permanent nature. The board by rule shall waive annual
registration and the payment of fees while any licensee is on
temporary active duty with any of the Armed Forces of the
United States. The waiver of fees herein provided shall be
effective so long as the retirement because of age or physical
disability or temporary active duty continues.

"(b) The board shall adopt and promulgate rules and regulations for the adoption of a program of continuing education for its licensees by October 1, 1991. After that date, the successful completion of continuing education program requirements shall be a requisite for renewal of licenses issued pursuant to this chapter.

"\$34-9-15.1.

- "(a) Upon the request of a patient or authorized agent of a patient, a dentist shall promptly release to the patient or his or her authorized agent legible and accurate copies of all records of the patient regardless of how they are generated or maintained. The reasonable costs of reproducing copies shall not be more than the amounts authorized by statute and in the absence of any statutory authority no more than the actual cost of the reproduction.
- "(b) The release of records under this section shall not be made contingent upon the payment of any fee or charge owed by the patient.
- "(c) The provisions of the section shall survive the closing of a dental office or practice for any reason,

including, but not limited to, <u>sale of practice</u>, any disciplinary action, retirement, disability, or death.

3 "\$34-9-16.

"The board shall establish and collect reasonable
fees provided for in this chapter within the ranges set forth
below and without having to engage in the rulemaking process:

7	"Description	Not Less Than	Not More Than
8	"Dental Examination Appli-		
9	cation Fee	\$200.00	\$750.00
10	"Dental Examination Fee	\$100.00	\$2,500.00
11	"Dental Examination Mate-		
12	rials Fee	\$200.00	\$500.00
13	"Dental Licensure by Cre-		
14	dentials Application Fee	\$100.00	\$4,000.00
15	"Dental Licensure by Re-		
16	gional Exam Application		
17	Fee	\$100	\$1,000.00
18	"Special Purpose Licensure		
19	Fee	\$200.00	\$750.00
20	"Special Purpose Licensure		
21	Renewal Fee		<u>\$750.00</u>
22	"Dental Annual Registra-		
23	tion Fee	\$130.00	\$500.00
24	"Dental License Reinstate-	\$250.00	\$500.00

1	ment Penalty		
2	"Dental Hygiene Program		
3	Application Fee	\$50.00	\$500.00
4	"Alabama Dental Hygiene		
5	Training Permit Fee	\$0.00	\$450.00
6	"Alabama Dental Hygiene		
7	Training Education Fee	\$175.00	\$600.00
8	"Alabama Dental Hygiene		
9	Program Instructor Certif-		
10	ication Course Fee	\$75.00	\$200.00
11	"Alabama Dental Hygiene		
12	Program Instructional Ma-		
13	terials Fee	\$500.00	\$950.00
14	"Dental Hygiene By Re-		
15	gional Exam Application		
16	Fee	\$55.00	\$75.00
17	"Dental Hygiene Examina-		
18	tion Application Fee	\$50.00	\$500.00
19	"Dental Hygiene Examina-		
20	tion Fee	\$100.00	\$600.00
21	"Dental Hygiene Examina-		
22	tion Materials Fee	\$100.00	\$400.00
23	"Dental Hygiene Licensure		
24	by Credentials Fee	\$0.00	\$2,000.00

1	"Dental Hygiene Annual		
2	Registration Fee	\$55.00	\$75.00
3	"Dental Hygiene License		
4	Reinstatement Penalty	\$100.00	\$200.00
5	"License Certificate Fee	\$25.00	\$500.00
6	"Duplicate or Replacement		
7	License Fee	\$25.00	\$150.00
8	"Dental Faculty Teaching		
9	Permit Fee	\$150.00	\$350.00
10	"Dental Faculty Special		
11	Teaching Permit Fee		\$500.00
12	"Alabama Controlled Sub-		
13	stance Permit Fee	\$125.00	\$400.00
14	"Alabama Controlled Sub-		
15	stance Permit Renewal Fee	\$100.00	\$400.00
16	"General Anesthesia Permit		
17	Fee	\$900.00	\$1,500.00
18	"General Anesthesia Permit		
19	Renewal Fee	\$200.00	\$750.00
20	"Parenteral Sedation Per-		
21	mit Fee	\$900.00	\$1,500.00
22	"Parenteral Sedation Per-		
23	mit Renewal Fee	\$200.00	\$750.00
24	"Oral Conscious Sedation	\$100.00	\$250.00

1	Permit Fee		
2	"Oral Conscious Sedation		
3	Permit Renewal Fee \$50.00	\$250.00	
4	"Mobile Dental Applica-		
5	tion/Inspection Fee \$750.00	\$1,500.00	
6	"Mobile Dental Renewal Fee \$250.00	\$1,500.00	
7	"Mobile Dental Facil-		
8	<u>ity/Portable Dental Opera-</u>		
9	tion Application for Cer-		
10	tificate of Registration		
11	<u>Fee</u>	\$750.00	
12	"Mobile Dental Facil-		
13	<pre>ity/Portable Dental Opera-</pre>		
14	tion Renewal of Certifi-		
15	cate of Registration Fee	<u>\$500.00</u>	
16	" §34-9-18.		
17	"(a) The board may invoke disciplinary action as		
18	outlined in subsection (b) hereof whenever it shall be		
19	established to the satisfaction of the board, after	hearing as	
20	hereinafter provided, that any dentist or dental hy	gienist has	
21	been guilty of the following:		
22	"(1) Fraud, deceit, or misrepresentation,	whether	
23	knowingly or unknowingly, in obtaining any license,	license	

1 certificate, annual registration certificate, money, or other thing of value. 2 "(2) Gross immorality. 3 "(3) Is a menace to the public health or to patients or others by reason of a disease. 5 "(4) Is an habitual user of intoxicants or drugs 6 7 rendering him or her unfit for the practice of dentistry or dental hygiene. 8 "(5) Has been convicted for violation of federal or 9 10 state narcotics or barbiturate laws. "(6) Is guilty of negligence or gross negligence, as 11 12 defined by the board, in the practice of dentistry or dental 13 hygiene. 14 "a. For the purposes of this subdivision, negligence 15 is defined as the failure to do what a reasonably prudent dentist or dental hygienist would have done under the same or 16 similar circumstances or the doing of that which a reasonably 17 prudent practitioner would not have done under the same or 18 similar circumstances. 19 "b. For the purposes of this subdivision, gross 20 21 negligence is defined as willful or wanton conduct with 22 reckless, malicious, or conscious disregard for the rights or 23 safety of others, or conduct that is so deliberate, 24 outrageous, and callous as to display total indifference to

the health or safety of a patient, that could result in

serious bodily injury or death.

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"(7) Is guilty of employing, allowing, or permitting
any unlicensed person or persons to perform any work in his or
her office which, under this chapter, can only be legally done
by a person or persons holding a license to practice dentistry
or dental hygiene.

- "(8) Willfully or negligently violates the rules of the State Department of Health or of the board regarding sanitation.
- "(9) Is guilty of division of fees, or agreeing to split or divide the fee received for dental service with any person for bringing or referring a patient without the knowledge of the patient or his or her legal representative, except the division of fees between dentists practicing in a partnership and sharing professional fees, or in case of one licensed dentist employing another.
- "(10) Is guilty of professional connection or association with or lending his or her name to anyone who is engaged in the illegal practice of dentistry or dental hygiene.
- "(11) Conviction in any court of competent jurisdiction of a felony or a misdemeanor involving moral turpitude.
- "(12)a. A dental hygienist using or attempting to use in any manner whatsoever any prophylactic list, call list, records, reprints, or copies of same, or information gathered therefrom, of the names of patients whom the dental hygienist served in the office of a prior employer, unless the names

appear upon the bona fide call or prophylactic list of his or her present employer and were caused to appear through the legitimate practice of dentistry or dental hygiene as provided for in this chapter.

"b. A licensed dentist who aids or abets or encourages a dental hygienist employed by him or her to make use of a so-called prophylactic list or the calling by telephone or by the use of letters transmitted through the mails to solicit patronage from patients formerly served in the office of any dentist employing the hygienist or nurse.

"(13) Pertaining to licensed dentists only, the prescribing, administering or dispensing of any controlled substances enumerated in Schedules I through V contained in the Alabama Uniform Controlled Substances Act, Chapter 2 of Title 20, or any amendment or successor thereto, or any drug not prescribed for any dentally or facially related condition, and/or for any necessary medication during the course of treatment rendered directly by the dentist, for any person not under his or her treatment in the regular practice of his or her profession, or veteran's administration.

"(14) Irregularities in billing an insurance company or other third party payer for services rendered to a patient. For the purposes of this section irregularities in billing shall include: Reporting charges for the purpose of obtaining a total payment in excess of that usually received by the dentist for the services rendered; falsely reporting treatment dates for the purpose of obtaining payment; falsely reporting

charges for services not rendered; falsely reporting services
rendered for the purpose of obtaining payment; or failing to
advise any third party payer that the copayment provisions of
a contract have been abrogated by accepting the payment
received from the third party payer as full payment.

- "(15) Violating any rule $\frac{1}{2}$ or regulation adopted by the $\frac{1}{2}$ board.
- "(16) Has had his or her license to practice dentistry or dental hygiene from another state suspended or revoked based upon acts similar to those described in this section. A certified copy of the record of suspension or revocation of the state making the suspension or revocation shall be conclusive evidence thereof.
 - "(17) Violating any provision of this chapter.
- "(b) When the board finds any dentist or dental hygienist guilty of any of the grounds set forth in subsection (a), it may enter an order imposing one or more of the following penalties:
- "(1) Refuse to issue the dentist or dental hygienist license or $\frac{1}{1}$ license certificate $\frac{1}{1}$ provided for in this chapter.
- "(2) Revoke With the exception of negligence as defined in paragraph (a) (6) a. revoke the license or permit of any dentist or dental hygienist.
- "(3) Suspend the license <u>or permit</u> of any dentist or dental hygienist.
- "(4) Enter a censure.

- "(5) Issue an order fixing a period and terms of probation best adapted to protect the public health and safety and to rehabilitate the dentist or dental hygienist.
 - "(6) Imposition of Impose an administrative fine not to exceed one thousand two hundred fifty dollars (\$1,250) five thousand dollars (\$5,000) for each count or separate offense.
 - "(7) Imposition of Impose restrictions on the scope of practice.
 - "(8) Imposition of Impose peer review or professional education requirements.

- "(9) Assessment of Assess the costs of the disciplinary proceedings.
- "(c) Failure to comply with any final order of the board, including, but not limited to, an order of censure or probation, is cause for suspension or revocation of a license.
- "(d) No disciplinary action as outlined in subsection (b) or (c) hereof shall be invoked or entered except after hearing by the board as provided in this chapter, and such order is subject to judicial review as provided by this chapter.
- "No order of suspension or revocation provided in this section shall be made or entered except after hearing by the board as provided in this chapter, and the order shall be subject to judicial review as provided by this chapter.
- "(e) The board may temporarily suspend a special purpose license to practice dentistry across state lines without a hearing on either of the following grounds:

1 "(1) The failure of the licensee to appear or 2 produce records or materials as requested by the board.

"(2) The initiation of a disciplinary action against
the licensee by any state or territorial licensing
jurisdiction in which the licensee holds a license to practice
dentistry.

"Notwithstanding any other provision of law, including the Alabama Administrative Procedure Act, the temporary suspension provided herein shall remain in effect until either the licensee has complied with the request of the board or the disciplinary action pending against the licensee has been terminated in favor of the licensee and the temporary suspension has been terminated by a written order of the board. A special purpose license to practice dentistry across state lines is subject to each of the grounds for disciplinary action provided in this section in accordance with the procedure of Section 34-9-24 and the Alabama Administrative Procedure Act.

"(f) Members of the Board of Dental Examiners board, any agent, employee, consultant, or attorney for the board, and the members of any committee of dentists or dental hygienists impaneled by the board, shall be immune from suits for any conduct in the course of their official duties with respect to investigations or hearings; provided, that the persons act without malice and in good faith that such investigations or hearings are warranted by the facts, known

to them after diligent effort to obtain the facts of the matter relative to the investigations or hearings.

"(g) Nothing in this chapter shall be interpreted to limit or restrict the authority of the board to discipline any dentist licensed to practice in this state who violates this chapter while engaging in the practice of dentistry within this or any other state.

"(h) The board shall have the authority to adopt rules imposing a non-disciplinary administrative penalty for designated violations of the Alabama Dental Practice Act this chapter.

"\$34-9-20.

"Any person, which word when used in this section shall include all legal entities not licensed to practice dentistry in this state, who shall advertise in any manner to the general public that he or she can or will sell, supply, furnish, construct, reproduce, or repair prosthetic dentures, bridges, plates prostheses (fixed or removable), or other appliances to be used or worn as substitutes for natural teeth, or for the regulation thereof, shall be guilty of a misdemeanor, and the circuit courts shall have jurisdiction to enjoin such person from so doing.

"§34-9-21.

"(a) Every duly licensed and registered dentist who employs the services of a commercial dental laboratory or private technician for the purpose of constructing, altering, repairing, or duplicating any denture, plate, crown, partial

- plate, bridge prostheses (fixed or removable), splint, or

 orthodontic, or prosthetic appliance shall be required to

 furnish the commercial dental laboratory or private technician

 an impression or model taken cast made by the dentist when

 necessary, together with a prescription setting forth the

 following:
- 7 "(1) The name and address of the commercial dental laboratory or private dental technician.
 - "(2) The patient's name or identification number, and if a number is used the patient's name shall be written upon the duplicate copy of the prescription retained by the dentist.
- "(3) The date on which the prescription was written.

- "(4) A description of the work to be done, with diagram if necessary;.
 - "(5) A specification of the type and quality of materials to be used; and.
- "(6) The signature of the dentist and his or her license number.
 - "(b) Such prescription shall be made in duplicate form. The duplicate copy shall be retained in a permanent file for a period of two years by the dentist, and the original copy shall be retained on a permanent file for a period of two years by the commercial dental laboratory or private technician. Such permanent file of prescriptions to be kept by such dentists, commercial dental laboratory, or private

technician shall be open to inspection at any reasonable time by the board or its duly constituted agent. Failure of the dentist, commercial dental laboratory, or private technician to keep such permanent records of prescriptions which are identifiable with each denture, plate, partial plate, bridge prostheses (fixed or removable), splint, or orthodontic, or prosthetic appliance shall be prima facie evidence of a violation of this chapter and shall constitute and be punishable as a misdemeanor.

"§34-9-22.

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"Whoever sells or offers to sell a diploma conferring a dental or dental hygiene degree, or a license certificate or annual registration certificate granted pursuant to this chapter or prior dental act, or procures such diploma or license certificate or annual registration certificate with intent that it shall be used as evidence of the right to practice dentistry or dental hygiene as defined by law, by a person other than the one upon whom it was conferred or to whom such license certificate or annual registration certificate was granted, or with fraudulent intent alters such diploma or license certificate or annual registration certificate, or uses or attempts to use it when it is so altered shall be deemed quilty of a misdemeanor. The board may impose any of the penalties outlined in Section 34-9-18 against any person found guilty of making a false statement or cheating, or of fraud or deception either in applying for a license, a license certificate, or annual

registration or in taking any of the examinations provided for herein.

3 "\$34-9-24.

"No action to revoke or suspend a license or permit shall be taken until the licensee or permittee has been furnished a statement in writing of the charges against him or her, together with a notice of the time and place of the hearing. The statement of charges and notice shall be served upon the licensee or permittee at least 20 days before the date fixed for hearing, either personally or by registered or certified mail sent to his or her last known physical home or office address or post-office address, or any combination of them.

"§34-9-26.

"No person shall practice as a dental hygienist in this state until such person has passed an examination given by the board or approved by the board, or both, under rules and regulations as the board may promulgate and the payment of a fee. The board shall issue licenses and license certificates as dental hygienists to those persons who have passed the examination and have been found qualified by the board. The license certificate and annual registration certificate shall be displayed in the office in which the dental hygienist is employed. No person shall be entitled to a license and license certificate unless the person is 19 years of age and of good moral character. Each applicant for examination and license as a dental hygienist shall be a graduate of a school of dental

hygiene which has been approved by the board, or in lieu thereof, shall have served as a dental assistant for a period of time established by board rule and shall have served at least one year as a dental hygienist trainee under a training permit issued by the board to a qualified dentist practicing in this state in accordance with the dental hygienist training program established by the Board of Dental Examiners of Alabama. Any person practicing in violation of this section shall be guilty of a misdemeanor, and the board may impose the penalties outlined in Section 34-9-18 for such violation.

"\$34-9-27.

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"A dental hygienist shall work only under the direct supervision of a duly licensed dentist practicing in this state. Dental hygienists may take make, develop, and mount oral X-rays radiographs; remove calcareous deposits, accretions, or stains from the teeth, perform any intra-oral procedures allowed by rule or regulation of the Board of Dental Examiners of Alabama and assist a licensed or permitted dentist in his or her practice. Any person licensed by the board under this section who has completed the curriculum for dental hygienists at a dental school approved by the board shall have the right to use the title registered dental hygienist or the abbreviations abbreviation thereof, "R.D.H." appended to his or her name signifying the license conferred. The board may impose any of the penalties outlined in Section 34-9-18 against any dentist who shall permit any dental hygienist working under his or her supervision to perform any

operation other than those permitted under the provisions of this section, and may impose the penalties outlined in Section 34-9-18 against any dental hygienist who shall perform any operation other than those permitted under this section.

"\$34-9-28.

"It shall be the duty of all licensed dental hygienists to notify the secretary-treasurer of the board, in writing, of any change of address or employer and have issued to them an annual registration certificate by the board. Any dental hygienist whose license shall be automatically suspended by reason of failure, neglect, or refusal to secure the annual registration certificate may be reinstated by the board upon payment of the penalty fee plus the current year's registration fee. The form and method provided for in Section 34-9-15 shall apply to the annual registration of dental hygienists.

"\$34-9-40.

"(a) In order to accomplish the purposes and to provide for the enforcement of this chapter, there is hereby created the Board of Dental Examiners of Alabama. The board is hereby vested with the authority to carry out the purposes and enforce the provisions of this chapter. On June 24, 1959, the members of the present board now in existence shall hold office for the remainder of their respective terms for which they have been elected and thereafter until their successors are elected and qualified and shall constitute the board under this chapter. The board shall consist of six dentists who

shall be selected in the method set forth herein all of whom having been actively engaged in the practice of dentistry in the State of Alabama for at least five years next preceding the date of their election and one dental hygienist elected at-large as provided in subsection (b). Each member of the board shall be a citizen of this state. No member of the board shall be a member of the faculty of any dental school, dental college, dental hygiene school, or dental hygiene college or receive any financial benefits for teaching in any dental school, dental college, dental hygiene school, or dental hygiene college or have a financial interest in a commercial dental laboratory or a dental supply business. Beginning in October 2009, and every five years thereafter, one One member, who is qualified as provided herein, shall be selected by the Alabama Dental Society no later than July 1, 2009, and every five years thereafter. As for all elections of members, any group of 10 or more licensed dentists, residing and practicing dentistry in the state, may nominate a candidate for the position of board member by submitting a petition bearing their signatures to the secretary of the board to be postmarked not no later than the first day of July in the year of the election. The board shall cause the election ballots to be mailed or published digitally not later than September 1 in the year of the election to all the licensed dentists residing and practicing in the state and currently registered as prescribed by law, along with the annual registration form for the forthcoming fiscal year. Both the annual registration form

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and fee must accompany the separately sealed ballot that shall be postmarked, or otherwise submitted electronically, no later than October 1 and returned to the secretary of the board no later than the first board workday following October 1 each year, ballots being nullified unless accompanied by or electronically filed with completed annual registration form and annual registration fee. Three members of the board shall be present at the canvassing of time the ballots, digital or physical, are tallied. Any candidate receiving a majority of the votes shall be declared elected to the board and will take the oath of office on or before October 15 in the year of his or her election. In the event no candidate receives a majority of the votes cast, the board shall conduct a run-off election between the two candidates receiving the largest number of votes. The board shall cause the ballots pertaining to the run-off election to be mailed or digitally published on or before October $\frac{15}{10}$ 31 of the election year to all the licensed dentists residing and practicing in the state and currently registered as prescribed by law, and the ballots pertaining to the run-off election shall be postmarked or digitally published no later than the first fourteenth day of November in the year of the run-off election and received, if mailed, by the secretary of the board no later than the first board workday following the first fourteenth day of November. All mailed ballots received after this date shall be nullified. In the event of a run-off election, the candidate receiving the largest number of votes in the run-off election shall be

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declared elected to the board and shall immediately take the oath of office and begin his or her term of office no later than the next scheduled board meeting. Every member elected shall hold office for a period of five years, which terms shall begin immediately upon taking an oath to properly and faithfully discharge the duties of his or her office and until his or her successor is elected and qualified, and the member so elected shall not at the expiration of the term be eligible to succeed himself or herself. The membership of the board shall be inclusive and reflect the racial, gender, geographic, urban/rural, and economic diversity of the state. Except for the board member position selected by the Alabama Dental Society, vacancies on the board shall be filled by the board by the appointment of the immediate past member of the board, and if for any reason the immediate past member of the board is unable to accept the appointment, then the board shall fill the vacancy by appointment of the most recent past board member who is willing to accept the appointment. If no past board member accepts the appointment, then the board may, by unanimous majority vote, appoint any licensed dentist qualified under the provisions of this chapter. In the event of a vacancy in the position selected by the Alabama Dental Society, the Alabama Dental Society shall select a dentist who is qualified as provided herein to fill the vacancy. Members of the board shall be removed by a two-thirds vote of the registered dentists in the state for neglect of duty or any just cause, by petition to the secretary of the board by 10

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percent of the licensed dentists in the state. On or before

July 1, 1962, the board shall send a copy of this section to

all licensed dentists in the state.

"(b) (1) One member of the board shall be a licensed dental hygienist. The dental hygienist member shall be of good moral and ethical character and shall have been actively engaged in the practice of dental hygiene in the State of Alabama for at least five years preceding the date of election. No dental hygienist member shall be a member of the faculty of any dental school, dental college, dental hygiene school, or dental hygiene college or receive any financial benefits for teaching in any dental school, dental college, dental hygiene school, or dental hygiene college or have a financial interest in a commercial dental laboratory or dental supply business while serving on the board.

"(2) The dental hygienist member shall be elected as follows:

"a. Any group of 10 or more licensed dental hygienists, residing and practicing dental hygiene in the State of Alabama, may nominate a candidate for the dental hygienist position by submitting a petition bearing their signatures to the secretary of the board no later than the first day of July in the year of an election. The board shall cause election ballots to be mailed or published digitally no later than September 1 in the year of an election to all the licensed dental hygienists residing and practicing in the state and currently registered as prescribed by law. Both the

annual registration form and the registration fee must accompany a separately sealed the ballot that shall be postmarked or otherwise submitted electronically no later than October 1 and returned to the secretary of the board no later than the first board workday following October 1 each year, and the ballots will be nullified unless the voter has complied with Section 34-9-28, concerning annual registration accompanied by or electronically filed with a completed annual registration form and the annual registration fee.

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"b. Three members of the board shall be present at the canvassing of time the ballots, digital or physical, are tallied. Any candidate receiving a majority of the votes shall be the dental hygienist member declared elected to the board and shall take the oath of office on or before October 15 in the year of his or her election. In the event no candidate receives a majority of the votes cast, the board shall conduct a run-off election between the two candidates receiving the largest number of votes. The board shall cause the ballots pertaining to any run-off election to be mailed or digitally published on or before October $\frac{15}{15}$ 31 of the election year to all licensed dental hygienists residing and practicing in the state and currently registered as prescribed by law, and the ballots pertaining to the run-off election shall be postmarked or digitally submitted no later than the fourteenth day of November 1 in the year of the run-off election and received, if mailed, by the secretary of the board no later than the first board workday following November 1 14. Ballots All

mailed ballots received after November ± 14 shall be nullified. In the event of a run-off election, the dental hygienist candidate receiving the largest number of votes in the run-off election shall be declared elected to the board and shall immediately take the oath of office and begin his or her term of office no later than the next scheduled board meeting.

"c. All elections as described above shall be conducted by the board.

"(3) The dental hygienist member shall be removed by a two-thirds vote of the registered dental hygienists in the state for neglect of duty or any just cause by petition to the secretary of the board by 10 percent of the licensed dental hygienists in the state.

"(4) The dental hygienist member shall hold that position for a period of five years, which term shall begin immediately upon taking an oath to properly and faithfully discharge the duties of his or her office and continue until his or her successor is elected and qualified, and the member so elected shall not at the expiration of the term be eligible to succeed himself or herself. If a vacancy occurs in the position of dental hygienist, the unexpired term shall be filled by the board by the appointment of the immediate past dental hygienist member. If for any reason the immediate past dental hygienist member is unable to accept the appointment, then the board shall fill the vacancy by a unanimous majority vote of the other board members by the appointment of some

other past dental hygienist member. If a vacancy occurs and there is not an immediate past dental hygienist member or other past dental hygienist member, the vacancy shall be filled by a unanimous vote of the board by the appointment of some otherwise qualified dental hygienist.

"(5) The dental hygienist member shall advise the board on matters relating to dental hygiene and shall only be permitted to vote on matters relating to dental hygiene. The board shall provide the dental hygienist member with timely notice of all board meetings and the dental hygienist member shall be allowed to attend all meetings unless prohibited by law from attendance at any disciplinary hearings. The board shall not adopt any rule relating to the practice of dental hygiene unless the proposed rule has been submitted to the dental hygienist member for review and comment at least 30 days prior to its adoption. The dental hygienist member shall be entitled to the same compensation and expenses paid to dentist members of the board pursuant to Section 34-9-41.

"(c) Any dentist or dental hygienist who has been found guilty of violating this chapter or any provision of a dental practice act of any other state and as a result his or her license was revoked, suspended, or placed on probation or who has been convicted of a felony, shall not be eligible for election or membership on the board for a period of five years from the termination of any such revocation, suspension, or probation.

"§34-9-41.

"The board shall annually elect from its membership a president, vice-president, and secretary-treasurer and may employ a secretary staff members who is are not a member members of the board, and it shall not be necessary that the secretary be a dentist. The board shall have a common seal. The board shall hold an annual meeting in Birmingham at the University of Alabama School of Dentistry as soon as practical after the graduation exercises of the dental school for the purpose of examining or participating in the regional examination of applicants for a license to practice dentistry and dental hygiene or at such other times and places as the board may designate for the purpose of transacting its business and examinations. A majority of the board shall constitute a quorum for the transaction of business at any meeting except that in conducting hearings involving any of the penalties outlined in Section 34-9-18, no less than five members of the board shall be present. In conducting hearings involving any of the penalties outlined in Section 34-9-18, a majority of the board may appoint any former member of the board who for such purposes shall have all the powers and privileges of such office as a regular board member possesses. In conducting or participating in exams, a majority of the board may appoint any former member of the board or such other licensed practicing dentists from a jurisdiction recognized by the board who for such purposes shall have all the powers and privileges of such office as a regular board member possesses. Out of the funds of the board the members thereof shall

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1 receive as compensation a sum to be fixed by the board for 2 each day actively engaged in the duties of their office, and in addition board members shall receive the same per diem and 3 travel allowance as is paid by law to state employees for each day actively engaged in the duties of their office. The 5 6 secretary-treasurer shall receive such compensation as may be 7 fixed by the board, which shall be in addition to his or her per diem and expenses, provided no per diem or expenses shall 8 be allowed unless his or her duties require his or her absence 9 10 from his or her office. The secretary shall receive such 11 compensation as may be fixed by the board. The 12 secretary-treasurer shall be custodian of all property, money, 13 records and the official seal of the board. All money received 14 by the board under this chapter shall be paid to and received by the secretary-treasurer of the board. The 15 16 secretary-treasurer shall deposit to the credit of the board 17 all funds paid to the board in a bank selected by its members. The board is authorized to expend such funds as shall be 18 necessary to enforce the provisions of this chapter; to pay 19 20 salaries, expenses and other costs herein provided; to promote 21 the arts and science of dentistry; and for such other purposes 22 as the board shall consider to be in the best interest of 23 dentistry in this state. All the costs herein provided for shall be paid by checks drawn by the secretary-treasurer and 24 25 countersigned by the president of the board; except the board 26 may authorize the administrative secretary or the executive 27 director to sign checks for costs that do not exceed a

monetary limit to be set by the board in its rules. Should the
property be other than money, the secretary-treasurer shall
provide for the safekeeping thereof for the use of the board.

All money, including license fees, annual renewal license
certificate fees, examination fees and any and all other fees
and receipts under the provisions of this chapter, are hereby
appropriated to the Board of Dental Examiners to be used as
herein provided.

9 "\$34-9-43.

- "(a) The board shall exercise, subject to this chapter, the following powers and duties:
- "(1) Adopt rules for its government as deemed necessary and proper.
 - "(2) Prescribe rules for qualification and licensing of dentists and dental hygienists.
 - "(3) Conduct <u>or participate in</u> examinations to ascertain the qualification and fitness of applicants for licenses as dentists and dental hygienists.
 - "(4) Make rules and regulations regarding sanitation.
 - "(5) Formulate rules and regulations by which dental schools and colleges are approved, and formulate rules and regulations by which training, educational, technical, vocational, or any other institution which provides instruction for dental assistants, dental laboratory technicians, or any other paradental <u>personnel</u> are approved.

"(6) Grant licenses, issue license certificates, teaching permits, and annual registration certificates in conformity with this chapter to such qualified dentists and dental hygienists.

- "(7) Conduct hearings or proceedings to impose the penalties specified in Section 34-9-18.
- "(8)a. Employ necessary persons to assist in performing its duties in the administration and enforcement of this chapter, and to provide offices, furniture, fixtures, supplies, printing, or secretarial service to these persons and expend necessary funds.
- "b. Employ an attorney or attorneys, subject to the approval of the Attorney General, to advise and assist in the carrying out and enforcing of the provisions of this chapter. Provided, however, if the board contracts with an outside attorney to be general counsel to the board, that attorney or any member of a law firm with which he or she is associated shall not function as the board's prosecutor at disciplinary hearings.
- "(9)a. Investigate alleged violations of this chapter and institute or have instituted before the board or the proper court appropriate proceedings regarding the violation.
- "b. Authorize and employ investigators who comply with the Peace Officers' Minimum Standards and Training Act to exercise the powers of a peace officer in investigating alleged violations of the drug or controlled substances laws

- by persons licensed pursuant to this chapter, including the
 powers of arrest and inspection of documents. These
 investigators shall not be paid a subsistence allowance by the
 board.
- 5 "(10) Adopt rules and regulations to implement this 6 chapter.
 - "(11) Publish, on a quarterly basis, all minutes, except minutes of executive sessions, financial reports, schedules of meetings, including anticipated executive sessions, and other pertinent information on the board's website no later than 90 days following the date of occurrence. In addition, publish or post annually the rules and regulations promulgated by the board, a copy of the Dental Practice Act, and a list of all persons licensed to practice under this chapter.
 - "(12) Attend meetings, seminars, work shops
 workshops, or events that may improve the function and
 efficiency of the board or improve the ability of the board to
 enforce and administer this chapter.
 - "(b) The board, in exercising its powers and duties, shall adhere to guidelines and proceedings of the State Ethics

 Commission as provided in Chapter 25 of Title 36. The board may adopt rules for the purpose of establishing additional ethical guidelines.

25 "\$34-9-44.

"The secretary-treasurer of the board shall keep a registry in which shall be entered the names of all persons to

whom license certificates have been granted under this chapter, the numbers of such license certificates, the dates of granting the same and other matters of records, and he or she shall keep a true and correct copy of the minutes of all board meetings, and the book so provided and kept shall be the official book of records. A photostatic copy of the records or a copy of the records certified by the secretary-treasurer and under the seal of the board shall be admitted in any of the courts of this state as prima facie evidence of the facts contained in the records and in lieu of the original thereof. A certificate under the hand of the secretary-treasurer and the seal of the board that there is not entered in such record books the name and number of and date of granting such license certificate to a person charged with a violation of any of the provisions of this chapter shall be prima facie evidence of the facts contained therein. Such certificates shall be admitted in any of the courts of this state in lieu of the records of the board. The original books, records, and papers of the board shall be kept at the office of the secretary-treasurer of the board, which office shall be at such place as may be designated by the board.

"\$34-9-60.

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"Any person licensed <u>or permitted</u> to practice dentistry in the State of Alabama shall be authorized to use anesthesia in accordance with the provisions of this section.

"(1) All dentists are authorized to use local anesthesia.

"(2) Twelve months after May 29, 1985, no dentist

shall use general anesthesia on an outpatient basis for dental

patients, unless such dentist possesses a permit of

authorization issued by the Board of Dental Examiners.

- "a. In order to receive such permit, the dentist must apply on a prescribed application form to the Board of Dental Examiners, submit an application fee, and produce evidence showing that he or she:
- "1. Has completed a minimum of one year of advanced training in anesthesiology and related academic subjects (or its equivalent) beyond the undergraduate dental school level in a training program as described in Part II of the guidelines for teaching the comprehensive control of pain and anxiety in dentistry; or
- "2. Is a diplomate of the American Board of Oral and Maxillofacial Surgery, or is eligible for examination by the American Board of Oral and Maxillofacial Surgery, or is a member of the American Association of Oral and Maxillofacial Surgeons; or
- "3. Employs or works in conjunction with a qualified medical doctor who is a member of the anesthesiology staff in an accredited hospital, provided that such anesthesiologist must remain on the premises of the dental facility until any patient given a general anesthetic regains consciousness and is discharged; and
- "4. Has a properly equipped facility for the administration of general anesthesia staffed with a supervised

team of auxiliary personnel capable of reasonably assisting
the dentist with procedures, problems, and emergencies
incident thereto. Adequacy of the facility and competence of
the anesthesia team shall be determined by the Board of Dental
Examiners as outlined below.

"5. Possesses current training in Advanced Cardiac Life Support (ACLS) and basic life support certification.

"b. Prior to the issuance of such permit, the Board of Dental Examiners, at its discretion, may require an on-site inspection of the facility, equipment, and personnel to determine if, in fact, the aforementioned requirements have been met. This evaluation shall be carried out in a manner prescribed by the board. The evaluation shall be conducted by a team of three examiners appointed by the Board of Dental Examiners. These examiners shall be dentists who are authorized to administer general anesthesia. If the results of the initial evaluation are deemed unsatisfactory, upon written request of the applicant, a second evaluation shall be conducted by a different team of examiners the applicant may reapply for a permit subject to the correction of the deficiencies outlined in the original evaluation.

"(3) Each dentist who is licensed to practice dentistry in the state on May 29, 1985, who desires to continue to use general anesthesia shall make application on the prescribed form to the Board of Dental Examiners within 12 months of May 29, 1985. If he or she meets the requirements of this section, he or she shall be issued such a permit. If the

applicant does not meet the requirements of paragraph a. of subdivision (2) of this section, he or she may be entitled to a "general anesthesia permit" provided said applicant passes to the satisfaction of the board an on-site inspection as provided for in paragraph b. of subdivision (2) of this section.

"(4) Each dentist who has not been using general anesthesia prior to May 29, 1985, may be granted by the board a temporary provisional permit based on the applicant's producing evidence that he or she has complied with paragraph a. of subdivision (2) of this section above pending complete processing of the application and thorough investigation of an on-site evaluation as described in paragraph b. of subdivision (2) of this section.

"§34-9-63.

"The issuance of a permit for general anesthesia shall include the privilege of administering parenteral sedation in accordance with this section. The issuance of a permit for parenteral sedation shall include the privilege of administering intravenous sedation. All current intravenous sedation permit holders are entitled to a parenteral sedation permit subject to the renewal and regulatory provisions afforded to the Board of Dental Examiners by this chapter. The term parenteral sedation shall not include the use or regulation of nitrous oxide.

"(1) After August 1, 1993, no No dentist shall use parenteral sedation on an outpatient basis for dental patients

1 unless the dentist possesses a permit of authorization issued

2 by the board. The dentist applying for or holding the permit

3 shall be subject to on-site inspections as provided in

4 paragraph b. of subdivision (2) of Section 34-9-60.

5 "a. In order to receive the permit, the dentist

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"1. Apply on a prescribed application form to the

8 board.

"2. Submit a fee.

"3. Produce evidence showing that he or she has satisfied each of the following requirements:

- "(i) Received formal training in the use of parenteral sedation from a board approved training program, is competent to handle all emergencies relating to parenteral sedation, and is currently certified in cardiopulmonary resuscitation. The certification of the formal training shall specify the total number of hours, the number of didactic hours, and the number of patient contact hours. The required number of didactic hours and patient contact hours shall be determined by the board.
- "(ii) Equipped a proper facility for the administration of parenteral sedation, staffed with a supervised team of auxiliary personnel capable of reasonably assisting the dentist with procedures, problems, and emergencies incident to the sedation procedure.
- "b. Adequacy of the facility and the competency of the sedation team shall be determined by the board.

"c. Prior to the issuance of a permit, the board may require an on-site inspection of the facility, equipment, and personnel to determine if the requirements of this section have been met. This evaluation shall be performed as provided in subdivision (2) of this section.

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"(2) Each dentist who is licensed to practice dentistry in the state on or after August 1, 1993, who desires to continue to use parenteral sedation shall make application on the prescribed form to the board within 12 months of August 1, 1993. If he or she meets the requirements of this section, or currently holds a valid intravenous sedation permit, he or she shall be issued such a permit subject to all renewal and regulatory requirements of Section 34-9-64. If the applicant does not meet the requirements of paragraph a. of subdivision (1) of this section, or does not currently hold a valid intravenous sedation permit, he or she may be entitled to a "parenteral sedation permit" if the applicant passes, to the satisfaction of the board, an on-site inspection. The inspection shall ascertain that the dentist has a properly equipped facility for the administration of parenteral sedation, staffed with a supervised team of auxiliary personnel capable of reasonably assisting the dentist with incidental procedures, problems, and emergencies.

"The board, in conducting the on-site inspection and evaluations required in this section, shall appoint a team of up to three examiners who shall be dentists certified to

- administer parenteral sedation in accordance with this article.
- "(3) A dentist utilizing parenteral sedation and the
 auxiliary personnel of the dentist shall be currently
 certified in cardiopulmonary resuscitation and the dentist
 shall be trained in advanced cardiac life support.
 - "(4) Each dentist who has not been using parenteral sedation prior to August 1, 1993, may, pending complete processing of an application and a thorough on-site evaluation, may be granted $\frac{1}{2}$ one temporary provisional permit by the board at a time, if the applicant produces evidence that he or she has complied with this section.

"\$34-9-82.

- "(a) A dentist using oral conscious sedation must comply with all of the following requirements:
 - "(1) Patients to be treated under oral conscious sedation must be suitably evaluated prior to the start of any sedation procedure. Using the American Society of Anesthesiologists Patient Physical Status classifications the dentist should determine that the patient is an appropriate candidate for oral conscious sedation.
 - "(2) The patient or guardian must be advised regarding the procedure associated with the delivery of any sedative agents and the appropriate <u>written</u> informed consent should be obtained.
 - "(3) Inhalation equipment used in conjunction with oral conscious sedation must be evaluated prior to use on each

patient. Determination of adequate oxygen supply must be
completed prior to use with each patient.

- "(4) Appropriate verbal or written preoperative and postoperative instructions must be given to the patient or quardian.
 - "(5) Baseline vital signs should be obtained unless the patient's behavior prohibits such determination.
 - "(6) Pretreatment physical evaluation should be performed as deemed appropriate.
 - "(7) All medications and dosages used during an oral conscious sedation procedure must be recorded in the patient's record of treatment.
 - "(8) An emergency cart or kit must be readily accessible and must be available for immediate use during any sedation procedure.
 - "(9) The only classification of drugs for sedation to be administered enterally by a responsible adult procedurally outside the treatment facility is minor tranquilizers. Minor tranquilizers (i.e., hydroxyzine or diazepam) do not include chloral hydrate or narcotics.
 - "(10) Direct clinical observation and monitoring of the patient by a staff member must be continuous during the recovery period. The dentist shall assess the patient's responsiveness and must determine that the patient has met discharge criteria prior to leaving the office and the patient must be discharged into the care of a responsible person.

"(b) It shall be incumbent upon the operating dentist to insure that the patient is appropriately monitored. A sedated patient must be continuously kept under direct clinical observation by a trained individual. The sedated patient's oxygen saturation must be monitored by pulse oximetry. Chest excursions must be observed and the color of mucosa and skin continually evaluated. Back-up emergency services should be identified and a protocol outlining necessary procedures for their immediate employment should be developed and operational for each facility.

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"(c) Any dentist utilizing oral conscious sedation procedures must have a properly equipped facility staffed with a supervised team of allied dental personnel who will be appropriately trained and capable of reasonably assisting the dentist with procedures, problems, and emergencies incident thereto. When inhalation equipment is used, in combination with orally administered sedatives, it must have a fail safe failsafe system that is appropriately checked and calibrated. The inhalation equipment must have the capacity for delivering 100 percent oxygen, and never less than 25 percent oxygen. A system for delivering oxygen must be available and must have adequate full-face mask and appropriate connectors, and be capable of delivering oxygen under positive pressure to the patient. Inhalation equipment must have a scavenging system. Suction equipment must be available that allows aspiration of the oral and pharyngeal cavities. A stethoscope and a

sphygmomanometer with cuffs of appropriate size shall be immediately available.

3 "\$34-9-89.

"When oral conscious sedation is used on any patient under 12 years of age, the following provisions shall apply:

- "(1) The drugs, dosages, and techniques used should carry a margin of safety which is unlikely to render the patient noninteractive and nonarousable.
- "(2) In offices where pediatric patients are treated, appropriately Appropriately sized emergency equipment must be available."

Section 2. Section 34-9-7.2 is added to the Code of Alabama 1975, to read as follows:

A 501(c)(3) entity, as defined under Section
501(c)(3) of the Internal Revenue Code, that operates a dental clinic that provides dental services shall register with the board. The information provided to the board as a part of the registration process shall include the name of the corporation, the nonprofit status of the corporation, sites where dental services shall be provided by the corporation, and the names of all persons employed by, or contracting with, the corporation who are required to hold a license pursuant to this chapter. A copy of the entity's 501(c)(3) certification from the Internal Revenue Service shall be filed with the board. If the entity has multiple clinics, the entity shall register each clinic with the board and the entity shall have one licensed dentist serving as chief of dental services for

all of the clinics. All dentists and hygienists at each clinic
shall possess the applicable licenses or permits required by
this chapter and shall be subject to this chapter.

Section 3. This act shall become effective on the
first day of the third month following its passage and
approval by the Governor, or its otherwise becoming law.

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3	House of Representatives
4 5 6 7 8	Read for the first time and referred to the House of Representatives committee on Children and Senior Advocacy
9 10 11	Read for the second time and placed on the calendar 1 amendment 14-APR-11
12 13 14	Read for the third time and passed as amended 27-APR-11 Yeas 93, Nays 0, Abstains 1
15 16 17 18	Greg Pappas Clerk